

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Section 8(9-a) of the State Finance Law)
January 2016**



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**



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OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

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COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
January 31, 2016

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STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (Amounts in millions)

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
		MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2015	10 MOS. ENDED JAN. 31, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(6)	\$ 2,862.1	\$ 27,603.0	\$ 2,553.8	\$ 3,328.3	\$ 1,805.3	\$ 10,310.4	\$ -	\$ -	\$ 7,221.2	\$ 41,241.7	\$ 7,274.3	\$ 37,448.4	\$ 3,793.3	10.1%
Consumption/Use Taxes		552.0	5,722.5	168.9	1,751.4	508.3	5,227.6	46.2	534.7	1,275.4	13,236.2	1,237.9	13,003.8	232.4	1.8%
Business Taxes		(122.3)	3,763.1	81.8	1,158.4	-	-	56.2	535.8	15.7	5,457.3	295.0	5,583.5	(126.2)	-2.3%
Other Taxes		107.6	1,362.6	146.8	1,036.3	100.8	883.8	11.9	95.3	367.1	3,378.0	366.1	2,878.0	500.0	17.4%
Miscellaneous Receipts	(5)	105.2	4,954.6	1,442.3	13,478.7	62.7	419.0	71.6	3,036.8	1,681.8	21,889.1	1,743.4	23,158.1	(1,269.0)	-5.5%
Federal Receipts	(5)	-	0.3	4,087.0	39,573.4	-	36.5	105.5	1,814.8	4,192.5	41,425.0	3,789.1	38,662.5	2,762.5	7.1%
Total Receipts		3,504.6	43,406.1	8,480.6	60,326.5	2,477.1	16,877.3	291.4	6,017.4	14,753.7	126,627.3	14,705.8	120,734.3	5,893.0	4.9%
DISBURSEMENTS:															
Local Assistance Grants:	(3)														
Education		606.4	15,589.5	2,827.3	9,202.8	-	-	13.3	27.1	3,447.0	24,819.4	3,306.4	23,662.8	1,156.6	4.9%
Environment and Recreation		0.8	4.9	1.4	7.0	-	-	6.3	242.5	8.5	254.4	7.2	78.3	176.1	224.9%
General Government		4.7	930.4	32.7	189.6	-	-	2.6	90.2	40.0	1,210.2	32.4	1,244.4	(34.2)	-2.7%
Public Health:															
Medicaid	(5)	909.0	11,290.9	3,743.5	29,857.4	-	-	-	-	4,652.5	41,148.3	3,945.1	38,920.3	2,228.0	5.7%
Other Public Health		48.4	692.0	793.7	4,467.8	-	-	5.1	109.3	847.2	5,269.1	258.8	3,962.7	3,306.4	33.0%
Public Safety		11.2	166.1	90.2	1,572.3	-	-	0.6	50.8	102.0	1,789.2	208.3	2,440.3	(651.1)	-26.7%
Public Welfare		164.1	2,334.4	218.5	3,831.0	-	-	10.1	82.2	392.7	6,247.6	441.0	5,930.1	317.5	5.4%
Support and Regulate Business		11.5	57.0	4.1	93.8	-	-	6.1	493.5	21.7	644.3	23.8	505.5	138.8	27.5%
Transportation		0.5	97.2	188.3	4,179.0	-	-	16.1	729.7	204.9	5,005.9	285.2	5,184.4	(178.5)	-3.4%
Total Local Assistance Grants		1,756.6	31,162.4	7,899.7	53,400.7	-	-	60.2	1,825.3	9,716.5	86,388.4	8,508.2	81,928.8	4,459.6	5.4%
Departmental Operations:															
Personal Service		446.7	5,111.2	569.1	6,293.5	-	-	-	-	1,015.8	11,404.7	996.9	11,153.6	251.1	2.3%
Non-Personal Service		160.0	1,449.3	404.1	3,828.3	0.9	23.7	-	-	565.0	5,301.3	538.4	5,332.8	(31.5)	-0.6%
General State Charges		492.7	5,093.1	39.8	1,714.1	-	-	-	-	532.5	6,807.2	419.9	6,443.3	363.9	5.6%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	34.2	2,145.5	-	-	34.2	2,145.5	77.5	2,845.2	(699.7)	-24.6%
Capital Projects	(1)	-	-	0.4	1.3	-	-	478.8	5,238.1	479.2	5,239.4	381.0	4,529.6	709.8	15.7%
Total Disbursements		2,856.0	42,816.0	8,913.1	65,237.9	35.1	2,169.2	539.0	7,063.4	12,343.2	117,286.5	10,921.9	112,233.3	5,053.2	4.5%
Excess (Deficiency) of Receipts over Disbursements		648.6	590.1	(432.5)	(4,911.4)	2,442.0	14,708.1	(247.6)	(1,046.0)	2,410.5	9,340.8	3,783.9	8,501.0	839.8	9.9%
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(2),(5)	1,187.9	14,688.9	291.3	7,497.7	507.3	2,763.9	289.1	1,634.1	2,275.6	26,584.6	2,601.5	24,190.4	2,394.2	9.9%
Transfers to Other Funds	(2),(5)	(799.1)	(8,800.0)	(199.3)	(1,853.8)	(1,253.3)	(15,301.4)	(38.6)	(710.0)	(2,290.3)	(26,665.2)	(2,602.6)	(24,244.4)	2,420.8	10.0%
Total Other Financing Sources (Uses)		388.8	5,888.9	92.0	5,643.9	(746.0)	(12,537.5)	250.5	924.1	(14.7)	(80.6)	(1.1)	(54.0)	(26.6)	-49.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		1,037.4	6,479.0	(340.5)	732.5	1,696.0	2,170.6	2.9	(121.9)	2,395.8	9,260.2	3,782.8	8,447.0	813.2	9.6%
Beginning Fund Balances (Deficits)	(4)	12,741.1	7,299.5	3,734.8	2,661.8	593.3	118.7	(849.2)	(724.4)	16,220.0	9,355.6	8,698.7	4,034.5	5,321.1	131.9%
Ending Fund Balances (Deficits)		\$ 13,778.5	\$ 13,778.5	\$ 3,394.3	\$ 3,394.3	\$ 2,289.3	\$ 2,289.3	\$ (846.3)	\$ (846.3)	\$ 18,615.8	\$ 18,615.8	\$ 12,481.5	\$ 12,481.5	\$ 6,134.3	49.1%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
		JAN. 2016	JAN. 31, 2016	JAN. 2016	JAN. 31, 2016	JAN. 2016	JAN. 31, 2016	JAN. 2016	JAN. 31, 2016	JAN. 2015	JAN. 31, 2015		
RECEIPTS:													
Personal Income Tax	(6)	\$ 2,862.1	\$ 27,603.0	\$ 2,553.8	\$ 3,328.3	\$ 1,805.3	\$ 10,310.4	\$ 7,221.2	\$ 41,241.7	\$ 7,274.3	\$ 37,448.4	\$ 3,793.3	10.1%
Consumption/Use Taxes		552.0	5,722.5	168.9	1,751.4	508.3	5,227.6	1,229.2	12,701.5	1,192.5	12,493.4	208.1	1.7%
Business Taxes		(122.3)	3,763.1	81.8	1,158.4	-	-	(40.5)	4,921.5	238.8	5,030.3	(108.8)	-2.2%
Other Taxes		107.6	1,362.6	146.8	1,036.3	100.8	883.8	355.2	3,282.7	354.2	2,782.7	500.0	18.0%
Miscellaneous Receipts	(5)	105.2	4,954.6	1,419.6	13,313.1	62.7	419.0	1,587.5	18,686.7	1,656.3	20,744.8	(2,058.1)	-9.9%
Federal Receipts	(5)	-	0.3	(0.1)	0.6	-	36.5	(0.1)	37.4	0.7	38.1	(0.7)	-1.8%
Total Receipts		3,504.6	43,406.1	4,370.8	20,588.1	2,477.1	16,877.3	10,352.5	80,871.5	10,716.8	78,537.7	2,333.8	3.0%
DISBURSEMENTS:													
Local Assistance Grants: (3)													
Education		606.4	15,589.5	2,697.4	6,220.0	-	-	3,303.8	21,809.5	3,052.3	21,039.9	769.6	3.7%
Environment and Recreation		0.8	4.9	1.3	4.5	-	-	2.1	9.4	1.0	7.8	1.6	20.5%
General Government		4.7	930.4	32.5	146.7	-	-	37.2	1,077.1	20.0	1,098.2	(21.1)	-1.9%
Public Health:													
Medicaid	(5)	909.0	11,290.9	463.4	4,411.1	-	-	1,372.4	15,702.0	1,396.7	14,867.2	834.8	5.6%
Other Public Health		48.4	692.0	184.9	1,953.7	-	-	233.3	2,645.7	152.1	2,539.7	106.0	4.2%
Public Safety		11.2	166.1	24.1	106.2	-	-	35.3	272.3	110.7	338.6	(66.3)	-19.6%
Public Welfare		164.1	2,334.4	0.4	3.7	-	-	164.5	2,338.1	201.2	2,194.6	143.5	6.5%
Support and Regulate Business		11.5	57.0	2.0	88.2	-	-	13.5	145.2	13.1	287.0	(141.8)	-49.4%
Transportation		0.5	97.2	185.7	4,146.6	-	-	186.2	4,243.8	213.5	4,283.5	(39.7)	-0.9%
Total Local Assistance Grants		1,756.6	31,162.4	3,591.7	17,080.7	-	-	5,348.3	48,243.1	5,160.6	46,656.5	1,586.6	3.4%
Departmental Operations:													
Personal Service		446.7	5,111.2	523.7	5,797.6	-	-	970.4	10,908.8	952.3	10,642.2	266.6	2.5%
Non-Personal Service		160.0	1,449.3	309.3	2,867.8	0.9	23.7	470.2	4,340.8	440.9	4,313.2	27.6	0.6%
General State Charges		492.7	5,093.1	34.2	1,507.1	-	-	526.9	6,600.2	392.9	6,209.8	390.4	6.3%
Debt Service, Including Payments on Financing Agreements													
Capital Projects	(1)	-	-	0.4	1.3	-	-	0.4	1.3	0.1	1.2	0.1	8.3%
Total Disbursements		2,856.0	42,816.0	4,459.3	27,254.5	35.1	2,169.2	7,350.4	72,239.7	7,024.3	70,668.1	1,571.6	2.2%
Excess (Deficiency) of Receipts over Disbursements		648.6	590.1	(88.5)	(6,666.4)	2,442.0	14,708.1	3,002.1	8,631.8	3,692.5	7,869.6	762.2	9.7%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2),(5)	1,187.9	14,688.9	323.0	7,929.4	507.3	2,763.9	2,018.2	25,382.2	2,479.9	23,246.1	2,136.1	9.2%
Transfers to Other Funds	(2),(5)	(799.1)	(8,800.0)	(74.2)	(566.3)	(1,253.3)	(15,301.4)	(2,126.6)	(24,667.7)	(2,335.6)	(21,806.3)	2,861.4	13.1%
Total Other Financing Sources (Uses)		388.8	5,888.9	248.8	7,363.1	(746.0)	(12,537.5)	(108.4)	714.5	144.3	1,439.8	(725.3)	-50.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		1,037.4	6,479.0	160.3	696.7	1,696.0	2,170.6	2,893.7	9,346.3	3,836.8	9,309.4	36.9	0.4%
Beginning Fund Balances (Deficits)	(4)	12,741.1	7,299.5	3,009.0	2,472.6	593.3	118.7	16,343.4	9,890.8	10,261.7	4,789.1	5,101.7	106.5%
Ending Fund Balances (Deficits)		\$ 13,778.5	\$ 13,778.5	\$ 3,169.3	\$ 3,169.3	\$ 2,289.3	\$ 2,289.3	\$ 19,237.1	\$ 19,237.1	\$ 14,098.5	\$ 14,098.5	\$ 5,138.6	36.4%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

**EXHIBIT A NOTES
January 2016**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$122.9 million
Urban Development Corporation (Youth Facilities)	18.7
Housing Finance Agency (HFA)	97.5
Housing Assistance Fund	10.8
Dormitory Authority (Mental Hygiene)	418.8
Dormitory Authority and State University Income Fund	185.0
Federal Capital Projects	356.3
State bond and note proceeds	18.0

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$733.5 million
General Debt Service Fund	842.4
Alcohol Beverage Control	14.9
Banking Services Account	40.0
Centralized Tech Services Account	8.4
Court Facilities Incentive Aid Fund	86.7
Dedicated Highway & Bridge Trust Fund	49.5
Dedicated Infrastructure Investment Fund	736.9
Dedicated Mass Transportation - Railroad Account	6.6
Dedicated Mass Transportation - Transit Authority Account	36.7
Dedicated Mass Transportation (Non-MTA)	3.8
Environmental Protection Fund	23.0
Financial Crimes Revenue Account	14.3
Hazardous Waste Remediation Oversight and Assistance Account	8.5
Housing Debt Service Fund	2.6
Indigent Legal Services Fund	30.1
Medical Marijuana Health Operation and Oversight Account	6.7
Mental Hygiene Program Fund	1,245.0
Mental Hygiene Patient Income Account	1,230.0
MTA Operating Assistance Fund	31.6
MTA Financial Assistance Fund	328.6
NYC County Courts Operating Fund	4.6
Spinal Cord Injury Account	6.4
SUNY - Hospital IFR	68.3
SUNY - Income Fund	998.1

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$12.6m), the State University Income Fund (\$312.6m), the Mental Hygiene Program Account (\$1,917.4m) and Miscellaneous State Special Revenue Account (\$0.2m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of January 31, 2016 - pursuant to a certification of the Budget Director - the reserve amount is (\$346.4m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service funds of (\$1,178.9m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and transfers to the Capital Projects funds (\$82.7m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Certificate of Need Account	\$7.4 million
Chemical Dependence Service Fund	292.9
Clean Air Fund	2.1
Dept of Labor - Fee & Penalty Account	8.4
DMV Compulsory Account	4.4
Examination & Miscellaneous Revenue Account	1.6
Federal Dept of Health & Human Services Fund	104.7
Federal Operating Grants Fund	1.7
Federal USDA/Food and Nutrition Services Fund	2.2
Professional Education Services Account	2.8
Professional Medical Conduct Account	4.8
State Miscellaneous Special Revenue Fund	1.1
State Police Motor Vehicle Law Fund	35.0
Statewide Planning and Research Cooperative System Account	4.2
SUNY Income Fund	23.7
System and Technology Account	1.0
Transportation Surplus Property Account	1.8
Unemployment Insurance - Interest & Penalty Account	3.2
Vital Records Management Fund	3.1
Youth Facilities Per Diem Account	8.2

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$8,473.0 million
Local Government Assistance Tax Fund	2,564.1
Sales Tax Revenue Bond Tax Fund	2,289.9
Clean Water/Clean Air Fund	823.5

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$126.5m) and Mental Hygiene (\$1,024.3m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$19.1m), the General Debt Service Fund (\$552.1m) and the Revenue Bond Tax Fund (\$134.7m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	\$ -	\$ 4,396,856
Medicaid Recoveries -Audit	-	949,809
Medicaid Recoveries - Third Parties	-	9,563,386
Pharmacy Rebates	-	1,228,654
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	<u>\$ -</u>	<u>\$ 16,138,705</u>

4. The State Special Revenue April 1, 2015 balance has been adjusted by \$0.5m to reverse out a prior period adjustment.

5. Pursuant to a settlement agreement between New York State Department of Health and the Centers for Medicare and Medicaid Services (CMS), Medicaid spending and revenue in Special Revenue - Federal Funds has been reduced by \$850 million and spending has been increased in the General Fund by \$850 million to reflect the initial payment pursuant the agreement. The agreement resolves a disallowance for prior year claims that the State paid for services related to developmental centers and other intermediate care facilities for individuals with intellectual disabilities operated by the New York State Office for People with Developmental Disabilities (OPWDD). The spending is reclassified to Transfer To and From Other Funds in the respective funds. The impact to the financial statements is an increase in the General Fund Transfer To Other Funds and a decrease in Special Revenue - Federal Funds by the \$850 million to reflect the additional Medicaid costs.

6. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments were (\$3,327.2m) as of January 31, 2016.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2015	10 MOS. ENDED JAN. 31, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 4.5	\$ 56.8	\$ 43.3	\$ 390.1	\$ 47.8	\$ 446.9	\$ 43.9	\$ 454.3	\$ (7.4)	-1.6%
Federal Receipts	1.6	26.6	-	-	1.6	26.6	2.8	39.0	(12.4)	-31.8%
Unemployment Taxes	214.9	1,831.4	-	-	214.9	1,831.4	241.5	2,002.1	(170.7)	-8.5%
Total Receipts	221.0	1,914.8	43.3	390.1	264.3	2,304.9	288.2	2,495.4	(190.5)	-7.6%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.3	4.8	6.4	72.7	6.7	77.5	6.4	79.3	(1.8)	-2.3%
Non-Personal Service	3.8	62.6	55.6	395.1	59.4	457.7	38.4	551.4	(93.7)	-17.0%
General State Charges	-	0.5	-	31.5	-	32.0	-	39.3	(7.3)	-18.6%
Unemployment Benefits	215.3	1,832.5	-	-	215.3	1,832.5	235.9	2,010.4	(177.9)	-8.8%
Total Disbursements	219.4	1,900.4	62.0	499.3	281.4	2,399.7	280.7	2,680.4	(280.7)	-10.5%
Excess (Deficiency) of Receipts Over Disbursements	1.6	14.4	(18.7)	(109.2)	(17.1)	(94.8)	7.5	(185.0)	90.2	48.8%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	3.9	48.4	3.9	48.4	1.0	46.4	2.0	4.3%
Transfers to Other Funds	-	-	(0.1)	(10.0)	(0.1)	(10.0)	-	(18.0)	(8.0)	-44.4%
Total Other Financing Sources (Uses)	-	-	3.8	38.4	3.8	38.4	1.0	28.4	10.0	35.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.6	14.4	(14.9)	(70.8)	(13.3)	(56.4)	8.5	(156.6)	100.2	64.0%
Beginning Fund Balances (Deficits)	63.4	50.6	(252.6)	(196.7)	(189.2)	(146.1)	(175.3)	(10.2)	(135.9)	-1,332.4%
Ending Fund Balances (Deficits)	\$ 65.0	\$ 65.0	\$ (267.5)	\$ (267.5)	\$ (202.5)	\$ (202.5)	\$ (166.8)	\$ (166.8)	\$ (35.7)	-21.4%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2015	10 MOS. ENDED JAN. 31, 2015	\$ Increase/ (Decrease)	% Increase Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 4.9	\$ 95.4	\$ 0.1	\$ 0.3	\$ 5.0	\$ 95.7	\$ 4.7	\$ 83.4	\$ 12.3	14.7%
Total Receipts	4.9	95.4	0.1	0.3	5.0	95.7	4.7	83.4	12.3	14.7%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	4.9	49.2	-	0.2	4.9	49.4	4.7	47.0	2.4	5.1%
Non-Personal Service	1.7	14.6	-	-	1.7	14.6	0.8	13.0	1.6	12.3%
General State Charges	-	23.6	-	0.1	-	23.7	-	21.8	1.9	8.7%
Total Disbursements	6.6	87.4	-	0.3	6.6	87.7	5.5	81.8	5.9	7.2%
Excess (Deficiency) of Receipts Over Disbursements	(1.7)	8.0	0.1	-	(1.6)	8.0	(0.8)	1.6	6.4	400.0%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1.7)	8.0	0.1	-	(1.6)	8.0	(0.8)	1.6	6.4	400.0%
Beginning Fund Balances (Deficits)	(7.2)	(16.9)	11.4	11.5	4.2	(5.4)	9.4	7.0	(12.4)	-177.1%
Ending Fund Balances (Deficits)	\$ (8.9)	\$ (8.9)	\$ 11.5	\$ 11.5	\$ 2.6	\$ 2.6	\$ 8.6	\$ 8.6	\$ (6.0)	-69.8%

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR TEN MONTHS ENDED JANUARY 31, 2016
(Amounts in millions)

EXHIBIT D

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 39,707.0	\$ 40,710.0	\$ 41,241.7	\$ 1,534.7	\$ 531.7
Consumption/Use	13,410.0	13,230.0	13,236.2	(173.8)	6.2
Business	5,376.0	5,485.0	5,457.3	81.3	(27.7)
Other	2,902.0	3,341.0	3,378.0	476.0	37.0
Miscellaneous Receipts	19,837.0	21,798.0	21,889.1	2,052.1	91.1
Federal Receipts	40,576.0	41,481.0	41,425.0	849.0	(56.0)
Total Receipts	121,808.0	126,045.0	126,627.3	4,819.3	582.3
DISBURSEMENTS:					
Local Assistance Grants	86,864.0	86,944.0	86,388.4	(475.6)	(555.6)
Departmental Operations	16,729.0	16,678.0	16,706.0	(23.0)	28.0
General State Charges	6,755.0	6,741.0	6,807.2	52.2	66.2
Debt Service	2,394.0	2,146.0	2,145.5	(248.5)	(0.5)
Capital Projects	5,659.0	5,406.0	5,239.4	(419.6)	(166.6)
Total Disbursements	118,401.0	117,915.0	117,286.5	(1,114.5)	(628.5)
Excess (Deficiency) of Receipts over Disbursements	3,407.0	8,130.0	9,340.8	5,933.8	1,210.8
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	27,876.0	26,896.0	26,584.6	(1,291.4)	(311.4)
Transfers to Other Funds	(27,941.0)	(26,968.0)	(26,665.2)	(1,275.8)	(302.8)
Total Other Financing Sources (Uses)	(65.0)	(72.0)	(80.6)	(15.6)	(8.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,342.0	8,058.0	9,260.2	5,918.2	1,202.2
Fund Balances (Deficits) at April 1	9,355.0	9,355.0	9,355.6	0.6	0.6
Fund Balances (Deficits) at January 31, 2016	\$ 12,697.0	\$ 17,413.0	\$ 18,615.8	\$ 5,918.8	\$ 1,202.8

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

(**) Source: 2016-17 Executive Budget dated January 13, 2016.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2016
 FOR TEN MONTHS ENDED JANUARY 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 39,707.0	\$ 40,710.0	\$ 41,241.7	\$ 1,534.7	\$ 531.7
Consumption/Use	12,893.0	12,701.0	12,701.5	(191.5)	0.5
Business	4,850.0	4,958.0	4,921.5	71.5	(36.5)
Other	2,806.0	3,245.0	3,282.7	476.7	37.7
Miscellaneous Receipts	16,620.0	18,444.0	18,686.7	2,066.7	242.7
Federal Receipts	37.0	38.0	37.4	0.4	(0.6)
Total Receipts	76,913.0	80,096.0	80,871.5	3,958.5	775.5
DISBURSEMENTS:					
Local Assistance Grants	49,342.0	48,827.0	48,243.1	(1,098.9)	(583.9)
Departmental Operations	15,300.0	15,221.0	15,249.6	(50.4)	28.6
General State Charges	6,486.0	6,505.0	6,600.2	114.2	95.2
Debt Service	2,394.0	2,146.0	2,145.5	(248.5)	(0.5)
Capital Projects	-	-	1.3	1.3	1.3
Total Disbursements	73,522.0	72,699.0	72,239.7	(1,282.3)	(459.3)
Excess (Deficiency) of Receipts over Disbursements	3,391.0	7,397.0	8,631.8	5,240.8	1,234.8
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	25,524.0	25,231.0	25,382.2 (****)	(141.8)	151.2
Transfers to Other Funds	(25,692.0)	(25,020.0)	(24,667.7) (****)	(1,024.3)	(352.3)
Total Other Financing Sources (Uses)	(168.0)	211.0	714.5	882.5	503.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,223.0	7,608.0	9,346.3	6,123.3	1,738.3
Fund Balances (Deficits) at April 1	9,890.0	9,890.0	9,890.8	0.8	0.8
Fund Balances (Deficits) at January 31, 2016	\$ 13,113.0	\$ 17,498.0	\$ 19,237.1	\$ 6,124.1	\$ 1,739.1

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

(**) Source: 2016-17 Executive Budget dated January 13, 2016.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2016
 FOR TEN MONTHS ENDED JANUARY 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 26,406.0	\$ 27,204.0	\$ 27,603.0	\$ 1,197.0	\$ 399.0
Consumption/Use	5,811.0	5,724.0	5,722.5	(88.5)	(1.5)
Business	3,721.0	3,819.0	3,763.1	42.1	(55.9)
Other	919.0	1,325.0	1,362.6	443.6	37.6
Miscellaneous Receipts	3,573.0	4,953.0	4,954.6	1,381.6	1.6
Federal Receipts	-	-	0.3	0.3	0.3
Transfers From:					
PIT in excess of Revenue Bond Debt Service	8,231.0	8,339.0	8,473.0	242.0	134.0
Sales Tax in excess of LGAC / STRBF Debt Service	5,085.0	4,853.0	4,854.0	(231.0)	1.0
Real Estate Taxes in excess of CW/CA Debt Service	768.0	812.0	823.5	55.5	11.5
All Other	558.0	527.0	538.4	(19.6)	11.4
Total Receipts and Other Financing Sources	55,072.0	57,556.0	58,095.0	3,023.0	539.0
DISBURSEMENTS:					
Local Assistance Grants	32,615.0	31,683.0	31,162.4	(1,452.6)	(520.6)
Departmental Operations	6,691.0	6,644.0	6,560.5	(130.5)	(83.5)
General State Charges	4,738.0	4,966.0	5,093.1	355.1	127.1
Transfers To:					
Debt Service	897.0	843.0	842.4	(54.6)	(0.6)
Capital Projects	2,206.0	1,586.0	1,551.4	(654.6)	(34.6)
State Share Medicaid	1,968.0	1,926.0	2,242.8 (***)	274.8	316.8
SUNY Operations	1,000.0	998.0	998.1	(1.9)	0.1
Other Purposes	3,756.0	3,994.0	3,165.3	(590.7)	(828.7)
Total Disbursements and Other Financing Uses	53,871.0	52,640.0	51,616.0	(2,255.0)	(1,024.0)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,201.0	4,916.0	6,479.0	5,278.0	1,563.0
Fund Balances (Deficits) at April 1	7,300.0	7,300.0	7,299.5	(0.5)	(0.5)
Fund Balances (Deficits) at January 31, 2016	\$ 8,501.0	\$ 12,216.0	\$ 13,778.5	\$ 5,277.5	\$ 1,562.5

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

(**) Source: 2016-17 Executive Budget dated January 13, 2016.

(***) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2016
 FOR TEN MONTHS ENDED JANUARY 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 3,372.0	\$ 3,329.0	\$ 3,328.3	\$ (43.7)	\$ (0.7)
Consumption/Use	1,767.0	1,748.0	1,751.4	(15.6)	3.4
Business	1,129.0	1,139.0	1,158.4	29.4	19.4
Other	1,057.0	1,048.0	1,036.3	(20.7)	(11.7)
Miscellaneous Receipts	12,730.0	13,215.0	13,478.7	748.7	263.7
Federal Receipts	39,221.0	39,519.0	39,573.4	352.4	54.4
Transfers from Other Funds(***)	7,815.0	7,997.0	7,497.7	(317.3)	(499.3)
Total Receipts and Other Financing Sources	67,091.0	67,995.0	67,824.2	733.2	(170.8)
DISBURSEMENTS:					
Local Assistance Grants	52,408.0	53,328.0	53,400.7	992.7	72.7
Departmental Operations	10,014.0	10,012.0	10,121.8	107.8	109.8
General State Charges	2,017.0	1,775.0	1,714.1	(302.9)	(60.9)
Capital Projects	-	-	1.3	1.3	1.3
Transfers to Other Funds(***)	1,917.0	1,761.0	1,853.8	(63.2)	92.8
	66,356.0	66,876.0	67,091.7	735.7	215.7
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	735.0	1,119.0	732.5	(2.5)	(386.5)
Fund Balances (Deficits) at April 1	2,661.0	2,661.0	2,661.8	0.8	0.8
Fund Balances (Deficits) at January 31, 2016	\$ 3,396.0	\$ 3,780.0	\$ 3,394.3	\$ (1.7)	\$ (385.7)

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

(**) Source: 2016-17 Executive Budget dated January 13, 2016.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2016
 FOR TEN MONTHS ENDED JANUARY 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 3,372.0	\$ 3,329.0	\$ 3,328.3	\$ (43.7)	\$ (0.7)	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,767.0	1,748.0	1,751.4	(15.6)	3.4	-	-	-	-	-
Business	1,129.0	1,139.0	1,158.4	29.4	19.4	-	-	-	-	-
Other	1,057.0	1,048.0	1,036.3	(20.7)	(11.7)	-	-	-	-	-
Miscellaneous Receipts	12,649.0	13,062.0	13,313.1	664.1	251.1	81.0	153.0	165.6	84.6	12.6
Federal Receipts	-	1.0	0.6	0.6	(0.4)	39,221.0	39,518.0	39,572.8	351.8	54.8
Transfers from Other Funds(***)	7,815.0	7,997.0	7,497.7	(317.3)	(499.3)	-	-	-	-	-
Total Receipts and Other Financing Sources	27,789.0	28,324.0	28,085.8	296.8	(238.2)	39,302.0	39,671.0	39,738.4	436.4	67.4
DISBURSEMENTS:										
Local Assistance Grants	16,727.0	17,144.0	17,080.7	353.7	(63.3)	35,681.0	36,184.0	36,320.0	639.0	136.0
Departmental Operations	8,585.0	8,555.0	8,665.4	80.4	110.4	1,429.0	1,457.0	1,456.4	27.4	(0.6)
General State Charges	1,748.0	1,539.0	1,507.1	(240.9)	(31.9)	269.0	236.0	207.0	(62.0)	(29.0)
Capital Projects	-	-	1.3	1.3	1.3	-	-	-	-	-
Transfers to Other Funds(***)	633.0	533.0	566.3	(66.7)	33.3	1,284.0	1,228.0	1,287.5	3.5	59.5
Total Disbursements and Other Financing Uses	27,693.0	27,771.0	27,820.8	127.8	49.8	38,663.0	39,105.0	39,270.9	607.9	165.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	96.0	553.0	265.0	169.0	(288.0)	639.0	566.0	467.5	(171.5)	(98.5)
Fund Balances (Deficits) at April 1	2,010.0	2,010.0	2,010.2	0.2	0.2	651.0	651.0	651.6	0.6	0.6
Fund Balances (Deficits) at January 31, 2016	\$ 2,106.0	\$ 2,563.0	\$ 2,275.2	\$ 169.2	\$ (287.8)	\$ 1,290.0	\$ 1,217.0	\$ 1,119.1	\$ (170.9)	\$ (97.9)

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

(**) Source: 2016-17 Executive Budget dated January 13, 2016.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2016
 FOR TEN MONTHS ENDED JANUARY 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 9,929.0	\$ 10,177.0	\$ 10,310.4	\$ 381.4	\$ 133.4
Consumption/Use	5,315.0	5,229.0	5,227.6	(87.4)	(1.4)
Other	830.0	872.0	883.8	53.8	11.8
Miscellaneous Receipts	398.0	429.0	419.0	21.0	(10.0)
Federal Receipts	37.0	37.0	36.5	(0.5)	(0.5)
Transfers from Other Funds	3,067.0	2,703.0	2,763.9	(303.1)	60.9
Total Receipts and Other Financing Sources	19,576.0	19,447.0	19,641.2	65.2	194.2
DISBURSEMENTS:					
Departmental Operations	24.0	22.0	23.7	(0.3)	1.7
Debt Service	2,394.0	2,146.0	2,145.5	(248.5)	(0.5)
Transfers to Other Funds	15,232.0	15,140.0	15,301.4	69.4	161.4
Total Disbursements and Other Financing Uses	17,650.0	17,308.0	17,470.6	(179.4)	162.6
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,926.0	2,139.0	2,170.6	244.6	31.6
Fund Balances (Deficits) at April 1	118.0	118.0	118.7	0.7	0.7
Fund Balances (Deficits) at January 31, 2016	\$ 2,044.0	\$ 2,257.0	\$ 2,289.3	\$ 245.3	\$ 32.3

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

(**) Source: 2016-17 Executive Budget dated January 13, 2016.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2016
 FOR TEN MONTHS ENDED JANUARY 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Consumption/Use	\$ 517.0	\$ 529.0	\$ 534.7	\$ 17.7	\$ 5.7
Business	526.0	527.0	535.8	9.8	8.8
Other	96.0	96.0	95.3	(0.7)	(0.7)
Miscellaneous Receipts	3,136.0	3,201.0	3,036.8	(99.2)	(164.2)
Federal Receipts	1,318.0	1,925.0	1,814.8	496.8	(110.2)
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds(***)	2,352.0	1,665.0	1,634.1	(717.9)	(30.9)
Total Receipts and Other Financing Sources	7,945.0	7,943.0	7,651.5	(293.5)	(291.5)
DISBURSEMENTS:					
Local Assistance Grants	1,841.0	1,933.0	1,825.3	(15.7)	(107.7)
Capital Projects	5,659.0	5,406.0	5,238.1	(420.9)	(167.9)
Transfers to Other Funds(***)	965.0	720.0	710.0	(255.0)	(10.0)
Total Disbursements and Other Financing Uses	8,465.0	8,059.0	7,773.4	(691.6)	(285.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(520.0)	(116.0)	(121.9)	398.1	(5.9)
Fund Balances (Deficits) at April 1	(724.0)	(724.0)	(724.4)	(0.4)	(0.4)
Fund Balances (Deficits) at January 31, 2016	\$ (1,244.0)	\$ (840.0)	\$ (846.3)	\$ 397.7	\$ (6.3)

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

(**) Source: 2016-17 Executive Budget dated January 13, 2016.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2016
 FOR TEN MONTHS ENDED JANUARY 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 517.0	\$ 529.0	\$ 534.7	\$ 17.7	\$ 5.7	\$ -	\$ -	\$ -	\$ -	\$ -
Business	526.0	527.0	535.8	9.8	8.8	-	-	-	-	-
Other	96.0	96.0	95.3	(0.7)	(0.7)	-	-	-	-	-
Miscellaneous Receipts	3,136.0	3,201.0	3,036.1	(99.9)	(164.9)	-	-	0.7	0.7	0.7
Federal Receipts	2.0	2.0	2.5	0.5	0.5	1,316.0	1,923.0	1,812.3	496.3	(110.7)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds(***)	2,352.0	1,665.0	1,634.1	(717.9)	(30.9)	-	-	-	-	-
Total Receipts and Other Financing Sources	6,629.0	6,020.0	5,838.5	(790.5)	(181.5)	1,316.0	1,923.0	1,813.0	497.0	(110.0)
DISBURSEMENTS:										
Local Assistance Grants	1,244.0	1,381.0	1,325.6	81.6	(55.4)	597.0	552.0	499.7	(97.3)	(52.3)
Capital Projects	5,016.0	4,124.0	3,954.6	(1,061.4)	(169.4)	643.0	1,282.0	1,283.5	640.5	1.5
Transfers to Other Funds(***)	954.0	713.0	705.9	(248.1)	(7.1)	11.0	7.0	4.1	(6.9)	(2.9)
Total Disbursements and Other Financing Uses	7,214.0	6,218.0	5,986.1	(1,227.9)	(231.9)	1,251.0	1,841.0	1,787.3	536.3	(53.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(585.0)	(198.0)	(147.6)	437.4	50.4	65.0	82.0	25.7	(39.3)	(56.3)
Fund Balances (Deficits) at April 1	(725.0)	(725.0)	(724.5)	0.5	0.5	1.0	1.0	0.1	(0.9)	(0.9)
Fund Balances (Deficits) at January 31, 2016	\$ (1,310.0)	\$ (923.0)	\$ (872.1)	\$ 437.9	\$ 50.9	\$ 66.0	\$ 83.0	\$ 25.8	\$ (40.2)	\$ (57.2)

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

(**) Source: 2016-17 Executive Budget dated January 13, 2016.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	MONTH OF JAN. 2015	10 MOS. ENDED JAN. 31, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	\$ 3,663.3	\$ 28,264.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,663.3	\$ 28,264.4	\$ 3,731.6	\$ 27,117.5	\$ 1,146.9	4.2%
Estimated Payments	3,806.8	15,925.9	-	-	-	-	-	-	3,806.8	15,925.9	3,574.1	13,580.8	2,345.1	17.3%
Returns	17.8	2,443.6	-	-	-	-	-	-	17.8	2,443.6	14.7	2,065.1	378.5	18.3%
State/City Offsets	(21.2)	(624.8)	-	-	-	-	-	-	(21.2)	(624.8)	(12.8)	(530.8)	94.0	17.7%
Other (Assessments/LLC)	107.7	968.7	-	-	-	-	-	-	107.7	968.7	116.3	953.1	15.6	1.6%
Gross Receipts	7,574.4	46,977.8	-	-	-	-	-	-	7,574.4	46,977.8	7,423.9	43,185.7	3,792.1	8.8%
Transfers to School Tax Relief Fund	(2,553.8)	(3,328.3)	2,553.8	3,328.3	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,805.3)	(10,310.4)	-	-	1,805.3	10,310.4	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(353.2)	(5,736.1)	-	-	-	-	-	-	(353.2)	(5,736.1)	(149.6)	(5,737.3)	(1.2)	0.0%
Total	2,862.1	27,603.0	2,553.8	3,328.3	1,805.3	10,310.4	-	-	7,221.2	41,241.7	7,274.3	37,448.4	3,793.3	10.1%
CONSUMPTION/USE TAXES														
Sales and Use	508.6	5,226.1	72.0	754.6	508.3	5,227.6	-	-	1,088.9	11,208.3	1,038.8	10,927.3	281.0	2.6%
Auto Rental	-	-	0.1	39.3	-	-	0.2	65.5	0.3	104.8	-	99.3	5.5	5.5%
Cigarette/Tobacco Products	11.1	272.4	71.5	798.3	-	-	-	-	82.6	1,070.7	96.3	1,141.8	(71.1)	-6.2%
Motor Fuel	-	-	9.1	87.5	-	-	34.6	331.7	43.7	419.2	41.5	414.5	4.7	1.1%
Alcoholic Beverage	32.3	224.0	-	-	-	-	-	-	32.3	224.0	31.2	220.0	4.0	1.8%
Highway Use	-	-	-	-	-	-	11.4	137.5	11.4	137.5	11.5	119.7	17.8	14.9%
Metropolitan Commuter Trans. Taxicab Trip	-	-	16.2	71.7	-	-	-	-	16.2	71.7	18.6	81.2	(9.5)	-11.7%
Total	552.0	5,722.5	168.9	1,751.4	508.3	5,227.6	46.2	534.7	1,275.4	13,236.2	1,237.9	13,003.8	232.4	1.8%
BUSINESS TAXES														
Corporation Franchise	(150.1)	2,620.4	23.8	515.5	-	-	-	-	(126.3)	3,135.9	149.4	1,918.0	1,217.9	63.5%
Corporation and Utilities	12.9	387.9	3.9	104.2	-	-	0.4	8.4	17.2	500.5	1.6	477.1	23.4	4.9%
Insurance	8.2	838.0	3.1	102.7	-	-	-	-	11.3	940.7	0.6	899.2	41.5	4.6%
Bank	6.7	(83.2)	6.3	14.7	-	-	-	-	13.0	(68.5)	42.4	1,305.0	(1,373.5)	-105.2%
Petroleum Business	-	-	44.7	421.3	-	-	55.8	527.4	100.5	948.7	101.0	984.2	(35.5)	-3.6%
Total	(122.3)	3,763.1	81.8	1,158.4	-	-	56.2	535.8	15.7	5,457.3	295.0	5,583.5	(126.2)	-2.3%
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	106.4	1,346.3	-	-	-	-	-	-	106.4	1,346.3	125.4	977.9	368.4	37.7%
Pari-Mutuel	1.0	14.9	-	-	-	-	-	-	1.0	14.9	0.8	15.8	(0.9)	-5.7%
Real Estate Transfer	-	-	-	-	100.8	883.8	11.9	95.3	112.7	979.1	95.7	871.7	107.4	12.3%
Racing and Exhibitions	0.2	1.4	-	-	-	-	-	-	0.2	1.4	0.1	0.8	0.6	75.0%
Metropolitan Commuter Trans. Mobility	-	-	146.8	1,036.3	-	-	-	-	146.8	1,036.3	144.1	1,011.8	24.5	2.4%
Total	107.6	1,362.6	146.8	1,036.3	100.8	883.8	11.9	95.3	367.1	3,378.0	366.1	2,878.0	500.0	17.4%
Total Tax Receipts	\$ 3,399.4	\$ 38,451.2	\$ 2,951.3	\$ 7,274.4	\$ 2,414.4	\$ 16,421.8	\$ 114.3	\$ 1,165.8	\$ 8,879.4	\$ 63,313.2	\$ 9,173.3	\$ 58,913.7	\$ 4,399.5	7.5%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)**

	2015										2016			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease	
Commissions	0.1	0.1	0.4	0.1	0.1	0.4	1.0	1.5	3.7	0.1			7.5	7.1	0.4	5.6%	
Gifts, Grants and Donations	1.0	0.7	1.2	0.4	0.2	1.0	0.5	1.2	1.2	0.1			7.5	17.7	(10.2)	-57.6%	
Indirect Cost Recoveries	1.4	12.0	14.6	2.4	23.9	7.7	0.1	1.6	23.2	2.3			89.2	94.1	(4.9)	-5.2%	
Patient/Client Care Reimbursement	(756.1)	322.9	197.3	296.2	137.7	100.6	268.5	172.4	218.7	210.4			1,168.6	2,002.3	(833.7)	-41.6%	
Rebates	11.2	9.3	9.8	26.8	9.0	11.7	10.7	11.5	17.9	9.9			127.8	126.5	1.3	1.0%	
Restitution and Settlements	82.9	1,053.7	1.9	5.7	34.4	0.7	3.9	98.9	(17.3)	7.5			1,272.3	272.1	1,000.2	367.6%	
Student Loans	8.4	7.9	5.3	2.7	7.0	6.7	6.8	2.3	5.9	4.9			57.9	70.9	(13.0)	-18.3%	
All Other	12.2	(2.1)	3.2	28.1	4.4	5.6	7.1	7.7	4.9	5.7			76.8	52.8	24.0	45.5%	
Sales	2.0	4.4	1.6	0.7	5.5	2.1	1.0	2.2	0.3	0.8			20.6	28.3	(7.7)	-27.2%	
Tuition	125.2	76.1	92.5	92.9	203.0	420.3	225.4	129.2	92.5	257.9			1,715.0	1,669.4	45.6	2.7%	
Total Miscellaneous Receipts	705.0	4,275.4	2,585.7	1,713.4	1,556.9	2,448.9	2,298.6	2,005.1	2,618.3	1,681.8			21,889.1	23,158.1	(1,269.0)	-5.5%	
Federal Receipts	1,730.0	4,648.4	4,627.6	3,878.2	4,470.8	4,371.2	3,333.7	4,762.6	5,410.0	4,192.5			41,425.0	38,662.5	2,762.5	7.1%	
Total Receipts	11,076.3	12,808.8	15,319.5	10,257.4	10,482.0	15,259.1	9,767.1	10,740.4	16,163.0	14,753.7			126,627.3	120,734.3	5,893.0	4.9%	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	895.9	3,267.7	4,109.2	603.8	946.9	5,766.8	692.3	2,632.8	2,457.0	3,447.0			24,819.4	23,662.8	1,156.6	4.9%	
Environment and Recreation	3.0	4.1	7.7	13.0	8.2	17.1	0.5	183.5	8.8	8.5			254.4	78.3	176.1	224.9%	
General Government	24.2	46.8	573.0	40.4	23.8	129.3	40.9	44.4	247.4	40.0			1,210.2	1,244.4	(34.2)	-2.7%	
Public Health:																	
Medicaid	3,191.8	4,470.2	4,126.7	4,225.4	3,654.0	4,790.4	3,302.9	4,080.0	4,654.4	4,652.5			41,148.3	38,920.3	2,228.0	5.7%	
Other Public Health	221.0	382.8	742.2	629.3	687.4	670.2	184.9	304.2	599.9	847.2			5,269.1	3,962.7	1,306.4	33.0%	
Public Safety	172.4	141.1	75.0	128.4	173.0	268.1	141.6	141.6	422.7	102.0			1,789.2	2,440.3	(651.1)	-26.7%	
Public Welfare	367.3	465.5	709.9	708.7	919.0	962.1	401.7	399.3	921.4	392.7			6,247.6	5,930.1	317.5	5.4%	
Support and Regulate Business	25.8	66.4	66.4	100.5	156.5	87.6	11.0	67.5	37.9	21.7			644.3	505.5	138.8	27.5%	
Transportation	158.5	529.0	528.7	347.4	486.6	603.5	367.5	641.5	1,138.3	204.9			5,005.9	5,184.4	(178.5)	-3.4%	
Total Local Assistance Grants	5,059.9	9,376.6	10,938.8	6,796.9	7,055.4	13,295.1	5,166.6	8,494.8	10,487.8	9,716.5			86,388.4	81,928.8	4,459.6	5.4%	
Departmental Operations:																	
Personal Service	1,237.7	1,023.6	1,023.9	1,379.7	1,030.8	1,059.7	1,208.0	1,017.8	1,407.7	1,015.8			11,404.7	11,153.6	251.1	2.3%	
Non-Personal Service	349.2	452.3	610.2	483.9	614.1	773.6	267.5	564.9	620.6	565.0			5,301.3	5,332.8	(31.5)	-0.6%	
General State Charges	663.7	743.3	559.8	1,861.8	409.9	573.4	484.8	475.1	502.9	532.5			6,807.2	6,443.3	363.9	5.6%	
Debt Service, Including Payments on																	
Financing Agreements	165.9	254.6	164.0	84.3	273.9	732.5	18.5	102.0	315.6	34.2			2,145.5	2,845.2	(699.7)	-24.6%	
Capital Projects	288.9	426.3	451.3	568.5	536.6	857.4	292.8	644.6	693.8	479.2			5,239.4	4,529.6	709.8	15.7%	
Total Disbursements	7,765.3	12,276.7	13,748.0	11,175.1	9,920.7	17,291.7	7,438.2	11,299.2	14,028.4	12,343.2			117,286.5	112,233.3	5,053.2	4.5%	
Excess (Deficiency) of Receipts over Disbursements	3,311.0	532.1	1,571.5	(917.7)	561.3	(2,032.6)	2,328.9	(558.8)	2,134.6	2,410.5			9,340.8	8,501.0	839.8	9.9%	
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Transfers from Other Funds	4,617.6	2,253.8	2,542.0	2,623.1	1,953.1	3,443.2	2,124.8	2,210.6	2,540.8	2,275.6			26,584.6	24,190.4	2,394.2	9.9%	
Transfers to Other Funds	(4,620.6)	(2,256.8)	(2,542.6)	(2,688.4)	(1,930.0)	(3,448.4)	(2,123.7)	(2,218.9)	(2,545.5)	(2,290.3)			(26,665.2)	(24,244.4)	(2,420.8)	-10.0%	
Total Other Financing Sources (Uses)	(3.0)	(3.0)	(0.6)	(65.3)	23.1	(5.2)	1.1	(8.3)	(4.7)	(14.7)			(80.6)	(54.0)	(26.6)	-49.3%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,308.0	529.1	1,570.9	(983.0)	584.4	(2,037.8)	2,330.0	(567.1)	2,129.9	2,395.8			9,260.2	8,447.0	813.2	9.6%	
Ending Fund Balance	\$ 12,663.6	\$ 13,192.7	\$ 14,763.6	\$ 13,780.6	\$ 14,365.0	\$ 12,327.2	\$ 14,657.2	\$ 14,090.1	\$ 16,220.0	\$ 18,615.8	\$ -	\$ -	\$ 18,615.8	\$ 12,481.5	\$ 6,134.3	49.1%	

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2015-2016
(Amounts in millions)**

	10 Months Ended January 31												2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH				
Non Bond Related	0.2	0.7	-	0.6	0.8	41.1	0.3	3.6	1.0	0.7			49.0	7.2	41.8	580.6%
Receipts from Municipalities	39.7	8.4	7.9	6.3	3.7	11.6	7.5	3.3	8.1	3.2			99.7	94.4	5.3	5.6%
Rentals	34.0	21.2	22.4	9.9	28.3	3.7	0.9	(15.3)	112.7	(4.8)			213.0	237.1	(24.1)	-10.2%
Revenues of State Departments:																
Administrative Recoveries	0.6	16.1	29.5	9.4	9.4	27.2	8.7	8.7	30.3	5.4			145.3	147.4	(2.1)	-1.4%
Commissions	0.1	0.1	0.4	0.1	0.1	0.4	1.0	1.5	3.7	0.1			7.5	7.1	0.4	5.6%
Gifts, Grants and Donations	1.0	0.7	0.2	0.3	0.2	0.4	0.1	0.2	0.4	0.1			3.6	3.0	0.6	20.0%
Indirect Cost Recoveries	1.4	12.0	14.6	2.4	23.9	7.7	0.1	1.4	23.2	2.3			89.0	94.1	(5.1)	-5.4%
Patient/Client Care Reimbursement	(756.1)	322.9	197.3	296.2	137.7	100.6	268.5	172.4	218.7	210.4			1,168.6	2,002.3	(833.7)	-41.6%
Rebates	3.4	-	1.1	18.7	0.2	2.9	2.8	2.9	9.5	2.0			43.5	38.0	5.5	14.5%
Restitution and Settlements	82.2	1,053.5	1.2	5.4	34.0	0.7	3.9	98.3	(17.9)	6.9			1,268.2	266.4	1,001.8	376.1%
Student Loans	8.4	7.9	5.3	2.7	7.0	6.7	6.8	2.3	5.9	4.9			57.9	70.9	(13.0)	-18.3%
All Other	11.0	(3.0)	1.5	24.1	3.2	2.9	4.9	6.6	4.2	4.7			60.1	37.7	22.4	59.4%
Sales	1.9	4.2	1.7	0.7	5.4	1.5	1.0	2.1	0.3	0.8			19.6	18.0	1.6	8.9%
Tuition	125.2	76.1	92.5	92.9	203.0	420.3	225.4	129.2	92.5	257.9			1,715.0	1,669.4	45.6	2.7%
Total Miscellaneous Receipts	608.6	4,091.9	1,630.8	1,562.1	1,438.0	2,144.8	1,905.4	1,879.3	1,838.3	1,587.5			18,686.7	20,744.8	(2,058.1)	-9.9%
Federal Receipts	-	0.1	-	1.6	34.9	0.1	-	0.6	0.2	(0.1)			37.4	38.1	(0.7)	-1.8%
Total Receipts	9,150.0	7,883.7	9,608.2	6,117.3	5,809.6	10,446.8	5,924.9	5,738.1	9,840.4	10,352.5			80,871.5	78,537.7	2,333.8	3.0%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	571.7	2,823.8	3,877.9	376.6	803.1	5,498.3	490.4	1,915.9	2,148.0	3,303.8			21,809.5	21,039.9	769.6	3.7%
Environment and Recreation	-	0.9	0.1	2.5	0.9	0.2	-	0.4	2.3	2.1			9.4	7.8	1.6	20.5%
General Government	14.3	31.6	566.2	22.8	16.3	116.6	35.6	37.7	198.8	37.2			1,077.1	1,098.2	(21.1)	-1.9%
Public Health:																
Medicaid	1,633.2	1,908.9	1,195.7	1,473.0	1,492.6	1,957.6	1,329.9	1,396.2	1,942.5	1,372.4			15,702.0	14,867.2	834.8	5.6%
Other Public Health	98.8	260.6	337.0	366.6	282.6	426.4	92.3	113.5	434.6	233.3			2,539.7	2,645.7	(106.0)	-4.2%
Public Safety	14.6	44.5	22.8	27.1	20.3	39.9	1.6	14.1	52.1	35.3			272.3	338.6	(66.3)	-19.6%
Public Welfare	132.7	139.0	248.8	402.1	127.0	424.3	175.5	162.2	362.0	164.5			2,388.1	2,194.6	1,193.5	6.5%
Support and Regulate Business	4.6	8.7	5.8	5.6	8.6	78.3	2.8	6.3	11.0	13.5			145.2	287.0	(141.8)	-49.4%
Transportation	125.3	494.5	452.1	313.1	468.7	400.8	352.0	583.9	867.2	186.2			4,243.8	4,283.5	(39.7)	-0.9%
Total Local Assistance Grants	2,595.2	5,712.5	6,706.4	2,989.4	3,220.1	8,942.4	2,480.1	4,230.2	6,018.5	5,348.3			48,243.1	46,656.5	1,586.6	3.4%
Departmental Operations:																
Personal Service	1,186.9	977.6	977.3	1,316.1	987.2	1,017.2	1,161.0	972.0	1,343.1	970.4			10,908.8	10,642.2	266.6	2.5%
Non-Personal Service	291.9	375.0	499.5	411.7	516.9	549.5	227.1	490.5	508.5	470.2			4,340.8	4,313.2	27.6	0.6%
General State Charges	650.4	699.1	552.2	1,856.1	362.8	558.9	483.7	455.3	454.8	526.9			6,600.2	6,209.8	390.4	6.3%
Debt Service, Including Payments on Financing Agreements	165.9	254.6	164.0	84.3	273.9	732.5	18.5	102.0	315.6	34.2			2,145.5	2,845.2	(699.7)	-24.6%
Capital Projects	-	0.2	-	0.1	-	0.3	-	-	0.3	0.4			1.3	1.2	0.1	8.3%
Total Disbursements	4,890.3	8,019.0	8,899.4	6,657.7	5,360.9	11,800.8	4,370.4	6,250.0	8,640.8	7,350.4			72,239.7	70,668.1	1,571.6	2.2%
Excess (Deficiency) of Receipts over Disbursements	4,259.7	(135.3)	708.8	(540.4)	448.7	(1,354.0)	1,554.5	(511.9)	1,199.6	3,002.1			8,631.8	7,869.6	762.2	9.7%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	4,552.0	2,118.8	2,714.4	2,425.1	1,822.2	3,107.1	2,106.7	1,891.2	2,626.5	2,018.2			25,382.2	23,246.1	2,136.1	9.2%
Transfers to Other Funds (**)	(4,396.1)	(2,170.8)	(2,390.9)	(2,421.2)	(1,840.9)	(2,942.8)	(1,953.4)	(2,125.8)	(2,299.2)	(2,126.6)			(24,667.7)	(21,806.3)	2,861.4	13.1%
Total Other Financing Sources (Uses)	155.9	(52.0)	323.5	3.9	(18.7)	164.3	153.3	(234.6)	327.3	(108.4)			714.5	1,439.8	(725.3)	-50.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,415.6	(187.3)	1,032.3	(536.5)	430.0	(1,189.7)	1,707.8	(746.5)	1,526.9	2,893.7			9,346.3	9,309.4	36.9	0.4%
Ending Fund Balance	\$ 14,306.4	\$ 14,119.1	\$ 15,151.4	\$ 14,614.9	\$ 15,044.9	\$ 13,855.2	\$ 15,563.0	\$ 14,816.5	\$ 16,343.4	\$ 19,237.1	\$ -	\$ -	\$ 19,237.1	\$ 14,098.5	\$ 5,138.6	36.4%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT F

	2015												2016				10 Months Ended January 31		\$ Increase/ (Decrease)	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015						
Federal Receipts	-	0.1	-	-	-	0.1	-	-	0.1	-	-	-	0.3	1.4	(1.1)	-78.6%				
Total Receipts	6,074.2	4,816.0	5,278.3	3,043.2	2,931.0	5,966.2	3,048.0	3,062.7	5,681.9	3,504.6	-	-	43,406.1	42,301.4	1,104.7	2.6%				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	571.6	2,822.2	3,138.2	376.0	802.0	3,157.4	489.4	1,747.5	1,878.8	606.4	-	-	15,589.5	14,857.8	731.7	4.9%				
Environment and Recreation	-	0.5	-	2.2	0.2	0.1	-	0.4	0.7	0.8	-	-	4.9	4.3	0.6	14.0%				
General Government	2.4	15.4	561.0	3.0	2.2	111.7	33.0	1.8	195.2	4.7	-	-	930.4	933.7	(3.3)	-0.4%				
Public Health:																				
Medicaid	1,224.3	1,598.6	764.4	908.4	1,117.0	1,456.5	817.8	978.1	1,516.8	909.0	-	-	11,290.9	10,540.6	750.3	7.1%				
Other Public Health	13.0	190.3	63.2	76.4	56.8	51.9	48.9	62.2	80.9	48.4	-	-	692.0	669.4	22.6	3.4%				
Public Safety	4.4	29.8	13.8	20.0	11.0	32.0	-	10.1	33.8	11.2	-	-	166.1	229.3	(63.2)	-27.6%				
Public Welfare	132.2	138.2	248.4	401.7	126.6	424.6	175.5	161.6	361.5	164.1	-	-	2,334.4	2,190.0	144.4	6.6%				
Support and Regulate Business	2.3	7.2	2.6	4.9	8.2	4.6	2.6	3.9	9.2	11.5	-	-	57.0	72.3	(15.3)	-21.2%				
Transportation	-	24.3	-	-	35.4	-	-	22.3	14.7	0.5	-	-	97.2	87.1	10.1	11.6%				
Total Local Assistance Grants	1,950.2	4,826.5	4,791.6	1,792.6	2,159.4	5,238.8	1,567.2	2,987.9	4,091.6	1,756.6	-	-	31,162.4	29,584.5	1,577.9	5.3%				
Departmental Operations:																				
Personal Service	554.2	443.4	456.8	638.1	470.5	489.0	542.8	440.9	628.8	446.7	-	-	5,111.2	4,940.0	171.2	3.5%				
Non-Personal Service	68.4	124.7	141.2	173.3	165.8	211.8	90.7	185.0	138.4	160.0	-	-	1,449.3	1,363.9	85.4	6.3%				
General State Charges	612.3	503.7	363.9	1,816.2	(50.0)	472.6	473.1	405.7	2.9	492.7	-	-	5,093.1	4,590.1	503.0	11.0%				
Total Disbursements	3,185.1	5,898.3	5,753.5	4,420.2	2,745.7	6,412.2	2,663.8	4,019.5	4,861.7	2,856.0	-	-	42,816.0	40,478.5	2,337.5	5.8%				
Excess (Deficiency) of Receipts over Disbursements	2,889.1	(1,082.3)	(475.2)	(1,377.0)	185.3	(446.0)	384.2	(956.8)	820.2	648.6	-	-	590.1	1,822.9	(1,232.8)	-67.6%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Revenue Bond Tax Fund	1,679.4	538.5	1,201.1	524.5	260.6	1,461.7	562.6	430.5	1,248.9	565.2	-	-	8,473.0	7,370.4	1,102.6	15.0%				
Transfers from LGAC / STRBTF	461.4	249.0	822.3	480.6	344.2	619.1	401.2	451.0	555.8	469.4	-	-	4,854.0	4,944.7	(90.7)	-1.8%				
Transfers from CW/CA Fund	74.2	97.1	75.8	75.7	81.1	94.9	89.2	62.5	78.2	94.8	-	-	823.5	713.9	109.6	15.4%				
Transfers from Other Funds	14.9	298.3	3.8	20.7	1.9	90.3	1.9	7.2	40.9	58.5	-	-	538.4	391.3	147.1	37.6%				
Transfers to State Capital Projects	(73.1)	(143.0)	314.1	(144.7)	(266.2)	(241.0)	47.0	(186.4)	90.4	(130.6)	-	-	(733.5)	(1,122.3)	(388.8)	-34.6%				
Transfers to Federal Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Transfers to All Other Capital Projects	-	(5.0)	(118.4)	(55.0)	(60.0)	(111.5)	(70.0)	(150.0)	(98.0)	(150.0)	-	-	(817.9)	(49.5)	768.4	1,552.3%				
Transfers to General Debt Service	(302.0)	8.7	22.4	(167.4)	12.5	70.0	(144.6)	2.8	1.9	(346.7)	-	-	(842.4)	(870.7)	(28.3)	-3.3%				
Transfers to All Other State Funds	(1,699.8)	(713.5)	(373.5)	(796.0)	(695.6)	(310.0)	(599.7)	(737.3)	(309.0)	(171.8)	-	-	(6,406.2)	(5,073.4)	1,332.8	26.3%				
Total Other Financing Sources (Uses)	155.0	330.1	1,947.6	(61.6)	(321.5)	1,673.5	287.6	(119.7)	1,609.1	388.8	-	-	5,888.9	6,304.4	(415.5)	-6.6%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,044.1	(752.2)	1,472.4	(1,438.6)	(136.2)	1,227.5	671.8	(1,076.5)	2,429.3	1,037.4	-	-	6,479.0	8,127.3	(1,648.3)	-20.3%				
Ending Fund Balance	\$ 10,343.6	\$ 9,591.4	\$ 11,063.8	\$ 9,625.2	\$ 9,489.0	\$ 10,716.5	\$ 11,388.3	\$ 10,311.8	\$ 12,741.1	\$ 13,778.5	\$ -	\$ -	\$ 13,778.5	\$ 10,362.5	\$ 3,416.0	33.0%				

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015										2016										10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease								
Beginning Fund Balance	\$ 2,661.8	\$ 2,700.4	\$ 4,105.1	\$ 4,010.5	\$ 4,182.1	\$ 4,668.5	\$ 2,282.0	\$ 3,445.3	\$ 3,984.9	\$ 3,734.8			\$ 2,661.8	\$ 2,362.9	\$ 298.9	12.6%								
RECEIPTS:																								
Taxes:																								
Personal Income Tax	3.1	-	431.2	-	-	189.6	-	25.6	125.0	2,553.8			3,328.3	3,244.6	83.7	2.6%								
Consumption/Use Taxes:																								
Sales and Use	91.0	65.2	86.4	71.3	66.3	97.7	58.8	67.6	78.3	72.0			754.6	742.6	12.0	1.6%								
Auto Rental	1.5	0.2	10.6	0.1	-	14.7	0.3	-	11.8	0.1			39.3	37.5	1.8	4.8%								
Cigarette/Tobacco Products	83.2	72.2	89.6	85.7	76.4	85.1	81.1	70.5	83.0	71.5			798.3	834.5	(36.2)	-4.3%								
Motor Fuel	8.7	8.6	8.4	9.1	10.0	7.9	9.0	8.5	8.2	9.1			87.5	85.6	1.9	2.2%								
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Highway Use	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Metropolitan Commuter Trans. Taxicab Trip	19.2	0.6	0.4	18.1	0.3	0.1	16.2	0.5	0.1	16.2			71.7	81.2	(9.5)	-11.7%								
Total Consumption/Use Taxes	203.6	146.8	195.4	184.3	153.0	205.5	165.4	147.1	181.4	168.9			1,751.4	1,781.4	(30.0)	-1.7%								
Business Taxes:																								
Corporation Franchise	11.5	3.5	136.4	11.9	18.0	102.0	23.4	36.6	148.4	23.8			515.5	339.7	175.8	51.8%								
Corporation and Utilities	1.7	0.5	28.2	0.5	2.3	30.1	2.7	4.7	29.6	3.9			104.2	93.1	11.1	11.9%								
Insurance	(0.1)	(0.1)	32.4	3.4	5.4	30.7	(0.7)	-	28.6	3.1			102.7	97.2	5.5	5.7%								
Bank	6.6	(0.1)	3.9	12.3	8.7	(3.0)	2.8	(6.8)	(16.0)	6.3			14.7	176.6	(161.9)	-91.7%								
Petroleum Business	40.6	39.9	40.8	42.9	46.3	42.2	43.1	40.7	40.1	44.7			421.3	437.1	(15.8)	-3.6%								
Total Business Taxes	60.3	43.7	241.7	71.0	80.7	202.0	71.3	75.2	230.7	81.8			1,158.4	1,143.7	14.7	1.3%								
Other Taxes:																								
Metropolitan Commuter Trans. Mobility	132.6	87.3	95.8	95.7	85.1	99.6	87.2	94.8	111.4	146.8			1,036.3	1,011.8	24.5	2.4%								
Total Other Taxes	132.6	87.3	95.8	95.7	85.1	99.6	87.2	94.8	111.4	146.8			1,036.3	1,011.8	24.5	2.4%								
Total Taxes	399.6	277.8	964.1	351.0	318.8	696.7	323.9	342.7	648.5	2,951.3			7,274.4	7,181.5	92.9	1.3%								
Miscellaneous Receipts:																								
Abandoned Property:																								
Abandoned Property	0.8	0.6	0.9	1.1	0.9	1.0	0.8	0.8	1.2	0.8			8.9	9.3	(0.4)	-4.3%								
Assessments:																								
Business:	79.3	52.2	92.1	25.2	33.9	133.8	15.0	36.1	184.3	27.1			679.0	730.2	(51.2)	-7.0%								
Medical Care	371.1	425.4	483.3	470.2	425.4	417.2	429.4	447.6	396.5	400.9			4,273.0	4,161.0	112.0	2.7%								
Public Utilities	0.7	(0.1)	0.3	-	0.6	40.6	(10.8)	(3.5)	(1.2)	-			26.6	46.2	(19.6)	-42.4%								
Other	18.2	19.3	17.9	19.0	18.8	17.9	18.8	18.0	18.2	19.2			185.3	173.5	11.8	6.8%								
Fees, Licenses and Permits:																								
Audit Fees	-	-	-	-	-	-	-	-	2.1	-			2.1	-	2.1	100.0%								
Business/Professional	70.5	53.4	94.4	47.7	76.1	156.9	89.4	75.1	106.8	119.6			889.9	902.0	(12.1)	-1.3%								
Civil	4.4	4.4	4.2	4.7	5.4	4.6	5.2	5.1	4.2	3.8			46.0	42.7	3.3	7.7%								
Criminal	-	0.3	1.8	1.0	1.6	-	-	0.3	2.2	-			7.2	6.8	0.4	5.9%								
Motor Vehicle	33.5	50.0	44.3	42.2	41.2	42.8	36.9	39.6	36.9	38.6			406.0	394.8	11.2	2.8%								
Recreational/Consumer	15.4	24.1	25.4	34.3	24.0	26.7	28.6	13.7	10.5	10.3			213.0	208.6	4.4	2.1%								
Fines, Penalties and Forfeitures	9.9	321.1	26.2	21.9	16.8	5.3	3.0	6.8	22.4	4.3			437.7	45.2	392.5	868.4%								
Gaming:																								
Casino	43.5	0.6	34.4	13.9	1.0	39.6	15.9	0.7	38.2	15.8			203.6	160.1	43.5	27.2%								
Lottery	226.4	191.2	188.5	220.2	170.1	227.1	178.4	178.7	228.1	292.2			2,100.9	1,930.9	170.0	8.8%								
Video Lottery	94.5	73.4	72.9	90.1	72.3	91.0	68.9	70.0	87.8	70.4			791.3	767.7	23.6	3.1%								
Interest Earnings	2.4	3.8	1.9	2.7	5.8	2.4	1.8	3.1	2.9	3.7			30.5	22.6	7.9	35.0%								
Receipts from Public Authorities:																								
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Cost Recovery Assessments	-	-	-	11.0	9.4	-	-	-	-	-			20.4	20.4	-	0.0%								
Issuance Fees	0.2	5.7	1.3	-	-	-	-	-	-	-			7.2	7.2	-	0.0%								
Non Bond Related	0.2	0.7	-	0.3	0.8	0.1	0.3	3.6	-	0.7			6.7	7.2	(0.5)	-6.9%								
Receipts from Municipalities	39.7	7.9	7.9	5.4	3.4	8.5	7.5	3.2	7.8	3.1			94.4	89.1	5.3	5.9%								
Rentals	33.8	20.9	21.8	9.7	27.2	3.1	0.7	(15.4)	112.4	(5.2)			209.0	233.6	(24.6)	-10.5%								
Revenues of State Departments:																								
Administrative Recoveries	0.7	16.1	8.4	9.4	9.8	8.7	8.7	8.6	9.0	5.4			84.8	77.0	7.8	10.1%								
Commissions	0.1	0.1	0.4	0.1	0.1	0.4	1.0	1.5	3.7	0.1			7.5	7.1	0.4	5.6%								
Gifts, Grants and Donations	0.9	0.7	0.2	0.3	0.2	0.4	0.1	0.2	0.4	0.1			3.5	2.9	0.6	20.7%								
Indirect Cost Recoveries	-	4.0	-	-	-	-	-	-	-	-			4.0	-	4.0	100.0%								
Patient/Client Care Reimbursement	(747.8)	228.7	159.2	251.4	74.8	66.7	245.2	144.7	184.4	147.8			755.1	1,595.9	(840.8)	-52.7%								
Rebates	11.2	9.3	10.4	24.6	9.2	11.7	10.7	9.0	15.4	9.9			121.4	125.2	(3.8)	-3.0%								
Restitution and Settlements	0.6	3.5	1.2	5.4	33.9	0.5	3.6	2.2	(18.8)	7.2			39.3	(7.6)	46.9	617.1%								
Student Loans	8.4	7.9	5.3	2.7	7.0	6.7	6.8	2.3	5.9	4.9			57.9	70.8	(12.9)	-18.2%								
All Other	6.4	(2.1)	0.5	20.4	1.0	0.7	2.8	1.6	1.8	2.9			36.0	27.0	9.0	33.3%								
Sales	0.9	4.5	1.5	0.7	1.2	1.9	0.9	1.6	1.5	0.8			15.5	15.7	(0.2)	-1.3%								
Tuition	125.2	76.1	92.5	92.9	203.0	420.3	225.4	129.2	92.5	257.9			1,715.0	1,669.4	45.6	2.7%								

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015												2016				10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease				
Total Miscellaneous Receipts	<u>451.1</u>	<u>1,603.7</u>	<u>1,405.1</u>	<u>1,428.5</u>	<u>1,274.9</u>	<u>1,736.6</u>	<u>1,395.0</u>	<u>1,184.4</u>	<u>1,557.1</u>	<u>1,442.3</u>	-	-	<u>13,478.7</u>	<u>13,542.5</u>	<u>(63.8)</u>	<u>-0.5%</u>				
Federal Receipts	1,629.0	4,578.6	4,431.8	3,672.7	4,237.6	4,052.1	3,293.9	4,394.4	5,196.3	4,087.0	-	-	39,573.4	37,030.7	2,542.7	6.9%				
Total Receipts	<u>2,479.7</u>	<u>6,460.1</u>	<u>6,801.0</u>	<u>5,452.2</u>	<u>5,831.3</u>	<u>6,485.4</u>	<u>5,012.8</u>	<u>5,921.5</u>	<u>7,401.9</u>	<u>8,480.6</u>	-	-	<u>60,326.5</u>	<u>57,754.7</u>	<u>2,571.8</u>	<u>4.5%</u>				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	324.2	445.4	970.9	227.5	144.9	2,596.4	202.9	885.1	578.2	2,827.3			9,202.8	8,788.1	414.7	4.7%				
Environment and Recreation	0.3	1.0	0.5	0.5	0.9	0.2	-	0.1	2.1	1.4			7.0	4.6	2.4	52.2%				
General Government	12.7	27.2	6.1	22.4	16.7	14.4	3.3	37.2	16.9	32.7			189.6	217.8	(28.2)	-12.9%				
Public Health:																				
Medicaid	1,967.5	2,871.6	3,362.3	3,317.0	2,537.0	3,333.9	2,485.1	3,101.9	3,137.6	3,743.5			29,857.4	28,379.7	1,477.7	5.2%				
Other Public Health	204.4	187.6	673.8	544.6	622.1	603.1	133.9	194.9	509.7	793.7			4,467.8	3,216.7	1,251.1	38.9%				
Public Safety	168.0	111.3	61.2	108.4	162.0	219.1	138.7	124.8	388.6	90.2			1,572.3	2,211.0	(638.7)	-28.9%				
Public Welfare	235.1	327.3	451.3	273.5	792.4	526.3	226.2	220.5	559.9	218.5			3,831.0	3,658.4	172.6	4.7%				
Support and Regulate Business	2.3	2.2	4.0	1.0	1.5	74.1	0.2	2.6	1.8	4.1			93.8	220.5	(126.7)	-57.5%				
Transportation	127.5	473.6	456.6	316.7	436.5	404.3	352.3	566.5	856.7	186.3			4,179.0	4,243.7	(64.7)	-1.5%				
Total Local Assistance Grants	<u>3,042.0</u>	<u>4,447.2</u>	<u>5,986.7</u>	<u>4,811.6</u>	<u>4,714.0</u>	<u>7,771.8</u>	<u>3,542.6</u>	<u>5,133.6</u>	<u>6,051.5</u>	<u>7,899.7</u>	-	-	<u>53,400.7</u>	<u>50,940.5</u>	<u>2,460.2</u>	<u>4.8%</u>				
Departmental Operations:																				
Personal Service	883.5	580.2	567.1	741.6	560.3	570.7	665.2	576.9	778.9	569.1			6,293.5	6,213.6	79.9	1.3%				
Non-Personal Service	280.4	326.4	467.5	300.9	444.9	557.7	186.8	378.8	480.8	404.1			3,828.3	3,943.5	(115.2)	-2.9%				
General State Charges	51.4	239.6	195.9	45.6	459.9	100.8	11.7	69.4	500.0	39.8			1,714.1	1,853.2	(139.1)	-7.5%				
Capital Projects	-	0.2	-	0.1	-	0.3	-	-	0.3	0.4			1.3	1.2	0.1	8.3%				
Total Disbursements	<u>4,057.3</u>	<u>5,593.6</u>	<u>7,217.2</u>	<u>5,899.8</u>	<u>6,179.1</u>	<u>9,001.3</u>	<u>4,406.3</u>	<u>6,158.7</u>	<u>7,811.5</u>	<u>8,913.1</u>	-	-	<u>65,237.9</u>	<u>62,952.0</u>	<u>2,285.9</u>	<u>3.6%</u>				
Excess (Deficiency) of Receipts over Disbursements	<u>(1,577.6)</u>	<u>866.5</u>	<u>(416.2)</u>	<u>(447.6)</u>	<u>(347.8)</u>	<u>(2,515.9)</u>	<u>606.5</u>	<u>(237.2)</u>	<u>(409.6)</u>	<u>(432.5)</u>	-	-	<u>(4,911.4)</u>	<u>(5,197.3)</u>	<u>285.9</u>	<u>5.5%</u>				
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds	1,783.0	846.1	452.4	884.6	861.1	428.5	729.3	833.9	387.5	291.3			7,497.7	6,208.8	1,288.9	20.8%				
Transfers to Other Funds	(166.8)	(307.9)	(130.8)	(265.4)	(26.9)	(299.1)	(172.5)	(57.1)	(228.0)	(199.3)			(1,853.8)	(1,878.3)	(24.5)	-1.3%				
Total Other Financing Sources (Uses)	<u>1,616.2</u>	<u>538.2</u>	<u>321.6</u>	<u>619.2</u>	<u>834.2</u>	<u>129.4</u>	<u>556.8</u>	<u>776.8</u>	<u>159.5</u>	<u>92.0</u>	-	-	<u>5,643.9</u>	<u>4,330.5</u>	<u>1,313.4</u>	<u>30.3%</u>				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>38.6</u>	<u>1,404.7</u>	<u>(94.6)</u>	<u>171.6</u>	<u>486.4</u>	<u>(2,386.5)</u>	<u>1,163.3</u>	<u>539.6</u>	<u>(250.1)</u>	<u>(340.5)</u>	-	-	<u>732.5</u>	<u>(866.8)</u>	<u>1,599.3</u>	<u>184.5%</u>				
Ending Fund Balance	<u>\$ 2,700.4</u>	<u>\$ 4,105.1</u>	<u>\$ 4,010.5</u>	<u>\$ 4,182.1</u>	<u>\$ 4,668.5</u>	<u>\$ 2,282.0</u>	<u>\$ 3,445.3</u>	<u>\$ 3,984.9</u>	<u>\$ 3,734.8</u>	<u>\$ 3,394.3</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 3,394.3</u>	<u>\$ 1,496.1</u>	<u>\$ 1,898.2</u>	<u>126.9%</u>				

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

													10 Months Ended January 31				
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Taxes:																	
Personal Income Tax	\$ 3.1	\$ -	\$ 431.2	\$ -	\$ -	\$ 189.6	\$ -	\$ 25.6	\$ 125.0	\$ 2,553.8			\$ -	\$ 3,328.3	\$ 3,244.6	\$ 83.7	2.6%
Consumption/Use Taxes:																	
Sales and Use	91.0	65.2	86.4	71.3	66.3	97.7	58.8	67.6	78.3	72.0	-	-	-	754.6	742.6	12.0	1.6%
Auto Rental	1.5	0.2	10.6	0.1	-	14.7	0.3	-	11.8	0.1	-	-	-	39.3	37.5	1.8	4.8%
Cigarette/Tobacco Products	83.2	72.2	89.6	85.7	76.4	85.1	81.1	70.5	83.0	71.5	-	-	-	798.3	834.5	(36.2)	-4.3%
Motor Fuel	8.7	8.6	8.4	9.1	10.0	7.9	9.0	8.5	8.2	9.1	-	-	-	87.5	85.6	1.9	2.2%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	19.2	0.6	0.4	18.1	0.3	0.1	16.2	0.5	0.1	16.2	-	-	-	71.7	81.2	(9.5)	-11.7%
Total Consumption/Use Taxes	203.6	146.8	195.4	184.3	153.0	205.5	165.4	147.1	181.4	168.9	-	-	-	1,751.4	1,781.4	(30.0)	-1.7%
Business Taxes																	
Corporation Franchise	11.5	3.5	136.4	11.9	18.0	102.0	23.4	36.6	148.4	23.8	-	-	-	515.5	339.7	175.8	51.8%
Corporation and Utilities	1.7	0.5	28.2	0.5	2.3	30.1	2.7	4.7	29.6	3.9	-	-	-	104.2	93.1	11.1	11.9%
Insurance	(0.1)	(0.1)	32.4	3.4	5.4	30.7	(0.7)	-	28.6	3.1	-	-	-	102.7	97.2	5.5	5.7%
Bank	6.6	(0.1)	3.9	12.3	8.7	(3.0)	2.8	(6.8)	(16.0)	6.3	-	-	-	14.7	176.6	(161.9)	-91.7%
Petroleum Business	40.6	39.9	40.8	42.9	46.3	42.2	43.1	40.7	40.1	44.7	-	-	-	421.3	437.1	(15.8)	-3.6%
Total Business Taxes	60.3	43.7	241.7	71.0	80.7	202.0	71.3	75.2	230.7	81.8	-	-	-	1,158.4	1,143.7	14.7	1.3%
Other Taxes																	
Metropolitan Commuter Trans. Mobility	132.6	87.3	95.8	95.7	85.1	99.6	87.2	94.8	111.4	146.8	-	-	-	1,036.3	1,011.8	24.5	2.4%
Total Other Taxes	132.6	87.3	95.8	95.7	85.1	99.6	87.2	94.8	111.4	146.8	-	-	-	1,036.3	1,011.8	24.5	2.4%
Total Taxes	399.6	277.8	964.1	351.0	318.8	696.7	323.9	342.7	648.5	2,951.3	-	-	-	7,274.4	7,181.5	92.9	1.3%
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.8	0.6	0.9	1.1	0.9	1.0	0.8	0.8	1.2	0.8	-	-	-	8.9	9.3	(0.4)	-4.3%
Assessments:																	
Business:	75.7	11.0	101.3	23.4	23.9	133.6	13.7	29.6	184.1	26.4	-	-	-	622.7	676.5	(53.8)	-8.0%
Medical Care	371.1	425.4	489.3	470.2	425.4	417.2	429.4	447.6	396.5	400.9	-	-	-	4,273.0	4,161.0	112.0	2.7%
Public Utilities	0.7	(0.1)	0.3	-	0.6	40.6	(10.8)	(3.5)	(1.2)	-	-	-	-	26.6	46.2	(19.6)	-42.4%
Other	18.2	19.3	17.9	19.0	18.8	17.9	18.8	18.0	18.2	19.2	-	-	-	185.3	173.5	11.8	6.8%
Fees, Licenses and Permits:																	
Audit Fees	-	-	-	-	-	-	-	-	2.1	-	-	-	-	2.1	-	2.1	100.0%
Business/Professional	70.5	53.4	94.4	47.7	76.1	156.9	89.4	75.1	106.8	119.6	-	-	-	889.9	902.0	(12.1)	-1.3%
Civil	4.4	4.4	4.2	4.7	5.4	4.6	5.2	5.1	4.2	3.8	-	-	-	46.0	42.7	3.3	7.7%
Criminal	-	0.3	1.8	1.0	1.6	-	-	0.3	2.2	-	-	-	-	7.2	6.8	0.4	5.9%
Motor Vehicle	33.5	50.0	44.3	42.2	41.2	42.8	36.9	39.6	36.9	38.6	-	-	-	406.0	394.8	11.2	2.8%
Recreational/Consumer	15.4	24.1	25.4	34.3	24.0	26.7	28.6	13.7	10.5	10.3	-	-	-	213.0	208.6	4.4	2.1%
Fines, Penalties and Forfeitures	9.1	320.8	25.4	20.4	16.0	2.3	2.6	6.0	21.6	(9.2)	-	-	-	415.0	37.9	377.1	995.0%
Gaming:																	
Casino	43.5	0.6	34.4	13.9	1.0	39.6	15.9	0.7	38.2	15.8	-	-	-	203.6	160.1	43.5	27.2%
Lottery	226.4	191.2	188.5	220.2	170.1	227.1	178.4	178.7	228.1	292.2	-	-	-	2,100.9	1,930.9	170.0	8.8%
Video Lottery	94.5	73.4	72.9	90.1	72.3	91.0	68.9	70.0	87.8	70.4	-	-	-	791.3	767.7	23.6	3.1%
Interest Earnings	2.4	3.8	1.8	2.7	5.7	2.4	1.7	3.0	2.9	3.5	-	-	-	29.9	22.5	7.4	32.9%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	11.0	9.4	-	-	-	-	-	-	-	-	20.4	20.4	-	0.0%
Issuance Fees	0.2	5.7	1.3	-	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	0.2	0.7	-	0.3	0.8	0.1	0.3	-	3.6	0.7	-	-	-	6.7	7.2	(0.5)	-6.9%
Receipts from Municipalities	39.7	7.9	-	5.4	3.4	8.5	7.5	3.2	-	3.1	-	-	-	94.4	89.1	5.3	5.9%
Rentals	33.8	20.9	21.8	9.7	27.2	3.1	0.7	(15.4)	112.4	(5.2)	-	-	-	209.0	233.6	(24.6)	-10.5%
Revenues of State Departments:																	
Administrative Recoveries	0.6	16.1	8.4	9.4	9.3	8.7	8.7	8.6	9.0	5.4	-	-	-	84.2	76.7	7.5	9.8%
Commissions	0.1	0.1	0.4	0.1	0.1	0.4	1.0	1.5	3.7	0.1	-	-	-	7.5	7.1	0.4	5.6%
Gifts, Grants and Donations	0.9	0.7	0.2	0.3	0.2	0.4	0.1	0.2	0.4	0.1	-	-	-	3.5	2.9	0.6	20.7%
Indirect Cost Recoveries	-	4.0	-	-	-	-	-	-	-	-	-	-	-	4.0	-	4.0	100.0%
Patient/Client Care Reimbursement	(747.8)	228.7	159.2	251.4	74.8	66.7	245.2	144.7	184.4	147.8	-	-	-	755.1	1,595.9	(840.8)	-52.7%
Rebates	3.4	-	1.9	16.5	0.4	2.9	2.8	0.4	7.0	2.0	-	-	-	37.3	36.7	0.6	1.6%
Restitution and Settlements	0.5	3.5	1.2	5.4	33.9	0.5	3.6	2.2	(18.8)	7.2	-	-	-	39.2	(7.6)	46.8	615.8%
Student Loans	8.4	7.9	5.3	2.7	7.0	6.7	6.8	2.3	5.9	4.9	-	-	-	57.9	70.8	(12.9)	-18.2%
All Other	6.4	(2.4)	0.5	20.1	1.0	0.7	2.7	1.6	1.8	2.5	-	-	-	34.9	22.0	12.9	58.6%
Sales	0.9	4.5	1.5	0.7	1.2	1.9	0.9	1.5	0.8	-	-	-	-	15.4	15.6	(0.2)	-1.3%
Tuition	125.2	76.1	92.5	92.9	203.0	420.3	225.4	129.2	92.5	257.9	-	-	-	1,715.0	1,669.4	45.6	2.7%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

													10 Months Ended January 31				
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Total Miscellaneous Receipts	438.7	1,552.6	1,404.9	1,416.8	1,254.7	1,724.6	1,385.2	1,168.3	1,547.7	1,419.6	-	-	-	13,313.1	13,387.5	(74.4)	-0.6%
Federal Receipts	-	-	-	-	-	-	-	0.6	0.1	(0.1)	-	-	-	0.6	0.1	0.5	500.0%
Total Receipts	838.3	1,830.4	2,369.0	1,767.8	1,573.5	2,421.3	1,709.1	1,511.6	2,196.3	4,370.8	-	-	-	20,588.1	20,569.1	19.0	0.1%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	0.1	1.6	739.7	0.6	1.1	2,340.9	1.0	168.4	269.2	2,697.4	-	-	-	6,220.0	6,182.1	37.9	0.6%
Environment and Recreation	-	0.4	0.1	0.3	0.7	0.1	-	-	1.6	1.3	-	-	-	4.5	3.5	1.0	28.6%
General Government	11.9	16.2	5.2	19.8	14.1	4.9	2.6	35.9	3.6	32.5	-	-	-	146.7	164.5	(17.8)	-10.8%
Public Health:																	
Medicaid	408.9	310.3	431.3	564.6	375.6	501.1	512.1	418.1	425.7	463.4	-	-	-	4,411.1	4,326.6	84.5	2.0%
Other Public Health	85.8	70.3	273.8	290.2	225.8	374.5	43.4	51.3	353.7	184.9	-	-	-	1,953.7	1,870.3	83.4	4.5%
Public Safety	10.2	14.7	9.0	7.1	9.3	7.9	-	4.0	18.3	24.1	-	-	-	106.2	109.3	(3.1)	-2.8%
Public Welfare	0.5	0.8	0.4	0.4	0.4	(0.3)	-	0.6	0.5	0.4	-	-	-	3.7	4.6	(0.9)	-19.6%
Support and Regulate Business	2.3	1.5	3.2	0.7	0.4	73.7	0.2	2.4	1.8	2.0	-	-	-	88.2	214.7	(126.5)	-58.9%
Transportation	125.3	470.2	452.1	313.1	433.3	400.8	352.0	561.6	852.5	185.7	-	-	-	4,146.6	4,196.4	(49.8)	-1.2%
Total Local Assistance Grants	645.0	886.0	1,914.8	1,196.8	1,060.7	3,703.6	912.9	1,242.3	1,926.9	3,591.7	-	-	-	17,080.7	17,072.0	8.7	0.1%
Departmental Operations:																	
Personal Service	632.7	534.2	520.5	678.0	516.7	528.2	618.2	531.1	714.3	523.7	-	-	-	5,797.6	5,702.2	95.4	1.7%
Non-Personal Service	223.1	249.1	356.8	228.7	347.7	333.6	146.4	304.4	368.7	309.3	-	-	-	2,867.8	2,923.9	(56.1)	-1.9%
General State Charges	38.1	195.4	188.3	39.9	412.8	86.3	10.6	49.6	451.9	34.2	-	-	-	1,507.1	1,619.7	(112.6)	-7.0%
Capital Projects	-	0.2	-	0.1	0.0	0.3	-	-	0.3	0.4	-	-	-	1.3	1.2	0.1	8.3%
Total Disbursements	1,538.9	1,864.9	2,980.4	2,143.5	2,337.9	4,652.0	1,688.1	2,127.4	3,462.1	4,459.3	-	-	-	27,254.5	27,319.0	(64.5)	-0.2%
Excess (Deficiency) of Receipts over Disbursements	(700.6)	(34.5)	(611.4)	(375.7)	(764.4)	(2,230.7)	21.0	(615.8)	(1,265.8)	(88.5)	-	-	-	(6,666.4)	(6,749.9)	83.5	1.2%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	1,795.2	859.1	463.0	894.4	1,056.3	451.9	738.9	850.5	497.1	323.0	-	-	(431.7)	7,497.7	6,208.8	1,288.9	20.8%
Transfers to Other Funds	(19.0)	(297.1)	(55.5)	(88.3)	31.9	(41.1)	(6.7)	0.2	(16.5)	(74.2)	-	-	-	(566.3)	(428.0)	138.3	32.3%
Total Other Financing Sources (Uses)	1,776.2	562.0	407.5	806.1	1,088.2	410.8	732.2	850.7	480.6	248.8	-	-	(431.7)	6,931.4	5,780.8	1,150.6	19.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$ 1,075.6	\$ 527.5	\$ (203.9)	\$ 430.4	\$ 323.8	\$ (1,819.9)	\$ 753.2	\$ 234.9	\$ (785.2)	\$ 160.3	\$ -	\$ -	\$ (431.7)	\$ 265.0	\$ (969.1)	\$ 1,234.1	127.3%

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	10 Months Ended January 31												2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease			
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH					Intra-Fund Transfer Eliminations (*)		
RECEIPTS:																			
Miscellaneous Receipts:																			
Abandoned Property:																			
Assessments:	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Business:																			
Medical Care	3.6	41.2	(9.2)	1.8	10.0	0.2	1.3	6.5	0.2	0.7	-	-	-	56.3	53.7	2.6	4.8%		
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Fees, Licenses and Permits:																			
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Fines, Penalties and Forfeitures	0.8	0.3	0.8	1.5	0.8	3.0	0.4	0.8	0.8	13.5	-	-	-	22.7	7.3	15.4	211.0%		
Interest Earnings	-	-	0.1	-	0.1	-	0.1	0.1	-	0.2	-	-	-	0.6	0.1	0.5	500.0%		
Receipts from Public Authorities:																			
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Revenues of State Departments:																			
Administrative Recoveries	0.1	-	-	-	0.5	-	-	-	-	-	-	-	-	0.6	0.3	0.3	100.0%		
Commissions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Rebates	7.8	9.3	8.5	8.1	8.8	8.8	7.9	8.6	8.4	7.9	-	-	-	84.1	88.5	(4.4)	-5.0%		
Restitution and Settlements	0.1	-	-	-	-	-	-	-	-	-	-	-	-	0.1	-	0.1	100.0%		
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
All Other	-	0.3	-	0.3	-	-	0.1	-	-	0.4	-	-	-	1.1	5.0	(3.9)	-78.0%		
Sales	-	-	-	-	-	-	-	0.1	-	-	-	-	-	0.1	0.1	-	0.0%		
Tuition	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Total Miscellaneous Receipts	12.4	51.1	0.2	11.7	20.2	12.0	9.8	16.1	9.4	22.7	-	-	-	165.6	155.0	10.6	6.8%		
Federal Receipts	1,629.0	4,578.6	4,431.8	3,672.7	4,237.6	4,052.1	3,293.9	4,393.8	5,196.2	4,087.1	-	-	-	39,572.8	37,030.6	2,542.2	6.9%		
Total Receipts	1,641.4	4,629.7	4,432.0	3,684.4	4,257.8	4,064.1	3,303.7	4,409.9	5,205.6	4,109.8	-	-	-	39,738.4	37,185.6	2,552.8	6.9%		
DISBURSEMENTS:																			
Local Assistance Grants:																			
Education	324.1	443.8	231.2	226.9	143.8	255.5	201.9	716.7	309.0	129.9	-	-	-	2,982.8	2,606.0	376.8	14.5%		
Environment and Recreation	0.3	0.6	0.4	0.2	0.2	0.1	-	0.1	0.5	0.1	-	-	-	2.5	1.1	1.4	127.3%		

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

													10 Months Ended January 31				
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
General Government	0.8	11.0	0.9	2.6	2.6	9.5	0.7	1.3	13.3	0.2			-	42.9	53.3	(10.4)	-19.5%
Public Health:																	
Medicaid	1,558.6	2,561.3	2,931.0	2,752.4	2,161.4	2,832.8	1,973.0	2,683.8	2,711.9	3,280.1			-	25,446.3	24,053.1	1,393.2	5.8%
Other Public Health	118.6	117.3	400.0	254.4	396.3	228.6	90.5	143.6	156.0	608.8			-	2,514.1	1,346.4	1,167.7	86.7%
Public Safety	157.8	96.6	52.2	101.3	152.7	211.2	137.1	120.8	370.3	66.1			-	1,466.1	2,101.7	(635.6)	-30.2%
Public Welfare	234.6	326.5	450.9	273.1	792.0	526.6	226.2	219.9	559.4	218.1			-	3,827.3	3,653.8	173.5	4.7%
Support and Regulate Business	-	0.7	0.8	0.3	1.1	0.4	-	0.2	-	2.1			-	5.6	5.8	(0.2)	-3.4%
Transportation	2.2	3.4	4.5	3.6	3.2	3.5	0.3	4.9	4.2	2.6			-	32.4	47.3	(14.9)	-31.5%
Total Local Assistance Grants	2,397.0	3,561.2	4,071.9	3,614.8	3,653.3	4,068.2	2,629.7	3,891.3	4,124.6	4,308.0			-	36,320.0	33,868.5	2,451.5	7.2%
Departmental Operations:																	
Personal Service	50.8	46.0	46.6	63.6	43.6	42.5	47.0	45.8	64.6	45.4			-	495.9	511.4	(15.5)	-3.0%
Non-Personal Service	57.3	77.3	110.7	72.2	97.2	224.1	40.4	74.4	112.1	94.8			-	960.5	1,019.6	(59.1)	-5.8%
General State Charges	13.3	44.2	7.6	5.7	47.1	14.5	1.1	19.8	48.1	5.6			-	207.0	233.5	(26.5)	-11.3%
Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Total Disbursements	2,518.4	3,728.7	4,236.8	3,756.3	3,841.2	4,349.3	2,718.2	4,031.3	4,349.4	4,453.8			-	37,983.4	35,633.0	2,350.4	6.6%
Excess (Deficiency) of Receipts over Disbursements	(877.0)	901.0	195.2	(71.9)	416.6	(285.2)	585.5	378.6	856.2	(344.0)			-	1,755.0	1,552.6	202.4	13.0%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Transfers to Other Funds	(160.0)	(23.8)	(85.9)	(186.9)	(254.0)	(281.4)	(175.4)	(73.9)	(321.1)	(156.8)			431.7	(1,287.5)	(1,450.3)	(162.8)	-11.2%
Total Other Financing Sources (Uses)	(160.0)	(23.8)	(85.9)	(186.9)	(254.0)	(281.4)	(175.4)	(73.9)	(321.1)	(156.8)			431.7	(1,287.5)	(1,450.3)	(162.8)	-11.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$ (1,037.0)	\$ 877.2	\$ 109.3	\$ (258.8)	\$ 162.6	\$ (566.6)	\$ 410.1	\$ 304.7	\$ 535.1	\$ (500.8)	\$ -	\$ -	\$ 431.7	\$ 467.5	\$ 102.3	\$ 365.2	357.0%

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State Funds.

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT H

													10 Months Ended January 31			
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 118.7	\$ 414.6	\$ 452.0	\$ 215.8	\$ 687.5	\$ 929.9	\$ 332.6	\$ 615.4	\$ 710.5	\$ 593.3			\$ 118.7	\$ 65.1	\$ 53.6	82.3%
RECEIPTS:																
Taxes:																
Personal Income Tax	1,679.6	580.2	1,201.2	680.5	636.2	1,280.7	612.7	585.1	1,248.9	1,805.3			10,310.4	9,362.1	948.3	10.1%
Consumption/Use Taxes:																
Sales and Use	479.9	465.4	639.7	496.8	483.6	640.6	436.6	482.3	594.4	508.3			5,227.6	5,079.8	147.8	2.9%
Total Consumption/Use Taxes	479.9	465.4	639.7	496.8	483.6	640.6	436.6	482.3	594.4	508.3			5,227.6	5,079.8	147.8	2.9%
Other Taxes:																
Real Estate Transfer	86.3	97.0	81.9	81.7	87.1	101.0	95.2	68.5	84.3	100.8			883.8	776.4	107.4	13.8%
Total Other Taxes	86.3	97.0	81.9	81.7	87.1	101.0	95.2	68.5	84.3	100.8			883.8	776.4	107.4	13.8%
Total Taxes	2,245.8	1,142.6	1,922.8	1,259.0	1,206.9	2,022.3	1,144.5	1,135.9	1,927.6	2,414.4			16,421.8	15,218.3	1,203.5	7.9%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-	-	-	-	-			-	0.4	(0.4)	-100.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	0.1	-	-			0.1	0.2	(0.1)	-50.0%
Receipts from Municipalities	-	0.5	-	0.9	0.3	3.1	-	0.1	0.3	0.1			5.3	5.2	0.1	1.9%
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	(8.3)	94.2	38.1	44.8	62.9	33.9	23.3	27.7	34.3	62.6			413.5	406.4	7.1	1.7%
Sales	-	-	-	-	0.1	-	-	-	-	-			0.1	0.1	-	0.0%
Total Miscellaneous Receipts	(8.3)	94.7	38.1	45.7	63.3	37.0	23.3	27.9	34.6	62.7			419.0	412.3	6.7	1.6%
Federal Receipts	-	-	-	1.6	34.9	-	-	-	-	-			36.5	36.6	(0.1)	-0.3%
Total Receipts	2,237.5	1,237.3	1,960.9	1,306.3	1,305.1	2,059.3	1,167.8	1,163.8	1,962.2	2,477.1			16,877.3	15,667.2	1,210.1	7.7%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.4	1.2	1.5	9.7	3.4	4.1	-	1.1	1.4	0.9			23.7	25.4	(1.7)	-6.7%
Debt Service, Including Payments On Financing Agreements	165.9	254.6	164.0	84.3	273.9	732.5	18.5	102.0	315.6	34.2			2,145.5	2,845.2	(699.7)	-24.6%
Total Disbursements	166.3	255.8	165.5	94.0	277.3	736.6	18.5	103.1	317.0	35.1			2,169.2	2,870.6	(701.4)	-24.4%
Excess (Deficiency) of Receipts over Disbursements	2,071.2	981.5	1,795.4	1,212.3	1,027.8	1,322.7	1,149.3	1,060.7	1,645.2	2,442.0			14,708.1	12,796.6	1,911.5	14.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	526.9	76.8	148.4	429.2	78.1	389.2	312.9	89.5	205.6	507.3			2,763.9	3,223.1	(459.2)	-14.2%
Transfers to Other Funds	(2,302.2)	(1,020.9)	(2,180.0)	(1,169.8)	(863.5)	(2,309.2)	(1,179.4)	(1,055.1)	(1,968.0)	(1,253.3)			(15,301.4)	(14,262.4)	1,039.0	7.3%
Total Other Financing Sources (Uses)	(1,775.3)	(944.1)	(2,031.6)	(740.6)	(785.4)	(1,920.0)	(866.5)	(965.6)	(1,762.4)	(746.0)			(12,537.5)	(11,039.3)	(1,498.2)	-13.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	295.9	37.4	(236.2)	471.7	242.4	(597.3)	282.8	95.1	(117.2)	1,696.0			2,170.6	1,757.3	413.3	23.5%
Ending Fund Balance	\$ 414.6	\$ 452.0	\$ 215.8	\$ 687.5	\$ 929.9	\$ 332.6	\$ 615.4	\$ 710.5	\$ 593.3	\$ 2,289.3	\$ -	\$ -	\$ 2,289.3	\$ 1,822.4	\$ 466.9	25.6%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2015-2016
 (Amounts in millions)

EXHIBIT I

												10 Months Ended January 31				
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (724.4)	\$ (795.0)	\$ (955.8)	\$ (526.5)	\$ (714.2)	\$ (722.4)	\$ (1,003.9)	\$ (791.8)	\$ (917.1)	\$ (849.2)			\$ (724.4)	\$ (628.7)	\$ (95.7)	-15.2%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes:																
Auto Rental	3.0	0.1	17.7	0.1	0.1	24.5	0.5	-	19.3	0.2			65.5	61.8	3.7	6.0%
Motor Fuel	32.6	33.1	32.3	33.7	36.6	30.3	33.3	32.9	32.3	34.6			331.7	328.9	2.8	0.9%
Highway Use	13.4	10.3	13.0	12.8	11.1	14.9	15.5	18.3	16.8	11.4			137.5	119.7	17.8	14.9%
Total Consumption/Use Taxes	49.0	43.5	63.0	46.6	47.8	69.7	49.3	51.2	68.4	46.2	-	-	534.7	510.4	24.3	4.8%
Business Taxes:																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Corporation and Utilities	0.1	-	2.5	0.1	0.1	2.6	0.1	0.2	2.3	0.4			8.4	6.1	2.3	37.7%
Petroleum Business	50.8	49.8	51.4	53.6	57.8	52.9	53.9	51.2	50.2	55.8			527.4	547.1	(19.7)	-3.6%
Total Business Taxes	50.9	49.8	53.9	53.7	57.9	55.5	54.0	51.4	52.5	56.2	-	-	535.8	553.2	(17.4)	-3.1%
Other Taxes:																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9			95.3	95.3	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	-	-	95.3	95.3	-	0.0%
Total Taxes	99.9	93.3	128.8	112.2	117.6	137.1	115.3	114.5	132.8	114.3	-	-	1,165.8	1,158.9	6.9	0.6%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-			23.0	23.0	-	0.0%
Assessments:																
Business	9.1	8.6	7.7	10.6	8.2	7.8	8.1	7.8	7.5	8.0			83.4	78.8	4.6	5.8%
Fees, Licenses and Permits:																
Business/Professional	1.7	2.2	3.9	2.8	3.3	1.9	3.4	7.5	2.3	2.0			31.0	47.0	(16.0)	-34.0%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	58.6	71.5	59.4	63.0	58.0	54.0	51.0	54.9	53.3	57.7			581.4	545.1	36.3	6.7%
Recreational/Consumer	-	-	0.4	0.2	3.0	5.5	5.5	9.8	9.9	-			34.3	14.0	20.3	145.0%
Fines, Penalties and Forfeitures	0.1	3.5	1.3	1.5	2.2	2.6	1.9	1.8	1.8	1.9			18.6	17.8	0.8	4.5%
Interest Earnings	0.1	-	0.1	-	0.1	-	-	0.2	-	0.1			0.6	0.9	(0.3)	-33.3%
Receipts from Public Authorities:																
Bond Proceeds	12.2	43.1	854.6	56.0	21.3	215.4	310.2	23.9	692.1	-			2,228.8	1,468.7	760.1	51.8%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	-	1.3	0.3	1.2	-	0.3	0.2	0.1	0.4	0.7			4.5	9.6	(5.1)	-53.1%
Receipts from Municipalities	0.1	0.1	-	-	0.1	-	-	0.2	-	-			0.5	6.4	(5.9)	-92.2%
Rentals	0.2	1.1	0.4	0.2	0.8	0.7	0.6	0.6	0.8	0.5			5.9	6.3	(0.4)	-6.3%
Revenues of State Departments:																
Administrative Recoveries	-	-	0.1	-	-	-	-	-	0.4	(0.5)			-	-	-	0.0%
Gifts, Grants and Donations	-	-	1.0	0.1	-	0.6	0.4	1.0	0.8	-			3.9	14.7	(10.8)	-73.5%
Indirect Cost Recoveries	-	-	-	-	-	-	-	0.2	-	-			0.2	-	0.2	100.0%
Rebates	-	-	0.2	-	-	-	-	-	-	-			0.2	-	0.2	100.0%
Restitution and Settlements	0.6	0.2	0.7	0.3	0.4	-	-	0.6	0.6	0.6			4.0	5.7	(1.7)	-29.8%
All Other	1.2	0.6	1.7	3.7	1.2	2.7	2.1	1.1	0.7	0.6			15.6	10.1	5.5	54.5%
Sales	0.1	0.2	(0.1)	-	0.1	0.6	-	-	-	-			0.9	10.2	(9.3)	-91.2%
Total Miscellaneous Receipts	84.0	132.4	954.7	139.6	98.7	292.1	383.4	109.7	770.6	71.6	-	-	3,036.8	2,258.3	778.5	34.5%
Federal Receipts	101.0	69.7	195.8	203.9	198.3	319.0	39.8	368.2	213.6	105.5			1,814.8	1,593.8	221.0	13.9%
Total Receipts	284.9	295.4	1,279.3	455.7	414.6	748.2	538.5	592.4	1,117.0	291.4	-	-	6,017.4	5,011.0	1,006.4	20.1%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2015-2016
 (Amounts in millions)

EXHIBIT I

												10 Months Ended January 31				
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	0.1	0.1	0.3	-	13.0	-	0.2	-	13.3			27.1	16.9	10.2	60.4%
Environment and Recreation	2.7	2.6	7.2	10.3	7.1	16.8	0.5	183.0	6.0	6.3			242.5	69.4	173.1	249.4%
General Government	9.1	4.2	5.9	15.0	4.9	3.2	4.6	5.4	35.3	2.6			90.2	92.9	(2.7)	-2.9%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other Public Health	3.6	4.9	5.2	8.3	8.5	15.2	2.1	47.1	9.3	5.1			109.3	76.6	32.7	42.7%
Public Safety	-	-	-	-	-	17.0	26.2	6.7	0.3	0.6			50.8	-	50.8	100.0%
Public Welfare	-	-	10.2	33.5	-	11.2	-	17.2	-	10.1			82.2	81.7	0.5	0.6%
Support and Regulate Business	21.2	60.0	59.8	94.6	146.8	8.9	8.2	61.0	26.9	6.1			493.5	212.7	280.8	132.0%
Transportation	31.0	31.1	72.1	30.7	14.7	199.2	15.2	52.7	266.9	16.1			729.7	853.6	(123.9)	-14.5%
Total Local Assistance Grants	67.7	102.9	160.5	192.7	182.0	284.5	56.8	373.3	344.7	60.2	-	-	1,825.3	1,403.8	421.5	30.0%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Capital Projects	288.9	426.1	451.3	568.4	536.6	857.1	292.8	644.6	693.5	478.8			5,238.1	4,528.4	709.7	15.7%
Total Disbursements	356.6	529.0	611.8	761.1	718.6	1,141.6	349.6	1,017.9	1,038.2	539.0	-	-	7,063.4	5,932.2	1,131.2	19.1%
Excess (Deficiency) of Receipts over Disbursements	(71.7)	(233.6)	667.5	(305.4)	(304.0)	(393.4)	188.9	(425.5)	78.8	(247.6)	-	-	(1,046.0)	(921.2)	(124.8)	-13.5%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers from Other Funds	77.8	148.0	(161.8)	207.8	326.1	359.5	27.7	336.0	23.9	289.1			1,634.1	1,338.2	295.9	22.1%
Transfers to Other Funds	(76.7)	(75.2)	(76.4)	(90.1)	(30.3)	(247.6)	(4.5)	(35.8)	(34.8)	(38.6)			(710.0)	(987.8)	(277.8)	-28.1%
Total Other Financing Sources (Uses)	1.1	72.8	(238.2)	117.7	295.8	111.9	23.2	300.2	(10.9)	250.5	-	-	924.1	350.4	573.7	163.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(70.6)	(160.8)	429.3	(187.7)	(8.2)	(281.5)	212.1	(125.3)	67.9	2.9	-	-	(121.9)	(570.8)	448.9	78.6%
Ending Fund Balance	\$ (795.0)	\$ (955.8)	\$ (526.5)	\$ (714.2)	\$ (722.4)	\$ (1,003.9)	\$ (791.8)	\$ (917.1)	\$ (849.2)	\$ (846.3)	\$ -	\$ -	\$ (846.3)	\$ (1,199.5)	\$ 353.2	29.4%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2015-2016
 (Amounts in millions)

EXHIBIT I

													10 Months Ended January 31				
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes																	
Auto Rental	\$ 3.0	\$ 0.1	\$ 17.7	\$ 0.1	\$ 0.1	\$ 24.5	\$ 0.5	\$ -	\$ 19.3	\$ 0.2		\$ -	\$ 65.5	\$ 61.8	\$ 3.7	6.0%	
Motor Fuel	32.6	33.1	32.3	33.7	36.6	30.3	33.3	32.9	32.3	34.6		-	331.7	328.9	2.8	0.9%	
Highway Use	13.4	10.3	13.0	12.8	11.1	14.9	15.5	18.3	16.8	11.4		-	137.5	119.7	17.8	14.9%	
Total Consumption/Use Taxes	49.0	43.5	63.0	46.6	47.8	69.7	49.3	51.2	68.4	46.2			534.7	510.4	24.3	4.8%	
Business Taxes																	
Corporation Franchise	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%	
Corporation and Utilities	0.1	-	2.5	0.1	0.1	2.6	0.1	0.2	2.3	0.4		-	8.4	6.1	2.3	37.7%	
Petroleum Business	50.8	49.8	51.4	53.6	57.8	52.9	53.9	51.2	50.2	55.8		-	527.4	547.1	(19.7)	-3.6%	
Total Business Taxes	50.9	49.8	53.9	53.7	57.9	55.5	54.0	51.4	52.5	56.2			535.8	553.2	(17.4)	-3.1%	
Other Taxes																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9		-	95.3	95.3	-	0.0%	
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9			95.3	95.3	-	0.0%	
Total Taxes	99.9	93.3	128.8	112.2	117.6	137.1	115.3	114.5	132.8	114.3			1,165.8	1,158.9	6.9	0.6%	
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-		-	23.0	23.0	-	0.0%	
Assessments:																	
Business	9.1	8.6	7.7	10.6	8.2	7.8	8.1	7.8	7.5	8.0		-	83.4	78.8	4.6	5.8%	
Fees, Licenses and Permits:																	
Business/Professional	1.7	2.2	3.9	2.8	3.3	1.9	3.4	7.5	2.3	2.0		-	31.0	47.0	(16.0)	-34.0%	
Civil	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%	
Motor Vehicle	58.6	71.5	59.4	63.0	58.0	54.0	51.0	54.9	53.3	57.7		-	581.4	545.1	36.3	6.7%	
Recreational/Consumer	-	-	0.4	0.2	3.0	5.5	5.5	9.8	9.9	-		-	34.3	14.0	20.3	145.0%	
Fines, Penalties and Forfeitures	0.1	3.5	1.3	1.5	2.2	2.6	1.9	1.8	1.8	1.9		-	18.6	17.8	0.8	4.5%	
Interest Earnings	0.1	-	0.1	-	0.1	-	-	0.2	-	0.1		-	0.6	0.9	(0.3)	-33.3%	
Receipts from Public Authorities:																	
Bond Proceeds	12.2	43.1	85.6	56.0	21.3	215.4	310.2	23.9	692.1	-		-	2,228.8	1,468.7	760.1	51.8%	
Issuance Fees	-	-	-	-	-	-	-	-	-	-		-	-	-	-	0.0%	
Non Bond Related	-	1.3	0.3	1.2	-	0.3	0.2	0.1	0.4	0.7		-	4.5	9.6	(5.1)	-53.1%	
Receipts from Municipalities	0.1	0.1	-	-	0.1	-	-	0.2	-	-		-	0.5	6.4	(5.9)	-92.2%	
Rentals	0.1	1.1	0.3	0.2	0.8	0.6	0.6	0.5	0.8	0.4		-	5.4	5.4	-	0.0%	
Revenues of State Departments:																	
Administrative Recoveries	-	-	0.1	-	-	-	-	-	0.4	(0.5)		-	-	-	-	0.0%	
Gifts, Grants and Donations	-	-	1.0	0.1	-	0.6	0.4	1.0	0.8	-		-	3.9	14.7	(10.8)	-73.5%	
Indirect Cost Recoveries	-	-	-	-	-	-	-	0.2	-	-		-	0.2	-	0.2	100.0%	
Rebates	-	-	0.2	-	-	-	-	-	-	-		-	0.2	-	0.2	100.0%	
Resitution and Settlements	0.6	0.2	0.7	0.3	0.4	-	-	0.6	0.6	0.6		-	4.0	5.7	(1.7)	-29.8%	
All Other	1.2	0.6	1.7	3.7	1.2	2.7	2.1	1.1	0.7	0.6		-	15.6	10.1	5.5	54.5%	
Sales	-	0.1	-	-	-	0.6	-	-	-	-		-	0.7	10.0	(9.3)	-93.0%	
Total Miscellaneous Receipts	83.8	132.3	954.7	139.6	98.6	292.0	383.4	109.6	770.6	71.5			3,036.1	2,257.2	778.9	34.5%	
Federal Receipts	-	-	-	-	-	2.5	-	-	-	-		-	2.5	2.5	-	0.0%	
Total Receipts	183.7	225.6	1,083.5	251.8	216.2	431.6	498.7	224.1	903.4	185.8			4,204.4	3,418.6	785.8	23.0%	

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2015-2016
 (Amounts in millions)

EXHIBIT I

													10 Months Ended January 31				
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	0.1	0.1	0.1	0.3	-	13.0	-	0.2	-	13.3	-	-	-	27.1	16.9	10.2	60.4%
Environment and Recreation	2.7	2.6	7.2	10.3	7.1	16.8	0.5	34.1	6.1	6.2	-	-	-	93.6	69.5	24.1	34.7%
General Government	9.1	4.2	5.9	15.0	4.9	3.2	4.6	5.4	35.3	2.6	-	-	-	90.2	92.9	(2.7)	-2.9%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	3.6	4.9	5.2	8.3	8.5	15.2	2.1	8.2	9.3	5.1	-	-	-	70.4	50.4	20.0	39.7%
Public Safety	-	-	-	-	-	17.0	26.2	6.7	0.3	0.6	-	-	-	50.8	-	50.8	100.0%
Public Welfare	-	-	10.2	33.5	-	11.2	-	17.2	-	10.1	-	-	-	82.2	81.7	0.5	0.6%
Support and Regulate Business	21.2	60.0	59.8	94.6	146.8	8.9	8.2	61.0	26.9	6.1	-	-	-	493.5	212.7	280.8	132.0%
Transportation	4.4	3.2	32.5	6.8	2.4	115.0	1.7	7.0	242.9	1.9	-	-	-	417.8	415.1	2.7	0.7%
Total Local Assistance Grants	41.1	75.0	120.9	168.8	169.7	200.3	43.3	139.8	320.8	45.9	-	-	-	1,325.6	939.2	386.4	41.1%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	212.3	338.5	329.6	392.7	390.1	661.0	234.9	444.1	558.8	392.6	-	-	-	3,954.6	3,518.1	436.5	12.4%
Total Disbursements	253.4	413.5	450.5	561.5	559.8	861.3	278.2	583.9	879.6	438.5	-	-	-	5,280.2	4,457.3	822.9	18.5%
Excess (Deficiency) of Receipts over Disbursements	(69.7)	(187.9)	633.0	(309.7)	(343.6)	(429.7)	220.5	(359.8)	23.8	(252.7)	-	-	-	(1,075.8)	(1,038.7)	(37.1)	-3.6%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	77.8	148.0	(161.8)	207.8	326.1	359.5	27.7	336.0	23.9	289.1	-	-	-	1,634.1	1,338.2	295.9	22.1%
Transfers to Other Funds	(74.7)	(75.2)	(76.4)	(89.4)	(30.3)	(247.6)	(4.5)	(35.1)	(34.8)	(37.9)	-	-	-	(705.9)	(972.2)	(266.3)	-27.4%
Total Other Financing Sources (Uses)	3.1	72.8	(238.2)	118.4	295.8	111.9	23.2	300.9	(10.9)	251.2	-	-	-	928.2	366.0	562.2	153.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$ (66.6)	\$ (115.1)	\$ 394.8	\$ (191.3)	\$ (47.8)	\$ (317.8)	\$ 243.7	\$ (58.9)	\$ 12.9	\$ (1.5)	\$ -	\$ -	\$ -	\$ (147.6)	\$ (672.7)	\$ 525.1	78.1%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2015-2016
 (Amounts in millions)

EXHIBIT I

													10 Months Ended January 31				
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%
Assessments:																	
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities																	
Rentals	0.1	-	0.1	-	-	0.1	-	0.1	-	0.1	-	-	-	0.5	0.9	(0.4)	-44.4%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	0.1	0.1	(0.1)	-	0.1	-	-	-	-	0.1	-	-	-	0.2	0.2	-	0.0%
Total Miscellaneous Receipts	0.2	0.1	-	-	0.1	0.1	-	0.1	-	0.1	-	-	-	0.7	1.1	(0.4)	-36.4%
Federal Receipts	101.0	69.7	195.8	203.9	198.3	316.5	39.8	368.2	213.6	105.5	-	-	-	1,812.3	1,591.3	221.0	13.9%
Total Receipts	101.2	69.8	195.8	203.9	198.4	316.6	39.8	368.3	213.6	105.6	-	-	-	1,813.0	1,592.4	220.6	13.9%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	148.9	(0.1)	0.1	-	-	-	148.9	(0.1)	149.0	149,000.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	-	-	-	-	38.9	-	-	-	-	-	38.9	26.2	12.7	48.5%
Public Safety	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	26.6	27.9	39.6	23.9	12.3	84.2	13.5	45.7	24.0	14.2	-	-	-	311.9	438.5	(126.6)	-28.9%
Total Local Assistance Grants	26.6	27.9	39.6	23.9	12.3	84.2	13.5	233.5	23.9	14.3	-	-	-	499.7	464.6	35.1	7.6%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	76.6	87.6	121.7	175.7	146.5	196.1	57.9	200.5	134.7	86.2	-	-	-	1,283.5	1,010.3	273.2	27.0%
Total Disbursements	103.2	115.5	161.3	199.6	158.8	280.3	71.4	434.0	158.6	100.5	-	-	-	1,783.2	1,474.9	308.3	20.9%
Excess (Deficiency) of Receipts over Disbursements	(2.0)	(45.7)	34.5	4.3	39.6	36.3	(31.6)	(65.7)	55.0	5.1	-	-	-	29.8	117.5	(87.7)	-74.6%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	(2.0)	-	-	(0.7)	-	-	-	(0.7)	-	(0.7)	-	-	-	(4.1)	(15.6)	11.5	73.7%
Total Other Financing Sources (Uses)	(2.0)	-	-	(0.7)	-	-	-	(0.7)	-	(0.7)	-	-	-	(4.1)	(15.6)	11.5	73.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$ (4.0)	\$ (45.7)	\$ 34.5	\$ 3.6	\$ 39.6	\$ 36.3	\$ (31.6)	\$ (66.4)	\$ 55.0	\$ 4.4	\$ -	\$ -	\$ -	\$ 25.7	\$ 101.9	\$ (76.2)	-74.8%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State Funds.

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT J

													10 Months Ended January 31			
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 50.6	\$ 77.1	\$ 77.9	\$ 60.3	\$ 81.7	\$ 61.1	\$ 57.4	\$ 65.2	\$ 44.7	\$ 63.4			\$ 50.6	\$ 62.5	\$ (11.9)	-19.0%
RECEIPTS:																
Miscellaneous Receipts	4.6	4.5	4.6	7.2	6.6	9.0	5.9	5.8	4.1	4.5			56.8	93.9	(37.1)	-39.5%
Federal Receipts	2.5	2.1	2.1	2.1	1.9	4.7	2.1	2.0	5.5	1.6			26.6	39.0	(12.4)	-31.8%
Unemployment Taxes	222.9	161.1	168.8	197.4	173.8	176.9	151.3	150.9	213.4	214.9			1,831.4	2,002.1	(170.7)	-8.5%
Total Receipts	230.0	167.7	175.5	206.7	182.3	190.6	159.3	158.7	223.0	221.0	-	-	1,914.8	2,135.0	(220.2)	-10.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.4	0.3	0.5	0.5	0.3	0.3	1.8	(0.2)	0.6	0.3			4.8	5.7	(0.9)	-15.8%
Non-Personal Service	2.5	4.1	3.7	4.1	5.4	27.0	3.0	4.4	4.6	3.8			62.6	105.0	(42.4)	-40.4%
General State Charges	-	-	0.1	-	0.2	-	-	-	0.2	-			0.5	0.8	(0.3)	-37.5%
Unemployment Benefits	200.6	162.5	188.8	180.7	197.0	167.0	146.7	175.0	198.9	215.3			1,832.5	2,010.4	(177.9)	-8.8%
Total Disbursements	203.5	166.9	193.1	185.3	202.9	194.3	151.5	179.2	204.3	219.4	-	-	1,900.4	2,121.9	(221.5)	-10.4%
Excess (Deficiency) of Receipts over Disbursements	26.5	0.8	(17.6)	21.4	(20.6)	(3.7)	7.8	(20.5)	18.7	1.6	-	-	14.4	13.1	1.3	9.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	(0.3)	(0.3)	-100.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	(0.3)	(0.3)	-100.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	26.5	0.8	(17.6)	21.4	(20.6)	(3.7)	7.8	(20.5)	18.7	1.6	-	-	14.4	12.8	1.0	7.8%
Ending Fund Balance	\$ 77.1	\$ 77.9	\$ 60.3	\$ 81.7	\$ 61.1	\$ 57.4	\$ 65.2	\$ 44.7	\$ 63.4	\$ 65.0	\$ -	\$ -	\$ 65.0	\$ 75.3	\$ (10.3)	-13.7%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT K

													10 Months Ended January 31			
	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (196.7)	\$ (225.9)	\$ (218.1)	\$ (225.9)	\$ (224.3)	\$ (224.1)	\$ (214.9)	\$ (214.7)	\$ (236.2)	\$ (252.6)			\$ (196.7)	\$ (72.7)	\$ (124.0)	-170.6%
RECEIPTS:																
Miscellaneous Receipts	16.0	40.6	49.7	43.9	43.7	64.3	14.8	37.3	36.5	43.3			390.1	360.4	29.7	8.2%
Total Receipts	16.0	40.6	49.7	43.9	43.7	64.3	14.8	37.3	36.5	43.3	-	-	390.1	360.4	29.7	8.2%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	7.4	6.6	7.4	9.1	6.5	6.7	7.0	6.0	9.6	6.4			72.7	73.6	(0.9)	-1.2%
Non-Personal Service	39.8	25.8	45.5	33.8	37.7	53.1	5.9	59.8	38.1	55.6			395.1	446.4	(51.3)	-11.5%
General State Charges	1.0	3.4	5.3	0.1	9.5	0.6	0.3	1.5	9.8	-			31.5	38.5	(7.0)	-18.2%
Total Disbursements	48.2	35.8	58.2	43.0	53.7	60.4	13.2	67.3	57.5	62.0	-	-	499.3	558.5	(59.2)	-10.6%
Excess (Deficiency) of Receipts over Disbursements	(32.2)	4.8	(8.5)	0.9	(10.0)	3.9	1.6	(30.0)	(21.0)	(18.7)	-	-	(109.2)	(198.1)	88.9	44.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	3.0	3.0	0.8	0.7	10.2	13.1	0.5	8.5	4.7	3.9			48.4	46.4	2.0	4.3%
Transfers to Other Funds	-	-	(0.1)	-	-	(7.8)	(1.9)	-	(0.1)	(0.1)			(10.0)	(17.7)	(7.7)	-43.5%
Total Other Financing Sources (Uses)	3.0	3.0	0.7	0.7	10.2	5.3	(1.4)	8.5	4.6	3.8	-	-	38.4	28.7	9.7	33.8%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(29.2)	7.8	(7.8)	1.6	0.2	9.2	0.2	(21.5)	(16.4)	(14.9)	-	-	(70.8)	(169.4)	98.6	58.2%
Ending Fund Balance	\$ (225.9)	\$ (218.1)	\$ (225.9)	\$ (224.3)	\$ (224.1)	\$ (214.9)	\$ (214.7)	\$ (236.2)	\$ (252.6)	\$ (267.5)	\$ -	\$ -	\$ (267.5)	\$ (242.1)	\$ (25.4)	-10.5%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT L

	2015												2016				10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ (16.9)	\$ (0.4)	\$ (7.6)	\$ (8.4)	\$ (9.3)	\$ (9.4)	\$ (9.8)	\$ (10.7)	\$ 3.4	\$ (7.2)			\$ (16.9)	\$ (3.9)	\$ (13.0)	-333.3%				
RECEIPTS:																				
Miscellaneous Receipts	21.9	4.8	4.9	7.2	14.3	4.9	4.8	20.5	7.2	4.9			95.4	82.6	12.8	15.5%				
Total Receipts	21.9	4.8	4.9	7.2	14.3	4.9	4.8	20.5	7.2	4.9	-	-	95.4	82.6	12.8	15.5%				
DISBURSEMENTS:																				
Departmental Operations:																				
Personal Service	4.9	4.8	4.8	7.3	4.7	4.7	4.9	1.1	7.1	4.9			49.2	46.8	2.4	5.1%				
Non-Personal Service	0.5	0.9	0.9	0.8	1.6	0.6	0.8	5.3	1.5	1.7			14.6	13.0	1.6	12.3%				
General State Charges	-	6.3	-	-	8.1	-	-	-	9.2	-			23.6	21.7	1.9	8.8%				
Total Disbursements	5.4	12.0	5.7	8.1	14.4	5.3	5.7	6.4	17.8	6.6	-	-	87.4	81.5	5.9	7.2%				
Excess (Deficiency) of Receipts over Disbursements	16.5	(7.2)	(0.8)	(0.9)	(0.1)	(0.4)	(0.9)	14.1	(10.6)	(1.7)	-	-	8.0	1.1	6.9	627.3%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	(7.2)	(0.8)	(0.9)	(0.1)	(0.4)	(0.9)	14.1	(10.6)	(1.7)	-	-	8.0	1.1	6.9	627.3%				
Ending Fund Balance	\$ (0.4)	\$ (7.6)	\$ (8.4)	\$ (9.3)	\$ (9.4)	\$ (9.8)	\$ (10.7)	\$ 3.4	\$ (7.2)	\$ (8.9)	\$ -	\$ -	\$ (8.9)	\$ (2.8)	\$ (6.1)	-217.9%				

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT M

	2015												2016		10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease		
Beginning Fund Balance	\$ 11.5	\$ 11.2	\$ 11.2	\$ 11.2	\$ 11.2	\$ 11.2	\$ 11.3	\$ 11.4	\$ 11.5	\$ 11.4			\$ 11.5	\$ 10.9	\$ 0.6	5.5%		
RECEIPTS:																		
Miscellaneous Receipts	(0.3)	-	0.1	0.1	-	0.1	0.1	0.1	-	0.1			0.3	0.8	(0.5)	-62.5%		
Total Receipts	(0.3)	-	0.1	0.1	-	0.1	0.1	0.1	-	0.1	-	-	0.3	0.8	(0.5)	-62.5%		
DISBURSEMENTS:																		
Departmental Operations:																		
Personal Service	-	-	0.1	0.1	-	-	-	-	-	-			0.2	0.2	-	0.0%		
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%		
General State Charges	-	-	-	-	-	-	-	-	0.1	-			0.1	0.1	-	0.0%		
Total Disbursements	-	-	0.1	0.1	-	-	-	-	0.1	-	-	-	0.3	0.3	-	0.0%		
Excess (Deficiency) of Receipts over Disbursements	(0.3)	-	-	-	-	0.1	0.1	0.1	(0.1)	0.1	-	-	-	0.5	(0.5)	-100.0%		
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%		
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%		
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(0.3)	-	-	-	-	0.1	0.1	0.1	(0.1)	0.1	-	-	-	0.5	(0.5)	-100.0%		
Ending Fund Balance	\$ 11.2	\$ 11.2	\$ 11.2	\$ 11.2	\$ 11.2	\$ 11.3	\$ 11.4	\$ 11.5	\$ 11.4	\$ 11.5	\$ -	\$ -	\$ 11.5	\$ 11.4	\$ 0.1	0.9%		

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2016
(Amounts in millions)**

SCHEDULE 1

	<u>BALANCE JANUARY 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE JANUARY 31, 2016</u>
<u>GENERAL FUND</u>					
10000-10049-Local Assistance Account	\$ -	\$ 0.056	\$ 1,755.253	\$ 1,755.197	\$ -
10050-10099-State Operations Account	12,674.882	3,476.136	1,071.093	(1,366.341)	13,713.584
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	66.243	-	1.337	-	64.906
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	28.377	28.377	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	12,741.125	3,504.569	2,856.060	388.856	13,778.490
<u>SPECIAL REVENUE FUNDS-STATE</u>					
20000-20099-Mental Health Gifts and Donations	2.229	0.002	0.009	-	2.222
20100-20299-Combined Expendable Trust	66.136	0.353	0.921	-	65.568
20300-20349-New York Interest on Lawyer Account	21.300	1.079	2.579	-	19.800
20350-20399-NYS Archives Partnership Trust	0.194	-	0.024	-	0.170
20400-20449-Child Performer's Protection	0.225	0.001	0.019	-	0.207
20450-20499-Tuition Reimbursement	4.592	0.452	0.181	-	4.863
20500-20549-New York State Local Government Records Management Improvement	2.766	0.637	0.851	-	2.552
20550-20599-School Tax Relief	1.629	2,553.808	2,554.335	-	1.102
20600-20649-Charter Schools Stimulus	1.125	-	-	-	1.125
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	177.891	425.745	514.207	(8.230)	81.199
20850-20899-Dedicated Mass Transportation Trust	64.313	53.643	54.356	-	63.600
20900-20949-State Lottery	(255.169)	362.622	155.625	-	(48.172)
20950-20999-Combined Student Loan	14.016	(0.002)	3.235	-	10.779
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4.367)	-	1.654	-	(6.021)
21050-21149-Encon Special Revenue	(16.388)	4.997	6.675	3.285	(14.781)
21150-21199-Conservation	85.952	0.675	1.756	-	84.871
21200-21249-Environmental Protection and Oil Spill Compensation	22.708	5.795	2.485	(6.186)	19.832
21250-21299-Training and Education Program on OSHA	3.999	8.565	2.121	-	10.443
21300-21349-Lawyers' Fund for Client Protection	5.031	0.354	2.135	-	3.250
21350-21399-Equipment Loan for the Disabled	0.520	0.002	-	-	0.522
21400-21449-Mass Transportation Operating Assistance	(412.381)	120.896	0.238	-	(291.723)
21450-21499-Clean Air	(9.324)	2.526	2.130	(2.110)	(11.038)
21500-21549-New York State Infrastructure Trust	0.067	-	-	-	0.067
21550-21599-Legislative Computer Services	10.401	0.279	0.099	-	10.581
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.465	-	-	-	0.465
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.826	0.001	-	-	0.827
21900-22499-Miscellaneous State Special Revenue	1,564.204	172.747	523.912	271.003	1,484.042

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2016
(Amounts in millions)**

SCHEDULE 1

	BALANCE JANUARY 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2016
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22500-22549-Court Facilities Incentive Aid	24.653	0.005	21.397	20.000	23.261
22550-22599-Employment Training	0.049	-	-	-	0.049
22650-22699-State University Income	953.594	464.614	459.035	24.657	983.830
22700-22749-Chemical Dependence Service	40.254	1.337	0.275	-	41.316
22750-22799-Lake George Park Trust	0.647	-	0.058	-	0.589
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	101.416	9.772	2.261	(35.000)	73.927
22850-22899-New York Great Lakes Protection	0.238	-	-	-	0.238
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.312	0.005	-	-	9.317
23000-23049-NYS/DOT Highway Safety Program	(7.897)	0.019	0.269	-	(8.147)
23050-23099-Vocational Rehabilitation	0.122	0.006	-	-	0.128
23100-23149-Drinking Water Program Management and Administration	(6.554)	-	0.398	-	(6.952)
23150-23199-NYC County Clerks' Operations Offset	(45.545)	-	2.240	-	(47.785)
23200-23249-Judiciary Data Processing Offset	9.954	1.353	3.696	-	7.611
23250-23449-IFR/CUTRA	145.161	2.646	5.644	-	142.163
23500-23549-USOC Lake Placid Training	0.048	-	-	-	0.048
23550-23599-Indigent Legal Services	182.011	3.115	1.482	-	183.644
23600-23649-Unemployment Insurance Interest and Penalty	17.548	1.496	0.517	-	18.527
23650-23699-MTA Financial Assistance Fund	101.229	176.965	131.366	1.589	148.417
23700-23749-New York State Commercial Gaming Fund	(4.829)	-	0.272	-	(5.101)
23750-23799-Medical Marihuana Trust Fund	6.707	-	0.834	-	5.873
40350-40399-State University Dormitory Income	127.892	(5.683)	-	(20.191)	102.018
TOTAL SPECIAL REVENUE FUNDS-STATE	3,008.994	4,370.827	4,459.291	248.817	3,169.347
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	(34.568)	180.175	135.024	(0.049)	10.534
25100-25199-Federal Health and Human Services	861.693	3,703.895	4,078.850	(153.537)	333.201
25200-25249-Federal Education	(4.019)	107.847	117.106	(3.095)	(16.373)
25300-25899-Federal Miscellaneous Operating Grants	(151.727)	75.819	93.577	(0.017)	(169.502)
25900-25949-Unemployment Insurance Administration	53.329	29.917	15.237	(0.106)	67.903
25950-25999-Unemployment Insurance Occupational Training	1.632	0.500	0.606	-	1.526
26000-26049-Federal Employment and Training Grants	(0.545)	11.669	13.463	-	(2.339)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	725.795	4,109.822	4,453.863	(156.804)	224.950
TOTAL SPECIAL REVENUE FUNDS	3,734.789	8,480.649	8,913.154	92.013	3,394.297
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	301.789	62.520	0.265	6.266	370.310
40150-40199-General Debt Service	227.777	2,059.478	34.855	(399.236)	1,853.164
40250-40299-State Housing Debt Service	-	0.090	-	(0.090)	-
40300-40349-Department of Health Income	35.382	0.116	-	(3.914)	31.584
40400-40449-Clean Water/Clean Air	27.093	100.841	-	(94.841)	33.093
40450-40499-Local Government Assistance Tax	1.224	254.160	-	(254.192)	1.192
TOTAL DEBT SERVICE FUNDS	593.265	2,477.205	35.120	(746.007)	2,289.343

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2016
(Amounts in millions)**

SCHEDULE 1

	<u>BALANCE JANUARY 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE JANUARY 31, 2016</u>
<u>CAPITAL PROJECTS FUNDS</u>					
30000-30049-State Capital Projects	-	0.073	131.604	131.531	-
30050-30099-Dedicated Highway and Bridge Trust	(308.359)	171.658	115.565	(34.487)	(286.753)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	130.669	0.025	3.417	1.991	129.268
30300-30349-New York State Canal System Development	4.018	0.281	-	-	4.299
30350-30399-Parks Infrastructure	(50.111)	0.001	15.417	-	(65.527)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	119.854	11.802	8.913	-	122.743
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.451	-	-	-	1.451
30650-30659-Rebuild and Renew New York Transportation Bond	28.659	-	-	(0.754)	27.905
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.576	-	-	-	5.576
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	9.139	-	-	(0.146)	8.993
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(360.702)	105.621	100.494	(0.700)	(356.275)
31450-31499-Forest Preserve Expansion	0.899	-	-	-	0.899
31500-31549-Hazardous Waste Remedial	(93.319)	1.520	8.507	(0.505)	(100.811)
31650-31699-Suburban Transportation	0.507	-	-	-	0.507
31700-31749-Division for Youth Facilities Improvement	(17.440)	-	1.228	-	(18.668)
31800-31849-Housing Assistance	(10.816)	-	-	-	(10.816)
31850-31899-Housing Program	(87.376)	-	10.112	-	(97.488)
31900-31949-Natural Resource Damage	16.371	0.010	0.160	-	16.221
31950-31999-DOT Engineering Services	(12.755)	-	-	-	(12.755)
32200-32249-Miscellaneous Capital Projects	43.779	0.229	2.705	-	41.303
32250-32299-CUNY Capital Projects	(0.023)	(0.001)	-	-	(0.024)
32300-32349-Mental Hygiene Facilities Capital Improvement	(410.095)	0.048	8.801	-	(418.848)
32350-32399-Correction Facilities Capital Improvement	(109.938)	0.010	12.968	-	(122.896)
32400-32999-State University Capital Projects	142.800	0.081	7.586	3.609	138.904
33000-33049-NYS Storm Recovery Fund	(48.879)	-	2.212	-	(51.091)
33050-33099-Dedicated Infrastructure Investment Fund	145.735	-	109.371	150.000	186.364
TOTAL CAPITAL PROJECTS FUNDS	(849.149)	291.358	539.060	250.539	(846.312)
TOTAL GOVERNMENTAL FUNDS	\$ 16,220.030	\$ 14,753.781	\$ 12,343.394	\$ (14.599)	\$ 18,615.818

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF JANUARY 2016
 (Amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE JANUARY 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE JANUARY 31, 2016</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.167	\$ 0.001	\$ -	\$ -	\$ 0.168
50050-50099-State Exposition Special	1.224	0.540	0.813	-	0.951
50100-50299-Correctional Services Commissary	2.597	3.265	2.942	-	2.920
50300-50399-Agencies Enterprise	2.862	0.325	0.164	-	3.023
50400-50449-Sheltered Workshop	2.081	0.055	0.028	-	2.108
50450-50499-Patient Workshop	1.660	0.011	0.008	-	1.663
50500-50599-Mental Hygiene Community Stores	4.128	0.149	0.143	-	4.134
50650-50699-Unemployment Insurance Benefit	48.713	216.677	215.376	-	50.014
TOTAL ENTERPRISE FUNDS	63.432	221.023	219.474	-	64.981
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(61.594)	30.752	27.459	0.441	(57.860)
55050-55099-Agency Internal Service	(149.025)	7.543	27.083	3.410	(165.155)
55100-55149-Mental Hygiene Revolving	0.134	0.060	0.048	-	0.146
55150-55199-Youth Vocational Education	0.055	-	-	-	0.055
55200-55249-Joint Labor and Management Administration	1.644	-	0.042	(0.001)	1.601
55250-55299-Audit and Control Revolving	(4.883)	-	2.679	-	(7.562)
55300-55349-Health Insurance Revolving	(15.108)	2.038	0.605	(0.002)	(13.677)
55350-55399-Correctional Industries Revolving	(23.798)	2.881	4.147	(0.001)	(25.065)
TOTAL INTERNAL SERVICE FUNDS	(252.575)	43.274	62.063	3.847	(267.517)
TOTAL PROPRIETARY FUNDS	\$ (189.143)	\$ 264.297	\$ 281.537	\$ 3.847	\$ (202.536)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF JANUARY 2016
 (Amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE JANUARY 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE JANUARY 31, 2016</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (7.230)	\$ 4.871	\$ 6.559	\$ -	\$ (8.918)
TOTAL PENSION TRUST FUNDS	(7.230)	4.871	6.559	-	(8.918)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.322	(0.004)	0.007	-	2.311
66050-66099-Milk Producers' Security	9.127	0.061	0.009	-	9.179
TOTAL PRIVATE PURPOSE TRUST FUNDS	11.449	0.057	0.016	-	11.490
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	16.799	0.858	-	-	17.657
60150-60199-Child Performer's Holding	0.301	0.012	0.001	-	0.312
60200-60249-Employees Health Insurance	889.821	639.018	785.960	-	742.879
60250-60299-Social Security Contribution	14.983	88.441	88.436	-	14.988
60300-60399-Employee Payroll Withholding	33.171	335.717	340.292	-	28.596
60400-60449-Employees Dental Insurance	10.113	6.407	7.162	-	9.358
60450-60499-Management Confidential Group Insurance	0.668	0.933	1.057	-	0.544
60500-60549-Lottery Prize	425.058	223.873	149.415	-	499.516
60550-60599-Health Insurance Reserve Receipts	0.120	-	-	-	0.120
60600-60799-Miscellaneous New York State Agency	1,704.697	13.067	45.461	-	1,672.303
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	26.945	9.169	13.145	-	22.969
60850-60899-CUNY Senior College Operating	104.588	155.000	142.932	-	116.656
60900-60949-Medicaid Management Information System (MMIS) Escrow	229.679	5,686.257	5,720.691	10.752	205.997
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	138.237	282.850	-	-	421.087
61100-61999-State University Federal Direct Lending Program	(14.274)	204.348	271.760	-	(81.686)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,580.906	7,645.950	7,566.312	10.752	3,671.296
TOTAL FIDUCIARY FUNDS	\$ 3,585.125	\$ 7,650.878	\$ 7,572.887	\$ 10.752	\$ 3,673.868

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF JANUARY 2016
(Amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE JANUARY 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE JANUARY 31, 2016</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.713	\$ 0.001	\$ -	\$ 2.714
70050-70149-Sole Custody Investment (*)	1,944.607	7,399.797	7,163.899	2,180.505
70200-Comptroller's Refund	-	392.511	392.511	-
TOTAL ACCOUNTS	\$ 1,947.320	\$ 7,792.309	\$ 7,556.410	\$ 2,183.219

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of January 31, 2016 \$8,966,706.16 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2015	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JAN. 31, 2016	INTEREST DISBURSED	
		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2016	MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2016		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2016
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 151,044,395.19	\$ -	\$ -	\$ -	\$ 39,082,865.67	\$ 111,961,529.52	\$ -	\$ 4,289,935.14
Clean Water/Clean Air:								
Air Quality	9,754,327.60	-	-	-	2,409,450.89	7,344,876.71	776.67	193,270.12
Safe Drinking Water	-	-	-	-	-	-	-	-
Water	429,426,360.50	-	-	-	9,186,014.00	420,240,346.50	19,621.68	9,860,445.38
Solid Waste	42,810,106.09	-	-	-	1,703,943.29	41,106,162.80	8,375.88	563,877.87
Environmental Restoration	91,604,900.75	-	-	-	1,112,161.08	90,492,739.67	1,211.62	2,177,585.56
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	5,286,209.05	-	-	-	2,607,750.21	2,678,458.84	-	159,117.03
Environmental Quality Protection (1972):								
Air	2,986,458.23	-	-	-	2,488,893.87	497,564.36	-	86,524.76
Land and Wetlands	10,029,155.14	-	-	-	2,578,016.24	7,451,138.90	-	309,099.64
Water	40,929,864.30	-	-	-	10,859,066.87	30,070,797.43	-	1,206,051.14
Environmental Quality (1986):								
Land and Forests	20,036,912.74	-	-	-	3,218,050.37	16,818,862.37	1,814.28	417,582.77
Solid Waste Management	226,116,165.97	-	-	-	17,272,075.48	208,844,090.49	6,047.88	5,531,370.39
Housing:								
Low Cost	19,890,000.00	-	-	-	3,770,000.00	16,120,000.00	-	563,700.00
Middle Income	17,285,000.00	-	-	-	3,310,000.00	13,975,000.00	-	270,155.00
Park and Recreation Land Acquisition	9,270.05	-	-	-	-	9,270.05	-	185.40
Pure Waters	38,693,774.38	-	-	-	6,642,984.60	32,050,789.78	-	1,135,022.14
Rail Preservation Development	747,162.13	-	-	-	449,723.49	297,438.64	-	26,288.73
Rebuild and Renew New York Transportation:								
Highway Facilities	855,692,480.44	-	-	-	4,826,531.00	850,865,949.44	-	20,952,839.32
Canals and Waterways	17,492,917.89	-	-	-	397,371.76	17,095,546.13	-	482,594.03
Aviation	51,322,171.86	-	-	-	-	51,322,171.86	-	1,106,987.82
Rail and Port	82,762,796.20	-	-	-	-	82,762,796.20	-	1,890,888.16
Mass Transit - Dept. of Transportation	7,992,060.77	-	-	-	-	7,992,060.77	-	181,348.59
Mass Transit - Metropolitan Transportation Authority	877,031,335.39	-	-	-	5,510,859.76	871,520,475.63	-	22,529,565.01
Rebuild New York-Transportation Infrastructure Renewal: Highways, Parkways, and Bridges	1,808,681.40	-	-	-	13,018.16	1,795,663.24	-	44,652.15
Rapid Transit, Rail and Aviation	7,849,619.16	-	-	-	2,073,633.78	5,775,985.38	-	267,559.87
Transportation Capital Facilities:								
Aviation	9,069,490.58	-	-	-	3,222,875.60	5,846,614.98	-	266,554.63
Mass Transportation	78,383.65	-	-	-	39,713.88	38,669.77	-	3,010.63
Total General Obligation Bonded Debt	\$ 3,017,749,999.46	\$ -	\$ -	\$ -	\$ 122,775,000.00	\$ 2,894,974,999.46	\$ 37,848.01	\$ 74,516,211.28

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TEN MONTHS ENDED JANUARY 31, 2016

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	REVENUE BOND	10 MONTHS ENDED JAN. 31		
	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	TAX	2016	2015	
	(40000-40049)	(40151)	(40300-40349)	(40450-40499)	(40100-40149)	(40152)	(40154)			
Special Contractual Financing Obligations:										
Payments to Public Authorities:										
Payments to Public Authorities:										
City University Construction	\$ -	\$ 219,529,219	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 219,529,219	\$ 182,263,910	\$ 37,265,309
Dormitory Authority:										
Albany County Airport	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	11,508,925	-	-	-	-	-	11,508,925	12,644,251	(1,135,326)
DASNY Revenue Bond	-	-	-	-	-	384,308,920	56,715,309	441,024,229	654,991,949	(213,967,720)
David Axelrod Institute	-	-	-	-	-	-	-	-	717,887	(717,887)
Department of Health Facilities	-	-	28,307,274	-	-	-	-	28,307,274	28,202,126	105,148
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
Education	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
Health Care	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	71,839,317	-	-	71,839,317	93,624,428	(21,785,111)
OGS Parking	-	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	2,711,049	-	-	-	-	-	2,711,049	1,635,367	1,075,682
State Department of Education Facilities	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	158,675	(158,675)
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	1,160,688	-	-	-	75,237,324	-	76,398,012	83,763,835	(7,365,823)
Housing Finance Agency	-	21,613,562	-	-	-	33,111,259	-	54,724,821	65,157,169	(10,432,348)
Local Government Assistance Corporation	-	-	-	45,915,369	-	-	-	45,915,369	52,772,964	(6,857,595)
Metropolitan Transportation Authority										
Transit and Commuter Rail Projects	-	84,084,181	-	-	-	-	-	84,084,181	79,857,004	4,227,177
Thruway Authority:										
Dedicated Highway and Bridge	-	487,181,380	-	-	-	-	-	487,181,380	750,437,113	(263,255,733)
Local Highway and Bridge	-	63,661,450	-	-	-	-	-	63,661,450	92,023,450	(28,362,000)
Transportation	-	-	-	-	-	60,259,075	-	60,259,075	71,068,075	(10,809,000)
Urban Development Corporation:										
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	905,175	-	-	-	-	-	905,175	880,525	24,650
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and Technology Center	-	2,509,475	-	-	-	-	-	2,509,475	2,456,625	52,850
UDC Revenue Bond	-	-	-	-	-	296,111,963	-	296,111,963	449,022,334	(152,910,371)
University Facilities Grant 95 Refunding	-	1,548,884	-	-	-	-	-	1,548,884	1,337,397	211,487
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 896,413,988	\$ 28,307,274	\$ 45,915,369	\$ 71,839,317	\$ 849,028,541	\$ 56,715,309	\$ 1,948,219,798	\$ 2,623,015,084	\$ (674,795,286)

**STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JANUARY 2016
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)**

SCHEDULE 6

	<u>JANUARY 2016</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE JANUARY 2015</u>
<u>SHORT TERM INVESTMENT POOL (*)</u>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 18,003.1	\$ 14,447.5	\$ 8,337.7
AVERAGE YIELD (**)	0.405%	0.176%	0.119%
TOTAL INVESTMENT EARNINGS	\$ 6.045	\$ 21.294	\$ 6.990

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>JANUARY 2016 PAR AMOUNT</u>	<u>JANUARY 2015 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ 125.0	\$ 800.2
REPURCHASE AGREEMENTS	1,082.7	23.3
COMMERCIAL PAPER	16,255.0	9,086.2
CERTIFICATES OF DEPOSIT/SAVINGS	1,974.6	2,390.9
0% COMPENSATING BALANCE CDs	4,855.0	5,148.0
	<u>\$ 24,292.3</u>	<u>\$ 17,448.6</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2015-16

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2016
OPENING CASH BALANCE	\$ 14,124,710	\$ 41,637,489	\$ 141,013,682	\$ 234,729,931	\$ 166,955,311	\$ 153,615,082	\$ 101,254,338	\$ 106,073,923	\$ 102,813,674	\$ 177,890,580			\$ 14,124,710
RECEIPTS:													
Cigarette Tax	83,184,099	72,230,176	89,595,330	85,676,433	76,448,434	85,122,705	81,065,162	70,542,751	82,899,022	71,590,705			798,354,817
State Share of NYC Cigarette Tax	3,562,000	3,238,000	3,344,000	3,782,000	3,141,000	3,795,000	3,113,000	3,228,000	3,664,000	2,797,000			33,664,000
STIP Interest	24,861	21,536	29,065	36,958	43,244	39,236	61,405	72,339	46,783	66,667			442,094
Public Asset Transfers	-	-	-	-	-	-	-	-	-	-			-
Assessments	309,539,056	374,780,454	442,548,585	419,142,763	378,002,909	367,644,013	375,065,884	400,111,248	342,575,482	348,062,371			3,757,472,765
Fees	581,000	83,000	1,495,000	478,000	478,000	1,231,000	316,000	30,000	5,447,000	719,000			10,448,000
Rebates	3,396,937	-	967,527	15,598,038	350,258	2,865,005	2,787,549	379,860	6,983,644	2,061,233			35,390,051
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-
Miscellaneous	65,000	-	-	247,000	-	78,055	2,307,000	12,680	434,000	448,000			3,591,735
Total Receipts	400,352,953	450,353,166	537,979,507	524,961,192	458,053,845	460,775,014	464,716,000	474,376,878	442,049,931	425,744,976	-	-	4,639,363,462
DISBURSEMENTS:													
Grants	353,036,557	348,765,808	440,699,008	589,058,681	466,500,636	491,414,030	457,923,227	474,044,679	363,139,255	507,034,086			4,491,615,967
Interest - Late Payments	-	2	63	24	26	535	-	189	1,399	173			2,411
Personal Service	833,823	398,373	537,119	983,435	507,338	762,861	911,316	924,203	1,176,399	811,083			7,845,950
Non-Personal Service	1,889,246	581,716	1,869,148	1,912,548	1,251,618	2,260,342	534,662	1,167,619	1,485,265	6,166,691			19,118,855
Employee Benefits/Indirect Costs	1,253,638	489,467	36,335	424,566	321,335	208,858	-	892,254	282,592	195,212			4,104,257
Total Disbursements	357,013,264	350,235,366	443,141,673	592,379,254	468,580,953	494,646,626	459,369,205	477,028,944	366,084,910	514,207,245	-	-	4,522,687,440
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-			-
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-			-
Transfers to Revenue Bond Tax Fund	-	-	-	-	1,306,200	10,237,119	-	-	-	-			11,543,319
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	-	-	-	-	-	231,397	-			231,397
Empire State Stem Cell Trust Account	15,148,000	-	-	-	-	7,574,000	-	-	-	7,573,000			30,295,000
Transfers to SUNY Income Fund	678,910	741,607	1,121,585	356,558	1,506,921	678,013	527,210	608,183	656,718	656,719			7,532,424
Total Operating Transfers	15,826,910	741,607	1,121,585	356,558	2,813,121	18,489,132	527,210	608,183	888,115	8,229,719	-	-	49,602,140
Total Disbursements and Transfers	372,840,174	350,976,973	444,263,258	592,735,812	471,394,074	513,135,758	459,896,415	477,637,127	366,973,025	522,436,964	-	-	4,572,289,580
CLOSING CASH BALANCE	\$ 41,637,489	\$ 141,013,682	\$ 234,729,931	\$ 166,955,311	\$ 153,615,082	\$ 101,254,338	\$ 106,073,923	\$ 102,813,674	\$ 177,890,580	\$ 81,198,592	\$ -	\$ -	\$ 81,198,592

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2015-2016

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July - September	October - December	January	January 31, 2016 (**)
AIDS INSTITUTE PROGRAM	\$ 75,016,000					
COMMUNITY SERVICE PROG - HIGH RISK		\$ -	\$ -	\$ -	\$ -	\$ -
HIV CLINICAL AND PROVIDER EDUCATION		-	-	-	-	-
HIV HEALTH CARE SUPPORTIVE SERVICES		-	-	-	-	-
HIV STD HEPATITIS C PREVENTION		-	-	-	-	-
INFANTS AND PREGNANT WOMEN REGIONAL AND TARGETED		-	-	-	-	-
AUDIT, COLLECTION, AND ENFORCEMENT PROGRAM	4,095,000					
CIGARETTE STRIKE TASK FORCE		-	321,917	967,151	149,622	1,438,690
CENTER FOR COMMUNITY HEALTH PROGRAM	144,408,082					
ADEPHI UNIVRST CANC SPRT PRG		-	-	-	-	-
BRST CANCER HOTLINE - ADELPHI		-	-	-	-	-
CENTER FOR COMMUNITY HLTH		914,366	666,834	743,445	128,538	2,453,183
EVIDENCE BASED CANCER SVC		-	-	-	-	-
FAMILY PLANNING		-	-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	-	-
INDIAN HEALTH PROGRAM		(129)	(59)	(288)	-	(476)
LEAD POISONING PREVENTION		-	-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-	-
RAPE CRISIS		-	-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-	-
TUBERCULOSIS		-	-	-	-	-
CHILD HEALTH INSURANCE PROGRAM	986,150,400					
CHILD HEALTH INSURANCE		69,434,768	161,350,428	45,780,743	12,990,822	289,556,761
COMMUNITY SUPPORT PROGRAM	165,000					
COMMUNITY SUPPORT		15,000	15,000	-	-	30,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	273,574,000					
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE		27,908,784	32,375,771	35,818,584	17,057,068	113,160,207
HEALTH CARE REFORM ACT PROGRAM	1,829,386,084					
AIDS DRUG ASSISTANCE		-	20,000,000	-	10,000,000	30,000,000
AMBULATORY CARE TRAINING		211,278	696,515	120,990	65,999	1,094,782
AREA HEALTH EDUCATION CENTER		-	2,076,374	-	-	2,076,374
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	300,000	190,000	45,000	535,000
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		-	-	30,257,679	4,623,553	34,881,232
DIVERSITY IN MEDICINE		76,666	198,041	259,779	268,492	802,978
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	-	-	-
HCRA PAYOR/PROVIDER AUDITS		215,520	-	-	-	215,520
HEALTH FACILITY RESTRUCTURING DASNY		19,600,000	-	-	-	19,600,000
HEALTH WORKFORCE RETRAINING		1,609,589	3,375,471	1,926,437	828,206	7,739,703
INFERTILITY SERVICES GRANTS		374,793	734,209	492,806	134,487	1,736,295
MEDICAL INDEMNITY FUND		-	-	-	52,000,000	52,000,000
PART 405.4 HOSPITAL AUDITS		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS NYCRR		291,048	194,032	-	-	485,080

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2015-2016

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July - September	October - December	January	January 31, 2016 (**)
PAY FOR PERFORMANCE		-	-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		-	127,400,000	-	-	127,400,000
PHYSICIAN LOAN REPAYMENT		617,398	240,562	-	61,250	919,210
PHYSICIAN PRACTICE SUPPORT		253,540	318,984	55,412	21,788	649,724
PHYSICIAN WORKFORCE STUDIES		-	-	-	-	-
POISON CONTROL CENTERS		-	-	3,000,000	-	3,000,000
POOL ADMINISTRATION		632,079	397,083	736,773	-	1,765,935
ROSWELL PARK CANCER INSTITUTE		21,777,000	21,777,000	21,777,000	21,777,000	87,108,000
RPCI CANC RSRCH OPERATING COSTS		-	-	-	-	-
RURAL HEALTH CARE ACCESS		49,123	2,865,396	2,413,436	1,199,619	6,527,574
RURAL HEALTH NETWORK		1,487,458	1,644,337	1,307,299	27,680	4,466,774
SCHOOL BASED HEALTH CENTERS		-	-	2,644,000	-	2,644,000
SCHOOL BASED HEALTH CLINICS-POOL ADMN		-	-	5,288,000	-	5,288,000
TOBACCO USE PREVENTION/CONTROL		-	-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-	-
MEDICAL ASSISTANCE PROGRAM	26,412,176,000					
BREAST AND CERVICAL CANCER		-	-	-	-	-
DISABLED PERSONS		-	-	-	-	-
FAMILY HEALTH PLUS		-	-	-	-	-
FINANCIAL ASSISTANCE		-	-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-	-
INPATIENT NURSING HOME PHARMACIES		-	-	-	-	-
MEDICAID INDIGENT CARE		187,376,824	266,021,932	208,705,779	29,603,643	691,708,178
MEDICAL ASSISTANCE		816,092,000	909,796,000	938,209,000	362,422,000	3,026,519,000
NYC MEDICAID		-	-	-	-	-
PHYSICIAN SERVICES		-	-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	9,664,200					
OFFICE OF HEALTH INSURANCE		356,393	229,701	278,109	60,823	925,026
OFFICE OF HEALTH SYSTEMS MANAGEMENT	47,052,200					
OFFICE HEALTH SYSTEMS MANAGEMENT		3,640,524	5,154,470	3,300,280	1,398,160	13,493,434
OFFICE OF LONG TERM CARE	8,582,001					
ADULT HOME INITIATIVE		-	-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-	-
TOTAL	29,790,268,967	1,152,934,022	1,558,149,998	1,304,272,414	514,863,750	4,530,220,184
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000					
Reclass of SUNY Hospital Disprop Share to Transfer		(2,542,102)	(2,541,492)	(1,792,111)	(656,719)	(7,532,424)
Reclass of SUNY Hospital Poison Control Centers to Transfer						
Reconciling Adjustment (P-Card and T-Card)		(1,617)	(1,673)	2,756	214	(320)
TOTAL APPROPRIATED AMOUNT	\$ 29,790,357,967	\$ 1,150,390,303	\$ 1,555,606,833	\$ 1,302,483,059	\$ 514,207,245	\$ 4,522,687,440

(*) Includes amounts appropriated in SFY 2015-16, as well as prior year appropriations that were reappropriated.
 (**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - January 2016
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009(*)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	January	Life-to-Date
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ -	\$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	-	10,057,887.00
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	-	399,900.00
84.033	Department of Education	Federal Work-Study Program	-	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	-	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	-	19,578,872.36
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	-	13,530,907.00
84.386	Department of Education	Education Technology State Grants, Recovery Act	-	53,551,200.24
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	-	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	-	260,866,068.00
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	-	906,803,696.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	-	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	-	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	-	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	-	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	-	696,575,810.71
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	-	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	-	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	-	2,297,731.00
84.410	Department of Education	Education Jobs Fund	-	616,479,620.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	-	411,249.00
Total Education			-	6,554,361,421.41
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	-	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	-	763,000.00
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	-	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	-	1,635,086.95
66.454	Environmental Protection Agency	Water Quality Management Planning	-	4,132,731.39
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	-	432,564,200.00
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	-	86,811,000.00
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	-	9,212,000.00
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	-	395,730,364.84
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	-	1,235,199.97
Total Energy and Environment			-	933,091,195.01
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	-	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	-	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	-	4,148,718.00
Total Food and Nutrition Services			-	11,082,466.00
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants and Children (WIC)	-	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	-	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	-	4,172,768.48
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	-	26,951,329.00
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	-	26,406,387.04
93.563	Health and Human Services	Child Support Enforcement	-	101,131,818.69
93.658	Health and Human Services	Foster Care- Title IV-E	-	54,868,712.61
93.659	Health and Human Services	Adoption Assistance	-	60,062,684.00
93.708	Health and Human Services	ARRA - Head Start	-	5,577,399.87
93.712	Health and Human Services	ARRA - Immunization	-	4,275,750.26
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	-	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	-	723,023,290.00

STATE OF NEW YORK
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - January 2016
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009(*)

APPENDIX C

<u>Federal CFDA No.</u>	<u>Federal Agency</u>	<u>Program</u>	<u>January</u>	<u>Life-to-Date</u>
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	-	1,166,708.33
93.778	Health and Human Services	Medical Assistance Program (FMAP)	7,889,650.29	14,120,820,686.57
94.006	Corporation for National and Community Service	AmeriCorps	340,623.38	8,190,651.47
Total Health and Social Services			8,230,273.67	15,263,305,087.32
Housing				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	-	21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	-	85,384,063.91
Total Housing			-	107,259,063.91
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	-	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	-	16,670,035,600.42
17.235	Department of Labor	Senior Community Service - Employment Program	-	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	-	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	-	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	-	70,633,412.47
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	-	1,112,175.14
Total Labor			-	16,869,218,638.41
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	-	8,288,986.96
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	-	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	-	7,274,394.35
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	-	1,618,399.10
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	-	1,788,999.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	-	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	-	66,946,360.41
Total Public Protection			-	96,162,852.88
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	-	932,335,656.74
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	23,402,078.88	158,644,456.18
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	-	23,215,239.28
Total Transportation			23,402,078.88	1,114,195,352.20
TOTAL ARRA DISBURSEMENTS			\$ 31,632,352.55	\$ 40,948,676,077.14

(*)On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2015-16

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2016 JANUARY	2015-16
OPENING CASH BALANCE	\$ 270,482,263.50	\$ 304,918,267.37	\$ 318,421,774.33	\$ 314,287,430.37	\$ 270,482,263.50
RECEIPTS:					
Patient Services	763,706,269.23	799,560,327.62	708,596,731.29	154,621,253.33	2,426,484,581.47
Covered Lives	272,043,413.27	270,257,878.68	261,770,536.12	46,933,729.86	851,005,557.93
Provider Assessments	25,296,971.02	24,907,802.56	24,837,343.19	5,297,926.04	80,340,042.81
1% Assessments	89,656,125.28	87,973,550.22	90,691,523.42	23,705,934.00	292,027,132.92
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	50,263.22	45,558.21	31,442.66	17,603.54	144,867.63
Unassigned	(115,989.64)	(15,738,193.12)	17,964,180.51	1,253,596.61	3,363,594.36
Total Receipts	1,150,637,052.38	1,167,006,924.17	1,103,891,757.19	231,830,043.38	3,653,365,777.12
PROGRAM DISBURSEMENTS:					
Poison Control Centers	-	-	(3,000,000.00)	-	(3,000,000.00)
School Based Health Center Grants	-	-	(5,288,000.00)	-	(5,288,000.00)
ECRIP Distributions	-	-	-	-	-
Total Program Disbursements	-	-	(8,288,000.00)	-	(8,288,000.00)
Excess (Deficiency) of Receipts over Disbursements	1,150,637,052.38	1,167,006,924.17	1,095,603,757.19	231,830,043.38	3,645,077,777.12
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	10,665,903.00	10,706,245.00	9,724,900.07	3,463,562.46	34,560,610.53
Transfers From State Funds:					
HCRA Resources Fund	-	-	8,288,000.00	-	8,288,000.00
Total Other Financing Sources	10,665,903.00	10,706,245.00	18,012,900.07	3,463,562.46	42,848,610.53
Transfers To Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund	(969,904,008.80)	(838,818,138.77)	(935,943,802.68)	(348,062,205.48)	(3,092,728,155.73)
Indigent Care Fund (matched)	(152,741,057.90)	(308,834,325.14)	(188,357,030.22)	-	(649,932,413.26)
Indigent Care Fund (non-matched)	(4,221,884.81)	(16,557,198.30)	6,549,831.68	-	(14,229,251.43)
Total Other Financing Uses	(1,126,866,951.51)	(1,164,209,662.21)	(1,117,751,001.22)	(348,062,205.48)	(3,756,889,820.42)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	34,436,003.87	13,503,506.96	(4,134,343.96)	(112,768,599.64)	(68,963,432.77)
CLOSING CASH BALANCE	\$ 304,918,267.37	\$ 318,421,774.33	\$ 314,287,430.37	\$ 201,518,830.73	\$ 201,518,830.73

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2015-16

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2016 JANUARY	2015-16
OPENING CASH BALANCE	\$ 575.10	\$ 875.58	\$ 645.51	\$ 165.31	\$ 575.10
RECEIPTS:					
Interest Income	1,444.25	1,788.93	1,132.37	-	4,365.55
Total Receipts	1,444.25	1,788.93	1,132.37	-	4,365.55
PROGRAM DISBURSEMENTS:					
Indigent Care	(153,576,647.58)	(319,857,721.00)	(181,451,064.47)	-	(654,885,433.05)
High Need Indigent Care	-	-	-	-	-
Other	(2,550,705.45)	(2,944,491.34)	(214,744.46)	-	(5,709,941.25)
Total Program Disbursements	(156,127,353.03)	(322,802,212.34)	(181,665,808.93)	-	(660,595,374.30)
Excess (Deficiency) of Receipts over Disbursements	(156,125,908.78)	(322,800,423.41)	(181,664,676.56)	-	(660,591,008.75)
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	76,370,528.95	154,417,162.58	94,178,515.12	-	324,966,206.65
HCRA Resources Indigent Care - Unmatched	3,386,295.13	14,987,269.74	(6,672,736.13)	-	11,700,828.74
HCRA Resources Indigent Care - ATB	-	(1,019,382.54)	(18,485.16)	-	(1,037,867.70)
Federal DHHS Fund	76,370,528.95	154,417,162.56	94,178,515.10	-	324,966,206.61
Other	-	-	-	-	-
Total Other Financing Sources	156,127,353.03	322,802,212.34	181,665,808.93	-	660,595,374.30
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(1,143.77)	(2,019.00)	(1,612.57)	(165.31)	(4,940.65)
Total Other Financing Uses	(1,143.77)	(2,019.00)	(1,612.57)	(165.31)	(4,940.65)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	300.48	(230.07)	(480.20)	(165.31)	(575.10)
CLOSING CASH BALANCE	\$ 875.58	\$ 645.51	\$ 165.31	\$ -	\$ -

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2015-2016
(Amounts in thousands)

APPENDIX F

	2015 APRIL	2015 MAY	2015 JUNE	2015 JULY	2015 AUGUST	2015 SEPTEMBER	2015 OCTOBER	2015 NOVEMBER	2015 DECEMBER	2016 JANUARY	2016 FEBRUARY	2016 MARCH	2015-2016 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 44	\$ -	\$ -			\$ 44
Education - EXCEL	8,242	-	9,944	306	1,013	-	-	1,673	2,026	534			23,738
Department of Health - All Other	-	3	10	-	1	-	-	1	-	-			15
Community Enhancement Facilities Assistance Program (CEFAP)	175	2	45	-	-	-	-	-	-	-			222
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	414	325	379	288	1,015	270	55	642	164	491			4,043
Multi-modal	-	-	-	-	-	-	-	840	-	-			840
GenNysis	-	-	-	-	-	-	-	-	-	-			-
CUNY Senior Colleges	26,448	4,466	30,982	21,399	21,276	19,466	10,686	42,864	58,463	22,388			258,438
CUNY Community Colleges	2,403	1,286	2,860	1,947	2,172	2,323	1,325	2,729	3,937	847			21,829
SUNY Dormitories	3,892	5,459	5,340	7,400	2,058	328	63	1,749	56	168			26,513
Upstate Community Colleges	4,831	1,796	3,971	3,481	1,697	7,485	2,598	6,105	4,316	1,036			37,316
Mental Health	7,792	3,356	14,319	6,519	8,497	4,798	4,331	7,961	30,250	3,476			91,299
Developmental Disabilities	1,634	813	1,576	2,756	1,062	1,055	719	1,455	1,129	790			12,989
Alcoholism and Substance Abuse	297	47	400	221	233	136	614	214	575	140			2,877
Brooklyn Court Officer Training Academy	21	204	1,262	412	202	357	226	336	833	383			4,236
TOTAL DORMITORY AUTHORITY	56,149	17,757	71,088	44,729	39,226	36,218	20,617	66,613	101,749	30,253	-	-	484,399
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-	-			-
Community Capital Assistance Program (CCAP)	-	2,033	19	-	150	8	-	9	28	13			2,260
Empire Opportunity	-	-	-	-	-	-	-	-	-	-			-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-			-
State Facilities and Equipment	-	10,467	-	-	-	-	-	-	-	-			10,467
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	12,500	19	-	150	8	-	9	28	13	-	-	12,727
TOTAL OFF-BUDGET	\$ 56,149	\$ 30,257	\$ 71,107	\$ 44,729	\$ 39,376	\$ 36,226	\$ 20,617	\$ 66,622	\$ 101,777	\$ 30,266	\$ -	\$ -	\$ 497,126

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2015	November 30, 2015	December 31, 2015	Change	January 31, 2016
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	TOTAL GENERAL FUND	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	265,213,292.15	280,957,842.41	381,618,463.33	(74,948,713.40)	306,669,749.93
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	11,798,880.77	11,798,880.77	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	48,116,544.31	46,053,723.99	50,110,523.60	15,416,225.25	65,526,748.85
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	97,683,214.19	105,167,524.94	113,494,717.74	7,049,693.85	120,544,411.59
31701	YOUTH FACILITIES IMPROVEMENT	12,729,191.48	15,240,285.61	17,440,084.68	1,227,971.81	18,668,056.49
31801	HOUSING ASSISTANCE	15,057,846.05	15,057,846.05	10,815,607.54	-	10,815,607.54
31851	HOUSING PROG FD-HSG TR FD CORP	39,863,627.42	39,863,627.42	-	-	-
31852	HOUSING PROG FD AFFORD HSG CORP	38,986,509.62	46,171,509.62	29,437,271.89	-	29,437,271.89
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	105,200,569.62	115,306,547.38	80,303,763.88	10,111,898.06	90,415,661.94
31854	HOUSING PROG FD-HFA	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2015	November 30, 2015	December 31, 2015	Change	January 31, 2016
31951	HIGHWAY FAC PURPOSE	12,746,740.49	12,746,740.49	12,755,433.09	-	12,755,433.09
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	141,250,102.73	146,854,702.15	133,278,038.13	2,088,419.10	135,366,457.23
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32305	OPWDD-COMMUNITY FACILITIES	184,639,156.60	186,800,828.88	187,674,042.68	2,527,686.88	190,201,729.56
32306	DASNY - OMH ADMIN	31,497,129.30	33,003,480.60	33,023,706.94	96,792.72	33,120,499.66
32307	DASNY - OPWDD ADMIN	4,985,552.36	4,985,552.36	4,985,552.36	-	4,985,552.36
32308	DASNY - OASAS ADMIN	430,310.66	430,310.66	430,310.66	-	430,310.66
32309	OMH -STATE FACILITIES	82,866,534.25	90,986,635.43	64,876,483.95	4,069,082.42	68,945,566.37
32310	OPWDD -STATE FACILITIES	-	-	-	-	-
32311	OASAS -STATE FACILITIES	902,748.46	1,197,122.15	1,380,437.46	19,418.08	1,399,855.54
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	56,888,347.52	89,702,603.56	110,530,749.31	12,958,050.37	123,488,799.68
33001	STORM RECOVERY ACCOUNT	45,161,510.91	48,600,925.28	48,878,955.70	2,212,255.82	51,091,211.52
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,196,171,558.89	1,291,080,439.75	1,281,187,892.94	(17,171,219.04)	1,264,016,673.90
	STATE SPECIAL REVENUE FUNDS					
20451	TUITION REIMBURSEMENT FUND	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	131,895,143.15	52,647,250.17	-	4,200,942.92	4,200,942.92
20818	EPIC PREMIUM ACCOUNT	19,239,248.98	-	-	10,658,516.38	10,658,516.38
20901	LOTTERY-EDUCATION	1,145,106,826.52	1,007,470,674.17	832,648,652.66	(211,932,254.16)	620,716,398.50
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,624,433.67	4,112,137.67	4,367,150.22	1,653,765.20	6,020,915.42
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	2,752,651.50	933,323.41	1,920,267.57	669,565.28	2,589,832.85
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,904,426.81	4,495,020.24	4,152,388.12	89,302.68	4,241,690.80
21067	ENCON-RECREATION	10,668,647.47	9,575,499.66	8,895,102.91	188,775.71	9,083,878.62
21077	PUBLIC SAFETY RECOVERY ACCOUNT	5,081.15	3,950.15	5,547.15	(5,547.15)	-
21081	ENVIRONMENTAL REGULATORY	23,584,785.29	25,358,914.26	26,276,187.95	(130,234.93)	26,145,953.02
21082	NATURAL RESOURCES ACCOUNT	18,847,993.22	18,215,320.41	18,208,706.45	(313,220.17)	17,895,486.28
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	455,139.12	567,786.98	629,213.23	39,194.94	668,408.17
21202	HEALTH DEPT OIL SPILL	148,565.06	163,680.36	210,870.34	14,379.17	225,249.51
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	8,742,543.37	11,094,788.91	12,417,143.14	854,628.72	13,271,771.86
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	5,813,417.83	4,573,758.36	(4,573,758.36)	-
21402	METROPOLITAN MASS TRANSPORTATION	-	213,228,961.80	677,791,552.17	(270,208,928.56)	407,582,623.61
21451	OPERATING PERMIT PROGRAM	16,761,587.60	16,910,578.71	17,357,683.53	698,264.07	18,055,947.60
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARCS	-	-	-	-	-
21903	OPWDD PROVIDER OF SERVICE	2,158,825.98	2,158,825.98	2,499,427.10	(329,293.00)	2,170,134.10
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	112,472.55	416,664.89	1,202,113.63	151,999.14	1,354,112.77
21912	RACING REGULATION ACCOUNT	5,089,267.32	4,379,877.87	6,179,567.40	(1,502,474.83)	4,677,092.57
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	17,199,106.48	17,183,977.41	18,573,306.03	(154,370.65)	18,418,935.38
21937	SU DORM INCOME REIMBURSE	55,745.61	488,303.83	99,490.46	201,804.77	301,295.23
21943	ENERGY RESEARCH ACCOUNT	8,099,167.41	8,099,167.41	8,099,167.41	(3,807,500.00)	4,291,667.41
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21950	FINGERPRINT IDENTIFICATION AND TECH ACCOUNT	-	-	-	-	-
21959	ENV LAB REF FEE	444,528.72	559,833.23	1,073,838.26	169,005.83	1,242,844.09
21962	CLINICAL LAB FEE	12,336,517.65	12,520,568.52	13,420,193.14	(983,563.27)	12,436,629.87
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	604,829.13	689,255.75	698,452.56	34,911.75	733,364.31
22007	PARKING ACCOUNT	870,850.48	682,223.89	-	-	-
22009	ASBESTOS SAFETY TRAINING	106,133.58	113,749.25	163,565.69	23,411.45	186,977.14
22032	BATAVIA SCHOOL FOR THE BLIND	10,322,005.80	10,760,089.79	11,974,531.52	322,141.84	12,296,673.36
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	174,302.54	695,060.15	943,446.51	184,077.39	1,127,523.90
22046	REGULATION INDIAN GAMING	64,453,203.85	64,086,390.11	65,449,640.37	20,840.08	65,470,480.45

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2015	November 30, 2015	December 31, 2015	Change	January 31, 2016
22053	ROME SCHOOL FOR THE DEAF	4,693,058.85	5,093,302.33	6,048,900.78	139,639.51	6,188,540.29
22054	DSP-SEIZED ASSETS	-	-	-	11,254,040.47	11,254,040.47
22055	ADMINISTRATIVE ADJUDICATION	7,184,554.40	8,936,677.57	1,185,573.01	2,325,714.82	3,511,287.83
22056	FEDERAL SALARY SHARING	905,571.82	1,259,128.04	1,402,250.62	95,621.89	1,497,872.51
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	2,413,748.48	1,189,259.67	2,983,609.11	294,185.90	3,277,795.01
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	4,725,808.03	5,044,092.62	5,591,546.11	368,925.77	5,960,471.88
22087	DMV-COMPULSORY INS PRGM	-	-	-	-	-
22090	HOUSING INDIRECT COST RECOVERY	6,040,829.07	6,259,765.81	6,599,219.85	262,095.54	6,861,315.39
22094	ACCIDENT PREVENTION COURSE PROGRAM	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	12,738.89	175,604.42	285,254.12	(285,254.12)	-
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	90,655.21	114,629.22	78,461.79	30,862.68	109,324.47
22156	RENT REVENUE OTHER - NYC	18,792,280.96	20,839,573.34	23,580,391.65	2,288,602.96	25,868,994.61
22158	RENT REVENUE	906,419.30	835,304.64	727,622.84	75.34	727,698.18
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	19,411,136.99	19,416,570.19	19,418,963.16	4,133.71	19,423,096.87
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	7,478,386.19	7,809,948.22	7,896,645.33	250,633.63	8,147,278.96
23101	EFC DRINKING WATER PROGRAM	-	-	-	-	-
23102	DOH DRINKING WATER PROGRAM	8,741,001.67	5,595,415.22	6,554,508.94	397,851.18	6,952,360.12
23151	NYCCO OPERATING OFFSET	40,035,389.02	42,391,824.04	45,544,932.60	2,239,761.14	47,784,693.74
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	4,120,787.77	4,575,069.55	4,829,277.20	271,995.30	5,101,272.50
	TOTAL STATE SPECIAL REVENUE FUNDS	1,633,316,396.66	1,622,961,457.69	1,872,558,120.99	(453,826,732.04)	1,418,731,388.95
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	36,967,213.67	12,690,999.79	51,928,919.45	(45,245,353.70)	6,683,565.75
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	192,950,285.23	92,693,528.84	65,178,397.46	3,246,614.96	68,425,012.42
25200-25249	FEDERAL EDUCATION GRANTS FUND	62,339,053.78	37,529,297.76	5,601,674.76	12,276,224.04	17,877,898.80
25300-25899	FEDERAL OPERATING GRANTS FUND	434,677,236.58	313,352,555.41	315,924,172.52	25,543,321.58	341,467,494.10
31351	MILITARY AND NAVAL AFFAIRS	6,741,847.25	6,746,647.25	6,776,255.25	(19,158.00)	6,757,097.25
31354	DEPARTMENT OF TRANSPORTATION	273,241,897.63	310,598,814.79	220,661,968.13	(21,375,341.15)	199,286,626.98
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	74,635,182.62	103,682,969.28	138,525,092.75	16,967,669.68	155,492,762.43
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	13,774,687.75	6,330,130.85	26,354,200.01	(15,073,930.38)	11,280,269.63
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	-	-	-	-	-
26000-26049	DOL EMPLOYMENT AND TRAINING GRANTS	10,765,220.63	1,245,105.29	545,249.05	1,793,444.94	2,338,693.99
	TOTAL FEDERAL FUNDS	1,106,092,625.14	884,870,049.26	831,495,929.38	(21,886,508.03)	809,609,421.35
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	101,649.95	-	-	-	-
	TOTAL ENTERPRISE FUND	101,649.95	-	-	-	-
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	844,777.55	773,051.87	861,584.17	256,049.42	1,117,633.59
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	3,551,839.52	3,541,105.25	4,089,248.44	(137,612.63)	3,951,635.81
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	20,880.09	-	128,094.03	52,176.45	180,270.48
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	1,880,980.61	2,061,233.50	1,811,245.86	(511,385.48)	1,299,860.38
55008	CENTRALIZED SERVICES-PASNY	14,777,081.71	14,225,726.47	16,033,329.42	(2,434,969.08)	13,598,360.34
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	-	-	-	-	-
55011	CENTRALIZED SERVICES-INSURANCE	3,549,680.77	2,621,107.99	2,657,504.89	261,425.86	2,918,930.75
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	51,582.37	90,349.37	136,730.62	(3,064.00)	133,666.62
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	-	26,961.54
55017	DOWNSTATE WAREHOUSE	69,274.00	268,185.71	-	-	-
55018	BUILDING ADMINISTRATION	92,993.83	811,202.84	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	45,606,224.69	53,368,048.76	56,334,813.26	2,423,703.81	58,758,517.07

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2015	November 30, 2015	December 31, 2015	Change	January 31, 2016
55021	NYS MEDIA CENTER	4,672,569.19	4,360,627.00	4,617,931.67	2,465.97	4,620,397.64
55022	BUSINESS SERVICES CENTER	2,228,050.40	2,414,378.86	1,919,122.56	(610,460.28)	1,308,662.28
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE LAW:SEC. 11 ADMIN	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	47,791.69	98,664.17	212,199.59	(125,586.32)	86,613.27
55057	BANKING SERVICES ACCOUNT	292,911.64	603.67	268,978.51	(220,378.93)	48,599.58
55058	CULTURAL RESOURCE SURVEY	4,255,924.61	4,621,140.29	4,044,873.87	(1,734,141.33)	2,310,732.54
55059	NEIGHBOR WORK PROJECT	11,013,146.17	11,013,146.17	10,930,807.25	926,846.06	11,857,653.31
55060	AUTOMATIC/PRINT CHARGBACKS	-	-	1,482,061.62	(1,482,061.62)	-
55061	OFT NYT ACCT	1,385,840.57	92,060.07	45,409.70	(45,409.70)	-
55062	DATA CENTER ACCOUNT	46,113,550.40	46,113,550.40	46,113,550.40	-	46,113,550.40
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	128,939.97	103,958.55	169,600.07	51,200.26	220,800.33
55069	CENTRALIZED TECHNOLOGY SERVICES	72,495,347.86	88,558,301.07	95,212,168.56	20,551,398.26	115,763,566.82
55071	LABOR CONTACT CENTER ACCT	969,817.13	1,037,811.62	1,353,449.73	109,353.43	1,462,803.16
55072	HUMAN SERVICES CONTACT CNTR ACCT	563,307.79	889,819.09	1,503,765.16	(873,238.24)	630,526.92
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	4,111,297.20	4,454,795.23	4,860,201.94	195,939.85	5,056,141.79
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	4,222,671.71	338,264.24	22,840.40	2,482,834.28	2,505,674.68
55300	HEALTH INSURANCE INTERNAL SERVICE	9,962,037.20	9,305,472.83	9,671,937.51	(1,555,525.53)	8,116,411.98
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	4,898,439.24	5,018,251.54	5,436,136.67	124,350.57	5,560,487.24
55350	CORR INDUSTRIES INTERNAL SERVICE	22,893,065.87	22,694,356.13	23,798,278.35	1,266,768.35	25,065,046.70
	TOTAL INTERNAL SERVICE FUNDS	261,988,569.59	280,163,758.50	295,004,410.06	18,970,679.43	313,975,089.49
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 4,197,670,800.23	\$ 4,079,075,705.20	\$ 4,280,246,353.37	\$ (473,913,779.68)	\$ 3,806,332,573.69

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 60, Part I, Section 1 and 1A, of the Laws of 2015-16.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix G are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
 DEDICATED INFRASTRUCTURE INVESTMENT FUND (*)
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2015-16

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2016
OPENING CASH BALANCE	\$ -	\$ -	\$ -	\$ 101,925,000	\$ 130,856,064	\$ 132,748,357	\$ 170,252,276	\$ 143,435,772	\$ 187,351,891	\$ 145,734,594			\$ -
RECEIPTS:													
Transfers from General Fund (**)	-	-	101,925,000	50,000,000	60,000,000	95,000,000	70,000,000	150,000,000	60,000,000	150,000,000			736,925,000
Total Receipts	-	-	101,925,000	50,000,000	60,000,000	95,000,000	70,000,000	150,000,000	60,000,000	150,000,000	-	-	736,925,000
DISBURSEMENTS:													
Broadband Initiative	-	-	-	-	-	-	-	-	-	-	-	-	-
Health Care / Hospital Initiatives	-	-	-	-	-	-	-	-	15,500,000	-	-	-	15,500,000
Infrastructure Improvements	-	-	-	-	84,280	258,780	-	1,149,268	19,512	43,171	-	-	1,555,011
Municipal Restructuring	-	-	-	-	-	-	-	-	-	-	-	-	-
Penn Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Resiliency, Mitigation, Security and Emergency Response (***)	-	-	-	-	-	225,366	124,089	27,315,235	9,066,802	12,520,309	-	-	49,251,801
Southern Tier / Hudson Valley Farm Initiative	-	-	-	-	-	-	-	-	-	200,000	-	-	200,000
Thruway Stabilization Program	-	-	-	21,068,936	58,023,427	57,011,935	96,692,415	77,619,378	77,030,983	96,606,976	-	-	484,054,050
Transformative Economic Development Projects	-	-	-	-	-	-	-	-	-	-	-	-	-
Upstate Revitalization Program	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	-	-	-	21,068,936	58,107,707	57,496,081	96,816,504	106,083,881	101,617,297	109,370,456	-	-	550,560,862
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	-	-	-	21,068,936	58,107,707	57,496,081	96,816,504	106,083,881	101,617,297	109,370,456	-	-	550,560,862
CLOSING CASH BALANCE	\$ -	\$ -	\$ 101,925,000	\$ 130,856,064	\$ 132,748,357	\$ 170,252,276	\$ 143,435,772	\$ 187,351,891	\$ 145,734,594	\$ 186,364,138	\$ -	\$ -	\$ 186,364,138

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Chapter 60, Laws of 2015-16, Part I

(***) Disbursements include costs to prepare for, prevent, deter or respond to acts of terrorism, severe weather events, and risks to public safety such as the Clinton County Correctional Facility prisoner escape, the 2014 blizzard in Buffalo, and increased security at major transportation hubs and critical infrastructure in NYC.