

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
November 2012**



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (*)
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	STATE OPERATING FUNDS						TOTAL STATE OPERATING FUNDS		FEDERAL SPECIAL REVENUE		CAPITAL PROJECTS		SPECIAL REVENUE ELIMINATIONS		TOTAL GOVERNMENTAL FUNDS	
	GENERAL		STATE SPECIAL REVENUE		DEBT SERVICE		MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED
	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	MONTH OF	8 MOS. ENDED	NOV. 2012	NOV. 30, 2012	NOV. 2012	NOV. 30, 2012	NOV. 2012	NOV. 30, 2012	NOV. 2012	NOV. 30, 2012	NOV. 2012	NOV. 30, 2012
RECEIPTS:																
Personal Income Tax	\$1,339.1	\$17,185.0	\$36.0	\$629.8	\$458.4	\$5,938.3	\$1,833.5	\$23,753.1	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$1,833.5	\$23,753.1
Consumption/Use Taxes	675.2	5,918.8	155.5	1,444.4	207.2	1,811.9	1,037.9	9,175.1	--	--	45.1	393.6	--	--	1,083.0	9,568.7
Business Taxes	100.9	2,714.2	72.2	810.3	--	--	173.1	3,524.5	--	--	53.1	424.9	--	--	226.2	3,949.4
Other Taxes	70.3	730.1	83.0	737.9	41.5	412.9	194.8	1,880.9	--	--	11.9	71.5	--	--	206.7	1,952.4
Miscellaneous Receipts (11)	183.9	2,144.4	1,085.1	10,121.2	52.7	521.7	1,321.7	12,787.3	15.7	126.5	483.3	2,599.6	--	--	1,820.7	15,513.4
Federal Receipts (9)	12.9	46.3	1.7	14.9	--	39.4	14.6	100.6	4,035.8	25,740.2	158.0	1,357.0	--	--	4,208.4	27,197.8
Total Receipts	2,382.3	28,738.8	1,433.5	13,758.5	759.8	8,724.2	4,575.6	51,221.5	4,051.5	25,866.7	751.4	4,846.6	--	--	9,378.5	81,934.8
DISBURSEMENTS:																
Local Assistance Grants (1)(5)(6)(7)(8)(10)	2,569.3	22,428.7	1,237.0	11,207.5	--	--	3,806.3	33,636.2	2,550.6	22,342.8	170.8	1,002.6	--	--	6,527.7	56,981.6
Departmental Operations:																
Personal Service (6)	465.3	4,341.9	633.2	4,031.5	--	--	1,098.5	8,373.4	46.8	387.8	--	--	--	--	1,145.3	8,761.2
Non-Personal Service (6)	88.6	987.6	316.4	2,099.4	0.9	19.5	405.9	3,106.5	54.0	537.8	--	--	--	--	459.9	3,644.3
General State Charges	329.4	2,726.5	93.4	848.3	--	--	422.8	3,574.8	1.6	101.0	--	--	--	--	424.4	3,675.8
Debt Service, Including Payments on:																
Financing Agreements (2)	--	--	--	--	206.0	2,627.9	206.0	2,627.9	--	--	--	--	--	--	206.0	2,627.9
Capital Projects (3)(10)	--	--	(0.3)	2.9	--	--	(0.3)	2.9	--	--	424.6	3,519.6	--	--	424.3	3,522.5
Total Disbursements	3,452.6	30,484.7	2,279.7	18,189.6	206.9	2,647.4	5,939.2	51,321.7	2,653.0	23,369.4	595.4	4,522.2	--	--	9,187.6	79,213.3
Excess (Deficiency) of Receipts over Disbursements	(1,070.3)	(1,745.9)	(846.2)	(4,431.1)	552.9	6,076.8	(1,363.6)	(100.2)	1,398.5	2,497.3	156.0	324.4	--	--	190.9	2,721.5
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (4)	400.7	7,119.4	945.2	5,479.5	604.1	4,447.1	1,950.0	17,046.0	--	--	(130.9)	362.9	(44.2)	(332.2)	1,774.9	17,076.7
Transfers to Other Funds (4)	(526.4)	(4,707.2)	(19.8)	(219.9)	(619.6)	(9,009.2)	(1,165.8)	(13,936.3)	(571.0)	(2,630.0)	(93.1)	(896.5)	44.2	332.2	(1,785.7)	(17,130.6)
Total Other Financing Sources (Uses)	(125.7)	2,412.2	925.4	5,259.6	(15.5)	(4,562.1)	784.2	3,109.7	(571.0)	(2,630.0)	(224.0)	(533.6)	--	--	(10.8)	(53.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,196.0)	666.3	79.2	828.5	537.4	1,514.7	(579.4)	3,009.5	827.5	(132.7)	(68.0)	(209.2)	--	--	180.1	2,667.6
Beginning Fund Balances (Deficit)	3,649.0	1,786.7	2,382.1	1,632.8	1,404.8	427.5	7,435.9	3,847.0	(997.5)	(37.3)	(590.6)	(449.4)	--	--	5,847.8	3,360.3
Ending Fund Balances (Deficit)	\$2,453.0	\$2,453.0	\$2,461.3	\$2,461.3	\$1,942.2	\$1,942.2	\$6,856.5	\$6,856.5	(\$170.0)	(\$170.0)	(\$658.6)	(\$658.6)	\$ --	\$ --	\$6,027.9	\$6,027.9

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds. Federal Special Revenue Funds account for all non-capital federal operating grants received by the State. Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2011	8 MOS. ENDED NOV. 30, 2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax	\$1,339.1	\$17,185.0	\$36.0	\$629.8	\$458.4	\$5,938.3	\$ --	\$ --	\$1,833.5	\$23,753.1	\$2,128.9	\$23,620.4	\$132.7	0.6%
Consumption/Use Taxes	675.2	5,918.8	155.5	1,444.4	207.2	1,811.9	45.1	393.6	1,083.0	9,568.7	1,103.9	9,597.9	(29.2)	-0.3%
Business Taxes	100.9	2,714.2	72.2	810.3	--	--	53.1	424.9	226.2	3,949.4	214.5	3,731.8	217.6	5.8%
Other Taxes	70.3	730.1	83.0	737.9	41.5	412.9	11.9	71.5	206.7	1,952.4	274.8	2,111.2	(158.8)	-7.5%
Miscellaneous Receipts (11)	183.9	2,144.4	1,100.8	10,247.7	52.7	521.7	483.3	2,599.6	1,820.7	15,513.4	1,952.3	14,701.6	811.8	5.5%
Federal Receipts (9)	12.9	46.3	4,037.5	25,755.1	--	39.4	158.0	1,357.0	4,208.4	27,197.8	3,694.8	29,534.9	(2,337.1)	-7.9%
Total Receipts	2,382.3	28,738.8	5,485.0	39,625.2	759.8	8,724.2	751.4	4,846.6	9,378.5	81,934.8	9,369.2	83,297.8	(1,363.0)	-1.6%
DISBURSEMENTS:														
Local Assistance Grants (1)(5)(6)(7)(8)(10)	2,569.3	22,428.7	3,787.6	33,550.3	--	--	170.8	1,002.6	6,527.7	56,981.6	7,766.5	59,989.2	(3,007.6)	-5.0%
Departmental Operations:														
Personal Service (6)	465.3	4,341.9	680.0	4,419.3	--	--	--	--	1,145.3	8,761.2	965.1	8,336.5	424.7	5.1%
Non-Personal Service (6)	88.6	987.6	370.4	2,637.2	0.9	19.5	--	--	459.9	3,644.3	480.8	3,849.4	(205.1)	-5.3%
General State Charges	329.4	2,726.5	95.0	949.3	--	--	--	--	424.4	3,675.8	479.9	3,627.6	48.2	1.3%
Debt Service, Including Payments on														
Financing Agreements (2)	--	--	--	--	206.0	2,627.9	--	--	206.0	2,627.9	185.9	2,571.9	56.0	2.2%
Capital Projects (3)(10)	--	--	(0.3)	2.9	--	--	424.6	3,519.6	424.3	3,522.5	472.5	3,417.6	104.9	3.1%
Total Disbursements	3,452.6	30,484.7	4,932.7	41,559.0	206.9	2,647.4	595.4	4,522.2	9,187.6	79,213.3	10,350.7	81,792.2	(2,578.9)	-3.2%
Excess (Deficiency) of Receipts over Disbursements	(1,070.3)	(1,745.9)	552.3	(1,933.8)	552.9	6,076.8	156.0	324.4	190.9	2,721.5	(981.5)	1,505.6	1,215.9	80.8%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (4)	400.7	7,119.4	901.0	5,147.3	604.1	4,447.1	(130.9)	362.9	1,774.9	17,076.7	1,527.3	16,818.5	258.2	1.5%
Transfers to Other Funds (4)	(526.4)	(4,707.2)	(546.6)	(2,517.7)	(619.6)	(9,009.2)	(93.1)	(896.5)	(1,785.7)	(17,130.6)	(1,531.6)	(16,846.5)	284.1	1.7%
Total Other Financing Sources (Uses)	(125.7)	2,412.2	354.4	2,629.6	(15.5)	(4,562.1)	(224.0)	(533.6)	(10.8)	(53.9)	(4.3)	(28.0)	(25.9)	-92.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,196.0)	666.3	906.7	695.8	537.4	1,514.7	(68.0)	(209.2)	180.1	2,667.6	(985.8)	1,477.6	1,190.0	80.5%
Beginning Fund Balances (Deficit)	3,649.0	1,786.7	1,384.6	1,595.5	1,404.8	427.5	(590.6)	(449.4)	5,847.8	3,360.3	6,275.7	3,812.3	(452.0)	-11.9%
Ending Fund Balances (Deficit)	\$2,453.0	\$2,453.0	\$2,291.3	\$2,291.3	\$1,942.2	\$1,942.2	(\$658.6)	(\$658.6)	\$6,027.9	\$6,027.9	\$5,289.9	\$5,289.9	\$738.0	14.0%

GOVERNMENTAL FUNDS FOOTNOTES

November 2012 - Exhibit A Notes

1. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in December 2012:

Federal DHHS	\$42.6 million
Federal USDA/Food and Consumer Services	8.1
Federal DHHS/Block Grant	--
Federal Education	35.3
Federal Miscellaneous Operating Grants	162.9
Federal Employment and Training Grants	0.6

2. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$69.7 million
Urban Development Corporation (Youth Facilities)	5.5
Housing Finance Agency (HFA)	231.5
Housing Assistance Fund	20.4
Dormitory Authority (Mental Hygiene)	340.7
Dormitory Authority and State University Income Fund	69.0
Federal Capital Projects	--
State bond and note proceeds	101.2

4. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects	\$257.9 million
General Debt Service	1,239.2
MTA Financial Assistance	269.9
MTA Operating Assistance	30.3
Housing Debt Fund	5.2
Banking Services	45.7
Crimes Against Revenue Account	10.0
Alcohol Beverage Control	10.7
Financial Management Systems	24.0
Court Facilities Incentive Aid	96.6
Centralized Tech Services	14.0
NYC County Courts Operating	8.6
Indigent Legal Services Fund	34.5
SUNY - General Revenue Offset Account	340.4
SUNY - Hospitals IFR Account	44.9
SUNY - Stabilization Account	15.8

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$12.5m), the State University Income Funds (\$177.3m) and the Mental Hygiene Program Account (\$2,069.6m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of November 30, 2012 - pursuant to a certification of the Budget Director - the reserve amount is \$383.8 million, which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds of (\$2,295.5m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

SUNY Income Fund	\$44.4 million
Revenue Arrearage Account	21.6
Youth Facilities Per Diem	15.6
Business & Licensing Services Account	20.7
Fire Prevent & Code Enforcement Account	7.1
Public Safety Communications Account	10.0
State Police Motor Vehicle Law	10.0
Miscellaneous State Special Revenue Fund	4.8

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,875.6 million
Local Government Assistance Tax	1,742.1
Clean Water/Clean Air	344.5

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$93.1m), Mental Hygiene (\$1,757.0m) and the State University (\$164.7m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$15.1m) the General Debt Service Fund (\$815.6m), and the Revenue Bond Tax Fund (\$65.8m).

5. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. Accounting entries will be made in December 2012, to reduce medical assistance spending and count these monies as financial resources of the funds shown.

	Allocation of Month-End Balances	
	General Fund	Special Revenue- Federal
Medicaid Recoveries - Health Facilities	\$11,585,348	\$22,515,820
Medicaid Recoveries - Audit	18,234,049	--
Medicaid Recoveries - Third Parties	8,777,676	782,460
Pharmacy Rebates	6,939,769	5,906,897
Medicare Catastrophic Recovery	36,284,025	--
Medicaid "Windfall" Recovery	36,774	--
Total	\$81,857,641	\$29,205,177

6. Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Services to Non-Personal Services and Occupational Training Account Benefit Payments have been reclassified from Non-Personal Services to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Personal Service, Non-Personal Service and Local Assistance Grant disbursements.

7. Beginning April 1, 2012 New York State converted to a new Central Accounting System. Local Assistance Grants disbursements are now accounted for by program and not by object of expense. Therefore, only a total is available for reporting purposes.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

November 2012 - **Exhibit A Notes**
(Continued)

8. A portion of Personal Income Tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$399.8m for the month of June, \$187.9 in September, \$6.1 in October, and \$36.0 in November.
9. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.
10. In October 2012, it was discovered some Department of Transportation Program Grant Disbursements were coded as State Capital Projects. Upon further review it was determined these disbursements should properly be reported as Local Assistance Grants. Capital Projects disbursements have been reduced and Local Assistance Grants increased in the current year as follows.

April	\$10.2 million
May	42.9
June	24.4
July	38.6
August	26.9
September	55.6
October	38.6
	<u>\$237.2</u>

GOVERNMENTAL FUNDS FOOTNOTES (continued)

11. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	8 Months Ended November 30		\$ Increase/ (Decrease)
					2012	2011	
			(amounts in millions)				
Abandoned Property							
Abandoned Property	\$295.0	\$ --	\$ --	\$ --	\$295.0	\$513.3	(\$218.3)
Unclaimed Bottle Deposits	69.4	--	--	--	69.4	61.6	7.8
Interest Earnings	1.5	16.3	0.4	0.4	18.6	19.6	(1.0)
Receipts from Public Authorities:							
Bond Issuance Fees	85.2	7.2	--	--	92.4	58.8	33.6
Cost Recovery Assessments	--	19.8	--	--	19.8	27.5	(7.7)
Dormitory Authority	--	--	--	10.9	10.9	--	10.9
Empire State/Urban Development Corporation	--	--	--	--	--	0.9	(0.9)
Environmental Facilities Corporation	--	7.4	--	--	7.4	7.0	0.4
Ogdensburgh Bridge	--	--	--	0.1	0.1	--	0.1
Port of Oswego	--	--	--	0.1	0.1	--	0.1
Power Authority	21.0	7.2	--	--	28.2	48.4	(20.2)
State of New York Mortgage Agency	100.0	--	--	--	100.0	--	100.0
Thruway Authority - Policing the Thruway	0.4	34.0	--	--	34.4	28.7	5.7
Bond Proceeds							
Dormitory Authority	--	0.2	--	1,263.6	1,263.8	879.1	384.7
Empire State/Urban Development Corporation	--	--	--	261.8	261.8	598.3	(336.5)
Environmental Facilities Corporation	--	--	--	--	--	1.2	(1.2)
Thruway Authority	--	--	--	407.5	407.5	249.4	158.1
All Other	--	1.6	--	--	1.6	1.0	0.6
Refunds and Reimbursements:							
Receipts from Municipalities	119.4	71.8	6.4	1.9	199.5	193.9	5.6
Women, Infants and Children Rebates	--	74.7	--	--	74.7	70.7	4.0
HESC Student Loan Recoveries	--	51.5	--	--	51.5	47.5	4.0
Administrative Recoveries	43.5	69.2	--	0.7	113.4	104.9	8.5
Indirect Cost Assessments	46.4	--	--	--	46.4	99.4	(53.0)
EPIC Fees and Rebates	--	20.1	--	--	20.1	117.6	(97.5)
Reimbursements from Cornell University	--	1.4	--	--	1.4	7.0	(5.6)
Hazardous Waste and Oil Spill	--	2.8	--	8.6	11.4	14.1	(2.7)
Third Party Recoveries	--	116.2	--	--	116.2	34.6	81.6
All Other	23.4	2.6	1.4	1.5	28.9	27.2	1.7
Health Care Reform Act:							
Public Goods and Health Care Initiatives Pools	--	2,798.5	--	--	2,798.5	2,740.6	57.9
Revenues of State Departments:							
Patient/Client Care Reimbursements	--	1,279.3	276.7	--	1,556.0	1,467.2	88.8
Medical Care Provider Assessments	109.4	515.8	--	--	625.2	672.0	(46.8)
Industry Assessments - Regular	--	522.2	--	66.5	588.7	622.8	(34.1)
Industry Assessments - Temporary Utility Surcharge	249.8	--	--	--	249.8	260.0	(10.2)
Student Tuition, Fees and Other SUNY Revenues	--	1,323.5	236.8	--	1,560.3	1,480.1	80.2
Student Tuition, Fees and Other CUNY Revenues	--	50.3	--	--	50.3	87.2	(36.9)
Miscellaneous Sales, Rentals and Leases	28.8	15.5	--	5.3	49.6	29.7	19.9
Gifts	0.7	3.6	--	--	4.3	4.9	(0.6)
All Other	6.2	5.1	--	0.3	11.6	27.0	(15.4)
Gaming:							
Lottery - Education	--	1,214.6	--	--	1,214.6	1,148.4	66.2
Lottery - Administration	--	356.4	--	--	356.4	347.3	9.1
Video Lottery Terminal - Education	--	549.0	--	--	549.0	396.6	152.4
Video Lottery Terminal - Administration	--	32.9	--	--	32.9	23.0	9.9
Licenses and Fees							
Motor Vehicle - Other	4.2	167.3	--	525.5	697.0	667.2	29.8
Motor Vehicle - Metropolitan Transportation Authority	--	126.0	--	--	126.0	130.2	(4.2)
Alcohol Beverage Control Licensing	39.3	--	--	--	39.3	41.1	(1.8)
All Other	226.3	696.6	--	43.2	966.1	1,049.6	(83.5)
Fines	674.5	87.1	--	1.7	763.3	295.0	468.3
TOTAL	\$2,144.4	\$10,247.7	\$521.7	\$2,599.6	\$15,513.4	\$14,701.6	\$811.8

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2011	8 MOS. ENDED NOV. 30, 2011
RECEIPTS:								
Miscellaneous Receipts	\$4.6	\$128.8	\$7.3	\$151.2	\$11.9	\$280.0	\$46.7	\$442.2
Federal Receipts	273.6	2,389.9	--	--	273.6	2,389.9	388.3	2,649.6
Unemployment Taxes	263.6	2,151.9	--	--	263.6	2,151.9	272.4	2,192.8
TOTAL RECEIPTS	541.8	4,670.6	7.3	151.2	549.1	4,821.8	707.4	5,284.6
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.3	4.3	8.5	68.9	8.8	73.2	8.2	75.9
Non-Personal Service	4.6	132.1	22.9	225.0	27.5	357.1	34.5	360.8
General State Charges	--	0.2	3.7	18.5	3.7	18.7	8.5	40.0
Unemployment Benefits	520.9	4,541.6	--	--	520.9	4,541.6	606.3	4,829.2
TOTAL DISBURSEMENTS	525.8	4,678.2	35.1	312.4	560.9	4,990.6	657.5	5,305.9
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	16.0	(7.6)	(27.8)	(161.2)	(11.8)	(168.8)	49.9	(21.3)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	10.7	59.8	10.7	59.8	4.4	59.4
Transfers to Other Funds	--	--	--	(5.9)	--	(5.9)	--	(6.5)
NET SOURCES (USES)	--	--	10.7	53.9	10.7	53.9	4.4	52.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	16.0	(7.6)	(17.1)	(107.3)	(1.1)	(114.9)	54.3	31.6
BEGINNING FUND EQUITY (DEFICITS)	73.5	97.1	(48.3)	41.9	25.2	139.0	27.2	49.9
ENDING FUND EQUITY (DEFICITS)	\$89.5	\$89.5	(\$65.4)	(\$65.4)	\$24.1	\$24.1	\$81.5	\$81.5

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2011	8 MOS. ENDED NOV. 30, 2011
RECEIPTS:								
Miscellaneous Receipts	\$4.1	\$48.8	(\$0.5)	\$0.1	\$3.6	\$48.9	\$13.7	\$68.2
TOTAL RECEIPTS	<u>4.1</u>	<u>48.8</u>	<u>(0.5)</u>	<u>0.1</u>	<u>3.6</u>	<u>48.9</u>	<u>13.7</u>	<u>68.2</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	4.2	35.4	--	0.1	4.2	35.5	3.9	35.8
Non-Personal Service	2.8	12.6	--	--	2.8	12.6	2.5	13.3
General State Charges	7.3	20.1	--	--	7.3	20.1	7.2	19.7
TOTAL DISBURSEMENTS	<u>14.3</u>	<u>68.1</u>	<u>--</u>	<u>0.1</u>	<u>14.3</u>	<u>68.2</u>	<u>13.6</u>	<u>68.8</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(10.2)</u>	<u>(19.3)</u>	<u>(0.5)</u>	<u>--</u>	<u>(10.7)</u>	<u>(19.3)</u>	<u>0.1</u>	<u>(0.6)</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(10.2)	(19.3)	(0.5)	--	(10.7)	(19.3)	0.1	(0.6)
BEGINNING FUND EQUITY (DEFICITS)	<u>(8.2)</u>	<u>0.9</u>	<u>10.7</u>	<u>10.2</u>	<u>2.5</u>	<u>11.1</u>	<u>10.0</u>	<u>10.7</u>
ENDING FUND EQUITY (DEFICITS)	<u>(\$18.4)</u>	<u>(\$18.4)</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>(\$8.2)</u>	<u>(\$8.2)</u>	<u>\$10.1</u>	<u>\$10.1</u>

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2013
 FOR EIGHT (8) MONTHS ENDED NOVEMBER 30, 2012
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:			
Taxes.....	\$39,387	\$39,223.6	(\$163.4)
Miscellaneous Receipts.....	15,701	15,513.4	(187.6)
Federal Receipts.....	26,418	27,197.8	779.8
Total Receipts.....	81,506	81,934.8	428.8
DISBURSEMENTS:			
Local Assistance Grants.....	57,686	56,981.6	(704.4)
Departmental Operations.....	12,485	12,405.5	(79.5)
General State Charges.....	3,661	3,675.8	14.8
Debt Service.....	2,636	2,627.9	(8.1)
Capital Projects.....	3,419	3,522.5	103.5
Total Disbursements.....	79,887	79,213.3	(673.7)
Excess (Deficiency) of Receipts over Disbursements.....	1,619	2,721.5	1,102.5
OTHER FINANCING SOURCES (USES):			
Bond and Note Proceeds, net.....	33	--	(33.0)
Transfers from Other Funds.....	16,747	17,076.7	329.7
Transfers to Other Funds.....	(16,802)	(17,130.6)	328.6
Total Other Financing Sources (Uses).....	(22.0)	(53.9)	(31.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	1,597	2,667.6	1,070.6
Fund Balances (Deficit) at April 1.....	3,360	3,360.3	0.3
Fund Balances (Deficit) at November 30.....	\$4,957	\$6,027.9	\$1,070.9

(*) Source: 2012-13 Financial Plan Mid-Year Update, issued November 28, 2012.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2013
 FOR EIGHT (8) MONTHS ENDED NOVEMBER 30, 2012
 (amounts in millions)

EXHIBIT D
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$17,227	\$17,185.0	(\$42.0)	\$630	\$629.8	(\$0.2)
Consumption/Use	5,932	5,918.8	(13.2)	1,460	1,444.4	(15.6)
Business.....	2,758	2,714.2	(43.8)	806	810.3	4.3
Other.....	754	730.1	(23.9)	750	737.9	(12.1)
Miscellaneous Receipts	2,354	2,144.4	(209.6)	10,389	10,247.7	(141.3)
Federal Receipts.....	34	46.3	12.3	24,976	25,755.1	779.1
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	4,889	4,875.6	(13.4)	--	--	--
Sales Tax in excess of LGAC Debt Service.....	1,749	1,742.1	(6.9)	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	340	344.5	4.5	--	--	--
All Other.....	152	157.2	5.2	4,853	5,147.3	294.3
Total Receipts.....	36,189	35,858.2	(330.8)	43,864	44,772.5	908.5
DISBURSEMENTS:						
Local Assistance Grants.....	22,621	22,428.7	(192.3)	33,805	33,550.3	(254.7)
Departmental Operations.....	5,390	5,329.5	(60.5)	7,075	7,056.5	(18.5)
General State Charges.....	2,727	2,726.5	(0.5)	934	949.3	15.3
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	2	2.9	0.9
Transfers To:						
Debt Service.....	1,245	1,239.2	(5.8)	--	--	--
Capital Projects.....	488	257.9	(230.1)	--	--	--
State Share Medicaid.....	1,897	2,259.4 (**)	362.4	--	--	--
SUNY Operations.....	340	340.4	0.4	--	--	--
Other Purposes.....	787	610.3	(176.7)	2,234	2,517.7	283.7
Total Disbursements.....	35,495	35,191.9	(303.1)	44,050	44,076.7	26.7
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	694	666.3	(27.7)	(186)	695.8	881.8
Fund Balances (Deficit) at April 1.....	1,787	1,786.7	(0.3)	1,594	1,595.5	1.5
Fund Balances (Deficit) at November 30.....	\$2,481	\$2,453.0	(\$28.0)	\$1,408	\$2,291.3	\$883.3

(*) Source: 2012-13 Financial Plan Mid-Year Update, issued November 28, 2012.

(**) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2013
 FOR EIGHT (8) MONTHS ENDED NOVEMBER 30, 2012
 (amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes	\$8,175	\$8,163.1	(\$11.9)	\$895	\$890.0	(\$5.0)
Miscellaneous Receipts	562	521.7	(40.3)	2,396	2,599.6	203.6
Federal Receipts.....	40	39.4	(0.6)	1,368	1,357.0	(11.0)
Bond and Note Proceeds, net.....	--	--	--	33	--	(33.0)
Transfers from Other Funds.....	4,171	4,447.1	276.1	593	362.9	(230.1)
Total Receipts.....	12,948	13,171.3	223.3	5,285	5,209.5	(75.5)
DISBURSEMENTS:						
Local Assistance Grants.....	--	--	--	1,260	1,002.6	(257.4)
Departmental Operations.....	20	19.5	(0.5)	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	2,636	2,627.9	(8.1)	--	--	--
Capital Projects.....	--	--	--	3,417	3,519.6	102.6
Transfers to Other Funds.....	8,912	9,009.2	97.2	899	896.5	(2.5)
Total Disbursements.....	11,568	11,656.6	88.6	5,576	5,418.7	(157.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	1,380	1,514.7	134.7	(291)	(209.2)	81.8
Fund Balances (Deficit) at April 1.....	428	427.5	(0.5)	(449)	(449.4)	(0.4)
Fund Balances (Deficit) at November 30.....	\$1,808	\$1,942.2	\$134.2	(\$740)	(\$658.6)	\$81.4

(*) Source: 2012-13 Financial Plan Mid-Year Update, issued November 28, 2012.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2012	8 MOS. ENDED NOV. 30, 2012	MONTH OF NOV. 2011	8 MOS. ENDED NOV. 30, 2011	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$2,191.7	\$18,234.4	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,191.7	\$18,234.4	\$2,407.4	\$18,179.1	\$55.3	0.3%
Estimated payments	53.9	7,819.5	--	--	--	--	--	--	53.9	7,819.5	126.0	8,036.9	(217.4)	-2.7%
Final returns	20.5	2,000.4	--	--	--	--	--	--	20.5	2,000.4	80.5	1,955.8	44.6	2.3%
State/City Offsets	(14.6)	(230.4)	--	--	--	--	--	--	(14.6)	(230.4)	(41.5)	(320.0)	(89.6)	-28.0%
Other (Assessments/LLC)	69.5	668.5	--	--	--	--	--	--	69.5	668.5	67.6	599.1	69.4	11.6%
Gross Receipts	2,321.0	28,492.4	--	--	--	--	--	--	2,321.0	28,492.4	2,640.0	28,450.9	41.5	0.1%
Transfers to School Tax Relief Fund	(36.0)	(629.8)	36.0	629.8	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(458.4)	(5,938.3)	--	--	458.4	5,938.3	--	--	--	--	--	--	--	--
Less: Refunds Issued	(487.5)	(4,739.3)	--	--	--	--	--	--	(487.5)	(4,739.3)	(511.1)	(4,830.5)	(91.2)	-1.9%
Total	1,339.1	17,185.0	36.0	629.8	458.4	5,938.3	--	--	1,833.5	23,753.1	2,128.9	23,620.4	132.7	0.6%
CONSUMPTION / USE TAXES														
Sales and Use	621.7	5,447.3	59.0	513.1	207.2	1,811.9	--	--	887.9	7,772.3	903.8	7,752.2	20.1	0.3%
Auto Rental	--	--	--	22.2	--	--	0.1	37.4	0.1	59.6	0.1	55.9	3.7	6.6%
Cigarette/Tobacco Products	34.2	308.6	87.3	777.5	--	--	--	--	121.5	1,086.1	140.8	1,155.5	(69.4)	-6.0%
Motor Fuel	--	--	8.8	68.2	--	--	33.1	259.6	41.9	327.8	32.7	326.4	1.4	0.4%
Alcoholic Beverage	19.3	162.9	--	--	--	--	--	--	19.3	162.9	13.3	151.8	11.1	7.3%
Highway Use	--	--	--	--	--	--	11.9	96.6	11.9	96.6	11.2	91.7	4.9	5.3%
Metropolitan Commuter Trans. Taxicab Trip	--	--	0.4	63.4	--	--	--	--	0.4	63.4	2.0	64.4	(1.0)	-1.6%
Total	675.2	5,918.8	155.5	1,444.4	207.2	1,811.9	45.1	393.6	1,083.0	9,568.7	1,103.9	9,597.9	(29.2)	-0.3%
BUSINESS TAXES														
Corporation Franchise	29.1	1,240.2	12.0	180.8	--	--	--	--	41.1	1,421.0	84.5	1,511.7	(90.7)	-6.0%
Corporation and Utilities	1.3	267.7	--	78.0	--	--	--	5.4	1.3	351.1	6.2	316.9	34.2	10.8%
Insurance	3.0	526.0	1.0	67.5	--	--	--	--	4.0	593.5	1.0	606.5	(13.0)	-2.1%
Bank	67.5	680.3	16.5	149.0	--	--	--	--	84.0	829.3	48.3	584.1	245.2	42.0%
Petroleum Business	--	--	42.7	335.0	--	--	53.1	419.5	95.8	754.5	74.5	712.6	41.9	5.9%
Total	100.9	2,714.2	72.2	810.3	--	--	53.1	424.9	226.2	3,949.4	214.5	3,731.8	217.6	5.8%
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Estate and Gift	69.2	716.5	--	--	--	--	--	--	69.2	716.5	104.3	778.5	(62.0)	-8.0%
Pari-Mutuel	1.1	13.0	--	--	--	--	--	--	1.1	13.0	1.2	12.3	0.7	5.7%
Real Estate Transfer	--	--	--	--	41.5	412.9	11.9	71.5	53.4	484.4	43.6	441.2	43.2	9.8%
Racing and Exhibitions	--	0.6	--	--	--	--	--	--	--	0.6	0.2	0.6	--	--
Metropolitan Commuter Trans. Mobility	--	--	83.0	737.9	--	--	--	--	83.0	737.9	125.5	878.6	(140.7)	-16.0%
Total	70.3	730.1	83.0	737.9	41.5	412.9	11.9	71.5	206.7	1,952.4	274.8	2,111.2	(158.8)	-7.5%
TOTAL TAX RECEIPTS	\$2,185.5	\$26,548.1	\$346.7	\$3,622.4	\$707.1	\$8,163.1	\$110.1	\$890.0	\$3,349.4	\$39,223.6	\$3,722.1	\$39,061.3	\$162.3	0.4%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

GOVERNMENTAL FUNDS CASH FLOW

	2012												2013				8 Months Ended Nov. 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease				
BEGINNING CASH BALANCE	\$3,360.3	\$7,986.4	\$4,850.1	\$5,006.1	\$5,944.4	\$5,459.7	\$6,009.5	\$5,847.8					\$3,360.3	\$3,812.3	(\$452.0)	-11.9%				
RECEIPTS:																				
Personal Income Tax	5,134.4	1,648.0	3,848.4	2,356.9	2,431.1	3,948.8	2,552.0	1,833.5					23,753.1	23,620.4	132.7	0.6%				
Consumption/Use Taxes	1,085.4	1,100.9	1,425.7	1,155.3	1,120.8	1,453.4	1,144.2	1,083.0					9,568.7	9,597.9	(29.2)	-0.3%				
Business Taxes	310.1	151.8	1,315.5	193.6	182.6	1,304.6	265.0	226.2					3,949.4	3,731.8	217.6	5.8%				
Other Taxes	322.0	252.8	197.6	239.6	264.5	191.2	278.0	206.7					1,952.4	2,111.2	(158.8)	-7.5%				
Miscellaneous Receipts	1,255.8	1,615.6	1,937.3	1,762.4	2,153.5	2,998.5	1,969.6	1,820.7					15,513.4	14,701.6	811.8	5.5%				
Federal Receipts	2,003.5	3,213.0	3,223.7	3,691.3	3,917.9	3,215.3	3,724.7	4,208.4					27,197.8	29,534.9	(2,337.1)	-7.9%				
Total Receipts	10,111.2	7,982.1	11,948.2	9,399.1	10,070.4	13,111.8	9,933.5	9,378.5	0.0	0.0	0.0	0.0	81,934.8	83,297.8	(1,363.0)	-1.6%				
DISBURSEMENTS:																				
Local Assistance Grants (***)	3,582.0	8,353.4	9,028.6	5,738.4	7,299.0	9,368.1	7,084.4	6,527.7					56,981.6	59,989.2	(3,007.6)	-5.0%				
Departmental Operations:																				
Personal Service (**)	1,021.3	1,118.1	1,007.4	968.6	1,290.7	974.4	1,235.4	1,145.3					8,761.2	8,336.5	424.7	5.1%				
Non-Personal Service (**)(***)	86.9	406.7	504.4	440.3	585.5	552.3	608.3	459.9					3,644.3	3,849.4	(205.1)	-5.3%				
General State Charges	439.2	472.5	401.7	473.3	453.9	490.3	520.5	424.4					3,675.8	3,627.6	48.2	1.3%				
Debt Service, Including Payments on Financing Agreements	175.9	386.2	420.0	195.4	373.4	769.2	101.8	206.0					2,627.9	2,571.9	56.0	2.2%				
Capital Projects	179.8	370.6	430.8	622.6	549.1	409.5	535.8	424.3					3,522.5	3,417.6	104.9	3.1%				
Total Disbursements	5,485.1	11,107.5	11,792.9	8,438.6	10,551.6	12,563.8	10,086.2	9,187.6	0.0	0.0	0.0	0.0	79,213.3	81,792.2	(2,578.9)	-3.2%				
Excess (Deficiency) of Receipts over Disbursements	4,626.1	(3,125.4)	155.3	960.5	(481.2)	548.0	(152.7)	190.9	0.0	0.0	0.0	0.0	2,721.5	1,505.6	1,215.9	80.8%				
OTHER FINANCING SOURCES (USES):																				
Bond Proceeds (net)	--	--	--	--	--	--	--	--					--	--	--	--				
Transfers from Other Funds	2,096.3	2,002.8	2,453.0	2,505.3	1,944.4	2,137.3	2,162.7	1,774.9					17,076.7	16,818.5	258.2	1.5%				
Transfers to Other Funds	(2,096.3)	(2,013.7)	(2,452.3)	(2,527.5)	(1,947.9)	(2,135.5)	(2,171.7)	(1,785.7)					(17,130.6)	(16,846.5)	284.1	1.7%				
Total Other Financing Sources (Uses)	--	(10.9)	0.7	(22.2)	(3.5)	1.8	(9.0)	(10.8)	0.0	0.0	0.0	0.0	(53.9)	(28.0)	(25.9)	-92.5%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,626.1	(3,136.3)	156.0	938.3	(484.7)	549.8	(161.7)	180.1	0.0	0.0	0.0	0.0	2,667.6	1,477.6	1,190.0	80.5%				
CLOSING CASH BALANCE	\$7,986.4	\$4,850.1	\$5,006.1	\$5,944.4	\$5,459.7	\$6,009.5	\$5,847.8	\$6,027.9	\$0.0	\$0.0	\$0.0	\$0.0	\$6,027.9	\$5,289.9	\$738.0	14.0%				

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

(**) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(***) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS (*)
 CASH FLOW SCHEDULE OF TAX RECEIPTS
 FISCAL YEAR 2012-2013
 (amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW
 TAX RECEIPTS

													8 Months Ended Nov. 30			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX																
Withholdings	\$2,373.6	\$2,418.5	\$2,162.2	\$2,264.2	\$2,352.2	\$2,042.0	\$2,430.0	\$2,191.7					\$18,234.4	\$18,179.1	\$55.3	0.3%
Estimated payments	3,848.6	81.2	1,676.3	87.9	70.9	1,874.8	125.9	53.9					7,819.5	8,036.9	(217.4)	-2.7%
Final returns	1,452.9	49.9	34.1	31.3	28.9	48.5	334.3	20.5					2,000.4	1,955.8	44.6	2.3%
State/City Offsets	(56.0)	(3.8)	(2.0)	(2.7)	(5.0)	(14.5)	(131.8)	(14.6)					(230.4)	(320.0)	(89.6)	-28.0%
Other (Assessments/LLC)	130.8	115.2	80.3	69.9	68.1	81.0	53.7	69.5					668.5	599.1	69.4	11.6%
Gross Receipts	<u>7,749.9</u>	<u>2,661.0</u>	<u>3,950.9</u>	<u>2,450.6</u>	<u>2,515.1</u>	<u>4,031.8</u>	<u>2,812.1</u>	<u>2,321.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>28,492.4</u>	<u>28,450.9</u>	<u>41.5</u>	<u>0.1%</u>
Transfers to School Tax Relief Fund	--	--	--	--	--	--	--	--					--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	--	--	--	--					--	--	--	--
Refunds issued	(2,615.5)	(1,013.0)	(102.5)	(93.7)	(84.0)	(83.0)	(260.1)	(487.5)					(4,739.3)	(4,830.5)	(91.2)	-1.9%
Total Personal Income Tax	<u>5,134.4</u>	<u>1,648.0</u>	<u>3,848.4</u>	<u>2,356.9</u>	<u>2,431.1</u>	<u>3,948.8</u>	<u>2,552.0</u>	<u>1,833.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>23,753.1</u>	<u>23,620.4</u>	<u>132.7</u>	<u>0.6%</u>
CONSUMPTION/USE TAXES																
Sales and Use	863.1	888.7	1,189.8	904.9	904.2	1,223.4	910.3	887.9					7,772.3	7,752.2	20.1	0.3%
Auto Rental	1.7	0.2	23.7	--	--	33.9	--	0.1					59.6	55.9	3.7	6.6%
Cigarette/Tobacco Products	134.0	137.9	135.8	147.4	145.2	121.4	142.9	121.5					1,086.1	1,155.5	(69.4)	-6.0%
Motor Fuel	35.1	42.4	43.2	43.3	41.7	42.2	38.0	41.9					327.8	326.4	1.4	0.4%
Alcoholic Beverage	17.5	19.2	22.6	26.9	17.1	22.9	17.4	19.3					162.9	151.8	11.1	7.3%
Highway Use	13.4	11.9	10.3	12.7	11.4	9.2	15.8	11.9					96.6	91.7	4.9	5.3%
Metropolitan Commuter Trans. Taxicab Trip	20.6	0.6	0.3	20.1	1.2	0.4	19.8	0.4					63.4	64.4	(1.0)	-1.6%
Total Consumption/Use Taxes and Fees	<u>1,085.4</u>	<u>1,100.9</u>	<u>1,425.7</u>	<u>1,155.3</u>	<u>1,120.8</u>	<u>1,453.4</u>	<u>1,144.2</u>	<u>1,083.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>9,568.7</u>	<u>9,597.9</u>	<u>(29.2)</u>	<u>-0.3%</u>
BUSINESS TAXES																
Corporation Franchise	178.6	45.3	471.5	44.6	71.1	448.9	119.9	41.1					1,421.0	1,511.7	(90.7)	-6.0%
Corporation and Utilities	37.5	1.9	129.8	(1.9)	9.4	173.2	(0.1)	1.3					351.1	316.9	34.2	10.8%
Insurance	13.3	9.1	281.1	(1.2)	5.9	281.0	0.3	4.0					593.5	606.5	(13.0)	-2.1%
Bank	(4.3)	0.4	335.1	54.4	0.5	302.2	57.0	84.0					829.3	584.1	245.2	42.0%
Petroleum Business	85.0	95.1	98.0	97.7	95.7	99.3	87.9	95.8					754.5	712.6	41.9	5.9%
Total Business Taxes	<u>310.1</u>	<u>151.8</u>	<u>1,315.5</u>	<u>193.6</u>	<u>182.6</u>	<u>1,304.6</u>	<u>265.0</u>	<u>226.2</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>3,949.4</u>	<u>3,731.8</u>	<u>217.6</u>	<u>5.8%</u>
OTHER TAXES																
Real Property Gains	--	--	--	--	--	--	--	--					--	--	--	--
Estate and Gift	112.7	82.8	75.3	78.8	113.6	65.1	119.0	69.2					716.5	778.5	(62.0)	-8.0%
Pari-Mutuel	1.0	1.4	2.1	1.4	2.3	2.5	1.2	1.1					13.0	12.3	0.7	5.7%
Real Estate Transfer	68.6	52.6	53.1	68.9	67.5	58.3	62.0	53.4					484.4	441.2	43.2	9.8%
Racing and Exhibitions	0.1	--	--	0.1	0.1	0.2	0.1	--					0.6	0.6	--	--
Metropolitan Commuter Trans. Mobility	139.6	116.0	67.1	90.4	81.0	65.1	95.7	83.0					737.9	878.6	(140.7)	-16.0%
Total Other Taxes	<u>322.0</u>	<u>252.8</u>	<u>197.6</u>	<u>239.6</u>	<u>264.5</u>	<u>191.2</u>	<u>278.0</u>	<u>206.7</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,952.4</u>	<u>2,111.2</u>	<u>(158.8)</u>	<u>-7.5%</u>
TOTAL TAX RECEIPTS	<u>\$6,851.9</u>	<u>\$3,153.5</u>	<u>\$6,787.2</u>	<u>\$3,945.4</u>	<u>\$3,999.0</u>	<u>\$6,898.0</u>	<u>\$4,239.2</u>	<u>\$3,349.4</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$39,223.6</u>	<u>\$39,061.3</u>	<u>\$162.3</u>	<u>0.4%</u>

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT "F"

													8 Months Ended Nov. 30			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY FEBRUARY MARCH			2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$1,786.7	\$5,637.4	\$2,017.8	\$2,934.7	\$2,403.8	\$1,545.5	\$4,282.3	\$3,649.0					\$1,786.7	\$1,376.1	\$410.6	29.8%
RECEIPTS:																
Personal Income Tax	3,850.8	1,236.0	2,486.5	1,767.7	1,823.3	2,773.7	1,907.9	1,339.1					17,185.0	17,103.4	81.6	0.5%
Consumption/Use Taxes	651.9	680.9	899.0	703.0	693.2	919.8	695.8	675.2					5,918.8	5,920.0	(1.2)	0.0%
Business Taxes	205.1	42.2	1,044.3	79.7	67.7	1,019.3	155.0	100.9					2,714.2	2,559.4	154.8	6.0%
Other Taxes	113.8	84.2	77.4	80.3	116.0	67.8	120.3	70.3					730.1	791.4	(61.3)	-7.7%
Miscellaneous Receipts	116.8	93.2	415.7	166.7	118.2	943.3	106.6	183.9					2,144.4	1,832.1	312.3	17.0%
Federal Receipts	3.7	13.5	--	0.3	15.9	--	--	12.9					46.3	31.8	14.5	45.6%
Total Receipts	4,942.1	2,150.0	4,922.9	2,797.7	2,834.3	5,723.9	2,985.6	2,382.3	0.0	0.0	0.0	0.0	28,738.8	28,238.1	500.7	1.8%
DISBURSEMENTS:																
Local Assistance Grants	1,150.5	4,218.4	4,300.1	1,842.1	2,798.4	3,267.6	2,282.3	2,569.3					22,428.7	22,410.4	18.3	0.1%
Departmental Operations:																
Personal Service (*)	596.1	630.5	549.4	416.0	593.6	436.8	654.2	465.3					4,341.9	4,075.9	266.0	6.5%
Non-Personal Service (*)	52.0	145.6	142.2	112.6	176.8	143.3	126.5	88.6					987.6	1,041.0	(53.4)	-5.1%
General State Charges	413.4	442.1	89.9	433.0	434.0	398.4	186.3	329.4					2,726.5	2,183.2	543.3	24.9%
Total Disbursements	2,212.0	5,436.6	5,081.6	2,803.7	4,002.8	4,246.1	3,249.3	3,452.6	0.0	0.0	0.0	0.0	30,484.7	29,710.5	774.2	2.6%
Excess (Deficiency) of Receipts over Disbursements	2,730.1	(3,286.6)	(158.7)	(6.0)	(1,168.5)	1,477.8	(263.7)	(1,070.3)	0.0	0.0	0.0	0.0	(1,745.9)	(1,472.4)	(273.5)	-18.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,530.2	409.0	1,449.6	680.8	577.1	1,390.5	681.5	400.7					7,119.4	7,140.7	(21.3)	-0.3%
Transfers to State Capital Projects	116.2	(113.0)	(102.0)	(213.6)	46.5	(0.3)	(137.2)	145.5					(257.9)	(230.4)	27.5	11.9%
Transfers to Federal Capital Projects	--	--	--	--	--	--	--	--					--	--	--	--
Transfers to General Debt Service	(506.1)	0.1	55.4	(458.0)	39.7	119.5	(506.4)	16.6					(1,239.2)	(1,186.2)	53.0	4.5%
Transfers to All Other State Funds	(19.7)	(629.1)	(327.4)	(534.1)	(353.1)	(250.7)	(407.5)	(688.5)					(3,210.1)	(2,363.8)	846.3	35.8%
Total Other Financing Sources (Uses)	1,120.6	(333.0)	1,075.6	(524.9)	310.2	1,259.0	(369.6)	(125.7)	0.0	0.0	0.0	0.0	2,412.2	3,360.3	(948.1)	-28.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,850.7	(3,619.6)	916.9	(530.9)	(858.3)	2,736.8	(633.3)	(1,196.0)	0.0	0.0	0.0	0.0	666.3	1,887.9	(1,221.6)	-64.7%
CLOSING CASH BALANCE	<u>\$5,637.4</u>	<u>\$2,017.8</u>	<u>\$2,934.7</u>	<u>\$2,403.8</u>	<u>\$1,545.5</u>	<u>\$4,282.3</u>	<u>\$3,649.0</u>	<u>\$2,453.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$2,453.0</u>	<u>\$3,264.0</u>	<u>(\$811.0)</u>	<u>-24.8%</u>

(*)Beginning in April 2012, OT meals and Stipends have been reclassified from Personal Service to Non-Personal Service.
For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2012-2013
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

	8 Months Ended Nov. 30												2012	2011
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX														
Withholdings	\$2,373.6	\$2,418.5	\$2,162.2	\$2,264.2	\$2,352.2	\$2,042.0	\$2,430.0	\$2,191.7					\$18,234.4	\$18,179.1
Estimated payments	3,848.6	81.2	1,676.3	87.9	70.9	1,874.8	125.9	53.9					7,819.5	8,036.9
Final returns	1,452.9	49.9	34.1	31.3	28.9	48.5	334.3	20.5					2,000.4	1,955.8
State/City Offsets	(56.0)	(3.8)	(2.0)	(2.7)	(5.0)	(14.5)	(131.8)	(14.6)					(230.4)	(320.0)
Other (Assessments/LLC)	130.8	115.2	80.3	69.9	68.1	81.0	53.7	69.5					668.5	599.1
Gross Receipts	7,749.9	2,661.0	3,950.9	2,450.6	2,515.1	4,031.8	2,812.1	2,321.0	0.0	0.0	0.0	0.0	28,492.4	28,450.9
Transfers to School Tax Relief Fund	--	--	(399.8)	--	--	(187.9)	(6.1)	(36.0)					(629.8)	(611.9)
Transfers to Revenue Bond Tax Fund	(1,283.6)	(412.0)	(962.1)	(589.2)	(607.8)	(987.2)	(638.0)	(458.4)					(5,938.3)	(5,905.1)
Refunds issued	(2,615.5)	(1,013.0)	(102.5)	(93.7)	(84.0)	(83.0)	(260.1)	(487.5)					(4,739.3)	(4,830.5)
Total Personal Income Tax	3,850.8	1,236.0	2,486.5	1,767.7	1,823.3	2,773.7	1,907.9	1,339.1	0.0	0.0	0.0	0.0	17,185.0	17,103.4
CONSUMPTION/USE TAXES														
Sales and Use	596.9	623.1	837.6	634.9	634.4	861.2	637.5	621.7					5,447.3	5,432.0
Auto Rental	--	--	--	--	--	--	--	--					--	--
Cigarette/Tobacco Products	37.5	38.6	38.8	41.2	41.7	35.7	40.9	34.2					308.6	336.2
Motor Fuel	--	--	--	--	--	--	--	--					--	--
Alcoholic Beverage	17.5	19.2	22.6	26.9	17.1	22.9	17.4	19.3					162.9	151.8
Highway Use	--	--	--	--	--	--	--	--					--	--
Metropolitan Commuter Trans. Taxicab Trip	--	--	--	--	--	--	--	--					--	--
Total Consumption/Use Taxes and Fees	651.9	680.9	899.0	703.0	693.2	919.8	695.8	675.2	0.0	0.0	0.0	0.0	5,918.8	5,920.0
BUSINESS TAXES														
Corporation Franchise	171.1	33.3	415.3	36.5	54.9	392.1	107.9	29.1					1,240.2	1,274.9
Corporation and Utilities	24.8	1.4	98.7	0.5	8.4	133.9	(1.3)	1.3					267.7	245.5
Insurance	13.3	7.7	249.7	(2.1)	4.6	248.8	1.0	3.0					526.0	541.7
Bank	(4.1)	(0.2)	280.6	44.8	(0.2)	244.5	47.4	67.5					680.3	497.3
Petroleum Business	--	--	--	--	--	--	--	--					--	--
Total Business Taxes	205.1	42.2	1,044.3	79.7	67.7	1,019.3	155.0	100.9	0.0	0.0	0.0	0.0	2,714.2	2,559.4
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--					--	--
Estate and Gift	112.7	82.8	75.3	78.8	113.6	65.1	119.0	69.2					716.5	778.5
Pari-Mutuel	1.0	1.4	2.1	1.4	2.3	2.5	1.2	1.1					13.0	12.3
Real Estate Transfer	--	--	--	--	--	--	--	--					--	--
Racing and Exhibitions	0.1	--	--	0.1	0.1	0.2	0.1	--					0.6	0.6
Metropolitan Commuter Trans. Mobility	--	--	--	--	--	--	--	--					--	--
Total Other Taxes	113.8	84.2	77.4	80.3	116.0	67.8	120.3	70.3	0.0	0.0	0.0	0.0	730.1	791.4
TOTAL TAX RECEIPTS	\$4,821.6	\$2,043.3	\$4,507.2	\$2,630.7	\$2,700.2	\$4,780.6	\$2,879.0	\$2,185.5	\$0.0	\$0.0	\$0.0	\$0.0	\$26,548.1	\$26,374.2

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "G"
COMBINED**

													8 Months Ended Nov. 30			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$1,595.5	\$1,992.6	\$2,211.4	\$1,910.8	\$2,952.5	\$3,547.2	\$2,113.8	\$1,384.6					\$1,595.5	\$2,149.3	(\$553.8)	-25.8%
RECEIPTS:																
Personal Income Tax	--	--	399.8	--	--	187.9	6.1	36.0					629.8	611.9	17.9	2.9%
Consumption/Use Taxes	195.5	166.9	188.6	194.0	171.6	182.7	189.6	155.5					1,444.4	1,488.6	(44.2)	-3.0%
Business Taxes	58.0	56.6	214.1	59.9	61.6	227.1	60.8	72.2					810.3	772.1	38.2	4.9%
Other Taxes	139.6	116.0	67.1	90.4	81.0	65.1	95.7	83.0					737.9	878.6	(140.7)	-16.0%
Miscellaneous Receipts	879.8	1,255.6	1,210.0	1,268.5	1,522.6	1,590.8	1,419.6	1,100.8					10,247.7	9,972.5	275.2	2.8%
Federal Receipts	1,969.3	3,033.8	3,046.6	3,509.1	3,718.5	3,015.5	3,424.8	4,037.5					25,755.1	28,149.5	(2,394.4)	-8.5%
Total Receipts	3,242.2	4,628.9	5,126.2	5,121.9	5,555.3	5,269.1	5,196.6	5,485.0	0.0	0.0	0.0	0.0	39,625.2	41,873.2	(2,248.0)	-5.4%
DISBURSEMENTS:																
Local Assistance Grants (**)	2,413.9	4,052.0	4,587.0	3,735.9	4,398.2	5,904.5	4,671.2	3,787.6					33,550.3	36,115.0	(2,564.7)	-7.1%
Departmental Operations:																
Personal Service (*)	425.2	487.6	458.0	552.6	697.1	537.6	581.2	680.0					4,419.3	4,260.6	158.7	3.7%
Non-Personal Service (**)(**)	34.2	258.7	357.8	322.8	406.2	406.2	480.9	370.4					2,637.2	2,784.9	(147.7)	-5.3%
General State Charges	25.8	30.4	311.8	40.3	19.9	91.9	334.2	95.0					949.3	1,444.4	(495.1)	-34.3%
Capital Projects	1.0	--	0.5	0.3	1.0	0.3	0.1	(0.3)					2.9	4.3	(1.4)	-32.6%
Total Disbursements	2,900.1	4,828.7	5,715.1	4,651.9	5,522.4	6,940.5	6,067.6	4,932.7	0.0	0.0	0.0	0.0	41,559.0	44,609.2	(3,050.2)	-6.8%
Excess (Deficiency) of Receipts over Disbursements	342.1	(199.8)	(588.9)	470.0	32.9	(1,671.4)	(871.0)	552.3	0.0	0.0	0.0	0.0	(1,933.8)	(2,736.0)	802.2	29.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	67.1	920.6	581.7	813.4	930.6	474.0	458.9	901.0					5,147.3	4,712.6	434.7	9.2%
Transfers to Other Funds	(12.1)	(502.0)	(293.4)	(241.7)	(368.8)	(236.0)	(317.1)	(546.6)					(2,517.7)	(2,786.3)	(268.6)	-9.6%
Total Other Financing Sources (Uses)	55.0	418.6	288.3	571.7	561.8	238.0	141.8	354.4	0.0	0.0	0.0	0.0	2,629.6	1,926.3	703.3	36.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	397.1	218.8	(300.6)	1,041.7	594.7	(1,433.4)	(729.2)	906.7	0.0	0.0	0.0	0.0	695.8	(809.7)	1,505.5	185.9%
CLOSING CASH BALANCE	\$1,992.6	\$2,211.4	\$1,910.8	\$2,952.5	\$3,547.2	\$2,113.8	\$1,384.6	\$2,291.3	\$0.0	\$0.0	\$0.0	\$0.0	\$2,291.3	\$1,339.6	\$951.7	71.0%

(*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(**) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "G"
STATE**

													8 Months Ended Nov. 30				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$399.8	\$ --	\$ --	\$ 187.9	\$ 6.1	\$ 36.0					\$ --	\$629.8	\$611.9	\$17.9	2.9%
Consumption/Use Taxes	195.5	166.9	188.6	194.0	171.6	182.7	189.6	155.5					--	1,444.4	1,488.6	(44.2)	-3.0%
Business Taxes	58.0	56.6	214.1	59.9	61.6	227.1	60.8	72.2					--	810.3	772.1	38.2	4.9%
Other Taxes	139.6	116.0	67.1	90.4	81.0	65.1	95.7	83.0					--	737.9	878.6	(140.7)	-16.0%
Miscellaneous Receipts	868.0	1,218.9	1,200.6	1,266.5	1,494.9	1,579.0	1,408.2	1,085.1					--	10,121.2	9,852.0	269.2	2.7%
Federal Receipts	0.6	6.6	(0.1)	1.8	(0.5)	3.3	1.5	1.7					--	14.9	0.6	14.3	2383.3%
Total Receipts	1,261.7	1,565.0	2,070.1	1,612.6	1,808.6	2,245.1	1,761.9	1,433.5	0.0	0.0	0.0	0.0	--	13,758.5	13,603.8	154.7	1.1%
DISBURSEMENTS:																	
Local Assistance Grants	468.4	1,190.1	1,593.4	1,269.6	1,112.5	3,156.8	1,179.7	1,237.0					--	11,207.5	11,111.2	96.3	0.9%
Departmental Operations:																	
Personal Service (**)	384.7	441.1	413.4	510.3	630.4	492.1	526.3	633.2					--	4,031.5	3,844.7	186.8	4.9%
Non-Personal Service (**)	26.0	198.6	291.4	278.5	329.3	281.3	377.9	316.4					--	2,099.4	2,203.2	(103.8)	-4.7%
General State Charges	25.8	27.6	272.5	34.8	20.0	54.4	319.8	93.4					--	848.3	1,249.0	(400.7)	-32.1%
Capital Projects	1.0	--	0.5	0.3	1.0	0.3	0.1	(0.3)					--	2.9	4.3	(1.4)	-32.6%
Total Disbursements	905.9	1,857.4	2,571.2	2,093.5	2,093.2	3,984.9	2,403.8	2,279.7	0.0	0.0	0.0	0.0	--	18,189.6	18,412.4	(222.8)	-1.2%
Excess (Deficiency) of Receipts over Disbursements	355.8	(292.4)	(501.1)	(480.9)	(284.6)	(1,739.8)	(641.9)	(846.2)	0.0	0.0	0.0	0.0	--	(4,431.1)	(4,808.6)	377.5	7.9%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	76.5	966.1	621.3	834.1	947.9	516.1	572.3	945.2					(332.2)	5,147.3	4,712.6	434.7	9.2%
Transfers to Other Funds	--	(37.6)	(37.0)	(5.0)	(38.5)	(14.5)	(67.5)	(19.8)					--	(219.9)	(475.9)	(256.0)	-53.8%
Total Other Financing Sources (Uses)	76.5	928.5	584.3	829.1	909.4	501.6	504.8	925.4	0.0	0.0	0.0	0.0	(332.2)	4,927.4	4,236.7	690.7	16.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$432.3	\$636.1	\$83.2	\$348.2	\$624.8	(\$1,238.2)	(\$137.1)	\$79.2	\$0.0	\$0.0	\$0.0	\$0.0	(\$332.2)	\$496.3	(\$571.9)	\$1,068.2	186.8%

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

(**) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "G"
FEDERAL**

													8 Months Ended Nov. 30				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --					\$ --	\$ --	\$ --	--	
Consumption/Use Taxes	--	--	--	--	--	--	--	--					--	--	--	--	
Business Taxes	--	--	--	--	--	--	--	--					--	--	--	--	
Other Taxes	--	--	--	--	--	--	--	--					--	--	--	--	
Miscellaneous Receipts	11.8	36.7	9.4	2.0	27.7	11.8	11.4	15.7					--	126.5	120.5	6.0	5.0%
Federal Receipts	1,968.7	3,027.2	3,046.7	3,507.3	3,719.0	3,012.2	3,423.3	4,035.8					--	25,740.2	28,148.9	(2,408.7)	-8.6%
Total Receipts	1,980.5	3,063.9	3,056.1	3,509.3	3,746.7	3,024.0	3,434.7	4,051.5	0.0	0.0	0.0	0.0	--	25,866.7	28,269.4	(2,402.7)	-8.5%
DISBURSEMENTS:																	
Local Assistance Grants (***)	1,945.5	2,861.9	2,993.6	2,466.3	3,285.7	2,747.7	3,491.5	2,550.6					--	22,342.8	25,003.8	(2,661.0)	-10.6%
Departmental Operations:																	
Personal Service (**)	40.5	46.5	44.6	42.3	66.7	45.5	54.9	46.8					--	387.8	415.9	(28.1)	-6.8%
Non-Personal Service (**)(***)	8.2	60.1	66.4	44.3	76.9	124.9	103.0	54.0					--	537.8	581.7	(43.9)	-7.5%
General State Charges	--	2.8	39.3	5.5	(0.1)	37.5	14.4	1.6					--	101.0	195.4	(94.4)	-48.3%
Capital Projects	--	--	--	--	--	--	--	--					--	--	--	--	--
Total Disbursements	1,994.2	2,971.3	3,143.9	2,558.4	3,429.2	2,955.6	3,663.8	2,653.0	0.0	0.0	0.0	0.0	--	23,369.4	26,196.8	(2,827.4)	-10.8%
Excess (Deficiency) of Receipts over Disbursements	(13.7)	92.6	(87.8)	950.9	317.5	68.4	(229.1)	1,398.5	0.0	0.0	0.0	0.0	--	2,497.3	2,072.6	424.7	20.5%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--	--	--	--
Transfers to Other Funds	(21.5)	(509.9)	(296.0)	(257.4)	(347.6)	(263.6)	(363.0)	(571.0)					332.2	(2,297.8)	(2,310.4)	(12.6)	-0.5%
Total Other Financing Sources (Uses)	(21.5)	(509.9)	(296.0)	(257.4)	(347.6)	(263.6)	(363.0)	(571.0)	0.0	0.0	0.0	0.0	332.2	(2,297.8)	(2,310.4)	(12.6)	-0.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$35.2)	(\$417.3)	(\$383.8)	\$693.5	(\$30.1)	(\$195.2)	(\$592.1)	\$827.5	\$0.0	\$0.0	\$0.0	\$0.0	\$332.2	\$199.5	(\$237.8)	\$437.3	183.9%

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

(**) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(***) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2012-2013
(amounts in millions)

EXHIBIT "G"
TAX RECEIPTS

													8 Months Ended Nov. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
PERSONAL INCOME TAX	\$ --	\$ --	\$399.8	\$ --	\$ --	\$187.9	\$6.1	\$36.0					\$629.8	\$611.9
Total Personal Income Tax	--	--	399.8	--	--	187.9	6.1	36.0	0.0	0.0	0.0	0.0	629.8	611.9
CONSUMPTION/USE TAXES														
Sales and Use	70.9	57.9	73.1	58.4	58.3	75.1	60.4	59.0					513.1	515.4
Auto Rental	0.6	--	8.9	--	--	12.7	--	--					22.2	21.0
Cigarette/Tobacco Products	96.5	99.3	97.0	106.2	103.5	85.7	102.0	87.3					777.5	819.3
Motor Fuel	6.9	9.1	9.3	9.3	8.6	8.8	7.4	8.8					68.2	68.5
Alcoholic Beverage	--	--	--	--	--	--	--	--					--	--
Highway Use	--	--	--	--	--	--	--	--					--	--
Metropolitan Commuter Trans. Taxicab Trip	20.6	0.6	0.3	20.1	1.2	0.4	19.8	0.4					63.4	64.4
Total Consumption/Use Taxes and Fees	195.5	166.9	188.6	194.0	171.6	182.7	189.6	155.5	0.0	0.0	0.0	0.0	1,444.4	1,488.6
BUSINESS TAXES														
Corporation Franchise	7.5	12.0	56.2	8.1	16.2	56.8	12.0	12.0					180.8	236.8
Corporation and Utilities	12.9	0.4	28.5	(2.0)	0.8	36.4	1.0	--					78.0	66.9
Insurance	--	1.4	31.4	0.9	1.3	32.2	(0.7)	1.0					67.5	64.8
Bank	(0.2)	0.6	54.5	9.6	0.7	57.7	9.6	16.5					149.0	86.8
Petroleum Business	37.8	42.2	43.5	43.3	42.6	44.0	38.9	42.7					335.0	316.8
Total Business Taxes	58.0	56.6	214.1	59.9	61.6	227.1	60.8	72.2	0.0	0.0	0.0	0.0	810.3	772.1
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--					--	--
Estate and Gift	--	--	--	--	--	--	--	--					--	--
Pari-Mutuel	--	--	--	--	--	--	--	--					--	--
Real Estate Transfer	--	--	--	--	--	--	--	--					--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--					--	--
Metropolitan Commuter Trans. Mobility	139.6	116.0	67.1	90.4	81.0	65.1	95.7	83.0					737.9	878.6
Total Other Taxes	139.6	116.0	67.1	90.4	81.0	65.1	95.7	83.0	0.0	0.0	0.0	0.0	737.9	878.6
TOTAL TAX RECEIPTS	\$393.1	\$339.5	\$869.6	\$344.3	\$314.2	\$662.8	\$352.2	\$346.7	\$0.0	\$0.0	\$0.0	\$0.0	\$3,622.4	\$3,751.2

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT "H"

													8 Months Ended Nov. 30			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$427.5	\$850.7	\$1,081.3	\$639.7	\$1,125.5	\$1,056.5	\$388.2	\$1,404.8					\$427.5	\$454.0	(\$26.5)	-5.8%
RECEIPTS:																
Personal Income Tax	1,283.6	412.0	962.1	589.2	607.8	987.2	638.0	458.4					5,938.3	5,905.1	33.2	0.6%
Consumption/Use Taxes																
Sales and Use	195.3	207.7	279.1	211.6	211.5	287.1	212.4	207.2					1,811.9	1,804.8	7.1	0.4%
Other Taxes	68.6	52.6	41.2	57.0	55.6	46.4	50.0	41.5					412.9	369.7	43.2	11.7%
Miscellaneous Receipts	18.2	61.6	80.8	20.3	96.2	118.8	73.1	52.7					521.7	562.4	(40.7)	-7.2%
Federal Receipts (*)	--	--	--	1.7	37.7	--	--	--					39.4	42.5	(3.1)	-7.3%
Total Receipts	<u>1,565.7</u>	<u>733.9</u>	<u>1,363.2</u>	<u>879.8</u>	<u>1,008.8</u>	<u>1,439.5</u>	<u>973.5</u>	<u>759.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>8,724.2</u>	<u>8,684.5</u>	<u>39.7</u>	<u>0.5%</u>
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.7	2.4	4.4	4.9	2.5	2.8	0.9	0.9					19.5	23.5	(4.0)	-17.0%
Debt Service, including payments on financing agreements	175.9	386.2	420.0	195.4	373.4	769.2	101.8	206.0					2,627.9	2,571.9	56.0	2.2%
Total Disbursements	<u>176.6</u>	<u>388.6</u>	<u>424.4</u>	<u>200.3</u>	<u>375.9</u>	<u>772.0</u>	<u>102.7</u>	<u>206.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,647.4</u>	<u>2,595.4</u>	<u>52.0</u>	<u>2.0%</u>
Excess (Deficiency) of Receipts over Disbursements	<u>1,389.1</u>	<u>345.3</u>	<u>938.8</u>	<u>679.5</u>	<u>632.9</u>	<u>667.5</u>	<u>870.8</u>	<u>552.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>6,076.8</u>	<u>6,089.1</u>	<u>(12.3)</u>	<u>-0.2%</u>
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	615.2	560.2	303.2	790.1	450.6	272.1	851.6	604.1					4,447.1	4,556.2	(109.1)	-2.4%
Transfers to Other Funds	(1,581.1)	(674.9)	(1,683.6)	(983.8)	(1,152.5)	(1,607.9)	(705.8)	(619.6)					(9,009.2)	(9,399.3)	(390.1)	-4.2%
Total Other Financing Sources (Uses)	<u>(965.9)</u>	<u>(114.7)</u>	<u>(1,380.4)</u>	<u>(193.7)</u>	<u>(701.9)</u>	<u>(1,335.8)</u>	<u>145.8</u>	<u>(15.5)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(4,562.1)</u>	<u>(4,843.1)</u>	<u>281.0</u>	<u>5.8%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>423.2</u>	<u>230.6</u>	<u>(441.6)</u>	<u>485.8</u>	<u>(69.0)</u>	<u>(668.3)</u>	<u>1,016.6</u>	<u>537.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,514.7</u>	<u>1,246.0</u>	<u>268.7</u>	<u>21.6%</u>
CLOSING CASH BALANCE	<u>\$850.7</u>	<u>\$1,081.3</u>	<u>\$639.7</u>	<u>\$1,125.5</u>	<u>\$1,056.5</u>	<u>\$388.2</u>	<u>\$1,404.8</u>	<u>\$1,942.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$1,942.2</u>	<u>\$1,700.0</u>	<u>\$242.2</u>	<u>14.2%</u>

(*) Federal receipts includes credit payments for interest paid on Build America Bonds and Qualified School Construction Bonds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS-COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "I"
COMBINED**

													8 Months Ended Nov. 30			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE (DEFICITS)	(\$449.4)	(\$494.3)	(\$460.4)	(\$479.1)	(\$537.4)	(\$689.5)	(\$774.8)	(\$590.6)					(\$449.4)	(\$167.1)	(\$282.3)	-168.9%
RECEIPTS:																
Consumption/Use Taxes																
Auto Rental	1.1	0.2	14.8	--	--	21.2	--	0.1					37.4	34.9	2.5	7.2%
Motor Fuel	28.2	33.3	33.9	34.0	33.1	33.4	30.6	33.1					259.6	257.9	1.7	0.7%
Highway Use	13.4	11.9	10.3	12.7	11.4	9.2	15.8	11.9					96.6	91.7	4.9	5.3%
Business Taxes																
Petroleum Business	47.2	52.9	54.5	54.4	53.1	55.2	49.1	53.1					419.5	395.8	23.7	6.0%
Transmission	(0.2)	0.1	2.6	(0.4)	0.2	3.0	0.1	--					5.4	4.5	0.9	20.0%
Other Taxes	--	--	11.9	11.9	11.9	11.9	12.0	11.9					71.5	71.5	--	--
Miscellaneous Receipts	241.0	205.2	230.8	306.9	416.5	345.6	370.3	483.3					2,599.6	2,334.6	265.0	11.4%
Federal Receipts	30.5	165.7	177.1	180.2	145.8	199.8	299.9	158.0					1,357.0	1,311.1	45.9	3.5%
Total Receipts	361.2	469.3	535.9	599.7	672.0	679.3	777.8	751.4	0.0	0.0	0.0	0.0	4,846.6	4,502.0	344.6	7.7%
DISBURSEMENTS:																
Local Assistance Grants	17.6	83.0	141.5	160.4	102.4	196.0	130.9	170.8					1,002.6	1,463.8	(461.2)	-31.5%
Departmental Operations:																
Personal Service	--	--	--	--	--	--	--	--					--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--					--	--	--	--
General State Charges	--	--	--	--	--	--	--	--					--	--	--	--
Capital Projects	178.8	370.6	430.3	622.3	548.1	409.2	535.7	424.6					3,519.6	3,413.3	106.3	3.1%
Total Disbursements	196.4	453.6	571.8	782.7	650.5	605.2	666.6	595.4	0.0	0.0	0.0	0.0	4,522.2	4,877.1	(354.9)	-7.3%
Excess (Deficiency) of Receipts over Disbursements	164.8	15.7	(35.9)	(183.0)	21.5	74.1	111.2	156.0	0.0	0.0	0.0	0.0	324.4	(375.1)	699.5	186.5%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--	--					--	--	--	--
Transfers from Other Funds	(116.2)	113.0	118.5	221.0	(13.9)	0.7	170.7	(130.9)					362.9	409.0	(46.1)	-11.3%
Transfers to Other Funds	(93.5)	(94.8)	(101.3)	(96.3)	(159.7)	(160.1)	(97.7)	(93.1)					(896.5)	(880.5)	16.0	1.8%
Total Other Financing Sources (Uses)	(209.7)	18.2	17.2	124.7	(173.6)	(159.4)	73.0	(224.0)	0.0	0.0	0.0	0.0	(533.6)	(471.5)	(62.1)	-13.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(44.9)	33.9	(18.7)	(58.3)	(152.1)	(85.3)	184.2	(68.0)	0.0	0.0	0.0	0.0	(209.2)	(846.6)	637.4	75.3%
CLOSING CASH BALANCE (DEFICITS)	(\$494.3)	(\$460.4)	(\$479.1)	(\$537.4)	(\$689.5)	(\$774.8)	(\$590.6)	(\$658.6)	\$0.0	\$0.0	\$0.0	\$0.0	(\$658.6)	(\$1,013.7)	\$355.1	35.0%

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "I"
STATE**

													8 Months Ended Nov. 30				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Consumption/Use Taxes																	
Auto Rental	\$1.1	\$0.2	\$14.8	\$ --	\$ --	\$21.2	\$ --	\$0.1					\$ --	\$37.4	\$34.9	\$2.5	7.2%
Motor Fuel	28.2	33.3	33.9	34.0	33.1	33.4	30.6	33.1					--	259.6	257.9	1.7	0.7%
Highway Use	13.4	11.9	10.3	12.7	11.4	9.2	15.8	11.9					--	96.6	91.7	4.9	5.3%
Business Taxes																	
Petroleum Business	47.2	52.9	54.5	54.4	53.1	55.2	49.1	53.1					--	419.5	395.8	23.7	6.0%
Transmission	(0.2)	0.1	2.6	(0.4)	0.2	3.0	0.1	--					--	5.4	4.5	0.9	20.0%
Other Taxes	--	--	11.9	11.9	11.9	11.9	12.0	11.9					--	71.5	71.5	--	--
Miscellaneous Receipts	240.9	205.0	230.7	306.7	416.4	345.6	369.7	483.3					--	2,598.3	2,333.4	264.9	11.4%
Federal Receipts	--	--	--	--	--	2.7	--	--					--	2.7	2.7	--	--
Total Receipts	330.6	303.4	358.7	419.3	526.1	482.2	477.3	593.4	0.0	0.0	0.0	0.0	--	3,491.0	3,192.4	298.6	9.4%
DISBURSEMENTS:																	
Local Assistance Grants	7.4	40.0	85.1	121.1	68.0	74.8	92.3	141.5					--	630.2	1,107.8	(477.6)	-43.1%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--	--					--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--					--	--	--	--	--
General State Charges	--	--	--	--	--	--	--	--					--	--	--	--	--
Capital Projects	143.5	280.7	335.5	507.2	428.5	286.9	395.8	309.9					--	2,688.0	2,673.1	14.9	0.6%
Total Disbursements	150.9	320.7	420.6	628.3	496.5	361.7	488.1	451.4	0.0	0.0	0.0	0.0	--	3,318.2	3,780.9	(462.7)	-12.2%
Excess (Deficiency) of Receipts over Disbursements	179.7	(17.3)	(61.9)	(209.0)	29.6	120.5	(10.8)	142.0	0.0	0.0	0.0	0.0	--	172.8	(588.5)	761.3	129.4%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	--	--	--	--	--	--	--	--					--	--	--	--	--
Transfers from Other Funds	(116.2)	113.0	118.5	221.0	(13.9)	0.7	170.7	(130.9)					--	362.9	619.9	(257.0)	-41.5%
Transfers to Other Funds	(93.5)	(94.8)	(101.3)	(96.3)	(159.7)	(160.1)	(97.7)	(93.1)					--	(896.5)	(877.3)	19.2	2.2%
Total Other Financing Sources (Uses)	(209.7)	18.2	17.2	124.7	(173.6)	(159.4)	73.0	(224.0)	0.0	0.0	0.0	0.0	--	(533.6)	(257.4)	(276.2)	-107.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$30.0)	\$0.9	(\$44.7)	(\$84.3)	(\$144.0)	(\$38.9)	\$62.2	(\$82.0)	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$360.8)	(\$845.9)	\$485.1	57.3%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

													8 Months Ended Nov. 30				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$0.1	\$0.2	\$0.1	\$0.2	\$0.1	\$ --	\$0.6	\$ --					\$ --	\$1.3	\$1.2	\$0.1	8.3%
Federal Receipts	30.5	165.7	177.1	180.2	145.8	197.1	299.9	158.0					--	1,354.3	1,308.4	45.9	3.5%
Total Receipts	30.6	165.9	177.2	180.4	145.9	197.1	300.5	158.0	0.0	0.0	0.0	0.0	--	1,355.6	1,309.6	46.0	3.5%
DISBURSEMENTS:																	
Local Assistance Grants	10.2	43.0	56.4	39.3	34.4	121.2	38.6	29.3					--	372.4	356.0	16.4	4.6%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--	--					--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--					--	--	--	--	--
General State Charges	--	--	--	--	--	--	--	--					--	--	--	--	--
Capital Projects	35.3	89.9	94.8	115.1	119.6	122.3	139.9	114.7					--	831.6	740.2	91.4	12.3%
Total Disbursements	45.5	132.9	151.2	154.4	154.0	243.5	178.5	144.0	0.0	0.0	0.0	0.0	--	1,204.0	1,096.2	107.8	9.8%
Excess (Deficiency) of Receipts over Disbursements	(14.9)	33.0	26.0	26.0	(8.1)	(46.4)	122.0	14.0	0.0	0.0	0.0	0.0	--	151.6	213.4	(61.8)	-29.0%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--	(214.1)	(214.1)	-100.0%
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	--	--	(214.1)	(214.1)	-100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$14.9)	\$33.0	\$26.0	\$26.0	(\$8.1)	(\$46.4)	\$122.0	\$14.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	\$151.6	(\$0.7)	\$152.3	21757.1%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT J

													8 Months Ended Nov. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
BEGINNING FUND EQUITY (DEFICITS)	\$97.1	\$25.3	\$89.6	\$102.4	\$70.9	\$188.8	\$91.2	\$73.5					\$97.1	\$20.9
RECEIPTS:														
Miscellaneous Receipts	2.9	4.5	7.2	33.7	59.5	12.2	4.2	4.6					128.8	173.3
Federal Receipts	280.0	329.7	338.6	343.0	272.7	244.1	308.2	273.6					2,389.9	2,649.6
Unemployment Taxes	273.2	290.1	245.0	290.6	303.0	229.1	257.3	263.6					2,151.9	2,192.8
Total Receipts	556.1	624.3	590.8	667.3	635.2	485.4	569.7	541.8	0.0	0.0	0.0	0.0	4,670.6	5,015.7
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.3	0.3	0.3	0.4	0.6	1.7	0.4	0.3					4.3	4.1
Non-Personal Service	0.4	3.1	4.0	3.0	4.0	107.7	5.3	4.6					132.1	133.1
General State Charges	--	--	0.2	--	--	--	--	--					0.2	1.1
Unemployment Benefits	627.2	556.6	573.5	695.4	512.7	473.6	581.7	520.9					4,541.6	4,829.2
Total Disbursements	627.9	560.0	578.0	698.8	517.3	583.0	587.4	525.8	0.0	0.0	0.0	0.0	4,678.2	4,967.5
Excess (Deficiency) of Receipts over Disbursements	(71.8)	64.3	12.8	(31.5)	117.9	(97.6)	(17.7)	16.0	0.0	0.0	0.0	0.0	(7.6)	48.2
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(71.8)	64.3	12.8	(31.5)	117.9	(97.6)	(17.7)	16.0	0.0	0.0	0.0	0.0	(7.6)	48.2
CLOSING CASH BALANCE	\$25.3	\$89.6	\$102.4	\$70.9	\$188.8	\$91.2	\$73.5	\$89.5	\$0.0	\$0.0	\$0.0	\$0.0	\$89.5	\$69.1

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT K

													8 Months Ended Nov. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
BEGINNING FUND EQUITY (DEFICITS)	\$41.9	\$33.1	\$4.7	(\$14.9)	(\$50.1)	(\$59.0)	(\$50.9)	(\$48.3)					\$41.9	\$29.0
RECEIPTS:														
Miscellaneous Receipts	2.5	4.5	18.7	8.3	26.3	49.3	34.3	7.3					151.2	268.9
Total Receipts	2.5	4.5	18.7	8.3	26.3	49.3	34.3	7.3	0.0	0.0	0.0	0.0	151.2	268.9
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	8.6	8.8	7.9	8.0	11.4	7.9	7.8	8.5					68.9	71.8
Non-Personal Service	2.7	34.6	17.7	57.7	27.2	31.4	30.8	22.9					225.0	227.7
General State Charges	--	0.2	12.2	--	0.1	0.1	2.2	3.7					18.5	38.9
Total Disbursements	11.3	43.6	37.8	65.7	38.7	39.4	40.8	35.1	0.0	0.0	0.0	0.0	312.4	338.4
Excess (Deficiency) of Receipts over Disbursements	(8.8)	(39.1)	(19.1)	(57.4)	(12.4)	9.9	(6.5)	(27.8)	0.0	0.0	0.0	0.0	(161.2)	(69.5)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	10.7	1.0	22.2	3.5	2.6	9.1	10.7					59.8	59.4
Transfers to Other Funds	--	--	(1.5)	--	--	(4.4)	--	--					(5.9)	(6.5)
Total Other Financing Sources (Uses)	--	10.7	(0.5)	22.2	3.5	(1.8)	9.1	10.7	0.0	0.0	0.0	0.0	53.9	52.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(8.8)	(28.4)	(19.6)	(35.2)	(8.9)	8.1	2.6	(17.1)	0.0	0.0	0.0	0.0	(107.3)	(16.6)
ENDING FUND EQUITY(DEFICITS)	<u>\$33.1</u>	<u>\$4.7</u>	<u>(\$14.9)</u>	<u>(\$50.1)</u>	<u>(\$59.0)</u>	<u>(\$50.9)</u>	<u>(\$48.3)</u>	<u>(\$65.4)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$65.4)</u>	<u>\$12.4</u>

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT L

	2012												2013	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012	2011
OPENING CASH BALANCE	\$10.2	\$10.5	\$10.5	\$10.6	\$10.6	\$10.6	\$10.7	\$10.7					\$10.2	\$9.3
RECEIPTS:														
Miscellaneous Receipts	0.3	0.1	0.1	--	--	0.1	--	(0.5)					0.1	0.9
Total Receipts	0.3	0.1	0.1	--	--	0.1	--	(0.5)	0.0	0.0	0.0	0.0	0.1	0.9
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	--	0.1	--	--	--	--	--	--					0.1	0.2
Non-Personal Service	--	--	--	--	--	--	--	--					--	--
General State Charges	--	--	--	--	--	--	--	--					--	--
Total Disbursements	--	0.1	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.1	0.2
Excess (Deficiency) of Receipts over Disbursements	0.3	--	0.1	--	--	0.1	--	(0.5)	0.0	0.0	0.0	0.0	--	0.7
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.3	--	0.1	--	--	0.1	--	(0.5)	0.0	0.0	0.0	0.0	--	0.7
CLOSING CASH BALANCE	\$10.5	\$10.5	\$10.6	\$10.6	\$10.6	\$10.7	\$10.7	\$10.2	\$0.0	\$0.0	\$0.0	\$0.0	\$10.2	\$10.0

**STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT M

	8 Months Ended Nov. 30												2012	2011
	2012						2013							
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE	\$0.9	(\$0.3)	(\$1.2)	(\$9.0)	(\$10.3)	(\$4.7)	(\$5.0)	(\$8.2)					\$0.9	\$1.4
RECEIPTS:														
Miscellaneous Receipts	3.6	4.1	4.7	4.1	15.3	4.3	8.6	4.1					48.8	67.3
Total Receipts	3.6	4.1	4.7	4.1	15.3	4.3	8.6	4.1	0.0	0.0	0.0	0.0	48.8	67.3
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	4.4	4.0	4.5	4.0	6.1	4.0	4.2	4.2					35.4	35.6
Non-Personal Service	0.4	1.0	1.8	1.4	3.6	0.6	1.0	2.8					12.6	13.3
General State Charges	--	--	6.2	--	--	--	6.6	7.3					20.1	19.7
Total Disbursements	4.8	5.0	12.5	5.4	9.7	4.6	11.8	14.3	0.0	0.0	0.0	0.0	68.1	68.6
Excess (Deficiency) of Receipts over Disbursements	(1.2)	(0.9)	(7.8)	(1.3)	5.6	(0.3)	(3.2)	(10.2)	0.0	0.0	0.0	0.0	(19.3)	(1.3)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(1.2)	(0.9)	(7.8)	(1.3)	5.6	(0.3)	(3.2)	(10.2)	0.0	0.0	0.0	0.0	(19.3)	(1.3)
CLOSING CASH BALANCE	(\$0.3)	(\$1.2)	(\$9.0)	(\$10.3)	(\$4.7)	(\$5.0)	(\$8.2)	(\$18.4)	\$0.0	\$0.0	\$0.0	\$0.0	(\$18.4)	\$0.1

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF NOVEMBER 2012
 (amounts in millions)

SCHEDULE 1

	BALANCE 11/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 11/30/12
GENERAL FUND					
10000-10049-Local Assistance Account	\$ --	\$0.007	\$2,565.586	\$2,565.579	\$ --
10050-10099-State Operations Account	3,553.246	2,237.268	739.273	(2,694.224)	2,357.017
10100-10149-Tax Stabilization Reserve	--	--	--	--	--
10150-10199-Contingency Reserve	--	--	--	--	--
10200-10249-Universal Pre-K Reserve	--	--	--	--	--
10250-10299-Community Projects	95.736	--	2.775	3.000	95.961
10300-10349-Rainy Day Reserve Fund	--	--	--	--	--
10400-10449-Refund Reserve Account	--	--	--	--	--
10500-10549-Fringe Benefits Escrow	--	145.044	145.044	--	--
10550-10599-Tobacco Revenue Guarantee	--	--	--	--	--
TOTAL GENERAL FUND	3,648.982	2,382.319	3,452.678	(125.645)	2,452.978
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	2.194	0.009	0.009	--	2.194
20100-20299-Combined Expendable Trust	61.938	3.613	0.957	--	64.594
20300-20349-New York Interest on Lawyer Account	4.615	0.652	0.121	--	5.146
20350-20399-NYS Archives Partnership Trust	0.226	--	0.020	(0.011)	0.195
20400-20449-Child Performer's Protection	0.177	0.005	0.023	--	0.159
20450-20499-Tuition Reimbursement	5.843	0.282	0.126	--	5.999
20500-20549-New York State Local Government Records Management Improvement	3.930	1.161	0.213	(0.099)	4.779
20550-20599-School Tax Relief	0.001	36.010	36.009	--	0.002
20600-20649-Charter Schools Stimulus	2.565	0.001	--	(0.666)	1.900
20650-20699-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
20700-20749-Hudson River Valley Greenway	--	--	--	--	--
20750-20799-Rehabilitative Alcohol & Substance Abuse Treatment	0.002	--	--	--	0.002
20800-20849-HCRA Resources	374.245	389.047	445.310	(16.142)	301.840
20850-20899-Dedicated Mass Transportation Trust	70.088	62.809	61.011	--	71.886
20900-20949-State Lottery	(586.743)	268.708	140.566	--	(458.601)
20950-20999-Combined Student Loan	20.484	1.856	1.988	--	20.352
21000-21049-Sewage Treatment Program Mgmt. & Administration	0.099	(0.096)	0.189	(0.080)	(0.266)
21050-21149-EnCon Special Revenue	(19.712)	5.721	10.348	3.249	(21.090)
21150-21199-Conservation	106.144	6.421	4.005	(3.169)	105.391
21200-21249-Environmental Protection and Oil Spill Compensation	21.432	3.438	1.822	--	23.048
21250-21299-Training and Education Program on OSHA	7.084	0.002	2.392	--	4.694
21300-21349-Lawyers' Fund for Client Protection	7.726	0.341	0.051	--	8.016
21350-21399-Equipment Loan for the Disabled	0.509	0.003	--	--	0.512
21400-21449-Mass Transportation Operating Assistance	54.793	99.926	294.395	--	(139.676)
21450-21499-Clean Air	(16.606)	9.009	2.130	--	(9.727)
21500-21549-New York State Infrastructure Trust	0.077	--	--	--	0.077
21550-21559-Legislative Computer Services	10.149	0.011	0.074	--	10.086
21600-21649-Biodiversity Stewardship and Research	--	--	--	--	--
21650-21699-Combined Non-Expendable Trust	3.485	0.001	--	--	3.486
21700-21749-Winter Sports Education Trust	1.182	--	--	--	1.182
21750-21799-Musical Instrument Revolving	0.001	--	--	--	0.001
21800-21849-Rural Housing Assistance	--	--	--	--	--
21850-21899-Arts Capital Revolving	0.797	0.001	--	--	0.798
21900-22499-Miscellaneous State Special Revenue	952.101	179.520	649.122	656.827	1,139.326

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF NOVEMBER 2012
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 11/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 11/30/12
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22500-22549-Court Facilities Incentive Aid	8.262	0.005	12.939	15.000	10.328
22550-22599-Employment Training	0.049	--	--	--	0.049
22600-22649-Homeless Housing and Assistance	--	--	--	--	--
22650-22699-State University Income	918.008	244.402	447.564	180.412	895.258
22700-22749-Chemical Dependence Service	8.802	0.025	0.062	(1.000)	7.765
22750-22799-Lake George Park Trust	0.377	--	0.149	--	0.228
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	10.939	13.864	5.205	--	19.598
22850-22899-New York Great Lakes Protection	0.305	--	0.031	--	0.274
22900-22949-Federal Revenue Maximization	0.023	--	--	--	0.023
22950-22999-Housing Development	9.153	0.006	--	--	9.159
23000-23049-NYS/DOT Highway Safety Program	(3.694)	0.363	0.246	--	(3.577)
23050-23099-Vocational Rehabilitation	0.144	0.006	0.001	--	0.149
23100-23149-Drinking Water Program Management and Administration	(3.453)	0.243	0.326	--	(3.536)
23150-23199-NYC County Clerks' Operations Offset	(30.460)	--	2.085	--	(32.545)
23200-23249-Judiciary Data Processing Offset	6.650	0.520	1.601	--	5.569
23250-23449-IFR / CUTRA	115.852	4.981	5.582	--	115.251
23450-23499-Supplemental Jury Facilities	--	--	--	--	--
23500-23549-USOC Lake Placid Training	0.040	0.001	--	--	0.041
23550-23599-Indigent Legal Services	67.269	2.551	0.268	34.471	104.023
23600-23649-Unemployment Insurance Interest and Penalty	9.358	0.930	0.493	--	9.795
23650-23699-MTA Financial Assistance Fund	175.645	97.173	152.217	56.592	177.193
TOTAL SPECIAL REVENUE FUNDS-STATE	2,382.095	1,433.521	2,279.650	925.384	2,461.350
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA / Food and Consumer Services	(10.213)	166.987	164.640	(0.214)	(8.080)
25100-25199-Federal Health and Human Services	(908.157)	3,529.973	2,098.082	(566.347)	(42.613)
25200-25249-Federal Education	(13.416)	233.921	251.333	(4.476)	(35.304)
25250-25299-Federal DHHS Block Grant	--	--	--	--	--
25300-25899-Federal Miscellaneous Operating Grants	(140.863)	82.246	104.261	(0.009)	(162.887)
25900-25949-Unemployment Insurance Administration	76.454	26.221	23.383	--	79.292
25950-25999-Unemployment Insurance Occupational Training	0.182	0.799	0.756	--	0.225
26000-26049-Federal Employment and Training Grants	(1.468)	11.327	10.498	--	(0.639)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(997.481)	4,051.474	2,652.953	(571.046)	(170.006)
TOTAL SPECIAL REVENUE FUNDS	1,384.614	5,484.995	4,932.603	354.338	2,291.344
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	--	--	--	--	--
40050-40099-State University Educational Facilities	--	--	--	--	--
40100-40149-Mental Health Services	311.413	12.730	--	328.092	652.235
40150-40199-General Debt Service	749.574	458.390	191.711	(65.002)	951.251
40200-40249-Grade Crossing Elimination Debt Service	--	--	--	--	--
40250-40299-State Housing Debt Service	--	0.001	--	(0.001)	--
40300-40349-Department of Health Income	37.230	2.868	14.989	(4.913)	20.196
40350-40399-State University Dormitory Income	277.029	37.056	--	(34.398)	279.687
40400-40449-Clean Water/Clean Air	26.210	41.537	--	(33.485)	34.262
40450-40499-Local Government Assistance Tax	3.305	207.234	0.270	(205.736)	4.533
TOTAL DEBT SERVICE FUNDS	1,404.761	759.816	206.970	(15.443)	1,942.164

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF NOVEMBER 2012
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 11/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 11/30/12
<u>CAPITAL PROJECTS FUNDS</u>					
30000-30049-State Capital Projects	--	321.247	178.218	(143.029)	--
30050-30099-Dedicated Highway and Bridge Trust	(229.295)	219.712	147.941	(92.615)	(250.139)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	103.281	0.033	2.858	14.658	115.114
30300-30349-New York State Canal System Development	2.116	--	--	--	2.116
30350-30399-Parks Infrastructure	(29.880)	7.156	4.399	--	(27.123)
30400-30449-Passenger Facility Charge	0.014	--	--	--	0.014
30450-30499-Environmental Protection	68.571	13.264	22.389	--	59.446
30500-30549-Clean Water/Clean Air Implementation	--	--	--	--	--
30550-30599-Hudson River Park	0.088	--	--	--	0.088
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
30610-30619-Park & Recreation Land Acquisition Bond	--	--	--	--	--
30620-30629-Pure Waters Bond	0.564	--	--	--	0.564
30750-30799-Outdoor Recreation Development Bond	--	--	--	--	--
30630-30639-Transportation Capital Facilities Bond	3.391	--	--	--	3.391
30640-30649-Environmental Quality Protection Bond	1.310	--	--	--	1.310
30900-30949-Rail Preservation and Development Bond	--	--	--	--	--
30700-30749-State Housing Bond	--	--	--	--	--
30650-30659-Rebuild and renew New York Transportation Bond	53.261	--	--	(1.410)	51.851
30660-30669-Transportation Infrastructure Renewal Bond	4.257	--	--	--	4.257
30670-30679-1986 Environmental Quality Bond Act	6.369	--	--	(0.786)	5.583
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.837	--	--	--	2.837
30690-30699-Clean Water/Clean Air Bond	5.999	--	--	(0.331)	5.668
31350-31449-Federal Capital Projects	0.645	158.022	143.979	--	14.688
31450-31499-Forest Preserve Expansion	0.895	--	--	--	0.895
31500-31549-Hazardous Waste Remedial	(152.269)	1.365	4.719	(0.476)	(156.099)
31550-31599-Pine Barrens	--	--	--	--	--
31600-31649-Lake Champlain Bridges	--	--	--	--	--
31650-31699-Suburban Transportation	0.505	--	--	--	0.505
31700-31749-Division for Youth Facilities Improvement	(4.717)	--	0.757	--	(5.474)
31750-31799-Youth Centers Facility	--	--	--	--	--
31800-31849-Housing Assistance	(20.395)	--	--	--	(20.395)
31850-31899-Housing Program	(170.987)	--	60.524	--	(231.511)
31900-31949-Natural Resource Damage	17.380	0.005	0.424	--	16.961
31950-32199-DOT Engineering Services	(12.625)	--	0.059	--	(12.684)
32400-32999-State University Capital Projects	153.539	0.047	4.993	0.061	148.654
32200-32249-Miscellaneous Capital Projects	8.773	12.560	0.159	--	21.174
32250-32299-CUNY Capital Projects	(0.023)	--	--	--	(0.023)
32300-32349-Mental Hygiene Facilities Capital Improvement	(349.770)	18.026	8.962	--	(340.706)
32350-32399-Correction Facilities Capital Improvement	(54.644)	--	15.045	--	(69.689)
TOTAL CAPITAL PROJECTS FUNDS	(590.646)	751.437	595.426	(223.928)	(658.563)
TOTAL GOVERNMENTAL FUNDS	\$5,847.711	\$9,378.567	\$9,187.677	(\$10.678)	\$6,027.923

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF NOVEMBER 2012
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 11/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 11/30/12</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$0.212	\$0.010	\$0.006	\$ --	\$0.216
50050-50099-State Exposition Special	11.048	0.509	1.562	--	9.995
50100-50299-Correctional Services Commissary	3.696	2.938	2.688	--	3.946
50300-50399-Agency Enterprise	2.339	0.260	0.203	--	2.396
50400-50449-OMH Sheltered Workshop	1.797	0.111	0.097	--	1.811
50450-50499-OPWDD Sheltered Workshop	1.251	0.024	0.196	--	1.079
50500-50599-Mental Hygiene Community Stores	3.477	0.185	0.131	--	3.531
50650-50699-Unemployment Insurance Benefit	49.672	537.762	520.860	--	66.574
TOTAL ENTERPRISE FUNDS	73.492	541.799	525.743	--	89.548
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-O.G.S. Centralized Services	(15.285)	(2.700)	8.955	(0.023)	(26.963)
55050-55099-Agency Internal Service	(10.254)	3.524	17.056	10.715	(13.071)
55100-55149-Mental Hygiene Revolving	0.019	0.086	0.051	--	0.054
55150-55199-Youth Vocational Education	0.059	--	--	--	0.059
55200-55249-Joint Labor/Management Administration	1.470	0.503	0.170	(0.001)	1.802
55250-55299-Audit and Control Revolving	0.845	1.416	1.596	--	0.665
55300-55349-Health Insurance Revolving	(19.429)	2.152	2.177	(0.012)	(19.466)
55350-55399-Correctional Industries Revolving	(5.687)	2.289	5.125	(0.001)	(8.524)
TOTAL INTERNAL SERVICE FUNDS	(48.262)	7.270	35.130	10.678	(65.444)
TOTAL PROPRIETARY FUNDS	\$25.230	\$549.069	\$560.873	\$10.678	\$24.104

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF NOVEMBER 2012
(amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 11/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 11/30/12</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement-Administration	(\$8.203)	\$4.146	\$14.300	\$ --	(\$18.357)
TOTAL PENSION TRUST FUNDS	(8.203)	4.146	14.300	--	(18.357)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.048	0.001	0.008	--	2.041
66050-66099-Milk Producers' Security	8.697	(0.501)	0.007	--	8.189
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.745	(0.500)	0.015	--	10.230
<u>AGENCY FUNDS</u>					
60000-60049--Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
60050-60149-School Capital Facilities Financing Reserve	23.618	1.313	10.693	--	14.238
60150-60199-Child Performer's Holding	0.245	0.005	--	--	0.250
60200-60249-Employees Health Insurance	207.486	622.079	632.543	--	197.022
60250-60299-Social Security Contribution	1.012	69.146	69.147	--	1.011
60300-60399-Employee Payroll Withholding Escrow	(5.742)	375.949	356.655	--	13.552
60400-60449-Employees Dental Insurance	5.482	22.123	6.916	--	20.689
60450-60499-Management Confidential Group Insurance	0.431	0.804	0.728	--	0.507
60500-60549-Lottery Prize	296.263	154.728	78.775	25.522	397.738
60550-60599-Health Insurance Reserve Receipts	0.100	--	--	--	0.100
60600-60799-Miscellaneous New York State Agency	638.047	(55.203)	69.115	--	513.729
60800-60849-Elderly Pharmaceutical Insurance Coverage Escrow	21.266	3.122	6.290	--	18.098
60850-60899-CUNY Senior College Operating	38.395	220.062	203.519	(0.062)	54.876
60900-60949-Medicaid Management Information System Escrow	881.418	3,323.686	3,983.589	--	221.515
60950-60999-Special Education	--	--	--	--	--
61000-61099-State University Collection	166.676	(40.287)	--	0.062	126.451
61100-61217-SUNY Federal Direct Lending Program	(4.211)	0.440	--	--	(3.771)
TOTAL AGENCY FUNDS	2,270.486	4,697.967	5,417.970	25.522	1,576.005
TOTAL FIDUCIARY FUNDS	\$2,273.028	\$4,701.613	\$5,432.285	\$25.522	\$1,567.878

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF NOVEMBER 2012
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 11/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 11/30/12</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$2.701	\$0.001	\$ --	\$2.702
70050-70149-Sole Custody Investment (*)	1,567.455	6,186.280	6,145.169	1,608.566
70200-Comptroller's Refund	--	135.430	135.430	--
TOTAL ACCOUNTS	\$1,570.156	\$6,321.711	\$6,280.599	\$1,611.268

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of November 30, 2012, \$11,636,501.61 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (20800).

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2013**

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2012	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING NOV. 30, 2012	INTEREST DISBURSED	
		MONTH OF NOVEMBER	8 MONTHS ENDED NOV. 30, 2012	MONTH OF NOVEMBER	8 MONTHS ENDED NOV. 30, 2012		MONTH OF NOVEMBER	8 MONTHS ENDED NOV. 30, 2012
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$370,660,421.97	\$ --	\$ --	\$ --	\$74,343,884.21	\$296,316,537.76	\$ --	\$12,119,090.25
Clean Water/Clean Air:								
Air Quality	45,022,724.04	--	--	--	6,842,035.57	38,180,688.47	1,311.07	1,228,471.22
Safe Drinking Water	12,564,628.83	--	--	--	3,310,000.00	9,254,628.83	--	249,320.19
Water	466,036,864.14	--	--	--	4,790,301.55	461,246,562.59	9,783.61	9,632,457.02
Solid Waste	69,553,112.20	--	--	--	4,890,188.22	64,662,923.98	5,071.01	1,217,564.33
Environmental Restoration	101,560,841.31	--	--	--	--	101,560,841.31	678.43	2,013,118.05
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	12,107,804.13	--	--	--	3,387,414.25	8,720,389.88	--	304,013.52
Environmental Quality Protection (1972):								
Air	11,103,951.62	--	--	--	3,104,333.54	7,999,618.08	--	403,449.73
Land and Wetlands	24,794,967.59	--	--	--	5,822,675.41	18,972,292.18	--	816,579.41
Water	84,023,228.78	--	--	--	16,590,983.19	67,432,245.59	--	2,446,547.25
Environmental Quality (1986):								
Land and Forests	33,455,683.51	--	--	--	5,703,240.18	27,752,443.33	884.08	838,346.06
Solid Waste Management	369,042,581.64	--	--	--	18,638,203.92	350,404,377.72	2,848.32	7,164,635.41
Housing:								
Low Cost	34,360,000.00	--	--	--	5,935,000.00	28,425,000.00	--	906,700.00
Middle Income	31,160,000.00	--	--	--	4,415,000.00	26,745,000.00	--	349,799.75
Park and Recreation Land Acquisition	17,462.59	--	--	--	--	17,462.59	--	342.28
Pure Waters	67,237,919.66	--	--	--	9,922,974.31	57,314,945.35	--	1,965,580.34
Rail Preservation Development	4,720,436.05	--	--	--	2,043,406.10	2,677,029.95	--	118,364.77
Rebuild and Renew New York Transportation:								
Highway Facilities	764,178,426.65	--	--	--	--	764,178,426.65	--	16,765,662.41
Canals and Waterways	15,330,084.29	--	--	--	--	15,330,084.29	--	313,933.76
Aviation	43,456,928.50	--	--	--	--	43,456,928.50	--	937,553.22
Rail and Port	75,742,389.22	--	--	--	--	75,742,389.22	--	1,747,320.69
Mass Transit - Dept. of Transportation	12,764,273.58	--	--	--	--	12,764,273.58	--	280,532.16
Mass Transit - Metropolitan Transportation Authority	805,995,790.27	--	--	--	--	805,995,790.27	--	17,874,633.43
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	2,752,039.77	--	--	--	--	2,752,039.77	--	56,724.58
Ports, Canals, and Waterways	38,396.10	--	--	--	38,396.10	--	--	767.92
Rapid Transit, Rail, and Aviation	16,588,704.01	--	--	--	3,333,691.05	13,255,012.96	--	549,252.87
Transportation Capital Facilities:								
Aviation	16,250,647.54	--	--	--	2,594,717.63	13,655,929.91	--	533,047.28
Mass Transportation	3,844,691.47	--	--	--	2,833,554.77	1,011,136.70	--	55,347.27
Total General Obligation Bonded Debt	\$3,494,364,999.46	\$ --	\$ --	\$ --	\$178,540,000.00	\$3,315,824,999.46	\$20,576.52	\$80,889,155.17

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE EIGHT (8) MONTHS ENDED NOVEMBER 30, 2012

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)	
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	UNIVERSITY	8 MONTHS ENDED NOV. 30			
	FUND	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	DORMITORY	2012	2011		
	(40000)	(40151)	(40300)	(40450)	(40100)	(40152)	(40350)				
Special Contractual Financing Obligations:											
Managed by Office of General Services:											
Department of Trans Region 1 Schenectady	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$631,732	(\$631,732)
Hampton Plaza	--	--	--	--	--	--	--	--	--	19,000	(19,000)
Subtotal	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$650,732	(\$650,732)
Payments to Public Authorities:											
City University Construction	--	226,266,044	--	--	--	--	--	--	226,266,044	235,830,488	(9,564,444)
Dormitory Authority:											
Albany County Airport	--	325,332	--	--	--	--	--	325,332	373,194	(47,862)	
Child Care Facilities	--	--	--	--	--	--	--	--	37,669	(37,669)	
Consolidated Service Contract Refunding	--	83,554,102	--	--	--	--	--	83,554,102	59,884,539	23,669,563	
David Axelrod Institute	--	5,578,746	--	--	--	--	--	5,578,746	5,603,308	(24,562)	
Department of Health Facilities	--	--	28,183,601	--	--	--	--	28,183,601	28,805,442	(621,841)	
Economic Development Housing	--	--	--	--	--	9,549,235	--	9,549,235	11,138,197	(1,588,962)	
Education	--	--	--	--	--	135,782,758	--	135,782,758	136,276,055	(493,297)	
General Purpose	--	--	--	--	--	235,489,106	--	235,489,106	179,035,787	56,453,319	
Health Care	--	--	--	--	--	2,911,369	--	2,911,369	2,973,244	(61,875)	
Judicial Training Institute	--	--	--	--	--	--	--	--	434,793	(434,793)	
Mental Health Facilities	--	--	--	--	110,626,048	--	--	110,626,048	117,514,744	(6,888,696)	
OGS Parking	--	478,125	--	--	--	--	--	478,125	478,125	--	
State Department of Education Facilities	--	982,867	--	--	--	--	--	982,867	1,045,377	(62,510)	
State Facilities and Equipment	--	--	--	--	--	269,379	--	269,379	715,838	(446,459)	
SUNY Community Colleges	--	25,349,483	--	--	--	--	--	25,349,483	23,825,408	1,524,075	
SUNY Dormitory Facilities	--	--	--	--	--	--	67,111,158	67,111,158	58,325,259	8,785,899	
SUNY Educational Facilities	--	150,671,089	--	--	--	--	--	150,671,089	141,466,537	9,204,552	
Environmental Facilities Corporation	--	--	--	--	--	21,081,943	--	21,081,943	22,698,503	(1,616,560)	
Housing Finance Agency	--	27,318,999	--	--	--	41,227,598	--	68,546,597	51,217,663	17,328,934	
Local Government Assistance Corporation	--	--	--	64,086,797	--	--	--	64,086,797	67,657,264	(3,570,467)	
Metropolitan Transportation Authority:											
Transit and Commuter Rail Projects	--	41,732,511	--	--	--	--	--	41,732,511	82,490,224	(40,757,713)	
Triborough Bridge & Tunnel Authority:											
Javits Convention Center Project	--	--	--	--	--	--	--	--	--	--	--
Thruway Authority:											
Dedicated Highway & Bridge	--	707,608,004	--	--	--	--	--	707,608,004	697,494,343	10,113,661	
Local Highway & Bridge	--	101,186,800	--	--	--	--	--	101,186,800	99,639,000	1,547,800	
Transportation	--	--	--	--	--	65,659,250	--	65,659,250	60,353,000	5,306,250	
Urban Development Corporation:											
Center for Industrial Innovation at RPI	--	110,687	--	--	--	--	--	110,687	215,600	(104,913)	
Clarkson University	--	178,200	--	--	--	--	--	178,200	170,525	7,675	
Columbia Univer. Telecommunications Center	--	3,719,000	--	--	--	--	--	3,719,000	3,719,000	--	
Consolidated Service Contract Refunding	--	67,945,314	--	--	--	--	--	67,945,314	72,685,675	(4,740,361)	
Cornell Univer. Supercomputer Center	--	493,000	--	--	--	--	--	493,000	493,000	--	
Correctional Facilities	--	5,400,708	--	--	--	--	--	5,400,708	9,911,756	(4,511,048)	
Economic Development Housing	--	--	--	--	--	26,111,603	--	26,111,603	28,718,931	(2,607,328)	
General Purpose	--	--	--	--	--	69,008,877	--	69,008,877	56,217,715	12,791,162	
State Facilities and Equipment	--	--	--	--	--	41,819,009	--	41,819,009	26,307,575	15,511,434	
Syracuse University Science and											
Technology Center	--	311,025	--	--	--	--	--	311,025	363,963	(52,938)	
University Facilities Grant 95 Refunding	--	317,472	--	--	--	--	--	317,472	346,759	(29,287)	
Subtotal	\$ --	\$1,449,527,508	\$28,183,601	\$64,086,797	\$110,626,048	\$648,910,127	\$67,111,158	\$2,368,445,239	\$2,284,464,500	\$83,980,739	
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$1,449,527,508	\$28,183,601	\$64,086,797	\$110,626,048	\$648,910,127	\$67,111,158	\$2,368,445,239	\$2,285,115,232	\$83,330,007	

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF NOVEMBER 2012
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>NOVEMBER 2012</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD NOVEMBER 2011</u>
<u>SHORT TERM INVESTMENT POOL*</u>			
AVERAGE DAILY INVESTMENT BALANCE**	\$4,980.3	\$6,329.1	\$7,478.6
AVERAGE YIELD**	0.186%	0.169%	0.146%
TOTAL INVESTMENT EARNINGS	\$0.768	\$7.210	\$7.839

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>NOVEMBER 2012 PAR AMOUNT</u>	<u>NOVEMBER 2011 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ --	\$ --
REPURCHASE AGREEMENTS	2,127.8	3,672.4
COMMERCIAL PAPER	200.0	922.0
CERTIFICATES OF DEPOSIT/SAVINGS	3,080.6	3,828.6
0% COMPENSATING BALANCE CD's	3,400.0	2,005.0
	<u>\$8,808.4</u>	<u>\$10,428.0</u>

*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

**Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2012-2013**

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STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2012-2013

APPENDIX A

	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER
OPENING CASH BALANCE	\$2,806,101	\$152,197,265	\$234,068,330	\$389,857,308	\$322,200,238	\$302,579,351
RECEIPTS:						
Cigarette Tax	96,498,178	99,397,523	96,905,781	106,198,410	103,474,712	85,776,737
State Share of NYC Cigarette Tax	4,527,000	5,294,000	4,384,000	4,962,000	4,829,000	4,557,000
STIP Interest	46,238	48,125	(48,125)	--	103,152	69,150
Public Asset Transfers	--	--	--	--	--	--
Assessments	320,197,880	395,291,372	380,539,040	360,011,137	352,683,184	321,816,766
Fees	--	--	--	--	--	--
Rebates	--	--	--	--	--	9,246,218
Restitution and Settlements	--	--	--	--	--	6,517,215
Miscellaneous	--	--	3	300	--	250,229
Total Receipts	421,269,296	500,031,020	481,780,699	471,171,847	461,090,048	428,233,315
DISBURSEMENTS:						
Grants	268,619,137	415,634,171	302,829,356	533,039,734	453,600,447	385,965,087
Interest - Late Payments	298	16,320	(10,414)	8,938	16,778	10,981
Personal Service	2,315,981	738,913	447,862	1,026,487	1,148,906	145,503
Non-Personal Service	45,534	974,586	6,816,038	3,029,571	3,627,248	4,023,288
Employee Benefits/Indirect Costs	--	--	--	794,224	--	--
Total Disbursements	270,980,950	417,363,990	310,082,842	537,898,954	458,393,379	390,144,859
OPERATING TRANSFERS:						
Transfers to Capital Projects Fund	--	--	--	--	20,535,726	--
Transfers to General Fund	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	1,306,200	5,861,269
Transfers to Miscellaneous Special Revenue Empire State Stem Cell Trust Account	--	--	15,000,000	--	--	--
Transfers to SUNY Income Fund	897,182	795,965	908,879	929,963	475,630	908,861
Total Operating Transfers	897,182	795,965	15,908,879	929,963	22,317,556	6,770,130
Total Disbursements and Transfers	271,878,132	418,159,955	325,991,721	538,828,917	480,710,935	396,914,989
CLOSING CASH BALANCE	\$152,197,265	\$234,068,330	\$389,857,308	\$322,200,238	\$302,579,351	\$333,897,677

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2012-2013

APPENDIX A
 (continued)

	<u>OCTOBER</u>	<u>NOVEMBER</u>	<u>8 Months Ended November 30, 2012</u>
OPENING CASH BALANCE	\$333,897,677	\$374,244,594	\$2,806,101
RECEIPTS:			
Cigarette Tax	101,948,898	87,286,110	777,486,349
State share of NYC Cigarette Tax	4,839,000	4,168,000	37,560,000
STIP Interest	135,162	137,127	490,829
Public Asset Transfers	--	--	--
Assessments	371,673,245	296,300,880	2,798,513,504
Fees	4,620,420	180,523	4,800,943
Rebates	3,148,012	919,309	13,313,539
Restitution and Settlements	227,000	55,000	6,799,215
Miscellaneous	613,850	--	864,382
Total Receipts	487,205,587	389,046,949	3,639,828,761
DISBURSEMENTS:			
Grants	408,217,376	443,218,414	3,211,123,722
Interest - Late Payments	63,472	3,887	110,260
Personal Service	974,017	605,072	7,402,741
Non-Personal Service	6,122,953	1,482,926	26,122,144
Employee Benefits/Indirect Costs	--	--	794,224
Total Disbursements	415,377,818	445,310,299	3,245,553,091
OPERATING TRANSFERS:			
Transfers to Capital Projects Fund	31,000,000	--	51,535,726
Transfers to General Fund	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	7,167,469
Transfers to Miscellaneous Special Revenue Empire State Stem Cell Trust Account	--	15,000,000	30,000,000
Transfers to SUNY Income Fund	480,852	1,141,402	6,538,734
Total Operating Transfers	31,480,852	16,141,402	95,241,929
Total Disbursements and Transfers	446,858,670	461,451,701	3,340,795,020
CLOSING CASH BALANCE	\$374,244,594	\$301,839,842	\$301,839,842

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2012-2013

APPENDIX B

Program/Purpose	Appropriation Amount (1)	April - June Disbursements	July - September Disbursements	October Disbursements	November Disbursements	Total Disbursements 8 Months Ending November 30, 2012 (2)
ADULT HOMES PROGRAM	\$ 59,736	\$ --	\$ --	\$ --	\$ --	\$ --
ADULT HOMES						
AIDS INSTITUTE PROGRAM	244,030,323					
COMMUNITY SERVICE PROG- HIGH RISK		--	--	--	--	--
HIV CLINICAL & PROVIDER EDUCATION		619,829	598,022	184,649	278,766	1,681,266
HIV HEALTH CARE SUPPORTIVE SERVICES		2,799,424	6,170,202	1,655,520	1,134,685	11,759,831
HIV STD HEPATITIS C PREVENTION		5,075,892	7,375,453	1,880,213	2,499,016	16,830,574
INFANTS AND PREGNANT WOMEN		--	--	--	--	--
REGIONAL AND TARGETED		3,638,235	10,862,995	3,156,223	1,444,016	19,101,469
CENTER FOR COMMUNITY HEALTH PROGRAM	184,744,193					
ADEPHI UNIVRST CANC SPRT PRG		--	--	--	--	--
BRST CANCER HOTLINE - ADELPHI		--	--	--	--	--
CENTER FOR COMMUNITY HLTH		385,294	442,734	121,773	112,701	1,062,502
EVIDENCE BASED CANCER SVC		3,102,980	1,513,666	2,723,357	1,259,772	8,599,775
FAMILY PLANNING		--	--	--	--	--
HYPERTENSION PREVENTION TREATMENT		82,286	131,218	--	28,736	242,240
INDIAN HEALTH PROGRAM		6,215,187	5,288,953	2,320,551	1,173,239	14,997,930
LEAD POISONING PREVENTION		127,151	52,548	--	--	179,699
MATERNITY & EARLY CHHOOD FOUNDATION		74,657	149,750	74,875	--	299,282
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		15,176	3,235,824	26,360	68,646	3,346,006
PRENATAL CARE ASSISTANCE PROGRAM		450,071	619,875	163,307	184,335	1,417,588
PUBLIC HEALTH CAMPAIGN		1,191,730	247,276	1,154,860	146,780	2,740,646
RAPE CRISIS		66,775	21,733	1,975	--	90,483
SCHOOL BASED HEALTH PROGRAM		793,740	2,642,457	441,623	683,425	4,561,245
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		226,144	112,281	36,949	--	375,374
TOBACCO ENFORCEMENT		71,309	554,372	--	63,347	689,028
TUBERCULOSIS		--	127,737	38,668	113,009	279,414
CHILD HEALTH INSURANCE PROGRAM	979,306,800					
CHILD HEALTH INSURANCE		36,361,902	91,709,440	34,701,164	29,186,402	191,958,908
COMMUNITY SUPPORT PROGRAM	120,000					
COMMUNITY SUPPORT		12,000	24,000	--	--	36,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	329,800,000					
EDLERLY PHARMACEUTICAL INSURANCE COV		10,521,272	25,077,291	13,833,345	8,426,115	57,858,023
HEALTH CARE FINANCING PROGRAM	9,217,600					
HEALTH CARE FINANCING		272,176	330,249	96,640	93,936	793,001
HEALTH CARE REFORM ACT PROGRAM	1,776,039,106					
AIDS DRUG ASSISTANCE		--	12,300,000	--	--	12,300,000
AMBULATORY CARE TRAINING		--	--	--	--	--
AREA HEALTH EDUCATION CENTER		--	2,199,723	--	--	2,199,723
DIAGNOSTIC & TREATMENT CTR UNCOMPENSATED CARE		4,598,105	935,396	--	26,557,129	32,090,630
DIVERSITY IN MEDICINE		--	855,794	--	--	855,794
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		--	4,730,000	--	--	4,730,000
HCRA PAYOR / PROVIDER AUDITS		--	2,399,893	3,685,251	(33,843)	6,051,301
HEALTH FACILITY RESTRUCTURING DASNY		--	19,600,000	44,665	--	19,644,665
HEALTH WORKFORCE RETRAINING		552,374	2,400,413	--	1,943,633	4,896,420
INFERTILITY SERVICES GRANTS		405,246	337,608	--	--	742,854
MEDICAL INDEMNITY FUND		--	--	--	--	--
PART 405_4 HOSPITAL AUDITS		212,056	212,418	--	158,869	583,343
PAY FOR PERFORMANCE		--	--	--	--	--
PHYSICIAN EXCESS MEDICAL MALPRACTICE		--	127,400,000	--	--	127,400,000
PHYSICIAN LOAN REPAYMENT		64,927	73,111	80,422	271,453	489,913
PHYSICIAN PRACTICE SUPPORT		--	138,375	--	42,749	181,124
PHYSICIAN WORKFORCE STUDIES		--	--	--	--	--
POISON CONTROL CENTERS		--	1,250,000	--	--	1,250,000
POOL ADMINISTRATION		--	1,465,874	364,653	--	1,830,527
ROSWELL PARK CANCER INSTITUTE		--	17,900,000	--	17,900,000	35,800,000
RPCI CANC RSRCH OPERATING COSTS		--	1,500,000	--	1,500,000	3,000,000
RURAL HEALTH CARE ACCESS		167,146	2,745,776	656,311	151,867	3,721,100
RURAL HEALTH NETWORK		128,244	1,718,949	543,651	371,221	2,762,065
SCHOOL BASED HEALTH CENTERS		--	--	--	--	--
SCHOOL BASED HEALTH CLINICS-POOL ADMN		--	--	--	--	--
TOBACCO USE PREVENTION/CONTROL		7,618,122	9,350,965	2,212,772	1,208,550	20,390,409
TRANSITION ACCT - PRIOR YEAR ALLOCATION		--	--	--	--	--

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2012-2013

APPENDIX B
 (continued)

Program/Purpose	Appropriation Amount (1)	April - June Disbursements	July - September Disbursements	October Disbursements	November Disbursements	Total Disbursements 8 Months Ending November 30, 2012 (2)
MEDICAL ASSISTANCE PROGRAM	\$ 18,568,492,000					
BREAST & CERVICAL CANCER		2,107,000	--	--	--	2,107,000
DISABLED PERSONS		23,814,000	--	--	--	23,814,000
FAMILY HEALTH PLUS		52,703,900	203,385,100	85,363,000	85,363,000	426,815,000
FINANCIAL ASSISTANCE		--	--	8,000,000	--	8,000,000
HOME HEALTH RATE INCREASE		--	--	--	--	--
INPATIENT NURSING HOME PHARMACIES		553,766,100	472,365,900	155,000,000	155,000,000	1,336,132,000
MEDICAID INDIGENT CARE		197,847,018	189,222,177	63,498,518	63,321,276	513,888,989
MEDICAL ASSISTANCE		12,200,000	48,800,000	12,200,000	24,400,000	97,600,000
NYC MEDICAID		13,320,000	39,960,000	13,320,000	13,320,000	79,920,000
PHYSICIAN SERVICES		45,500,000	40,838,000	--	--	86,338,000
PRIMARY CARE CASE MANAGEMENT		2,009,000	--	--	--	2,009,000
PSNL CRE WRKR RECR & RETEN NYC (3)		--	--	--	--	--
PSNL CRE WRKR RECR & RETEN ROS (4)		--	--	--	--	--
SUPPLEMENTAL MEDICAL INSURANCE		7,260,000	21,780,000	7,260,000	7,260,000	43,560,000
OFFICE OF HEALTH INSURANCE PROGRAM	19,810,800					
OFFICE OF HEALTH INSURANCE		1,995,988	785,557	654,773	(45,228)	3,391,090
OFFICE OF HEALTH SYSTEMS MANAGEMENT	51,940,100					
OFFICE HEALTH SYSTEMS MANAGEMENT		2,629,283	4,808,708	370,830	851,526	8,660,347
OFFICE OF LONG TERM CARE	23,898,240					
ADULT HOME INITIATIVE		--	--	--	--	--
ENABLE AIR CONDITIONING		--	--	--	--	--
ENABLE QUALITY OF LIFE		--	--	--	--	--
QUALITY PROG ADULT CARE FACILITIES		31,949	4,298	--	--	36,247
TOTAL	22,187,458,898	1,001,033,688	1,388,752,111	415,866,898	446,439,128	3,252,091,825
Transfer to the General Fund - State Purposes Account (for administration of the program)	636,003					
Reclass of SUNY Hospital Disprop Share to Transfer		(2,602,026)	(2,314,454)	(480,852)	(1,141,402)	(6,538,734)
Reconciling Adjustment (P-Card and T-Card)		(3,880)	(465)	(8,228)	12,573	--
TOTAL APPROPRIATED AMOUNT	\$ 22,188,094,901	\$ 998,427,782	\$ 1,386,437,192	\$ 415,377,818	\$ 445,310,299	\$ 3,245,553,091

(1) Includes amounts appropriated in 2012 as well as prior year appropriations that were reappropriated in the SFY 2012 budget chapters.

(2) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(3) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(4) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - NOVEMBER 2012
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	November Disbursements	Life-to-Date Disbursements
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ --	\$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	797,703.60	9,344,781.94
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	--	399,900.00
84.033	Department of Education	Federal Work-Study Program	--	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	--	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	70,721.05	3,572,261.16
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	6,127.14	173,726.47
84.386	Department of Education	Education Technology State Grants, Recovery Act	1,988,227.63	52,861,700.41
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	--	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	1,403,820.99	93,984,596.39
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	40,699.00	906,711,297.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	--	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	--	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	--	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	--	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	5,306,630.24	71,423,634.06
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	--	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	--	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	--	2,297,731.00
84.410	Department of Education	Education Jobs Fund	7,844,138.00	609,489,045.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	--	411,249.00
Total Education			17,458,067.65	5,724,478,402.53
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	--	617,163.65
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	--	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	--	1,635,086.95
66.454	Environmental Protection Agency	Water Quality Management Planning	--	4,132,731.39
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	--	353,869,837.43
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	--	83,365,696.72
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	--	9,212,000.00
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	19,993.71	392,606,168.02
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis:	503.55	322,300.10
Total Energy and Environment			20,497.26	846,768,596.12
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	--	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	--	4,148,718.00
Total Food and Nutrition Services			--	11,082,466.00
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	8,488.67	1,524,556.63
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	22,429.85	26,951,329.00
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	--	26,406,387.04
93.563	Health and Human Services	Child Support Enforcement	19,821,665.00	96,200,498.69
93.658	Health and Human Services	Foster Care- Title IV-E	--	53,978,181.00
93.659	Health and Human Services	Adoption Assistance	--	60,062,684.00
93.708	Health and Human Services	ARRA - Head Start	125,731.16	2,330,832.52
93.712	Health and Human Services	ARRA - Immunization	--	4,275,750.26
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	--	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	--	723,023,290.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	4,133.99	1,116,682.07
93.778	Health and Human Services	Medical Assistance Program (FMAP)	60,118,932.79	13,533,476,813.72
94.006	Corporation for National and Community Service	AmeriCorps	--	6,672,738.91
Total Health and Social Services			80,101,381.46	14,662,676,644.84

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - NOVEMBER 2012
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C
 (continued)

Federal CFDA No.	Federal Agency	Program	November Disbursements	Life-to-Date Disbursements
Housing				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	\$ --	\$ 21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	--	85,384,063.91
		Total Housing	--	107,259,063.91
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	--	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	321,957,586.15	14,465,326,618.42
17.235	Department of Labor	Senior Community Service - Employment Program	--	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	--	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	--	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	15,633.29	70,588,490.00
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	--	1,112,175.14
		Total Labor	321,973,219.44	14,664,464,733.94
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	36,004.74	2,065,033.64
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	--	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	--	7,274,394.35
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	2,704.62	906,225.75
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	--	1,788,999.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	--	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	1,087,850.28	55,810,146.74
		Total Public Protection	1,126,559.64	78,090,512.54
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	5,612,708.14	887,386,588.51
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	862,259.96	7,196,761.07
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	918,461.85	21,075,590.44
		Total Transportation	7,393,429.95	915,658,940.02
		TOTAL ARRA DISBURSEMENTS \$	428,073,155.40	\$ 37,010,479,359.90

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2012-2013**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>2012</u> <u>OCTOBER</u>	<u>2012</u> <u>NOVEMBER</u>	<u>2012-2013</u>
OPENING CASH BALANCE	\$ 286,758,937.54	\$ 137,093,786.70	\$ 135,948,239.96	\$ 225,084,328.72	\$ 286,758,937.54
RECEIPTS:					
Patient Services	619,218,686.92	660,599,147.59	288,684,935.80	175,619,866.33	1,744,122,636.64
Covered Lives	218,746,792.16	260,382,115.71	128,573,331.98	81,520,361.89	689,222,601.74
Provider Assessments	18,222,091.50	19,953,830.51	8,190,595.40	6,749,666.26	53,116,183.67
1% Assessments	80,320,357.00	81,845,552.06	29,928,067.00	25,926,380.00	218,020,356.06
DASNY- MOE/Recast receivables	0.00	2,219,958.69	0.00	0.00	2,219,958.69
Interest Income	54,777.16	60,101.22	22,347.41	15,370.53	152,596.32
NYPHRM	0.00	0.00	0.00	0.00	0.00
Hospital Quality Contribution	0.00	0.00	0.00	0.00	0.00
Unassigned	(52,581.62)	1,582,787.00	644,449.00	17,066,262.00	19,240,916.38
Total Receipts	936,510,123.12	1,026,643,492.78	456,043,726.59	306,897,907.01	2,726,095,249.50
DISBURSEMENTS:					
Program Disbursements:					
Poison Control Centers	0.00	0.00	(1,250,000.00)	0.00	(1,250,000.00)
School Based Health Center Grants	0.00	0.00	0.00	0.00	0.00
ECRIP Distributions	0.00	0.00	(4,730,000.00)	0.00	(4,730,000.00)
Total Disbursements	0.00	0.00	(5,980,000.00)	0.00	(5,980,000.00)
Excess (Deficiency) of Receipts over Disbursements	936,510,123.12	1,026,643,492.78	450,063,726.59	306,897,907.01	2,720,115,249.50
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Medicaid Disproportionate Share	0.00	13,421.00	1,793,792.00	0.00	1,807,213.00
Health Facility Assessment Fund - Hospital Quality Contribution	9,849,087.00	8,925,920.00	2,971,466.34	3,190,475.29	24,936,948.63
Transfers From State Funds:					
HCRA Resources Fund	0.00	0.00	5,980,000.00	0.00	5,980,000.00
HCRA Resources Fund FMAP	0.00	0.00	0.00	0.00	0.00
Total Other Financing Sources	9,849,087.00	8,939,341.00	10,745,258.34	3,190,475.29	32,724,161.63
Transfers to Other Pools:					
Medicaid Disproportionate Share	0.00	(2,219,958.69)	0.00	0.00	(2,219,958.69)
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers to State Funds:					
HCRA Resources Fund	(894,327,322.28)	(841,421,983.04)	(306,880,003.17)	(230,338,040.35)	(2,272,967,348.84)
Indigent Care Fund (matched)	(197,593,228.63)	(191,743,563.18)	(63,967,309.14)	(65,136,203.74)	(518,440,304.69)
Indigent Care Fund (non-matched)	(4,103,810.05)	(1,342,875.61)	(825,583.86)	(825,583.86)	(7,097,853.38)
Total Other Financing Uses	(1,096,024,360.96)	(1,036,728,380.52)	(371,672,896.17)	(296,299,827.95)	(2,800,725,465.60)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(149,665,150.84)	(1,145,546.74)	89,136,088.76	13,788,554.35	(47,886,054.47)
CLOSING CASH BALANCE	\$ 137,093,786.70	\$ 135,948,239.96	\$ 225,084,328.72	\$ 238,872,883.07	\$ 238,872,883.07

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2012-2013**

	<u>1st Quarter April-June</u>	<u>2nd Quarter July-September</u>	<u>2012 OCTOBER</u>	<u>2012 NOVEMBER</u>	<u>2012-2013</u>
OPENING CASH BALANCE	\$ 12,566.79	\$ 1,064.46	\$ 348.63	\$ 1,051.58	\$ 12,566.79
RECEIPTS:					
Interest Income	2,846.81	1,949.66	1,051.58	357.17	6,205.22
Total Receipts	<u>2,846.81</u>	<u>1,949.66</u>	<u>1,051.58</u>	<u>357.17</u>	<u>6,205.22</u>
DISBURSEMENTS:					
Program Disbursements:					
Indigent Care	(188,800,520.91)	(189,111,187.20)	(63,092,499.03)	(64,207,853.61)	(505,212,060.75)
High Need Indigent Care	(7,655,760.00)	(123,480.00)	0.00	0.00	(7,779,240.00)
Other	0.00	(941,267.24)	0.00	0.00	(941,267.24)
Total Program Disbursements	<u>(196,456,280.91)</u>	<u>(190,175,934.44)</u>	<u>(63,092,499.03)</u>	<u>(64,207,853.61)</u>	<u>(513,932,567.99)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(196,453,434.10)</u>	<u>(190,173,984.78)</u>	<u>(63,091,447.45)</u>	<u>(64,207,496.44)</u>	<u>(513,926,362.77)</u>
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Public Goods Pool	0.00	2,219,958.69	0.00	0.00	2,219,958.69
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	98,796,614.33	95,871,781.60	31,983,654.57	32,568,101.87	259,220,152.37
HCRA Resources Indigent Care - Unmatched	2,865,696.04	104,587.08	412,791.93	412,791.93	3,795,866.98
HCRA Resources Indigent Care - ATB	(4,013,061.73)	(3,863,616.51)	(1,287,602.04)	(1,310,979.92)	(10,475,260.20)
Federal DHHS Fund	98,796,614.30	95,871,781.58	31,983,654.57	32,568,101.87	259,220,152.32
Other	0.00	0.00	1,793,792.00	0.00	1,793,792.00
Total Other Financing Sources	<u>196,445,862.94</u>	<u>190,204,492.44</u>	<u>64,886,291.03</u>	<u>64,238,015.75</u>	<u>515,774,662.16</u>
Transfers to Other Pools:					
Public Goods Pool	0.00	(13,421.00)	(1,793,792.00)	0.00	(1,807,213.00)
Health Facility Assessment Fund	0.00	(15,137.00)	0.00	(30,162.14)	(45,299.14)
Transfers to State Funds:					
HCRA Resources Fund Indigent Care Acct	(3,931.17)	(2,665.49)	(348.63)	(1,051.58)	(7,996.87)
Total Other Financing Uses	<u>(3,931.17)</u>	<u>(31,223.49)</u>	<u>(1,794,140.63)</u>	<u>(31,213.72)</u>	<u>(1,860,509.01)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(11,502.33)</u>	<u>(715.83)</u>	<u>702.95</u>	<u>(694.41)</u>	<u>(12,209.62)</u>
CLOSING CASH BALANCE	<u>\$ 1,064.46</u>	<u>\$ 348.63</u>	<u>\$ 1,051.58</u>	<u>\$ 357.17</u>	<u>\$ 357.17</u>

Source: HCRA - Office of Pool Administration

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '12 (000)	DISBURSED MAY '12 (000)	DISBURSED JUNE '12 (000)	DISBURSED JULY '12 (000)	DISBURSED AUG '12 (000)	DISBURSED SEPT '12 (000)	DISBURSED OCT '12 (000)	DISBURSED NOV '12 (000)	DISBURSED DEC '12 (000)	DISBURSED JAN '13 (000)	DISBURSED FEB '13 (000)	DISBURSED MAR '13 (000)	DISBURSED TOTAL 12-13 (000)
DORMITORY AUTHORITY:													
Education - All Other	8	12	--	142	12	--	3						177
Education - EXCEL	7,243	13,924	9,706	10,267	2,719	761	5,993						50,613
Department of Health - All Other	--	--	17	94	96	25	96						328
CEFAP	1,289	738	234	273	500	177	218						3,429
Regional Development:													
CCAP/RESTORE	906	734	--	77	73	25	758						2,573
Multi-modal	--	--	--	3	--	--	--						3
GenNYsis	--	--	--	--	--	--	--						--
CUNY Senior Colleges	17,285	23,690	14,687	29,174	25,849	22,446	37,098						170,229
CUNY Community Colleges	8,160	6,585	1,720	13,719	6,510	1,685	12,954						51,333
SUNY Dormitories	14,723	19,531	14,800	27,368	18,339	13,706	25,188						133,655
Upstate Community Colleges	2,213	10,067	6,163	7,139	16,218	7,481	4,831						54,112
Mental Health	5,495	5,651	3,145	6,525	5,410	4,552	11,612						42,390
Developmental Disabilities	1,569	1,520	1,636	3,755	1,262	933	2,360						13,035
Alcoholism & Substance Abuse	89	9	15	45	25	--	623						806
Brooklyn Court Officer Training Academy	2	--	--	37	8	245	768						1,060
TOTAL DORMITORY AUTHORITY:	58,982	82,461	52,123	98,618	77,021	52,036	102,502	--	--	--	--	--	523,743
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	--	--	--	--	--	--	--						--
CCAP	54	284	--	212	--	199	222						971
Empire Opportunity	--	--	--	--	--	--	--						--
CEFAP	--	20	--	--	--	--	--						20
State Facilities and Equipment	--	--	--	--	--	--	--						--
TOTAL EMPIRE STATE DEVELOPMENT CORP:	54	304	--	212	--	199	222	--	--	--	--	--	991
THRUWAY AUTHORITY:													
CHIPS	--	--	57,216	--	--	142,788	--						200,004
SHIPS	--	--	--	--	--	--	--						--
Marchiselli	--	--	7,325	--	--	8,993	--						16,318
Multi-modal	--	5	--	--	236	--	--						241
TOTAL THRUWAY AUTHORITY:	--	5	64,541	--	236	151,781	--	--	--	--	--	--	216,563
TOTAL OFF-BUDGET:	59,036	82,770	116,664	98,830	77,257	204,016	102,724	--	--	--	--	--	741,297
TOTAL CEFAP	1,289	758	234	273	500	177	218						3,449
ECONOMIC DEVELOPMENT:													
Total CCAP	960	1,018	--	289	73	224	980						3,544
Total Multi-modal	--	--	--	3	--	--	--						3
Total GenNYsis	--	--	--	--	--	--	--						--
Total Centers for Excellence	--	--	--	--	--	--	--						--
Total Empire Opportunity	--	--	--	--	--	--	--						--
Total Economic Development	960	1,018	--	292	73	224	980	--	--	--	--	--	3,547

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

Schedule of Month-End Temporary Loans Outstanding November 30, 2012

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.

Office of the State Comptroller
Summary of Month-End Temporary Loans Outstanding

	July 31, 2012	August 31, 2012	September 30, 2012	October 31, 2012	Change	November 30, 2012
TOTAL GENERAL FUND	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,128,437,881.91	1,179,014,808.97	1,412,103,252.27	1,194,640,115.60	69,551,146.91	1,264,191,262.51
TOTAL STATE SPECIAL REVENUE FUNDS	502,516,408.92	679,447,175.37	1,994,656,145.19	1,831,869,899.82	88,478,352.71	1,920,348,252.53
TOTAL FEDERAL FUNDS	877,926,671.82	518,581,719.57	749,374,219.48	1,067,349,085.32	(790,901,910.27)	276,447,175.05
TOTAL AGENCY FUNDS	--	--	79,000,289.66	--	--	--
TOTAL ENTERPRISE FUND	--	--	--	--	--	--
TOTAL INTERNAL SERVICE FUNDS	74,630,711.95	82,965,960.65	93,018,265.55	98,738,243.64	11,881,573.21	110,619,816.85
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$2,583,511,674.60	\$2,460,009,664.56	\$4,328,152,172.15	\$4,192,597,344.38	(\$620,990,837.44)	\$3,571,606,506.94

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

SFS Fund	ACCOUNT	ACCOUNT TITLE	July 31, 2012	August 31, 2012	September 30, 2012	October 31, 2012	Change	November 30, 2012
21994	-94	INSURANCE DEPT	0.00	0.00	0.00	0.00	0.00	0.00
22003	220 -03	BELL JAR COLLECTION ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22004	-04	INDUSTRY AND UTILITY SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
22006	-06	REAL PROPERTY DISPOSITION	87,712.49	91,308.70	124,118.64	193,803.91	(5,660.29)	188,143.62
22007	-07	PARKING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22009	-09	ASBESTOS SAFETY TRAINING	196,266.92	162,158.14	88,775.62	102,054.09	(55,282.58)	46,771.51
22011	-11	PUBLIC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
22021	-21	REG MANUFACTURED HOUSING	0.00	0.00	0.00	0.00	0.00	0.00
22027	-27	SPECIAL CONSERVATION ACTIVITIES	0.00	0.00	0.00	0.00	0.00	0.00
22032	-32	BATAVIA SCHOOL FOR THE BLIND	5,093,416.30	5,242,603.41	5,670,561.49	6,938,851.30	658,458.45	7,597,309.75
22034	-34	INVESTMENT SERVICES	382,295.33	0.00	0.00	0.00	0.00	0.00
22036	-36	SURPLUS PROPERTY ACCOUNT	185,386.68	412,605.85	491,245.50	225,445.93	117,541.89	342,987.82
22038	-38	OPWDD DAY SERVICES ACCOUNT	2,178,174.98	2,178,738.01	2,179,047.38	2,179,692.08	850.38	2,180,342.46
22039	-39	FINANCIAL OVERSIGHT	197,852.46	479,404.12	661,640.45	484,803.62	520,571.46	1,005,375.08
22046	-46	REGULATION INDIAN GAMING	98,474,908.67	99,783,446.14	99,378,735.39	99,997,849.95	1,918,859.59	101,916,709.54
22051	-51	PROFESSIONAL EDUC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
22053	-53	ROME SCHOOL FOR THE DEAF	985,017.45	1,260,855.42	1,584,811.86	2,623,581.49	527,641.25	3,151,222.74
22054	-54	DSP-SEIZED ASSETS	11,756,774.82	11,875,965.74	12,037,096.96	10,051,867.08	(2,751,267.99)	7,300,599.09
22055	-55	ADMINISTRATIVE ADJUDICATION	3,890,397.29	3,541,563.93	3,144,723.05	0.00	439,969.31	439,969.31
22056	-56	FEDERAL SALARY SHARING	0.00	0.00	0.00	0.00	0.00	0.00
22062	-62	NYC ASSESSMENT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
22063	-63	CULTURAL EDUCATION ACCOUNT	7,810,202.67	7,354,938.85	6,582,531.74	4,757,383.30	(1,721,721.76)	3,035,661.54
22065	-65	EXAMINATION & MISC REV	0.00	0.00	0.00	0.00	0.00	0.00
22067	-67	TRANSPORTATION REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
22068	-68	CONSUMER PROTECTION BD.	0.00	0.00	0.00	0.00	0.00	0.00
22078	-78	LOCAL SERVICE ACCOUNT	0.00	0.00	0.00	0.00	11,243.00	11,243.00
22085	-85	DHCR MORTGAGE SERVICES	2,426,413.99	1,873,784.29	2,199,660.36	1,831,829.37	654,120.62	2,485,949.99
22087	-87	DMV-COMPULSORY INS PRGM	0.00	0.00	0.00	0.00	0.00	0.00
22090	-90	HOUSING INDIRECT COST RECOVERY	1,183,564.74	1,314,670.83	1,387,641.18	1,589,052.94	159,637.00	1,748,689.94
22100	221 -00	DHCR-HOUSING CREDIT AGENCY APPLY FEE	0.00	0.00	0.00	0.00	0.00	0.00
22101	-01	EPIC PREMIUM	0.00	0.00	0.00	0.00	0.00	0.00
22112	-12	OTDA INCOME ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22130	-30	LOW INCOME HOUSING CREDIT MONITORING	0.00	0.00	0.00	0.00	0.00	0.00
22133	-33	PROCUREMENT OPPORTUNITY NEWSLETTER	0.00	0.00	0.00	0.00	0.00	0.00
22135	-35	EFC-CORPORATION ADMINISTRATION	39,070.25	39,070.25	39,070.25	0.00	0.00	0.00
22144	-44	MONTROSE VETERAN'S HOME	0.00	0.00	0.00	0.00	0.00	0.00
22149	-49	MOTOR FUEL QUALITY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22151	-51	DEFERRED COMPENSATION ADMIN	166,386.03	81,698.79	152,154.18	181,132.27	(65,036.92)	116,095.35
22156	-56	RENT REVENUE OTHER - NYC	8,151,408.02	820,166.48	3,267,796.29	0.00	0.00	0.00
22158	-58	RENT REVENUE	409,518.14	374,375.48	385,615.93	412,966.25	(30,859.81)	382,106.44
22168	-68	TAX REVENUE ARREARAGE ACCOUNT	2,276,864.61	2,329,087.51	2,361,201.75	2,389,484.48	28,943.70	2,418,428.18
22176	-76	OGS-SOLID WASTE MGMT	0.00	0.00	0.00	0.00	0.00	0.00
22177	-77	OCCUPATIONAL HEALTH CLINICS	0.00	0.00	0.00	0.00	0.00	0.00
22193	-93	SALES TAX RE-REG FEE ADMIN	0.00	213,339.26	292,730.88	325,389.09	143,738.94	469,128.03
22195	-95	EQUITABLE SHARING AGMT	0.00	0.00	0.00	0.00	0.00	0.00
22192	-92	TAX RETURN PREPARER REG FEE ADM	27,168.78	34,302.51	68,711.88	76,611.17	26,650.60	103,261.77
22654	226 -54	S.U. NON-RESIDENT REV. OFFSET	44,191,771.08	44,191,771.08	44,191,771.08	44,191,771.08	0.00	44,191,771.08
22802	228 -02	STATE POLICE MV ENFORCE	8,923,070.71	0.00	0.00	0.00	0.00	0.00
23001	230 -01	DOT - HIGHWAY SAFETY PRGM	3,264,741.27	3,604,434.26	3,462,666.65	3,694,198.48	(116,979.87)	3,577,218.61
23101	231 -01	EFC DRINKING WATER PROGRAM	97,046.44	97,046.44	97,046.44	97,046.44	(97,046.44)	0.00
23102	-02	DOH DRINKING WATER PROGRAM	2,965,041.16	3,466,949.61	3,024,428.84	3,355,942.70	179,850.37	3,535,793.07
23151	-51	NYCCC OPERATING OFFSET	22,697,469.73	25,612,667.94	28,025,411.59	30,460,078.33	2,084,946.76	32,545,025.09
TOTAL STATE SPECIAL REVENUE FUNDS			\$502,516,408.92	\$679,447,175.37	\$1,994,656,145.19	\$1,831,869,899.82	\$88,478,352.71	\$1,920,348,252.53
FEDERAL FUNDS								
250	250 -	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	(1) 33,981,832.24	3,811,519.69	84,555,333.84	10,201,746.29	(2,132,755.61)	8,068,990.68
251	251 -	FEDERAL HEALTH AND HUMAN SERVICES FUND	(2) 518,165,015.75	185,697,536.97	163,994,382.35	883,131,291.79	(834,994,577.77)	48,136,714.02
25200-25220	25200-25220 -	FEDERAL EDUCATION GRANTS FUND	(3) 22,319,013.48	22,103,385.45	35,310,062.10	13,412,049.09	21,887,901.90	35,299,950.99
25250-25261	25250-25261 -	FEDERAL BLOCK GRANT FUND	(4) 0.00	0.00	0.00	0.00	0.00	0.00
25300-25519	25300-25519 -	FEDERAL OPERATING GRANTS FUND	(5) 165,308,347.26	212,568,684.88	311,833,629.70	139,031,924.83	22,245,179.74	161,277,104.57
31351	313 -51	MILITARY AND NAVAL AFFAIRS	8,910,717.97	8,710,737.96	8,654,571.42	8,414,164.61	(314,901.20)	8,099,263.41
31354	-54	DEPARTMENT OF TRANSPORTATION	111,327,085.53	57,147,917.96	123,728,137.88	0.00	0.00	0.00
313XX	313 -	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	(6) 14,833,177.98	15,164,060.93	18,843,953.78	10,952,544.74	3,974,091.80	14,926,636.54
25901	259 -01	UI ADMINISTRATION	0.00	10,361,992.91	1,136,363.28	506,789.66	(506,789.66)	0.00
25950	-50	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	0.00	0.00	0.00	0.00	0.00	0.00
26001	260 -01	DOL WORKFORCE INVESTMENT ACT	3,081,481.61	3,015,882.82	1,317,785.13	1,698,574.31	(1,060,059.47)	638,514.84
26002	-02	DOL FEDERAL GRANTS	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL FEDERAL FUNDS			\$877,926,671.82	\$518,581,719.57	\$749,374,219.48	\$1,067,349,085.32	(\$790,901,910.27)	\$276,447,175.05

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

SFS Fund	ACCOUNT	ACCOUNT TITLE	July 31, 2012	August 31, 2012	September 30, 2012	October 31, 2012	Change	November 30, 2012
AGENCY FUNDS								
60901	609 -01	MMIS - STATE & FEDERAL	0.00	0.00	79,000,289.66	0.00	0.00	0.00
TOTAL AGENCY FUNDS			\$0.00	\$0.00	\$79,000,289.66	\$0.00	\$0.00	\$0.00
ENTERPRISE FUND								
50051	500 -51	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00
50318	503 -18	OGS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL ENTERPRISE FUND			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INTERNAL SERVICE FUNDS								
55001	550 -01	CENTRALIZED SERVICES-FLEET MGMT	1,539,948.11	1,135,937.09	944,287.36	719,761.78	145,782.94	865,544.72
55002	-02	CENTRALIZED SERVICES-DATA PROCESSING	168,074.53	228,185.45	291,364.55	342,598.88	6,969.85	349,568.73
55003	-03	CENTRALIZED SERVICES-REPRODUCTION	1,705,179.99	1,728,586.85	2,271,430.33	2,407,604.46	144,709.47	2,552,313.93
55004	-04	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	179,721.50	257,362.87	431,705.49	542,385.25	64,396.23	606,781.48
55005	-05	CENTRALIZED SERVICES-SURPLUS FED FOODS	1,236,773.66	1,326,214.81	1,042,393.25	1,126,884.95	142,203.44	1,269,088.39
55006	-06	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00
55007	-07	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	3,086,669.10	3,165,700.41	3,211,601.26	3,858,479.09	163,738.18	4,022,217.27
55008	-08	CENTRALIZED SERVICES-PASNY	25,663,209.80	27,806,173.72	26,994,526.21	28,230,967.60	3,702,355.96	31,933,323.56
55009	-09	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	0.00	0.00	0.00	0.00	0.00
55010	-10	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	1,445,876.41	1,445,876.41
55011	-11	CENTRALIZED SERVICES-INSURANCE	1,497,765.19	1,639,382.78	1,703,277.62	1,774,755.18	110,215.61	1,884,970.79
55012	-12	CENTRALIZED SERVICES-SECURITY CARD ACCESS	0.00	0.00	0.00	0.00	0.00	0.00
55013	-13	CENTRALIZED SERVICES-COP'S	402,690.33	425,899.83	0.00	0.00	0.00	0.00
55014	-14	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00
55015	-15	CENTRALIZED SERVICES-HOMER FOLKS	0.00	0.00	0.00	0.00	0.00	0.00
55016	-16	CENTRALIZED SERVICES-HMMICS	26,961.54	26,961.54	26,961.54	26,961.54	0.00	26,961.54
55017	-17	DOWNSTATE DISTRIBUTION	781,422.54	832,776.93	884,469.54	929,958.32	29,292.78	959,251.10
55018	-18	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
55019	-19	LEASE SPACE INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00
55052	-52	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00
55053	-53	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00
55055	-55	CIVIL SERVICE LAW:SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
55056	-56	CIVIL SERVICE EHS OCCUP HEALTH PROG	172,714.48	133,516.10	192,404.91	340,532.19	136,322.48	476,854.67
55057	-57	BANKING SERVICES ACCOUNT	0.00	243,726.17	197,971.92	21,974.14	(17,873.23)	4,100.91
55058	-58	CULTURAL RESOURCE SURVEY	3,045,367.46	2,442,602.25	2,238,270.87	2,589,309.97	177,786.45	2,767,096.42
55059	-59	NEIGHBOR WORK PROJECT	7,764,532.48	7,590,449.29	7,867,730.77	8,288,876.07	(399,992.35)	7,888,883.72
55060	-60	AUTOMATIC/PRINT CHARGBACKS	384,272.83	1,641,820.15	2,389,407.52	1,283,218.75	619,245.06	1,902,463.81
55061	-61	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
55062	-62	DATA CENTER ACCOUNT	0.00	4,779,308.36	13,792,666.19	16,524,844.54	3,199,920.08	19,724,764.62
55063	-63	HUMAN SVCE TELECOM ACCT	0.00	0.00	0.00	597,425.84	614,873.60	1,212,299.44
55065	-65	OPWDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
55066	-66	CYBER SECURITY INTRUSION ACCT	818,378.57	1,010,444.32	914,860.54	914,860.54	452,852.34	1,367,712.88
55067	-67	DOMESTIC VIOLENCE GRANT	346,083.67	320,787.56	362,741.59	258,647.58	(14,228.65)	244,418.93
55069	-69	CENTRALIZED TECHNOLOGY SERVICES	825,601.05	1,363,088.84	1,499,603.41	2,012,790.82	(2,012,790.82)	0.00
55070	-70	LEARNING MGMT SYSTEM	0.00	0.00	0.00	0.00	0.00	0.00
55201	552 -01	JOINT LABOR MANAGEMENT ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
55251	-51	EXECUTIVE DIRECTION INTERNAL AUDIT	331,751.08	477,780.23	572,665.69	829,011.04	295,201.93	1,124,212.97
55300	553 -00	HEALTH INSURANCE INTERNAL SERVICE	14,462,426.42	15,377,254.63	15,987,768.33	17,577,526.22	(157,757.28)	17,419,768.94
55301	-01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	3,040,788.00	2,897,872.78	2,987,520.34	1,851,670.76	195,485.84	2,047,156.60
55350	-50	CORR INDUSTRIES INTERNAL SERVICE	7,150,379.62	6,114,127.69	6,212,636.32	5,687,198.13	2,836,986.89	8,524,185.02
TOTAL INTERNAL SERVICE FUNDS			\$74,630,711.95	\$82,965,960.65	\$93,018,265.55	\$98,738,243.64	\$11,881,573.21	\$110,619,816.85

GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$2,583,511,674.60	\$2,460,009,664.56	\$4,328,152,172.15	\$4,192,597,344.38	(\$620,990,837.44)	\$3,571,606,506.94
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Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part U, Section 1 and 1A, of the Laws of 2012-13. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

NOTE:

- (1) - Includes all negative cash balance Subfunds within fund 25000-25036 (261).
- (2) - Includes all negative cash balance Subfunds within fund 25100-25183 (265).
- (3) - Includes all negative cash balance Subfunds within fund 25200-25220 (267).
- (4) - Includes all negative cash balance Subfunds within fund 25250-25261 (269).
- (5) - Includes all negative cash balance Subfunds within fund 25300-25519 (290).
- (6) - Includes all other negative cash balance Subfunds within fund 31350-31376 (291).
- (7) - The Fund 31354 (291-10) temporary loan balance includes \$69.8 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 30051 (072-01) based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 30051 (072-01) on or before March 31, 2013.
- (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 10000 (001) and State Purpose Fund 10050 (003).