

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)  
**June 2012****



**THOMAS P. DiNAPOLI  
STATE COMPTROLLER**

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (\*  
(amounts in millions)**

**EXHIBIT A  
SUPPLEMENTAL**

|  | STATE OPERATING FUNDS |                               |                       |                               |                       |                               | TOTAL                 |                               | FEDERAL               |                               | CAPITAL PROJECTS      |                               | SPECIAL REVENUE       |                               | TOTAL                 |                               |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|
|  | GENERAL               |                               | STATE SPECIAL REVENUE |                               | DEBT SERVICE          |                               | STATE OPERATING FUNDS |                               | SPECIAL REVENUE       |                               | ELIMINATIONS          |                               | GOVERNMENTAL FUNDS    |                               |                       |                               |
|  | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 |
| <b>RECEIPTS:</b>   |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |
| Personal Income Tax  | \$2,486.5             | \$7,573.3                     | \$399.8               | \$399.8                       | \$962.1               | \$2,657.7                     | \$3,848.4             | \$10,630.8                    | \$ --                 | \$ --                         | \$ --                 | \$ --                         | \$ --                 | \$ --                         | \$3,848.4             | \$10,630.8                    |
| Consumption/Use Taxes  | 899.0                 | 2,231.8                       | 188.6                 | 551.0                         | 279.1                 | 682.1                         | 1,366.7               | 3,464.9                       | --                    | --                            | 59.0                  | 147.1                         | --                    | --                            | 1,425.7               | 3,612.0                       |
| Business Taxes   | 1,044.3               | 1,291.6                       | 214.1                 | 328.7                         | --                    | --                            | 1,258.4               | 1,620.3                       | --                    | --                            | 57.1                  | 157.1                         | --                    | --                            | 1,315.5               | 1,777.4                       |
| Other Taxes  | 77.4                  | 275.4                         | 67.1                  | 322.7                         | 41.2                  | 162.4                         | 185.7                 | 760.5                         | --                    | --                            | 11.9                  | 11.9                          | --                    | --                            | 197.6                 | 772.4                         |
| Miscellaneous Receipts (10)  | 415.7                 | 625.7                         | 1,200.6               | 3,287.5                       | 80.8                  | 160.6                         | 1,697.1               | 4,073.8                       | 9.4                   | 57.9                          | 230.8                 | 677.0                         | --                    | --                            | 1,937.3               | 4,808.7                       |
| Federal Receipts (9)   | --                    | 17.2                          | (0.1)                 | 7.1                           | --                    | --                            | (0.1)                 | 24.3                          | 3,046.7               | 8,042.6                       | 177.1                 | 373.3                         | --                    | --                            | 3,223.7               | 8,440.2                       |
| <b>Total Receipts</b>  | <b>4,922.9</b>        | <b>12,015.0</b>               | <b>2,070.1</b>        | <b>4,896.8</b>                | <b>1,363.2</b>        | <b>3,662.8</b>                | <b>8,356.2</b>        | <b>20,574.6</b>               | <b>3,056.1</b>        | <b>8,100.5</b>                | <b>535.9</b>          | <b>1,366.4</b>                | <b>--</b>             | <b>--</b>                     | <b>11,948.2</b>       | <b>30,041.5</b>               |
| <b>DISBURSEMENTS:</b>  |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |
| Local Assistance Grants (1)(5)(6)(7)(8)  | 4,300.1               | 9,669.0                       | 1,593.4               | 3,251.9                       | --                    | --                            | 5,893.5               | 12,920.9                      | 2,993.6               | 7,801.0                       | 117.1                 | 164.6                         | --                    | --                            | 9,004.2               | 20,886.5                      |
| Departmental Operations:   |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |
| Personal Service (6)   | 549.4                 | 1,776.0                       | 413.4                 | 1,239.2                       | --                    | --                            | 962.8                 | 3,015.2                       | 44.6                  | 131.6                         | --                    | --                            | --                    | --                            | 1,007.4               | 3,146.8                       |
| Non-Personal Service (6)   | 142.2                 | 339.8                         | 291.4                 | 516.0                         | 4.4                   | 7.5                           | 438.0                 | 863.3                         | 66.4                  | 134.7                         | --                    | --                            | --                    | --                            | 504.4                 | 998.0                         |
| General State Charges  | 89.9                  | 945.4                         | 272.5                 | 325.9                         | --                    | --                            | 362.4                 | 1,271.3                       | 39.3                  | 42.1                          | --                    | --                            | --                    | --                            | 401.7                 | 1,313.4                       |
| Debt Service, Including Payments on  |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |
| Financing Agreements (2)   | --                    | --                            | --                    | --                            | 420.0                 | 982.1                         | 420.0                 | 982.1                         | --                    | --                            | --                    | --                            | --                    | --                            | 420.0                 | 982.1                         |
| Capital Projects (3)   | --                    | --                            | 0.5                   | 1.5                           | --                    | --                            | 0.5                   | 1.5                           | --                    | --                            | 454.7                 | 1,057.2                       | --                    | --                            | 455.2                 | 1,058.7                       |
| <b>Total Disbursements</b>   | <b>5,081.6</b>        | <b>12,730.2</b>               | <b>2,571.2</b>        | <b>5,334.5</b>                | <b>424.4</b>          | <b>989.6</b>                  | <b>8,077.2</b>        | <b>19,054.3</b>               | <b>3,143.9</b>        | <b>8,109.4</b>                | <b>571.8</b>          | <b>1,221.8</b>                | <b>--</b>             | <b>--</b>                     | <b>11,792.9</b>       | <b>28,385.5</b>               |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>(158.7)</b>        | <b>(715.2)</b>                | <b>(501.1)</b>        | <b>(437.7)</b>                | <b>938.8</b>          | <b>2,673.2</b>                | <b>279.0</b>          | <b>1,520.3</b>                | <b>(87.8)</b>         | <b>(8.9)</b>                  | <b>(35.9)</b>         | <b>144.6</b>                  | <b>--</b>             | <b>--</b>                     | <b>155.3</b>          | <b>1,656.0</b>                |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |                       |                               |
| Bond Proceeds (net)  | --                    | --                            | --                    | --                            | --                    | --                            | --                    | --                            | --                    | --                            | --                    | --                            | --                    | --                            | --                    | --                            |
| Transfers from Other Funds (4)   | 1,449.6               | 3,388.8                       | 621.3                 | 1,663.9                       | 303.2                 | 1,478.6                       | 2,374.1               | 6,531.3                       | --                    | --                            | 118.5                 | 115.3                         | (39.6)                | (94.5)                        | 2,453.0               | 6,552.1                       |
| Transfers to Other Funds (4)   | (374.0)               | (1,525.6)                     | (37.0)                | (74.6)                        | (1,683.6)             | (3,939.6)                     | (2,094.6)             | (5,539.8)                     | (296.0)               | (827.4)                       | (101.3)               | (289.6)                       | 39.6                  | 94.5                          | (2,452.3)             | (6,562.3)                     |
| <b>Total Other Financing Sources (Uses)</b>  | <b>1,075.6</b>        | <b>1,863.2</b>                | <b>584.3</b>          | <b>1,589.3</b>                | <b>(1,380.4)</b>      | <b>(2,461.0)</b>              | <b>279.5</b>          | <b>991.5</b>                  | <b>(296.0)</b>        | <b>(827.4)</b>                | <b>17.2</b>           | <b>(174.3)</b>                | <b>--</b>             | <b>--</b>                     | <b>0.7</b>            | <b>(10.2)</b>                 |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>916.9</b>          | <b>1,148.0</b>                | <b>83.2</b>           | <b>1,151.6</b>                | <b>(441.6)</b>        | <b>212.2</b>                  | <b>558.5</b>          | <b>2,511.8</b>                | <b>(383.8)</b>        | <b>(836.3)</b>                | <b>(18.7)</b>         | <b>(29.7)</b>                 | <b>--</b>             | <b>--</b>                     | <b>156.0</b>          | <b>1,645.8</b>                |
| <b>Beginning Fund Balances (Deficit)</b>   | <b>2,017.8</b>        | <b>1,786.7</b>                | <b>2,701.2</b>        | <b>1,632.8</b>                | <b>1,081.3</b>        | <b>427.5</b>                  | <b>5,800.3</b>        | <b>3,847.0</b>                | <b>(489.8)</b>        | <b>(37.3)</b>                 | <b>(460.4)</b>        | <b>(449.4)</b>                | <b>--</b>             | <b>--</b>                     | <b>4,850.1</b>        | <b>3,360.3</b>                |
| <b>Ending Fund Balances (Deficit)</b>  | <b>\$2,934.7</b>      | <b>\$2,934.7</b>              | <b>\$2,784.4</b>      | <b>\$2,784.4</b>              | <b>\$639.7</b>        | <b>\$639.7</b>                | <b>\$6,358.8</b>      | <b>\$6,358.8</b>              | <b>(\$873.6)</b>      | <b>(\$873.6)</b>              | <b>(\$479.1)</b>      | <b>(\$479.1)</b>              | <b>\$ --</b>          | <b>\$ --</b>                  | <b>\$5,006.1</b>      | <b>\$5,006.1</b>              |

(\* ) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.  
Federal Special Revenue Funds, account for all non-capital federal operating grants received by the State.  
Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

**EXHIBIT A**

|  | GENERAL               |                               | SPECIAL REVENUE       |                               | DEBT SERVICE          |                               | CAPITAL PROJECTS      |                               | TOTAL GOVERNMENTAL FUNDS |                               |                       |                               | YEAR OVER YEAR             |                         |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|--------------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
|  | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012    | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2011 | 3 MOS. ENDED<br>JUNE 30, 2011 | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>RECEIPTS:</b>   |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Personal Income Tax  | \$2,486.5             | \$7,573.3                     | \$399.8               | \$399.8                       | \$962.1               | \$2,657.7                     | \$ --                 | \$ --                         | \$3,848.4                | \$10,630.8                    | \$4,002.8             | \$10,969.5                    | (\$338.7)                  | -3.1%                   |
| Consumption/Use Taxes  | 899.0                 | 2,231.8                       | 188.6                 | 551.0                         | 279.1                 | 682.1                         | 59.0                  | 147.1                         | 1,425.7                  | 3,612.0                       | 1,421.5               | 3,637.0                       | (25.0)                     | -0.7%                   |
| Business Taxes   | 1,044.3               | 1,291.6                       | 214.1                 | 328.7                         | --                    | --                            | 57.1                  | 157.1                         | 1,315.5                  | 1,777.4                       | 1,467.4               | 1,866.0                       | (88.6)                     | -4.7%                   |
| Other Taxes  | 77.4                  | 275.4                         | 67.1                  | 322.7                         | 41.2                  | 162.4                         | 11.9                  | 11.9                          | 197.6                    | 772.4                         | 198.5                 | 747.5                         | 24.9                       | 3.3%                    |
| Miscellaneous Receipts (10)  | 415.7                 | 625.7                         | 1,210.0               | 3,345.4                       | 80.8                  | 160.6                         | 230.8                 | 677.0                         | 1,937.3                  | 4,808.7                       | 1,794.2               | 4,987.1                       | (178.4)                    | -3.6%                   |
| Federal Receipts (9)   | --                    | 17.2                          | 3,046.6               | 8,049.7                       | --                    | --                            | --                    | 373.3                         | 3,223.7                  | 8,440.2                       | 3,986.5               | 11,169.1                      | (2,728.9)                  | -24.4%                  |
| <b>Total Receipts</b>  | <b>4,922.9</b>        | <b>12,015.0</b>               | <b>5,126.2</b>        | <b>12,997.3</b>               | <b>1,363.2</b>        | <b>3,662.8</b>                | <b>535.9</b>          | <b>1,366.4</b>                | <b>11,948.2</b>          | <b>30,041.5</b>               | <b>12,870.9</b>       | <b>33,376.2</b>               | <b>(3,334.7)</b>           | <b>-10.0%</b>           |
| <b>DISBURSEMENTS:</b>  |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Local Assistance Grants (1)(5)(6)(7)(8)  | 4,300.1               | 9,669.0                       | 4,587.0               | 11,052.9                      | --                    | --                            | 117.1                 | 164.6                         | 9,004.2                  | 20,886.5                      | 10,337.5              | 23,696.5                      | (2,810.0)                  | -11.9%                  |
| Departmental Operations:   |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Personal Service (6)   | 549.4                 | 1,776.0                       | 458.0                 | 1,370.8                       | --                    | --                            | --                    | --                            | 1,007.4                  | 3,146.8                       | 1,133.9               | 3,159.2                       | (12.4)                     | -0.4%                   |
| Non-Personal Service (6)   | 142.2                 | 339.8                         | 357.8                 | 650.7                         | 4.4                   | 7.5                           | --                    | --                            | 504.4                    | 998.0                         | 527.1                 | 1,419.8                       | (421.8)                    | -29.7%                  |
| General State Charges  | 89.9                  | 945.4                         | 311.8                 | 368.0                         | --                    | --                            | --                    | --                            | 401.7                    | 1,313.4                       | 440.5                 | 1,316.4                       | (3.0)                      | -0.2%                   |
| Debt Service, Including Payments on  |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Financing Agreements (2)   | --                    | --                            | --                    | --                            | 420.0                 | 982.1                         | --                    | --                            | 420.0                    | 982.1                         | 566.2                 | 1,016.8                       | (34.7)                     | -3.4%                   |
| Capital Projects (3)   | --                    | --                            | 0.5                   | 1.5                           | --                    | --                            | 454.7                 | 1,057.2                       | 455.2                    | 1,058.7                       | 474.6                 | 1,141.8                       | (83.1)                     | -7.3%                   |
| <b>Total Disbursements</b>   | <b>5,081.6</b>        | <b>12,730.2</b>               | <b>5,715.1</b>        | <b>13,443.9</b>               | <b>424.4</b>          | <b>989.6</b>                  | <b>571.8</b>          | <b>1,221.8</b>                | <b>11,792.9</b>          | <b>28,385.5</b>               | <b>13,479.8</b>       | <b>31,750.5</b>               | <b>(3,365.0)</b>           | <b>-10.6%</b>           |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>(158.7)</b>        | <b>(715.2)</b>                | <b>(588.9)</b>        | <b>(446.6)</b>                | <b>938.8</b>          | <b>2,673.2</b>                | <b>(35.9)</b>         | <b>144.6</b>                  | <b>155.3</b>             | <b>1,656.0</b>                | <b>(608.9)</b>        | <b>1,625.7</b>                | <b>30.3</b>                | <b>1.9%</b>             |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Bond Proceeds (net)  | --                    | --                            | --                    | --                            | --                    | --                            | --                    | --                            | --                       | --                            | --                    | --                            | --                         | --                      |
| Transfers from Other Funds (4)   | 1,449.6               | 3,388.8                       | 581.7                 | 1,569.4                       | 303.2                 | 1,478.6                       | 118.5                 | 115.3                         | 2,453.0                  | 6,552.1                       | 2,504.1               | 7,309.7                       | (757.6)                    | -10.4%                  |
| Transfers to Other Funds (4)   | (374.0)               | (1,525.6)                     | (293.4)               | (807.5)                       | (1,683.6)             | (3,939.6)                     | (101.3)               | (289.6)                       | (2,452.3)                | (6,562.3)                     | (2,502.4)             | (7,321.2)                     | (758.9)                    | -10.4%                  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>1,075.6</b>        | <b>1,863.2</b>                | <b>288.3</b>          | <b>761.9</b>                  | <b>(1,380.4)</b>      | <b>(2,461.0)</b>              | <b>17.2</b>           | <b>(174.3)</b>                | <b>0.7</b>               | <b>(10.2)</b>                 | <b>1.7</b>            | <b>(11.5)</b>                 | <b>1.3</b>                 | <b>11.3%</b>            |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>916.9</b>          | <b>1,148.0</b>                | <b>(300.6)</b>        | <b>315.3</b>                  | <b>(441.6)</b>        | <b>212.2</b>                  | <b>(18.7)</b>         | <b>(29.7)</b>                 | <b>156.0</b>             | <b>1,645.8</b>                | <b>(607.2)</b>        | <b>1,614.2</b>                | <b>31.6</b>                | <b>2.0%</b>             |
| <b>Beginning Fund Balances (Deficit)</b>   | <b>2,017.8</b>        | <b>1,786.7</b>                | <b>2,211.4</b>        | <b>1,595.5</b>                | <b>1,081.3</b>        | <b>427.5</b>                  | <b>(460.4)</b>        | <b>(449.4)</b>                | <b>4,850.1</b>           | <b>3,360.3</b>                | <b>6,033.7</b>        | <b>3,812.3</b>                | <b>(452.0)</b>             | <b>-11.9%</b>           |
| <b>Ending Fund Balances (Deficit)</b>  | <b>\$2,934.7</b>      | <b>\$2,934.7</b>              | <b>\$1,910.8</b>      | <b>\$1,910.8</b>              | <b>\$639.7</b>        | <b>\$639.7</b>                | <b>(\$479.1)</b>      | <b>(\$479.1)</b>              | <b>\$5,006.1</b>         | <b>\$5,006.1</b>              | <b>\$5,426.5</b>      | <b>\$5,426.5</b>              | <b>(\$420.4)</b>           | <b>-7.7%</b>            |

**GOVERNMENTAL FUNDS FOOTNOTES**

June 2012 - Exhibit A Notes

1. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in July 2012:

|   |                |
|---|----------------|
| Federal DHHS                            | \$92.1 million |
| Federal USDA/Food and Consumer Services | 276.8          |
| Federal DHHS/Block Grant                | --             |
| Federal Education                       | 577.8          |
| Federal Miscellaneous Operating Grants  | --             |
| Federal Employment and Training Grants  | 2.0            |

2. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

|   |                 |
|---|-----------------|
| Urban Development Corporation (Correctional Facilities) | \$131.2 million |
| Urban Development Corporation (Youth Facilities)        | 5.7             |
| Housing Finance Agency (HFA)                            | 137.4           |
| Housing Assistance Fund                                 | 20.4            |
| Dormitory Authority (Mental Hygiene)                    | 338.8           |
| Dormitory Authority and State University Income Fund    | 90.7            |
| Federal Capital Projects                                | 92.7            |
| State bond and note proceeds                            | 39.9            |

4. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" are as follows:

|                                |                |
|--------------------------------|----------------|
| State Capital Projects         | \$98.8 million |
| General Debt Service           | 450.6          |
| MTA Financial Assistance       | 105.5          |
| MTA Operating Assistance       | 19.0           |
| Housing Debt Fund              | 3.5            |
| Banking Services               | 11.8           |
| Crimes Against Revenue Account | 10.0           |
| Alcohol Beverage Control       | 4.3            |
| Financial Management Systems   | 4.0            |
| Court Facilities Incentive Aid | 61.6           |
| NYC County Courts Operating    | 8.6            |

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$5.1m), the State University Income Funds (\$49.3m) and the Mental Hygiene Program Account (\$693.6m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of June 30, 2012 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves, and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Debt Service Funds of \$733.1m representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

|  |               |
|--|---------------|
| SUNY Income Fund                         | \$7.9 million |
| Revenue Arrearage Account                | 21.6          |
| Youth Facilities Per Diem                | 11.4          |
| Business & Licensing Services Account    | 12.7          |
| Fire Prevent & Code Enforcement Account  | 3.0           |
| Miscellaneous State Special Revenue Fund | 1.4           |

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

|                                 |                   |
|---------------------------------|-------------------|
| Revenue Bond Tax                | \$2,517.1 million |
| Local Government Assistance Tax | 674.9             |
| Clean Water/Clean Air           | 134.3             |

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$35.2m), Mental Hygiene (\$527.6m) and the State University (\$50.6m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$4.7m) the General Debt Service Fund (\$278.3m), and the Revenue Bond Tax Fund (\$6.6m).

5. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. Accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

|   | Allocation of Month-End Balances |                          |
|---|----------------------------------|--------------------------|
|   | General Fund                     | Special Revenue- Federal |
| Medicaid Recoveries - Health Facilities | \$ --                            | \$160,070                |
| Medicaid Recoveries - Audit             | --                               | 5,092,894                |
| Medicaid Recoveries - Third Parties     | --                               | 14,933,771               |
| Pharmacy Rebates                        | 3,534,219                        | 3,008,208                |
| Medicare Catastrophic Recovery          | --                               | --                       |
| Medicaid "Windfall" Recovery            | --                               | --                       |
| Total                                   | <u>\$3,534,219</u>               | <u>\$23,194,943</u>      |

6. Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Services to Non-Personal Services and Occupational Training Account Benefit Payments have been reclassified from Non-Personal Services to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Personal Service, Non-Personal Service and Local Assistance Grant disbursements.

7. Beginning April 1, 2012 New York State converted to a new Central Accounting System. Local Assistance Grants disbursements are now accounted for by program and not by object of expense. Therefore, only a total is available for reporting purposes.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

June 2012 - **Exhibit A Notes**  
(Continued)

8. A portion of Personal Income Tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$399.8m for the month of June.
  
9. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

10. Miscellaneous receipts in Governmental Funds include:

|   | GENERAL<br>FUND | SPECIAL<br>REVENUE | DEBT<br>SERVICE | CAPITAL<br>PROJECTS | 3 Months Ended June 30 |                  | \$ Increase/<br>(Decrease) |
|---|-----------------|--------------------|-----------------|---------------------|------------------------|------------------|----------------------------|
|   |                 |                    |                 |                     | 2012                   | 2011             |                            |
| (amounts in millions)                                 |                 |                    |                 |                     |                        |                  |                            |
| <b>Abandoned Property</b>                             |                 |                    |                 |                     |                        |                  |                            |
| Abandoned Property                                    | \$63.5          | \$ --              | \$ --           | \$ --               | \$63.5                 | \$39.9           | \$23.6                     |
| Unclaimed Bottle Deposits                             | 31.5            | --                 | --              | --                  | 31.5                   | 28.7             | 2.8                        |
| <b>Interest Earnings</b>                              | 0.6             | 5.0                | 0.1             |                     | 5.7                    | 8.1              | (2.4)                      |
| <b>Receipts from Public Authorities:</b>              |                 |                    |                 |                     |                        |                  |                            |
| Bond Issuance Fees                                    | 31.2            | 7.2                | --              | --                  | 38.4                   | 18.0             | 20.4                       |
| Cost Recovery Assessments                             | --              | 11.2               | --              | --                  | 11.2                   | 12.8             | (1.6)                      |
| Dormitory Authority                                   | --              | --                 | --              | 6.2                 | 6.2                    | --               | 6.2                        |
| Empire State/Urban Development Corporation            | --              | --                 | --              | --                  | --                     | 0.3              | (0.3)                      |
| Environmental Facilities Corporation                  | --              | --                 | --              | --                  | --                     | 3.1              | (3.1)                      |
| Port of Oswego  | --              | --                 | --              | 0.1                 | 0.1                    | --               | 0.1                        |
| Power Authority                                       | 21.0            | --                 | --              | --                  | 21.0                   | 47.5             | (26.5)                     |
| State of New York Mortgage Agency                     | 40.0            | --                 | --              | --                  | 40.0                   | --               | 40.0                       |
| Thruway Authority - Policing the Thruway              | --              | 12.8               | --              | --                  | 12.8                   | 11.1             | 1.7                        |
| <b>Bond Proceeds</b>                                  |                 |                    |                 |                     |                        |                  |                            |
| Dormitory Authority                                   | --              | --                 | --              | 309.1               | 309.1                  | 323.0            | (13.9)                     |
| Empire State/Urban Development Corporation            | --              | --                 | --              | 40.6                | 40.6                   | 317.6            | (277.0)                    |
| Thruway Authority                                     | --              | --                 | --              | 41.1                | 41.1                   | 24.4             | 16.7                       |
| All Other   | --              | 0.4                | --              | --                  | 0.4                    | 0.4              | --                         |
| <b>Refunds and Reimbursements:</b>                    |                 |                    |                 |                     |                        |                  |                            |
| Receipts from Municipalities                          | 47.6            | 31.7               | 0.8             | --                  | 80.1                   | 84.5             | (4.4)                      |
| Women, Infants and Children Rebates                   | --              | 27.0               | --              | --                  | 27.0                   | 24.6             | 2.4                        |
| HESC Student Loan Recoveries                          | --              | 16.0               | --              | --                  | 16.0                   | 19.0             | (3.0)                      |
| Administrative Recoveries                             | 20.2            | 16.5               | --              | --                  | 36.7                   | 39.2             | (2.5)                      |
| Indirect Cost Assessments                             | 19.1            | --                 | --              | --                  | 19.1                   | 37.8             | (18.7)                     |
| EPIC Fees and Rebates                                 | --              | 15.1               | --              | --                  | 15.1                   | 44.3             | (29.2)                     |
| Reimbursements from Cornell University                | --              | --                 | --              | --                  | --                     | 4.9              | (4.9)                      |
| Hazardous Waste and Oil Spill                         | --              | 0.9                | --              | 1.3                 | 2.2                    | 5.8              | (3.6)                      |
| Third Party Recoveries                                | --              | 4.3                | --              | --                  | 4.3                    | (2.6)            | 6.9                        |
| All Other   | 5.7             | 8.4                | 4.9             | 1.2                 | 20.2                   | 8.5              | 11.7                       |
| <b>Health Care Reform Act:</b>                        |                 |                    |                 |                     |                        |                  |                            |
| Public Goods and Health Care Initiatives Pools        | --              | 1,096.0            | --              | --                  | 1,096.0                | 1,017.5          | 78.5                       |
| <b>Revenues of State Departments:</b>                 |                 |                    |                 |                     |                        |                  |                            |
| Patient/Client Care Reimbursements                    | --              | 270.6              | 111.8           | --                  | 382.4                  | 519.2            | (136.8)                    |
| Medical Care Provider Assessments                     | 19.9            | 200.4              | --              | --                  | 220.3                  | 244.6            | (24.3)                     |
| Industry Assessments - Regular                        | --              | 215.7              | --              | 9.5                 | 225.2                  | 249.6            | (24.4)                     |
| Student Tuition, Fees and Other SUNY Revenues         | --              | 190.2              | 43.0            | --                  | 233.2                  | 283.8            | (50.6)                     |
| Student Tuition, Fees and Other CUNY Revenues         | --              | 15.8               | --              | --                  | 15.8                   | 23.5             | (7.7)                      |
| Miscellaneous Sales, Rentals and Leases               | 2.7             | 4.0                | --              | 1.5                 | 8.2                    | 7.7              | 0.5                        |
| Gifts   | 0.1             | 1.3                | --              | --                  | 1.4                    | 1.8              | (0.4)                      |
| All Other   | 67.3            | 8.5                | --              | --                  | 75.8                   | (0.8)            | 76.6                       |
| <b>Gaming:</b>  |                 |                    |                 |                     |                        |                  |                            |
| Lottery - Education                                   | --              | 484.7              | --              | --                  | 484.7                  | 444.5            | 40.2                       |
| Lottery - Administration                              | --              | 140.5              | --              | --                  | 140.5                  | 136.4            | 4.1                        |
| Video Lottery Terminal - Education                    | --              | 207.0              | --              | --                  | 207.0                  | 141.9            | 65.1                       |
| Video Lottery Terminal - Administration               | --              | 12.9               | --              | --                  | 12.9                   | 8.9              | 4.0                        |
| <b>Licenses and Fees</b>                              |                 |                    |                 |                     |                        |                  |                            |
| Motor Vehicle - Other                                 | (1.9)           | 117.4              | --              | 248.2               | 363.7                  | 276.7            | 87.0                       |
| Motor Vehicle - Metropolitan Transportation Authority | --              | 50.6               | --              | --                  | 50.6                   | 52.9             | (2.3)                      |
| Alcohol Beverage Control Licensing                    | 16.8            | --                 | --              | --                  | 16.8                   | 15.6             | 1.2                        |
| All Other   | 78.5            | 161.6              | --              | 12.3                | 252.4                  | 348.3            | (95.9)                     |
| <b>Fines</b>  | 161.9           | 11.7               | --              | 5.9                 | 179.5                  | 114.1            | 65.4                       |
| <b>TOTAL</b>  | <b>\$625.7</b>  | <b>\$3,345.4</b>   | <b>\$160.6</b>  | <b>\$677.0</b>      | <b>\$4,808.7</b>       | <b>\$4,987.1</b> | <b>(\$178.4)</b>           |

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (amounts in millions)

EXHIBIT B

|  | ENTERPRISE            |                               | INTERNAL SERVICE      |                               | TOTAL PROPRIETARY FUNDS<br>(memorandum only) |                               |                       |                               |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|--|-------------------------------|-----------------------|-------------------------------|
|  | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012                        | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2011 | 3 MOS. ENDED<br>JUNE 30, 2011 |
| <b>RECEIPTS:</b>   |                       |                               |                       |                               |  |                               |                       |                               |
| Miscellaneous Receipts   | \$7.2                 | \$14.6                        | \$18.7                | \$25.7                        | \$25.9                                       | \$40.3                        | \$37.4                | \$108.3                       |
| Federal Receipts   | 338.6                 | 948.3                         | --                    | --                            | 338.6  | 948.3                         | 381.0                 | 1,028.1                       |
| Unemployment Taxes   | 245.0                 | 808.3                         | --                    | --                            | 245.0  | 808.3                         | 295.2                 | 833.7                         |
| <b>TOTAL RECEIPTS</b>  | <b>590.8</b>          | <b>1,771.2</b>                | <b>18.7</b>           | <b>25.7</b>                   | <b>609.5</b>                                 | <b>1,796.9</b>                | <b>713.6</b>          | <b>1,970.1</b>                |
| <b>DISBURSEMENTS:</b>  |                       |                               |                       |                               |  |                               |                       |                               |
| Departmental Operations:   |                       |                               |                       |                               |  |                               |                       |                               |
| Personal Service   | 0.3                   | 0.9                           | 7.9                   | 25.3                          | 8.2  | 26.2                          | 9.6                   | 27.9                          |
| Non-Personal Service   | 4.0                   | 7.5                           | 17.7                  | 55.0                          | 21.7   | 62.5                          | 30.8                  | 84.5                          |
| General State Charges  | 0.2                   | 0.2                           | 12.2                  | 12.4                          | 12.4   | 12.6                          | 2.7                   | 13.4                          |
| Unemployment Benefits  | 573.5                 | 1,757.3                       | --                    | --                            | 573.5  | 1,757.3                       | 578.8                 | 1,860.7                       |
| <b>TOTAL DISBURSEMENTS</b>   | <b>578.0</b>          | <b>1,765.9</b>                | <b>37.8</b>           | <b>92.7</b>                   | <b>615.8</b>                                 | <b>1,858.6</b>                | <b>621.9</b>          | <b>1,986.5</b>                |
| <b>EXCESS (DEFICIENCY) OF RECEIPTS<br/>OVER DISBURSEMENTS</b>  | <b>12.8</b>           | <b>5.3</b>                    | <b>(19.1)</b>         | <b>(67.0)</b>                 | <b>(6.3)</b>                                 | <b>(61.7)</b>                 | <b>91.7</b>           | <b>(16.4)</b>                 |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                       |                               |                       |                               |  |                               |                       |                               |
| Transfers from Other Funds   | --                    | --                            | 1.0                   | 11.7                          | 1.0  | 11.7                          | 10.7                  | 24.0                          |
| Transfers to Other Funds   | --                    | --                            | (1.5)                 | (1.5)                         | (1.5)  | (1.5)                         | (1.9)                 | (1.9)                         |
| <b>NET SOURCES (USES)</b>  | <b>--</b>             | <b>--</b>                     | <b>(0.5)</b>          | <b>10.2</b>                   | <b>(0.5)</b>                                 | <b>10.2</b>                   | <b>8.8</b>            | <b>22.1</b>                   |
| Excess (Deficiency) of Receipts<br>and Other Financing Sources<br>over Disbursements and Other<br>Financing Uses | 12.8                  | 5.3                           | (19.6)                | (56.8)                        | (6.8)  | (51.5)                        | 100.5                 | 5.7                           |
| <b>BEGINNING FUND EQUITY (DEFICITS)</b>  | <b>89.6</b>           | <b>97.1</b>                   | <b>4.7</b>            | <b>41.9</b>                   | <b>94.3</b>                                  | <b>139.0</b>                  | <b>(44.9)</b>         | <b>49.9</b>                   |
| <b>ENDING FUND EQUITY (DEFICITS)</b>   | <b>\$102.4</b>        | <b>\$102.4</b>                | <b>(\$14.9)</b>       | <b>(\$14.9)</b>               | <b>\$87.5</b>                                | <b>\$87.5</b>                 | <b>\$55.6</b>         | <b>\$55.6</b>                 |

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

EXHIBIT C

|  | PENSION               |                               | PRIVATE PURPOSE       |                               | TOTAL TRUST FUNDS<br>(memorandum only) |                               |                       |                               |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|--|-------------------------------|-----------------------|-------------------------------|
|  | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012 | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2012                  | 3 MOS. ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE 2011 | 3 MOS. ENDED<br>JUNE 30, 2011 |
| <b>RECEIPTS:</b>   |                       |                               |                       |                               |  |                               |                       |                               |
| Miscellaneous Receipts   | \$4.7                 | \$12.4                        | \$0.1                 | \$0.5                         | \$4.8                                  | \$12.9                        | \$5.7                 | \$21.9                        |
| <b>TOTAL RECEIPTS</b>  | <u>4.7</u>            | <u>12.4</u>                   | <u>0.1</u>            | <u>0.5</u>                    | <u>4.8</u>                             | <u>12.9</u>                   | <u>5.7</u>            | <u>21.9</u>                   |
| <b>DISBURSEMENTS:</b>  |                       |                               |                       |                               |  |                               |                       |                               |
| Departmental Operations:   |                       |                               |                       |                               |  |                               |                       |                               |
| Personal Service   | 4.5                   | 12.9                          | --                    | 0.1                           | 4.5                                    | 13.0                          | 4.0                   | 12.7                          |
| Non-Personal Service   | 1.8                   | 3.2                           | --                    | --                            | 1.8                                    | 3.2                           | 0.9                   | 3.7                           |
| General State Charges  | 6.2                   | 6.2                           | --                    | --                            | 6.2                                    | 6.2                           | 0.2                   | 6.8                           |
| <b>TOTAL DISBURSEMENTS</b>   | <u>12.5</u>           | <u>22.3</u>                   | <u>--</u>             | <u>0.1</u>                    | <u>12.5</u>                            | <u>22.4</u>                   | <u>5.1</u>            | <u>23.2</u>                   |
| <b>EXCESS (DEFICIENCY) OF RECEIPTS<br/>OVER DISBURSEMENTS</b>  | <u>(7.8)</u>          | <u>(9.9)</u>                  | <u>0.1</u>            | <u>0.4</u>                    | <u>(7.7)</u>                           | <u>(9.5)</u>                  | <u>0.6</u>            | <u>(1.3)</u>                  |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                       |                               |                       |                               |  |                               |                       |                               |
| Transfers from Other Funds   | --                    | --                            | --                    | --                            | --                                     | --                            | --                    | --                            |
| Transfers to Other Funds   | --                    | --                            | --                    | --                            | --                                     | --                            | --                    | --                            |
| <b>NET SOURCES (USES)</b>  | <u>--</u>             | <u>--</u>                     | <u>--</u>             | <u>--</u>                     | <u>--</u>                              | <u>--</u>                     | <u>--</u>             | <u>--</u>                     |
| Excess (Deficiency) of Receipts<br>and Other Financing Sources<br>over Disbursements and Other<br>Financing Uses | (7.8)                 | (9.9)                         | 0.1                   | 0.4                           | (7.7)                                  | (9.5)                         | 0.6                   | (1.3)                         |
| <b>BEGINNING FUND EQUITY (DEFICITS)</b>  | <u>(1.2)</u>          | <u>0.9</u>                    | <u>10.5</u>           | <u>10.2</u>                   | <u>9.3</u>                             | <u>11.1</u>                   | <u>8.8</u>            | <u>10.7</u>                   |
| <b>ENDING FUND EQUITY (DEFICITS)</b>   | <u>(\$9.0)</u>        | <u>(\$9.0)</u>                | <u>\$10.6</u>         | <u>\$10.6</u>                 | <u>\$1.6</u>                           | <u>\$1.6</u>                  | <u>\$9.4</u>          | <u>\$9.4</u>                  |



STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2013  
 FOR THREE (3) MONTHS ENDED JUNE 30, 2012  
 (amounts in millions)

**EXHIBIT D**

|   | ALL GOVERNMENTAL FUNDS |                  |   |
|---|------------------------|------------------|---|
|   | Financial Plan (*)     | Actual           | Actual<br>Over<br>(Under)<br>Financial Plan |
| <b>RECEIPTS:</b>  |                        |                  |   |
| Taxes.....  | \$16,695               | \$16,792.6       | \$97.6                                      |
| Miscellaneous Receipts.....   | 5,283                  | 4,808.7          | (474.3)                                     |
| Federal Receipts.....   | 10,050                 | 8,440.2          | (1,609.8)                                   |
| <b>Total Receipts.....</b>  | <b>32,028</b>          | <b>30,041.5</b>  | <b>(1,986.5)</b>                            |
| <b>DISBURSEMENTS:</b>   |                        |                  |   |
| Local Assistance Grants.....  | 22,679                 | 20,886.5         | (1,792.5)                                   |
| Departmental Operations.....  | 4,636                  | 4,144.8          | (491.2)                                     |
| General State Charges.....  | 1,378                  | 1,313.4          | (64.6)                                      |
| Debt Service.....   | 1,020                  | 982.1            | (37.9)                                      |
| Capital Projects.....   | 1,275                  | 1,058.7          | (216.3)                                     |
| <b>Total Disbursements.....</b>   | <b>30,988</b>          | <b>28,385.5</b>  | <b>(2,602.5)</b>                            |
| <b>Excess (Deficiency) of Receipts<br/>over Disbursements.....</b>  | <b>1,040</b>           | <b>1,656.0</b>   | <b>616.0</b>                                |
| <b>OTHER FINANCING SOURCES (USES):</b>  |                        |                  |   |
| Bond and Note Proceeds, net.....  | 99                     | --               | (99.0)                                      |
| Transfers from Other Funds.....   | 6,625                  | 6,552.1          | (72.9)                                      |
| Transfers to Other Funds.....   | (6,639)                | (6,562.3)        | (76.7)                                      |
| <b>Total Other Financing Sources (Uses).....</b>  | <b>85.0</b>            | <b>(10.2)</b>    | <b>(95.2)</b>                               |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources over Disbursements<br/>and Other Financing Uses.....</b> | <b>1,125</b>           | <b>1,645.8</b>   | <b>520.8</b>                                |
| <b>Fund Balances (Deficit) at April 1.....</b>  | <b>3,360</b>           | <b>3,360.3</b>   | <b>0.3</b>                                  |
| <b>Fund Balances (Deficit) at June 30.....</b>  | <b>\$4,485</b>         | <b>\$5,006.1</b> | <b>\$521.1</b>                              |

(\*) Source: 2012-13 Enacted Budget, dated April 1, 2012.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2013  
 FOR THREE (3) MONTHS ENDED JUNE 30, 2012  
 (amounts in millions)

**EXHIBIT D**  
 (continued)

|   | GENERAL            |                  |                                    | SPECIAL REVENUE    |                  |                                    |
|---|--------------------|------------------|------------------------------------|--------------------|------------------|------------------------------------|
|   | Financial Plan (*) | Actual           | Actual Over (Under) Financial Plan | Financial Plan (*) | Actual           | Actual Over (Under) Financial Plan |
| <b>RECEIPTS:</b>  |                    |                  |                                    |                    |                  |                                    |
| Taxes:  |                    |                  |                                    |                    |                  |                                    |
| Personal Income .....   | \$7,481            | \$7,573.3        | \$92.3                             | \$400              | \$399.8          | (\$0.2)                            |
| Consumption/Use .....   | 2,309              | 2,231.8          | (77.2)                             | 570                | 551.0            | (19.0)                             |
| Business.....   | 1,213              | 1,291.6          | 78.6                               | 337                | 328.7            | (8.3)                              |
| Other.....  | 286                | 275.4            | (10.6)                             | 282                | 322.7            | 40.7                               |
| Miscellaneous Receipts .....  | 572                | 625.7            | 53.7                               | 3,599              | 3,345.4          | (253.6)                            |
| Federal Receipts.....   | 13                 | 17.2             | 4.2                                | 9,584              | 8,049.7          | (1,534.3)                          |
| Bond and Note Proceeds, net.....  | --                 | --               | --                                 | --                 | --               | --                                 |
| Transfers From:   |                    |                  |                                    |                    |                  |                                    |
| PIT in excess of Revenue Bond Debt Service.....   | 2,486              | 2,517.1          | 31.1                               | --                 | --               | --                                 |
| Sales Tax in excess of LGAC Debt Service.....   | 705                | 674.9            | (30.1)                             | --                 | --               | --                                 |
| Real Estate Taxes in excess of CW/CA Debt Service.....  | 143                | 134.3            | (8.7)                              | --                 | --               | --                                 |
| All Other.....  | 81                 | 62.5             | (18.5)                             | 1,682              | 1,569.4          | (112.6)                            |
| <b>Total Receipts.....</b>  | <b>15,289</b>      | <b>15,403.8</b>  | <b>114.8</b>                       | <b>16,454</b>      | <b>14,566.7</b>  | <b>(1,887.3)</b>                   |
| <b>DISBURSEMENTS:</b>   |                    |                  |                                    |                    |                  |                                    |
| Local Assistance Grants.....  | 10,236             | 9,669.0          | (567.0)                            | 12,067             | 11,052.9         | (1,014.1)                          |
| Departmental Operations.....  | 2,275              | 2,115.8          | (159.2)                            | 2,353              | 2,021.5          | (331.5)                            |
| General State Charges.....  | 865                | 945.4            | 80.4                               | 513                | 368.0            | (145.0)                            |
| Debt Service.....   | --                 | --               | --                                 | --                 | --               | --                                 |
| Capital Projects.....   | --                 | --               | --                                 | --                 | 1.5              | 1.5                                |
| Transfers To:   |                    |                  |                                    |                    |                  |                                    |
| Debt Service.....   | 490                | 450.6            | (39.4)                             | --                 | --               | --                                 |
| Capital Projects.....   | 84                 | 98.8             | 14.8                               | --                 | --               | --                                 |
| State Share Medicaid.....   | 690                | 748.0 (**)       | 58.0                               | --                 | --               | --                                 |
| Other Purposes.....   | 315                | 228.2            | (86.8)                             | 732                | 807.5            | 75.5                               |
| <b>Total Disbursements.....</b>   | <b>14,955</b>      | <b>14,255.8</b>  | <b>(699.2)</b>                     | <b>15,665</b>      | <b>14,251.4</b>  | <b>(1,413.6)</b>                   |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b> | <b>334</b>         | <b>1,148.0</b>   | <b>814.0</b>                       | <b>789</b>         | <b>315.3</b>     | <b>(473.7)</b>                     |
| <b>Fund Balances (Deficit) at April 1.....</b>  | <b>1,787</b>       | <b>1,786.7</b>   | <b>(0.3)</b>                       | <b>1,594</b>       | <b>1,595.5</b>   | <b>1.5</b>                         |
| <b>Fund Balances (Deficit) at June 30.....</b>  | <b>\$2,121</b>     | <b>\$2,934.7</b> | <b>\$813.7</b>                     | <b>\$2,383</b>     | <b>\$1,910.8</b> | <b>(\$472.2)</b>                   |

(\*) Source: 2012-13 Enacted Budget, dated April 1, 2012.

(\*\*) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2013  
 FOR THREE (3) MONTHS ENDED JUNE 30, 2012  
 (amounts in millions)

**EXHIBIT D**  
 (continued)

|   | DEBT SERVICE       |                |                                    | CAPITAL PROJECTS   |                  |                                    |
|---|--------------------|----------------|------------------------------------|--------------------|------------------|------------------------------------|
|   | Financial Plan (*) | Actual         | Actual Over (Under) Financial Plan | Financial Plan (*) | Actual           | Actual Over (Under) Financial Plan |
| <b>RECEIPTS:</b>  |                    |                |                                    |                    |                  |                                    |
| Taxes .....   | \$3,505            | \$3,502.2      | (\$2.8)                            | \$312              | \$316.1          | \$4.1                              |
| Miscellaneous Receipts .....  | 253                | 160.6          | (92.4)                             | 859                | 677.0            | (182.0)                            |
| Federal Receipts.....   | --                 | --             | --                                 | 453                | 373.3            | (79.7)                             |
| Bond and Note Proceeds, net.....  | --                 | --             | --                                 | 99                 | --               | (99.0)                             |
| Transfers from Other Funds.....   | 1,382              | 1,478.6        | 96.6                               | 146                | 115.3            | (30.7)                             |
| <b>Total Receipts.....</b>  | <b>5,140</b>       | <b>5,141.4</b> | <b>1.4</b>                         | <b>1,869</b>       | <b>1,481.7</b>   | <b>(387.3)</b>                     |
| <b>DISBURSEMENTS:</b>   |                    |                |                                    |                    |                  |                                    |
| Local Assistance Grants.....  | --                 | --             | --                                 | 376                | 164.6            | (211.4)                            |
| Departmental Operations.....  | 8                  | 7.5            | (0.5)                              | --                 | --               | --                                 |
| General State Charges.....  | --                 | --             | --                                 | --                 | --               | --                                 |
| Debt Service.....   | 1,020              | 982.1          | (37.9)                             | --                 | --               | --                                 |
| Capital Projects.....   | --                 | --             | --                                 | 1,275              | 1,057.2          | (217.8)                            |
| Transfers to Other Funds.....   | 4,043              | 3,939.6        | (103.4)                            | 285                | 289.6            | 4.6                                |
| <b>Total Disbursements.....</b>   | <b>5,071</b>       | <b>4,929.2</b> | <b>(141.8)</b>                     | <b>1,936</b>       | <b>1,511.4</b>   | <b>(424.6)</b>                     |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b> | <b>69</b>          | <b>212.2</b>   | <b>143.2</b>                       | <b>(67)</b>        | <b>(29.7)</b>    | <b>37.3</b>                        |
| <b>Fund Balances (Deficit) at April 1.....</b>  | <b>428</b>         | <b>427.5</b>   | <b>(0.5)</b>                       | <b>(449)</b>       | <b>(449.4)</b>   | <b>(0.4)</b>                       |
| <b>Fund Balances (Deficit) at June 30.....</b>  | <b>\$497</b>       | <b>\$639.7</b> | <b>\$142.7</b>                     | <b>(\$516)</b>     | <b>(\$479.1)</b> | <b>\$36.9</b>                      |

(\*) Source: 2012-13 Enacted Budget, dated April 1, 2012.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)

EXHIBIT "E"

|   | GENERAL            |                            | SPECIAL REVENUE    |                            | DEBT SERVICE       |                            | CAPITAL PROJECTS   |                            | TOTAL GOVERNMENTAL FUNDS |                            |                    |                            | YEAR OVER YEAR           |                       |
|---|--------------------|----------------------------|--------------------|----------------------------|--------------------|----------------------------|--------------------|----------------------------|--------------------------|----------------------------|--------------------|----------------------------|--------------------------|-----------------------|
|   | MONTH OF JUNE 2012 | 3 MOS. ENDED JUNE 30, 2012 | MONTH OF JUNE 2012 | 3 MOS. ENDED JUNE 30, 2012 | MONTH OF JUNE 2012 | 3 MOS. ENDED JUNE 30, 2012 | MONTH OF JUNE 2012 | 3 MOS. ENDED JUNE 30, 2012 | MONTH OF JUNE 2012       | 3 MOS. ENDED JUNE 30, 2012 | MONTH OF JUNE 2011 | 3 MOS. ENDED JUNE 30, 2011 | \$ Increase / (Decrease) | % Increase / Decrease |
| <b>PERSONAL INCOME TAX</b>                |                    |                            |                    |                            |                    |                            |                    |                            |                          |                            |                    |                            |                          |                       |
| Withholding                               | \$2,162.2          | \$6,954.3                  | \$ --              | \$ --                      | \$ --              | \$ --                      | \$ --              | \$ --                      | \$2,162.2                | \$6,954.3                  | \$2,430.2          | \$6,956.5                  | (\$2.2)                  | 0.0%                  |
| Estimated payments                        | 1,676.3            | 5,606.1                    | --                 | --                         | --                 | --                         | --                 | --                         | 1,676.3                  | 5,606.1                    | 1,609.6            | 5,883.8                    | (277.7)                  | -4.7%                 |
| Final returns                             | 34.1               | 1,536.9                    | --                 | --                         | --                 | --                         | --                 | --                         | 34.1                     | 1,536.9                    | 36.7               | 1,527.8                    | 9.1                      | 0.6%                  |
| State/City Offsets                        | (2.0)              | (61.8)                     | --                 | --                         | --                 | --                         | --                 | --                         | (2.0)                    | (61.8)                     | 2.8                | (56.1)                     | 5.7                      | 10.2%                 |
| Other (Assessments/LLC)                   | 80.3               | 326.3                      | --                 | --                         | --                 | --                         | --                 | --                         | 80.3                     | 326.3                      | 91.9               | 314.8                      | 11.5                     | 3.7%                  |
| Gross Receipts                            | 3,950.9            | 14,361.8                   | --                 | --                         | --                 | --                         | --                 | --                         | 3,950.9                  | 14,361.8                   | 4,171.2            | 14,626.8                   | (265.0)                  | -1.8%                 |
| Transfers to School Tax Relief Fund       | (399.8)            | (399.8)                    | 399.8              | 399.8                      | --                 | --                         | --                 | --                         | --                       | --                         | --                 | --                         | --                       | --                    |
| Transfers to Revenue Bond Tax Fund        | (962.1)            | (2,657.7)                  | --                 | --                         | 962.1              | 2,657.7                    | --                 | --                         | --                       | --                         | --                 | --                         | --                       | --                    |
| Less: Refunds Issued                      | (102.5)            | (3,731.0)                  | --                 | --                         | --                 | --                         | --                 | --                         | (102.5)                  | (3,731.0)                  | (168.4)            | (3,657.3)                  | 73.7                     | 2.0%                  |
| Total                                     | 2,486.5            | 7,573.3                    | 399.8              | 399.8                      | 962.1              | 2,657.7                    | --                 | --                         | 3,848.4                  | 10,630.8                   | 4,002.8            | 10,969.5                   | (338.7)                  | -3.1%                 |
| <b>CONSUMPTION / USE TAXES</b>            |                    |                            |                    |                            |                    |                            |                    |                            |                          |                            |                    |                            |                          |                       |
| Sales and Use                             | 837.6              | 2,057.6                    | 73.1               | 201.9                      | 279.1              | 682.1                      | --                 | --                         | 1,189.8                  | 2,941.6                    | 1,179.3            | 2,970.5                    | (28.9)                   | -1.0%                 |
| Auto Rental                               | --                 | --                         | 8.9                | 9.5                        | --                 | --                         | 14.8               | 16.1                       | 23.7                     | 25.6                       | 23.1               | 22.7                       | 2.9                      | 12.8%                 |
| Cigarette/Tobacco Products                | 38.8               | 114.9                      | 97.0               | 292.8                      | --                 | --                         | --                 | --                         | 135.8                    | 407.7                      | 148.3              | 417.0                      | (9.3)                    | -2.2%                 |
| Motor Fuel                                | --                 | --                         | 9.3                | 25.3                       | --                 | --                         | 33.9               | 95.4                       | 43.2                     | 120.7                      | 42.8               | 116.5                      | 4.2                      | 3.6%                  |
| Alcoholic Beverage                        | 22.6               | 59.3                       | --                 | --                         | --                 | --                         | --                 | --                         | 22.6                     | 59.3                       | 19.0               | 56.1                       | 3.2                      | 5.7%                  |
| Highway Use                               | --                 | --                         | --                 | --                         | --                 | --                         | 10.3               | 35.6                       | 10.3                     | 35.6                       | 8.6                | 32.8                       | 2.8                      | 8.5%                  |
| Metropolitan Commuter Trans. Taxicab Trip | --                 | --                         | 0.3                | 21.5                       | --                 | --                         | --                 | --                         | 0.3                      | 21.5                       | 0.4                | 21.4                       | 0.1                      | 0.5%                  |
| Total                                     | 899.0              | 2,231.8                    | 188.6              | 551.0                      | 279.1              | 682.1                      | 59.0               | 147.1                      | 1,425.7                  | 3,612.0                    | 1,421.5            | 3,637.0                    | (25.0)                   | -0.7%                 |
| <b>BUSINESS TAXES</b>                     |                    |                            |                    |                            |                    |                            |                    |                            |                          |                            |                    |                            |                          |                       |
| Corporation Franchise                     | 415.3              | 619.7                      | 56.2               | 75.7                       | --                 | --                         | --                 | --                         | 471.5                    | 695.4                      | 629.6              | 824.9                      | (129.5)                  | -15.7%                |
| Corporation and Utilities                 | 98.7               | 124.9                      | 28.5               | 41.8                       | --                 | --                         | 2.6                | 2.5                        | 129.8                    | 169.2                      | 113.2              | 111.7                      | 57.5                     | 51.5%                 |
| Insurance                                 | 249.7              | 270.7                      | 31.4               | 32.8                       | --                 | --                         | --                 | --                         | 281.1                    | 303.5                      | 311.8              | 319.6                      | (16.1)                   | -5.0%                 |
| Bank                                      | 280.6              | 276.3                      | 54.5               | 54.9                       | --                 | --                         | --                 | --                         | 335.1                    | 331.2                      | 317.7              | 346.3                      | (15.1)                   | -4.4%                 |
| Petroleum Business                        | --                 | --                         | 43.5               | 123.5                      | --                 | --                         | 54.5               | 154.6                      | 98.0                     | 278.1                      | 95.1               | 263.5                      | 14.6                     | 5.5%                  |
| Total                                     | 1,044.3            | 1,291.6                    | 214.1              | 328.7                      | --                 | --                         | 57.1               | 157.1                      | 1,315.5                  | 1,777.4                    | 1,467.4            | 1,866.0                    | (88.6)                   | -4.7%                 |
| <b>OTHER TAXES</b>                        |                    |                            |                    |                            |                    |                            |                    |                            |                          |                            |                    |                            |                          |                       |
| Real Property Gains                       | --                 | --                         | --                 | --                         | --                 | --                         | --                 | --                         | --                       | --                         | --                 | --                         | --                       | --                    |
| Estate and Gift                           | 75.3               | 270.8                      | --                 | --                         | --                 | --                         | --                 | --                         | 75.3                     | 270.8                      | 72.9               | 269.7                      | 1.1                      | 0.4%                  |
| Pari-Mutuel                               | 2.1                | 4.5                        | --                 | --                         | --                 | --                         | --                 | --                         | 2.1                      | 4.5                        | 1.8                | 3.9                        | 0.6                      | 15.4%                 |
| Real Estate Transfer                      | --                 | --                         | --                 | --                         | 41.2               | 162.4                      | 11.9               | 11.9                       | 53.1                     | 174.3                      | 39.2               | 136.6                      | 37.7                     | 27.6%                 |
| Racing and Exhibitions                    | --                 | 0.1                        | --                 | --                         | --                 | --                         | --                 | --                         | --                       | 0.1                        | 0.1                | 0.1                        | --                       | --                    |
| Metropolitan Commuter Trans. Mobility     | --                 | --                         | 67.1               | 322.7                      | --                 | --                         | --                 | --                         | 67.1                     | 322.7                      | 84.5               | 337.2                      | (14.5)                   | -4.3%                 |
| Total                                     | 77.4               | 275.4                      | 67.1               | 322.7                      | 41.2               | 162.4                      | 11.9               | 11.9                       | 197.6                    | 772.4                      | 198.5              | 747.5                      | 24.9                     | 3.3%                  |
| <b>TOTAL TAX RECEIPTS</b>                 | <b>\$4,507.2</b>   | <b>\$11,372.1</b>          | <b>\$869.6</b>     | <b>\$1,602.2</b>           | <b>\$1,282.4</b>   | <b>\$3,502.2</b>           | <b>\$128.0</b>     | <b>\$316.1</b>             | <b>\$6,787.2</b>         | <b>\$16,792.6</b>          | <b>\$7,090.2</b>   | <b>\$17,220.0</b>          | <b>(\$427.4)</b>         | <b>-2.5%</b>          |

STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW

|   |               |           |           |       |        |           |         |          |          |                 |          |       | 3 Months Ended June 30 |           |                            |                         |
|---|---------------|-----------|-----------|-------|--------|-----------|---------|----------|----------|-----------------|----------|-------|------------------------|-----------|----------------------------|-------------------------|
|   | 2012<br>APRIL | MAY       | JUNE      | JULY  | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013<br>JANUARY | FEBRUARY | MARCH | 2012                   | 2011      | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>BEGINNING CASH BALANCE</b>   | \$3,360.3     | \$7,986.4 | \$4,850.1 |       |        |           |         |          |          |                 |          |       | \$3,360.3              | \$3,812.3 | (\$452.0)                  | -11.9%                  |
| <b>RECEIPTS:</b>  |               |           |           |       |        |           |         |          |          |                 |          |       |                        |           |                            |                         |
| Personal Income Tax   | 5,134.4       | 1,648.0   | 3,848.4   |       |        |           |         |          |          |                 |          |       | 10,630.8               | 10,969.5  | (338.7)                    | -3.1%                   |
| Consumption/Use Taxes   | 1,085.4       | 1,100.9   | 1,425.7   |       |        |           |         |          |          |                 |          |       | 3,612.0                | 3,637.0   | (25.0)                     | -0.7%                   |
| Business Taxes  | 310.1         | 151.8     | 1,315.5   |       |        |           |         |          |          |                 |          |       | 1,777.4                | 1,866.0   | (88.6)                     | -4.7%                   |
| Other Taxes   | 322.0         | 252.8     | 197.6     |       |        |           |         |          |          |                 |          |       | 772.4                  | 747.5     | 24.9                       | 3.3%                    |
| Miscellaneous Receipts  | 1,255.8       | 1,615.6   | 1,937.3   |       |        |           |         |          |          |                 |          |       | 4,808.7                | 4,987.1   | (178.4)                    | -3.6%                   |
| Federal Receipts  | 2,003.5       | 3,213.0   | 3,223.7   |       |        |           |         |          |          |                 |          |       | 8,440.2                | 11,169.1  | (2,728.9)                  | -24.4%                  |
| Total Receipts  | 10,111.2      | 7,982.1   | 11,948.2  | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 30,041.5               | 33,376.2  | (3,334.7)                  | -10.0%                  |
| <b>DISBURSEMENTS:</b>   |               |           |           |       |        |           |         |          |          |                 |          |       |                        |           |                            |                         |
| Local Assistance Grants (***)   | 3,571.8       | 8,310.5   | 9,004.2   |       |        |           |         |          |          |                 |          |       | 20,886.5               | 23,696.5  | (2,810.0)                  | -11.9%                  |
| Departmental Operations:  |               |           |           |       |        |           |         |          |          |                 |          |       |                        |           |                            |                         |
| Personal Service (**)   | 1,021.3       | 1,118.1   | 1,007.4   |       |        |           |         |          |          |                 |          |       | 3,146.8                | 3,159.2   | (12.4)                     | -0.4%                   |
| Non-Personal Service (**)(***)  | 86.9          | 406.7     | 504.4     |       |        |           |         |          |          |                 |          |       | 998.0                  | 1,419.8   | (421.8)                    | -29.7%                  |
| General State Charges   | 439.2         | 472.5     | 401.7     |       |        |           |         |          |          |                 |          |       | 1,313.4                | 1,316.4   | (3.0)                      | -0.2%                   |
| Debt Service, Including Payments on<br>Financing Agreements   | 175.9         | 386.2     | 420.0     |       |        |           |         |          |          |                 |          |       | 982.1                  | 1,016.8   | (34.7)                     | -3.4%                   |
| Capital Projects  | 190.0         | 413.5     | 455.2     |       |        |           |         |          |          |                 |          |       | 1,058.7                | 1,141.8   | (83.1)                     | -7.3%                   |
| Total Disbursements   | 5,485.1       | 11,107.5  | 11,792.9  | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 28,385.5               | 31,750.5  | (3,365.0)                  | -10.6%                  |
| Excess (Deficiency) of Receipts<br>over Disbursements   | 4,626.1       | (3,125.4) | 155.3     | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 1,656.0                | 1,625.7   | 30.3                       | 1.9%                    |
| <b>OTHER FINANCING SOURCES (USES):</b>  |               |           |           |       |        |           |         |          |          |                 |          |       |                        |           |                            |                         |
| Bond Proceeds (net)   | --            | --        | --        |       |        |           |         |          |          |                 |          |       | --                     | --        | --                         | --                      |
| Transfers from Other Funds  | 2,096.3       | 2,002.8   | 2,453.0   |       |        |           |         |          |          |                 |          |       | 6,552.1                | 7,309.7   | (757.6)                    | -10.4%                  |
| Transfers to Other Funds  | (2,096.3)     | (2,013.7) | (2,452.3) |       |        |           |         |          |          |                 |          |       | (6,562.3)              | (7,321.2) | (758.9)                    | -10.4%                  |
| Total Other Financing Sources (Uses)  | --            | (10.9)    | 0.7       | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | (10.2)                 | (11.5)    | 1.3                        | 11.3%                   |
| Excess (Deficiency) of Receipts<br>and Other Financing Sources over<br>Disbursements and Other Financing Uses | 4,626.1       | (3,136.3) | 156.0     |       |        |           |         |          |          |                 |          |       | 1,645.8                | 1,614.2   | 31.6                       | 2.0%                    |
| <b>CLOSING CASH BALANCE</b>   | \$7,986.4     | \$4,850.1 | \$5,006.1 | \$0.0 | \$0.0  | \$0.0     | \$0.0   | \$0.0    | \$0.0    | \$0.0           | \$0.0    | \$0.0 | \$5,006.1              | \$5,426.5 | (\$420.4)                  | -7.7%                   |

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(\*\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK  
 GOVERNMENTAL FUNDS (\*)  
 CASH FLOW SCHEDULE OF TAX RECEIPTS  
 FISCAL YEAR 2012-2013  
 (amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW  
 TAX RECEIPTS

|   |                  |                  |                  |              |              |              |              |              |              |                 |              |              | 3 Months Ended June 30 |                   |                             |                          |
|---|------------------|------------------|------------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------------|--------------|--------------|------------------------|-------------------|-----------------------------|--------------------------|
|   | 2012<br>APRIL    | MAY              | JUNE             | JULY         | AUGUST       | SEPTEMBER    | OCTOBER      | NOVEMBER     | DECEMBER     | 2013<br>JANUARY | FEBRUARY     | MARCH        | 2012                   | 2011              | \$ Increase /<br>(Decrease) | % Increase /<br>Decrease |
| <b>PERSONAL INCOME TAX</b>                |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                        |                   |                             |                          |
| Withholdings                              | \$2,373.6        | \$2,418.5        | \$2,162.2        |              |              |              |              |              |              |                 |              |              | \$6,954.3              | \$6,956.5         | (\$2.2)                     | 0.0%                     |
| Estimated payments                        | 3,848.6          | 81.2             | 1,676.3          |              |              |              |              |              |              |                 |              |              | 5,606.1                | 5,883.8           | (277.7)                     | -4.7%                    |
| Final returns                             | 1,452.9          | 49.9             | 34.1             |              |              |              |              |              |              |                 |              |              | 1,536.9                | 1,527.8           | 9.1                         | 0.6%                     |
| State/City Offsets                        | (56.0)           | (3.8)            | (2.0)            |              |              |              |              |              |              |                 |              |              | (61.8)                 | (56.1)            | 5.7                         | 10.2%                    |
| Other (Assessments/LLC)                   | 130.8            | 115.2            | 80.3             |              |              |              |              |              |              |                 |              |              | 326.3                  | 314.8             | 11.5                        | 3.7%                     |
| Gross Receipts                            | <u>7,749.9</u>   | <u>2,661.0</u>   | <u>3,950.9</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>14,361.8</u>        | <u>14,626.8</u>   | <u>(265.0)</u>              | <u>-1.8%</u>             |
| Transfers to School Tax Relief Fund       | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                | --                          | --                       |
| Transfers to Revenue Bond Tax Fund        | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                | --                          | --                       |
| Refunds issued                            | (2,615.5)        | (1,013.0)        | (102.5)          |              |              |              |              |              |              |                 |              |              | (3,731.0)              | (3,657.3)         | 73.7                        | 2.0%                     |
| Total Personal Income Tax                 | <u>5,134.4</u>   | <u>1,648.0</u>   | <u>3,848.4</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>10,630.8</u>        | <u>10,969.5</u>   | <u>(338.7)</u>              | <u>-3.1%</u>             |
| <b>CONSUMPTION/USE TAXES</b>              |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                        |                   |                             |                          |
| Sales and Use                             | 863.1            | 888.7            | 1,189.8          |              |              |              |              |              |              |                 |              |              | 2,941.6                | 2,970.5           | (28.9)                      | -1.0%                    |
| Auto Rental                               | 1.7              | 0.2              | 23.7             |              |              |              |              |              |              |                 |              |              | 25.6                   | 22.7              | 2.9                         | 12.8%                    |
| Cigarette/Tobacco Products                | 134.0            | 137.9            | 135.8            |              |              |              |              |              |              |                 |              |              | 407.7                  | 417.0             | (9.3)                       | -2.2%                    |
| Motor Fuel                                | 35.1             | 42.4             | 43.2             |              |              |              |              |              |              |                 |              |              | 120.7                  | 116.5             | 4.2                         | 3.6%                     |
| Alcoholic Beverage                        | 17.5             | 19.2             | 22.6             |              |              |              |              |              |              |                 |              |              | 59.3                   | 56.1              | 3.2                         | 5.7%                     |
| Highway Use                               | 13.4             | 11.9             | 10.3             |              |              |              |              |              |              |                 |              |              | 35.6                   | 32.8              | 2.8                         | 8.5%                     |
| Metropolitan Commuter Trans. Taxicab Trip | 20.6             | 0.6              | 0.3              |              |              |              |              |              |              |                 |              |              | 21.5                   | 21.4              | 0.1                         | 0.5%                     |
| Total Consumption/Use Taxes and Fees      | <u>1,085.4</u>   | <u>1,100.9</u>   | <u>1,425.7</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>3,612.0</u>         | <u>3,637.0</u>    | <u>(25.0)</u>               | <u>-0.7%</u>             |
| <b>BUSINESS TAXES</b>                     |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                        |                   |                             |                          |
| Corporation Franchise                     | 178.6            | 45.3             | 471.5            |              |              |              |              |              |              |                 |              |              | 695.4                  | 824.9             | (129.5)                     | -15.7%                   |
| Corporation and Utilities                 | 37.5             | 1.9              | 129.8            |              |              |              |              |              |              |                 |              |              | 169.2                  | 111.7             | 57.5                        | 51.5%                    |
| Insurance                                 | 13.3             | 9.1              | 281.1            |              |              |              |              |              |              |                 |              |              | 303.5                  | 319.6             | (16.1)                      | -5.0%                    |
| Bank                                      | (4.3)            | 0.4              | 335.1            |              |              |              |              |              |              |                 |              |              | 331.2                  | 346.3             | (15.1)                      | -4.4%                    |
| Petroleum Business                        | 85.0             | 95.1             | 98.0             |              |              |              |              |              |              |                 |              |              | 278.1                  | 263.5             | 14.6                        | 5.5%                     |
| Total Business Taxes                      | <u>310.1</u>     | <u>151.8</u>     | <u>1,315.5</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>1,777.4</u>         | <u>1,866.0</u>    | <u>(88.6)</u>               | <u>-4.7%</u>             |
| <b>OTHER TAXES</b>                        |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                        |                   |                             |                          |
| Real Property Gains                       | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                | --                          | --                       |
| Estate and Gift                           | 112.7            | 82.8             | 75.3             |              |              |              |              |              |              |                 |              |              | 270.8                  | 269.7             | 1.1                         | 0.4%                     |
| Pari-Mutuel                               | 1.0              | 1.4              | 2.1              |              |              |              |              |              |              |                 |              |              | 4.5                    | 3.9               | 0.6                         | 15.4%                    |
| Real Estate Transfer                      | 68.6             | 52.6             | 53.1             |              |              |              |              |              |              |                 |              |              | 174.3                  | 136.6             | 37.7                        | 27.6%                    |
| Racing and Exhibitions                    | 0.1              | --               | --               |              |              |              |              |              |              |                 |              |              | 0.1                    | 0.1               | --                          | --                       |
| Metropolitan Commuter Trans. Mobility     | 139.6            | 116.0            | 67.1             |              |              |              |              |              |              |                 |              |              | 322.7                  | 337.2             | (14.5)                      | -4.3%                    |
| Total Other Taxes                         | <u>322.0</u>     | <u>252.8</u>     | <u>197.6</u>     | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>772.4</u>           | <u>747.5</u>      | <u>24.9</u>                 | <u>3.3%</u>              |
| <b>TOTAL TAX RECEIPTS</b>                 | <u>\$6,851.9</u> | <u>\$3,153.5</u> | <u>\$6,787.2</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u>    | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$16,792.6</u>      | <u>\$17,220.0</u> | <u>(\$427.4)</u>            | <u>-2.5%</u>             |

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "F"**

|   |                  |                  |                  |              |              |              |              |              |              |                                |              |                  | <b>3 Months Ended June 30</b> |                |                            |                         |
|---|------------------|------------------|------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------------------------|--------------|------------------|-------------------------------|----------------|----------------------------|-------------------------|
|   | 2012<br>APRIL    | MAY              | JUNE             | JULY         | AUGUST       | SEPTEMBER    | OCTOBER      | NOVEMBER     | DECEMBER     | 2013<br>JANUARY FEBRUARY MARCH |              |                  | 2012                          | 2011           | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>OPENING CASH BALANCE</b>   | \$1,786.7        | \$5,637.4        | \$2,017.8        |              |              |              |              |              |              |                                |              |                  | \$1,786.7                     | \$1,376.1      | \$410.6                    | 29.8%                   |
| <b>RECEIPTS:</b>  |                  |                  |                  |              |              |              |              |              |              |                                |              |                  |                               |                |                            |                         |
| Personal Income Tax   | 3,850.8          | 1,236.0          | 2,486.5          |              |              |              |              |              |              |                                |              |                  | 7,573.3                       | 7,835.5        | (262.2)                    | -3.3%                   |
| Consumption/Use Taxes   | 651.9            | 680.9            | 899.0            |              |              |              |              |              |              |                                |              |                  | 2,231.8                       | 2,248.0        | (16.2)                     | -0.7%                   |
| Business Taxes  | 205.1            | 42.2             | 1,044.3          |              |              |              |              |              |              |                                |              |                  | 1,291.6                       | 1,362.6        | (71.0)                     | -5.2%                   |
| Other Taxes   | 113.8            | 84.2             | 77.4             |              |              |              |              |              |              |                                |              |                  | 275.4                         | 273.7          | 1.7                        | 0.6%                    |
| Miscellaneous Receipts  | 116.8            | 93.2             | 415.7            |              |              |              |              |              |              |                                |              |                  | 625.7                         | 485.3          | 140.4                      | 28.9%                   |
| Federal Receipts  | 3.7              | 13.5             | --               |              |              |              |              |              |              |                                |              |                  | 17.2                          | 14.8           | 2.4                        | 16.2%                   |
| Total Receipts  | 4,942.1          | 2,150.0          | 4,922.9          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0                            | 0.0          | 12,015.0         | 12,219.9                      | (204.9)        | -1.7%                      |                         |
| <b>DISBURSEMENTS:</b>   |                  |                  |                  |              |              |              |              |              |              |                                |              |                  |                               |                |                            |                         |
| Local Assistance Grants   | 1,150.5          | 4,218.4          | 4,300.1          |              |              |              |              |              |              |                                |              |                  | 9,669.0                       | 10,193.4       | (524.4)                    | -5.1%                   |
| Departmental Operations:  |                  |                  |                  |              |              |              |              |              |              |                                |              |                  |                               |                |                            |                         |
| Personal Service (*)  | 596.1            | 630.5            | 549.4            |              |              |              |              |              |              |                                |              |                  | 1,776.0                       | 1,724.7        | 51.3                       | 3.0%                    |
| Non-Personal Service (*)  | 52.0             | 145.6            | 142.2            |              |              |              |              |              |              |                                |              |                  | 339.8                         | 414.3          | (74.5)                     | -18.0%                  |
| General State Charges   | 413.4            | 442.1            | 89.9             |              |              |              |              |              |              |                                |              |                  | 945.4                         | 844.6          | 100.8                      | 11.9%                   |
| Total Disbursements   | 2,212.0          | 5,436.6          | 5,081.6          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0                            | 0.0          | 12,730.2         | 13,177.0                      | (446.8)        | -3.4%                      |                         |
| Excess (Deficiency) of Receipts<br>over Disbursements   | 2,730.1          | (3,286.6)        | (158.7)          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0                            | 0.0          | (715.2)          | (957.1)                       | 241.9          | 25.3%                      |                         |
| <b>OTHER FINANCING SOURCES (USES):</b>  |                  |                  |                  |              |              |              |              |              |              |                                |              |                  |                               |                |                            |                         |
| Transfers from Other Funds  | 1,530.2          | 409.0            | 1,449.6          |              |              |              |              |              |              |                                |              |                  | 3,388.8                       | 3,485.3        | (96.5)                     | -2.8%                   |
| Transfers to State Capital Projects   | 116.2            | (113.0)          | (102.0)          |              |              |              |              |              |              |                                |              |                  | (98.8)                        | (81.0)         | 17.8                       | 22.0%                   |
| Transfers to Federal Capital Projects   | --               | --               | --               |              |              |              |              |              |              |                                |              |                  | --                            | --             | --                         | --                      |
| Transfers to General Debt Service   | (506.1)          | 0.1              | 55.4             |              |              |              |              |              |              |                                |              |                  | (450.6)                       | (415.2)        | 35.4                       | 8.5%                    |
| Transfers to All Other State Funds  | (19.7)           | (629.1)          | (327.4)          |              |              |              |              |              |              |                                |              |                  | (976.2)                       | (915.9)        | 60.3                       | 6.6%                    |
| Total Other Financing<br>Sources (Uses)   | 1,120.6          | (333.0)          | 1,075.6          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0                            | 0.0          | 1,863.2          | 2,073.2                       | (210.0)        | -10.1%                     |                         |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources over<br>Disbursements and Other Financing Uses | 3,850.7          | (3,619.6)        | 916.9            | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0                            | 0.0          | 1,148.0          | 1,116.1                       | 31.9           | 2.9%                       |                         |
| <b>CLOSING CASH BALANCE</b>   | <u>\$5,637.4</u> | <u>\$2,017.8</u> | <u>\$2,934.7</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u>                   | <u>\$0.0</u> | <u>\$2,934.7</u> | <u>\$2,492.2</u>              | <u>\$442.5</u> | <u>17.8%</u>               |                         |

(\*)Beginning in April 2012, OT meals and Stipends have been reclassified from Personal Service to Non-Personal Service.  
For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

STATE OF NEW YORK  
GENERAL FUND  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2012-2013  
(amounts in millions)

EXHIBIT "F"  
TAX RECEIPTS

|   |                  |                  |                  |              |              |              |              |              |              |                 |              |              | 3 Months Ended June 30 |                   |
|---|------------------|------------------|------------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------------|--------------|--------------|------------------------|-------------------|
|   | 2012<br>APRIL    | MAY              | JUNE             | JULY         | AUGUST       | SEPTEMBER    | OCTOBER      | NOVEMBER     | DECEMBER     | 2013<br>JANUARY | FEBRUARY     | MARCH        | 2012                   | 2011              |
| <b>PERSONAL INCOME TAX</b>                |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                        |                   |
| Withholdings                              | \$2,373.6        | \$2,418.5        | \$2,162.2        |              |              |              |              |              |              |                 |              |              | \$6,954.3              | \$6,956.5         |
| Estimated payments                        | 3,848.6          | 81.2             | 1,676.3          |              |              |              |              |              |              |                 |              |              | 5,606.1                | 5,883.8           |
| Final returns                             | 1,452.9          | 49.9             | 34.1             |              |              |              |              |              |              |                 |              |              | 1,536.9                | 1,527.8           |
| State/City Offsets                        | (56.0)           | (3.8)            | (2.0)            |              |              |              |              |              |              |                 |              |              | (61.8)                 | (56.1)            |
| Other (Assessments/LLC)                   | 130.8            | 115.2            | 80.3             |              |              |              |              |              |              |                 |              |              | 326.3                  | 314.8             |
| Gross Receipts                            | <u>7,749.9</u>   | <u>2,661.0</u>   | <u>3,950.9</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>14,361.8</u>        | <u>14,626.8</u>   |
| Transfers to School Tax Relief Fund       | --               | --               | (399.8)          |              |              |              |              |              |              |                 |              |              | (399.8)                | (391.6)           |
| Transfers to Revenue Bond Tax Fund        | (1,283.6)        | (412.0)          | (962.1)          |              |              |              |              |              |              |                 |              |              | (2,657.7)              | (2,742.4)         |
| Refunds issued                            | <u>(2,615.5)</u> | <u>(1,013.0)</u> | <u>(102.5)</u>   |              |              |              |              |              |              |                 |              |              | <u>(3,731.0)</u>       | <u>(3,657.3)</u>  |
| Total Personal Income Tax                 | <u>3,850.8</u>   | <u>1,236.0</u>   | <u>2,486.5</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>7,573.3</u>         | <u>7,835.5</u>    |
| <b>CONSUMPTION/USE TAXES</b>              |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                        |                   |
| Sales and Use                             | 596.9            | 623.1            | 837.6            |              |              |              |              |              |              |                 |              |              | 2,057.6                | 2,074.0           |
| Auto Rental                               | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                |
| Cigarette/Tobacco Products                | 37.5             | 38.6             | 38.8             |              |              |              |              |              |              |                 |              |              | 114.9                  | 117.9             |
| Motor Fuel                                | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                |
| Alcoholic Beverage                        | 17.5             | 19.2             | 22.6             |              |              |              |              |              |              |                 |              |              | 59.3                   | 56.1              |
| Highway Use                               | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                |
| Metropolitan Commuter Trans. Taxicab Trip | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                |
| Total Consumption/Use Taxes and Fees      | <u>651.9</u>     | <u>680.9</u>     | <u>899.0</u>     | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>2,231.8</u>         | <u>2,248.0</u>    |
| <b>BUSINESS TAXES</b>                     |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                        |                   |
| Corporation Franchise                     | 171.1            | 33.3             | 415.3            |              |              |              |              |              |              |                 |              |              | 619.7                  | 701.9             |
| Corporation and Utilities                 | 24.8             | 1.4              | 98.7             |              |              |              |              |              |              |                 |              |              | 124.9                  | 86.5              |
| Insurance                                 | 13.3             | 7.7              | 249.7            |              |              |              |              |              |              |                 |              |              | 270.7                  | 284.5             |
| Bank                                      | (4.1)            | (0.2)            | 280.6            |              |              |              |              |              |              |                 |              |              | 276.3                  | 289.7             |
| Petroleum Business                        | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                |
| Total Business Taxes                      | <u>205.1</u>     | <u>42.2</u>      | <u>1,044.3</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>1,291.6</u>         | <u>1,362.6</u>    |
| <b>OTHER TAXES</b>                        |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                        |                   |
| Real Property Gains                       | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                |
| Estate and Gift                           | 112.7            | 82.8             | 75.3             |              |              |              |              |              |              |                 |              |              | 270.8                  | 269.7             |
| Pari-Mutuel                               | 1.0              | 1.4              | 2.1              |              |              |              |              |              |              |                 |              |              | 4.5                    | 3.9               |
| Real Estate Transfer                      | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                |
| Racing and Exhibitions                    | 0.1              | --               | --               |              |              |              |              |              |              |                 |              |              | 0.1                    | 0.1               |
| Metropolitan Commuter Trans. Mobility     | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                     | --                |
| Total Other Taxes                         | <u>113.8</u>     | <u>84.2</u>      | <u>77.4</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>275.4</u>           | <u>273.7</u>      |
| <b>TOTAL TAX RECEIPTS</b>                 | <u>\$4,821.6</u> | <u>\$2,043.3</u> | <u>\$4,507.2</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u>    | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$11,372.1</u>      | <u>\$11,719.8</u> |



**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "G"  
COMBINED**

|   |                  |                  |                  |              |              |              |              |              |              |                 |              |              | <b>3 Months Ended June 30</b> |                  |                            |                         |
|---|------------------|------------------|------------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------------|--------------|--------------|-------------------------------|------------------|----------------------------|-------------------------|
|   | 2012<br>APRIL    | MAY              | JUNE             | JULY         | AUGUST       | SEPTEMBER    | OCTOBER      | NOVEMBER     | DECEMBER     | 2013<br>JANUARY | FEBRUARY     | MARCH        | 2012                          | 2011             | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>OPENING CASH BALANCE</b>   | \$1,595.5        | \$1,992.6        | \$2,211.4        |              |              |              |              |              |              |                 |              |              | \$1,595.5                     | \$2,149.3        | (\$553.8)                  | -25.8%                  |
| <b>RECEIPTS:</b>  |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Personal Income Tax   | --               | --               | 399.8            |              |              |              |              |              |              |                 |              |              | 399.8                         | 391.6            | 8.2                        | 2.1%                    |
| Consumption/Use Taxes   | 195.5            | 166.9            | 188.6            |              |              |              |              |              |              |                 |              |              | 551.0                         | 564.4            | (13.4)                     | -2.4%                   |
| Business Taxes  | 58.0             | 56.6             | 214.1            |              |              |              |              |              |              |                 |              |              | 328.7                         | 356.4            | (27.7)                     | -7.8%                   |
| Other Taxes   | 139.6            | 116.0            | 67.1             |              |              |              |              |              |              |                 |              |              | 322.7                         | 337.2            | (14.5)                     | -4.3%                   |
| Miscellaneous Receipts  | 879.8            | 1,255.6          | 1,210.0          |              |              |              |              |              |              |                 |              |              | 3,345.4                       | 3,427.4          | (82.0)                     | -2.4%                   |
| Federal Receipts  | 1,969.3          | 3,033.8          | 3,046.6          |              |              |              |              |              |              |                 |              |              | 8,049.7                       | 10,663.2         | (2,613.5)                  | -24.5%                  |
| Total Receipts  | 3,242.2          | 4,628.9          | 5,126.2          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 12,997.3     | 15,740.2                      | (2,742.9)        | -17.4%                     |                         |
| <b>DISBURSEMENTS:</b>   |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Local Assistance Grants (**)  | 2,413.9          | 4,052.0          | 4,587.0          |              |              |              |              |              |              |                 |              |              | 11,052.9                      | 12,816.9         | (1,764.0)                  | -13.8%                  |
| Departmental Operations:  |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Personal Service (*)  | 425.2            | 487.6            | 458.0            |              |              |              |              |              |              |                 |              |              | 1,370.8                       | 1,434.5          | (63.7)                     | -4.4%                   |
| Non-Personal Service (**)(**)   | 34.2             | 258.7            | 357.8            |              |              |              |              |              |              |                 |              |              | 650.7                         | 996.3            | (345.6)                    | -34.7%                  |
| General State Charges   | 25.8             | 30.4             | 311.8            |              |              |              |              |              |              |                 |              |              | 368.0                         | 471.8            | (103.8)                    | -22.0%                  |
| Capital Projects  | 1.0              | --               | 0.5              |              |              |              |              |              |              |                 |              |              | 1.5                           | 1.4              | 0.1                        | 7.1%                    |
| Total Disbursements   | 2,900.1          | 4,828.7          | 5,715.1          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 13,443.9     | 15,720.9                      | (2,277.0)        | -14.5%                     |                         |
| Excess (Deficiency) of Receipts over Disbursements  | 342.1            | (199.8)          | (588.9)          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | (446.6)      | 19.3                          | (465.9)          | -2414.0%                   |                         |
| <b>OTHER FINANCING SOURCES (USES):</b>  |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Transfers from Other Funds  | 67.1             | 920.6            | 581.7            |              |              |              |              |              |              |                 |              |              | 1,569.4                       | 1,908.3          | (338.9)                    | -17.8%                  |
| Transfers to Other Funds  | (12.1)           | (502.0)          | (293.4)          |              |              |              |              |              |              |                 |              |              | (807.5)                       | (1,211.2)        | (403.7)                    | -33.3%                  |
| Total Other Financing Sources (Uses)  | 55.0             | 418.6            | 288.3            | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 761.9        | 697.1                         | 64.8             | 9.3%                       |                         |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 397.1            | 218.8            | (300.6)          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 315.3        | 716.4                         | (401.1)          | -56.0%                     |                         |
| <b>CLOSING CASH BALANCE</b>   | <u>\$1,992.6</u> | <u>\$2,211.4</u> | <u>\$1,910.8</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u>    | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$1,910.8</u>              | <u>\$2,865.7</u> | <u>(\$954.9)</u>           | <u>-33.3%</u>           |

(\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.  
(\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "G"  
STATE**

|   |                |                |                |            |            |            |            |            |            |                 |            |            | <b>3 Months Ended June 30</b>              |                |                |                            |                         |
|---|----------------|----------------|----------------|------------|------------|------------|------------|------------|------------|-----------------|------------|------------|--|----------------|----------------|----------------------------|-------------------------|
|   | 2012<br>APRIL  | MAY            | JUNE           | JULY       | AUGUST     | SEPTEMBER  | OCTOBER    | NOVEMBER   | DECEMBER   | 2013<br>JANUARY | FEBRUARY   | MARCH      | Intra-Fund<br>Transfer<br>Eliminations (*) | 2012           | 2011           | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>RECEIPTS:</b>  |                |                |                |            |            |            |            |            |            |                 |            |            |  |                |                |                            |                         |
| Personal Income Tax   | \$ --          | \$ --          | \$399.8        |            |            |            |            |            |            |                 |            |            | \$ --                                      | \$399.8        | \$391.6        | \$8.2                      | 2.1%                    |
| Consumption/Use Taxes   | 195.5          | 166.9          | 188.6          |            |            |            |            |            |            |                 |            |            | --   | 551.0          | 564.4          | (13.4)                     | -2.4%                   |
| Business Taxes  | 58.0           | 56.6           | 214.1          |            |            |            |            |            |            |                 |            |            | --   | 328.7          | 356.4          | (27.7)                     | -7.8%                   |
| Other Taxes   | 139.6          | 116.0          | 67.1           |            |            |            |            |            |            |                 |            |            | --   | 322.7          | 337.2          | (14.5)                     | -4.3%                   |
| Miscellaneous Receipts  | 868.0          | 1,218.9        | 1,200.6        |            |            |            |            |            |            |                 |            |            | --   | 3,287.5        | 3,371.6        | (84.1)                     | -2.5%                   |
| Federal Receipts  | 0.6            | 6.6            | (0.1)          |            |            |            |            |            |            |                 |            |            | --   | 7.1            | 0.5            | 6.6                        | 1320.0%                 |
| <b>Total Receipts</b>   | <b>1,261.7</b> | <b>1,565.0</b> | <b>2,070.1</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b>      | <b>0.0</b> | <b>0.0</b> | <b>--</b>                                  | <b>4,896.8</b> | <b>5,021.7</b> | <b>(124.9)</b>             | <b>-2.5%</b>            |
| <b>DISBURSEMENTS:</b>   |                |                |                |            |            |            |            |            |            |                 |            |            |  |                |                |                            |                         |
| Local Assistance Grants   | 468.4          | 1,190.1        | 1,593.4        |            |            |            |            |            |            |                 |            |            | --   | 3,251.9        | 3,470.0        | (218.1)                    | -6.3%                   |
| Departmental Operations:  |                |                |                |            |            |            |            |            |            |                 |            |            |  |                |                |                            |                         |
| Personal Service (**)   | 384.7          | 441.1          | 413.4          |            |            |            |            |            |            |                 |            |            | --   | 1,239.2        | 1,277.2        | (38.0)                     | -3.0%                   |
| Non-Personal Service (**)   | 26.0           | 198.6          | 291.4          |            |            |            |            |            |            |                 |            |            | --   | 516.0          | 828.3          | (312.3)                    | -37.7%                  |
| General State Charges   | 25.8           | 27.6           | 272.5          |            |            |            |            |            |            |                 |            |            | --   | 325.9          | 403.4          | (77.5)                     | -19.2%                  |
| Capital Projects  | 1.0            | --             | 0.5            |            |            |            |            |            |            |                 |            |            | --   | 1.5            | 1.4            | 0.1                        | 7.1%                    |
| <b>Total Disbursements</b>  | <b>905.9</b>   | <b>1,857.4</b> | <b>2,571.2</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b>      | <b>0.0</b> | <b>0.0</b> | <b>--</b>                                  | <b>5,334.5</b> | <b>5,980.3</b> | <b>(645.8)</b>             | <b>-10.8%</b>           |
| Excess (Deficiency) of Receipts<br>over Disbursements   | 355.8          | (292.4)        | (501.1)        | 0.0        | 0.0        | 0.0        | 0.0        | 0.0        | 0.0        | 0.0             | 0.0        | 0.0        | --   | (437.7)        | (958.6)        | 520.9                      | 54.3%                   |
| <b>OTHER FINANCING SOURCES (USES):</b>  |                |                |                |            |            |            |            |            |            |                 |            |            |  |                |                |                            |                         |
| Transfers from Other Funds  | 76.5           | 966.1          | 621.3          |            |            |            |            |            |            |                 |            |            | (94.5)                                     | 1,569.4        | 1,908.3        | (338.9)                    | -17.8%                  |
| Transfers to Other Funds  | --             | (37.6)         | (37.0)         |            |            |            |            |            |            |                 |            |            | --   | (74.6)         | (252.4)        | (177.8)                    | -70.4%                  |
| <b>Total Other Financing Sources (Uses)</b>   | <b>76.5</b>    | <b>928.5</b>   | <b>584.3</b>   | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b>      | <b>0.0</b> | <b>0.0</b> | <b>(94.5)</b>                              | <b>1,494.8</b> | <b>1,655.9</b> | <b>(161.1)</b>             | <b>-9.7%</b>            |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources over<br>Disbursements and Other Financing Uses | \$432.3        | \$636.1        | \$83.2         | \$0.0      | \$0.0      | \$0.0      | \$0.0      | \$0.0      | \$0.0      | \$0.0           | \$0.0      | \$0.0      | (\$94.5)                                   | \$1,057.1      | \$697.3        | \$359.8                    | 51.6%                   |

(\*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "G"  
FEDERAL**

|   |                |                |                |            |            |            |            |            |            |                 |            |            | <b>3 Months Ended June 30</b>              |                |                 |                            |                         |
|---|----------------|----------------|----------------|------------|------------|------------|------------|------------|------------|-----------------|------------|------------|--|----------------|-----------------|----------------------------|-------------------------|
|   | 2012<br>APRIL  | MAY            | JUNE           | JULY       | AUGUST     | SEPTEMBER  | OCTOBER    | NOVEMBER   | DECEMBER   | 2013<br>JANUARY | FEBRUARY   | MARCH      | Intra-Fund<br>Transfer<br>Eliminations (*) | 2012           | 2011            | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>RECEIPTS:</b>  |                |                |                |            |            |            |            |            |            |                 |            |            |  |                |                 |                            |                         |
| Personal Income Tax   | \$ --          | \$ --          | \$ --          |            |            |            |            |            |            |                 |            |            | \$ --                                      | \$ --          | \$ --           | --                         |                         |
| Consumption/Use Taxes   | --             | --             | --             |            |            |            |            |            |            |                 |            |            | --   | --             | --              | --                         |                         |
| Business Taxes  | --             | --             | --             |            |            |            |            |            |            |                 |            |            | --   | --             | --              | --                         |                         |
| Other Taxes   | --             | --             | --             |            |            |            |            |            |            |                 |            |            | --   | --             | --              | --                         |                         |
| Miscellaneous Receipts  | 11.8           | 36.7           | 9.4            |            |            |            |            |            |            |                 |            |            | --   | 57.9           | 55.8            | 2.1                        | 3.8%                    |
| Federal Receipts  | 1,968.7        | 3,027.2        | 3,046.7        |            |            |            |            |            |            |                 |            |            | --   | 8,042.6        | 10,662.7        | (2,620.1)                  | -24.6%                  |
| <b>Total Receipts</b>   | <b>1,980.5</b> | <b>3,063.9</b> | <b>3,056.1</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b>      | <b>0.0</b> | <b>0.0</b> | <b>--</b>                                  | <b>8,100.5</b> | <b>10,718.5</b> | <b>(2,618.0)</b>           | <b>-24.4%</b>           |
| <b>DISBURSEMENTS:</b>   |                |                |                |            |            |            |            |            |            |                 |            |            |  |                |                 |                            |                         |
| Local Assistance Grants (***)   | 1,945.5        | 2,861.9        | 2,993.6        |            |            |            |            |            |            |                 |            |            | --   | 7,801.0        | 9,346.9         | (1,545.9)                  | -16.5%                  |
| Departmental Operations:  |                |                |                |            |            |            |            |            |            |                 |            |            |  |                |                 |                            |                         |
| Personal Service (**)   | 40.5           | 46.5           | 44.6           |            |            |            |            |            |            |                 |            |            | --   | 131.6          | 157.3           | (25.7)                     | -16.3%                  |
| Non-Personal Service (**)(***)  | 8.2            | 60.1           | 66.4           |            |            |            |            |            |            |                 |            |            | --   | 134.7          | 168.0           | (33.3)                     | -19.8%                  |
| General State Charges   | --             | 2.8            | 39.3           |            |            |            |            |            |            |                 |            |            | --   | 42.1           | 68.4            | (26.3)                     | -38.5%                  |
| Capital Projects  | --             | --             | --             |            |            |            |            |            |            |                 |            |            | --   | --             | --              | --                         | --                      |
| <b>Total Disbursements</b>  | <b>1,994.2</b> | <b>2,971.3</b> | <b>3,143.9</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b>      | <b>0.0</b> | <b>0.0</b> | <b>--</b>                                  | <b>8,109.4</b> | <b>9,740.6</b>  | <b>(1,631.2)</b>           | <b>-16.7%</b>           |
| Excess (Deficiency) of Receipts<br>over Disbursements   | (13.7)         | 92.6           | (87.8)         | 0.0        | 0.0        | 0.0        | 0.0        | 0.0        | 0.0        | 0.0             | 0.0        | 0.0        | --   | (8.9)          | 977.9           | (986.8)                    | -100.9%                 |
| <b>OTHER FINANCING SOURCES (USES):</b>  |                |                |                |            |            |            |            |            |            |                 |            |            |  |                |                 |                            |                         |
| Transfers from Other Funds  | --             | --             | --             |            |            |            |            |            |            |                 |            |            | --   | --             | --              | --                         | --                      |
| Transfers to Other Funds  | (21.5)         | (509.9)        | (296.0)        |            |            |            |            |            |            |                 |            |            | 94.5                                       | (732.9)        | (958.8)         | (225.9)                    | -23.6%                  |
| <b>Total Other Financing Sources (Uses)</b>   | <b>(21.5)</b>  | <b>(509.9)</b> | <b>(296.0)</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b> | <b>0.0</b>      | <b>0.0</b> | <b>0.0</b> | <b>94.5</b>                                | <b>(732.9)</b> | <b>(958.8)</b>  | <b>(225.9)</b>             | <b>-23.6%</b>           |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources over<br>Disbursements and Other Financing Uses | (\$35.2)       | (\$417.3)      | (\$383.8)      | \$0.0      | \$0.0      | \$0.0      | \$0.0      | \$0.0      | \$0.0      | \$0.0           | \$0.0      | \$0.0      | \$94.5                                     | (\$741.8)      | \$19.1          | (\$760.9)                  | -3983.8%                |

(\*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(\*\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2012-2013  
(amounts in millions)

EXHIBIT "G"  
TAX RECEIPTS

|   |                |                |                |              |              |              |              |              |              |                 |              |              | <u>3 Months Ended June 30</u> |                  |
|---|----------------|----------------|----------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------------|--------------|--------------|-------------------------------|------------------|
|   | 2012<br>APRIL  | MAY            | JUNE           | JULY         | AUGUST       | SEPTEMBER    | OCTOBER      | NOVEMBER     | DECEMBER     | 2013<br>JANUARY | FEBRUARY     | MARCH        | 2012                          | 2011             |
| <b>PERSONAL INCOME TAX</b>                | \$ --          | \$ --          | \$399.8        |              |              |              |              |              |              |                 |              |              | \$399.8                       | \$391.6          |
| Total Personal Income Tax                 | --             | --             | 399.8          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 399.8                         | 391.6            |
| <b>CONSUMPTION/USE TAXES</b>              |                |                |                |              |              |              |              |              |              |                 |              |              |                               |                  |
| Sales and Use                             | 70.9           | 57.9           | 73.1           |              |              |              |              |              |              |                 |              |              | 201.9                         | 210.8            |
| Auto Rental                               | 0.6            | --             | 8.9            |              |              |              |              |              |              |                 |              |              | 9.5                           | 8.6              |
| Cigarette/Tobacco Products                | 96.5           | 99.3           | 97.0           |              |              |              |              |              |              |                 |              |              | 292.8                         | 299.1            |
| Motor Fuel                                | 6.9            | 9.1            | 9.3            |              |              |              |              |              |              |                 |              |              | 25.3                          | 24.5             |
| Alcoholic Beverage                        | --             | --             | --             |              |              |              |              |              |              |                 |              |              | --                            | --               |
| Highway Use                               | --             | --             | --             |              |              |              |              |              |              |                 |              |              | --                            | --               |
| Metropolitan Commuter Trans. Taxicab Trip | 20.6           | 0.6            | 0.3            |              |              |              |              |              |              |                 |              |              | 21.5                          | 21.4             |
| Total Consumption/Use Taxes and Fees      | 195.5          | 166.9          | 188.6          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 551.0                         | 564.4            |
| <b>BUSINESS TAXES</b>                     |                |                |                |              |              |              |              |              |              |                 |              |              |                               |                  |
| Corporation Franchise                     | 7.5            | 12.0           | 56.2           |              |              |              |              |              |              |                 |              |              | 75.7                          | 123.0            |
| Corporation and Utilities                 | 12.9           | 0.4            | 28.5           |              |              |              |              |              |              |                 |              |              | 41.8                          | 24.4             |
| Insurance                                 | --             | 1.4            | 31.4           |              |              |              |              |              |              |                 |              |              | 32.8                          | 35.1             |
| Bank                                      | (0.2)          | 0.6            | 54.5           |              |              |              |              |              |              |                 |              |              | 54.9                          | 56.6             |
| Petroleum Business                        | 37.8           | 42.2           | 43.5           |              |              |              |              |              |              |                 |              |              | 123.5                         | 117.3            |
| Total Business Taxes                      | 58.0           | 56.6           | 214.1          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 328.7                         | 356.4            |
| <b>OTHER TAXES</b>                        |                |                |                |              |              |              |              |              |              |                 |              |              |                               |                  |
| Real Property Gains                       | --             | --             | --             |              |              |              |              |              |              |                 |              |              | --                            | --               |
| Estate and Gift                           | --             | --             | --             |              |              |              |              |              |              |                 |              |              | --                            | --               |
| Pari-Mutuel                               | --             | --             | --             |              |              |              |              |              |              |                 |              |              | --                            | --               |
| Real Estate Transfer                      | --             | --             | --             |              |              |              |              |              |              |                 |              |              | --                            | --               |
| Racing and Exhibitions                    | --             | --             | --             |              |              |              |              |              |              |                 |              |              | --                            | --               |
| Metropolitan Commuter Trans. Mobility     | 139.6          | 116.0          | 67.1           |              |              |              |              |              |              |                 |              |              | 322.7                         | 337.2            |
| Total Other Taxes                         | 139.6          | 116.0          | 67.1           | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 322.7                         | 337.2            |
| <b>TOTAL TAX RECEIPTS</b>                 | <b>\$393.1</b> | <b>\$339.5</b> | <b>\$869.6</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b>    | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$1,602.2</b>              | <b>\$1,649.6</b> |

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "H"**

|   |               |           |           |       |        |           |         |          |          |                 |          |       | <b>3 Months Ended June 30</b> |           |                            |                         |
|---|---------------|-----------|-----------|-------|--------|-----------|---------|----------|----------|-----------------|----------|-------|-------------------------------|-----------|----------------------------|-------------------------|
|   | 2012<br>APRIL | MAY       | JUNE      | JULY  | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013<br>JANUARY | FEBRUARY | MARCH | 2012                          | 2011      | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>OPENING CASH BALANCE</b>   | \$427.5       | \$850.7   | \$1,081.3 |       |        |           |         |          |          |                 |          |       | \$427.5                       | \$454.0   | (\$26.5)                   | -5.8%                   |
| <b>RECEIPTS:</b>  |               |           |           |       |        |           |         |          |          |                 |          |       |                               |           |                            |                         |
| Personal Income Tax   | 1,283.6       | 412.0     | 962.1     |       |        |           |         |          |          |                 |          |       | 2,657.7                       | 2,742.4   | (84.7)                     | -3.1%                   |
| Consumption/Use Taxes   |               |           |           |       |        |           |         |          |          |                 |          |       |                               |           |                            |                         |
| Sales and Use   | 195.3         | 207.7     | 279.1     |       |        |           |         |          |          |                 |          |       | 682.1                         | 685.7     | (3.6)                      | -0.5%                   |
| Other Taxes   | 68.6          | 52.6      | 41.2      |       |        |           |         |          |          |                 |          |       | 162.4                         | 124.7     | 37.7                       | 30.2%                   |
| Miscellaneous Receipts  | 18.2          | 61.6      | 80.8      |       |        |           |         |          |          |                 |          |       | 160.6                         | 173.5     | (12.9)                     | -7.4%                   |
| Federal Receipts  | --            | --        | --        |       |        |           |         |          |          |                 |          |       | --                            | 3.2       | (3.2)                      | -100.0%                 |
| Total Receipts  | 1,565.7       | 733.9     | 1,363.2   | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 3,662.8                       | 3,729.5   | (66.7)                     | -1.8%                   |
| <b>DISBURSEMENTS:</b>   |               |           |           |       |        |           |         |          |          |                 |          |       |                               |           |                            |                         |
| Departmental Operations:  |               |           |           |       |        |           |         |          |          |                 |          |       |                               |           |                            |                         |
| Non-Personal Service  | 0.7           | 2.4       | 4.4       |       |        |           |         |          |          |                 |          |       | 7.5                           | 9.2       | (1.7)                      | -18.5%                  |
| Debt Service, including payments on financing agreements  | 175.9         | 386.2     | 420.0     |       |        |           |         |          |          |                 |          |       | 982.1                         | 1,016.8   | (34.7)                     | -3.4%                   |
| Total Disbursements   | 176.6         | 388.6     | 424.4     | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 989.6                         | 1,026.0   | (36.4)                     | -3.5%                   |
| Excess (Deficiency) of Receipts over Disbursements  | 1,389.1       | 345.3     | 938.8     | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 2,673.2                       | 2,703.5   | (30.3)                     | -1.1%                   |
| <b>OTHER FINANCING SOURCES (USES):</b>  |               |           |           |       |        |           |         |          |          |                 |          |       |                               |           |                            |                         |
| Transfers from Other Funds  | 615.2         | 560.2     | 303.2     |       |        |           |         |          |          |                 |          |       | 1,478.6                       | 1,737.0   | (258.4)                    | -14.9%                  |
| Transfers to Other Funds  | (1,581.1)     | (674.9)   | (1,683.6) |       |        |           |         |          |          |                 |          |       | (3,939.6)                     | (4,423.0) | (483.4)                    | -10.9%                  |
| Total Other Financing Sources (Uses)  | (965.9)       | (114.7)   | (1,380.4) | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | (2,461.0)                     | (2,686.0) | 225.0                      | 8.4%                    |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 423.2         | 230.6     | (441.6)   | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 212.2                         | 17.5      | 194.7                      | 1112.6%                 |
| <b>CLOSING CASH BALANCE</b>   | \$850.7       | \$1,081.3 | \$639.7   | \$0.0 | \$0.0  | \$0.0     | \$0.0   | \$0.0    | \$0.0    | \$0.0           | \$0.0    | \$0.0 | \$639.7                       | \$471.5   | \$168.2                    | 35.7%                   |

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS-COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "I"  
COMBINED**

|   |                  |                  |                  |              |              |              |              |              |              |                 |              |              | <b>3 Months Ended June 30</b> |                  |                            |                         |
|---|------------------|------------------|------------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------------|--------------|--------------|-------------------------------|------------------|----------------------------|-------------------------|
|   | 2012<br>APRIL    | MAY              | JUNE             | JULY         | AUGUST       | SEPTEMBER    | OCTOBER      | NOVEMBER     | DECEMBER     | 2013<br>JANUARY | FEBRUARY     | MARCH        | 2012                          | 2011             | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>OPENING CASH BALANCE (DEFICITS)</b>  | (\$449.4)        | (\$494.3)        | (\$460.4)        |              |              |              |              |              |              |                 |              |              | (\$449.4)                     | (\$167.1)        | (\$282.3)                  | -168.9%                 |
| <b>RECEIPTS:</b>  |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Consumption/Use Taxes   |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Auto Rental   | 1.1              | 0.2              | 14.8             |              |              |              |              |              |              |                 |              |              | 16.1                          | 14.1             | 2.0                        | 14.2%                   |
| Motor Fuel  | 28.2             | 33.3             | 33.9             |              |              |              |              |              |              |                 |              |              | 95.4                          | 92.0             | 3.4                        | 3.7%                    |
| Highway Use   | 13.4             | 11.9             | 10.3             |              |              |              |              |              |              |                 |              |              | 35.6                          | 32.8             | 2.8                        | 8.5%                    |
| Business Taxes  |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Petroleum Business  | 47.2             | 52.9             | 54.5             |              |              |              |              |              |              |                 |              |              | 154.6                         | 146.2            | 8.4                        | 5.7%                    |
| Transmission  | (0.2)            | 0.1              | 2.6              |              |              |              |              |              |              |                 |              |              | 2.5                           | 0.8              | 1.7                        | 212.5%                  |
| Other Taxes   | --               | --               | 11.9             |              |              |              |              |              |              |                 |              |              | 11.9                          | 11.9             | --                         | --                      |
| Miscellaneous Receipts  | 241.0            | 205.2            | 230.8            |              |              |              |              |              |              |                 |              |              | 677.0                         | 900.9            | (223.9)                    | -24.9%                  |
| Federal Receipts  | 30.5             | 165.7            | 177.1            |              |              |              |              |              |              |                 |              |              | 373.3                         | 487.9            | (114.6)                    | -23.5%                  |
| <b>Total Receipts</b>   | <b>361.2</b>     | <b>469.3</b>     | <b>535.9</b>     | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>      | <b>0.0</b>   | <b>0.0</b>   | <b>1,366.4</b>                | <b>1,686.6</b>   | <b>(320.2)</b>             | <b>-19.0%</b>           |
| <b>DISBURSEMENTS:</b>   |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Local Assistance Grants   | 7.4              | 40.1             | 117.1            |              |              |              |              |              |              |                 |              |              | 164.6                         | 686.2            | (521.6)                    | -76.0%                  |
| Departmental Operations:  |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Personal Service  | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                            | --               | --                         | --                      |
| Non-Personal Service  | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                            | --               | --                         | --                      |
| General State Charges   | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                            | --               | --                         | --                      |
| Capital Projects  | 189.0            | 413.5            | 454.7            |              |              |              |              |              |              |                 |              |              | 1,057.2                       | 1,140.4          | (83.2)                     | -7.3%                   |
| <b>Total Disbursements</b>  | <b>196.4</b>     | <b>453.6</b>     | <b>571.8</b>     | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>      | <b>0.0</b>   | <b>0.0</b>   | <b>1,221.8</b>                | <b>1,826.6</b>   | <b>(604.8)</b>             | <b>-33.1%</b>           |
| Excess (Deficiency) of Receipts<br>over Disbursements   | 164.8            | 15.7             | (35.9)           | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 144.6                         | (140.0)          | 284.6                      | 203.3%                  |
| <b>OTHER FINANCING SOURCES (USES):</b>  |                  |                  |                  |              |              |              |              |              |              |                 |              |              |                               |                  |                            |                         |
| Bond Proceeds (net)   | --               | --               | --               |              |              |              |              |              |              |                 |              |              | --                            | --               | --                         | --                      |
| Transfers from Other Funds  | (116.2)          | 113.0            | 118.5            |              |              |              |              |              |              |                 |              |              | 115.3                         | 179.1            | (63.8)                     | -35.6%                  |
| Transfers to Other Funds  | (93.5)           | (94.8)           | (101.3)          |              |              |              |              |              |              |                 |              |              | (289.6)                       | (274.9)          | 14.7                       | 5.3%                    |
| <b>Total Other Financing Sources (Uses)</b>   | <b>(209.7)</b>   | <b>18.2</b>      | <b>17.2</b>      | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>      | <b>0.0</b>   | <b>0.0</b>   | <b>(174.3)</b>                | <b>(95.8)</b>    | <b>(78.5)</b>              | <b>-81.9%</b>           |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources over<br>Disbursements and Other Financing Uses | (44.9)           | 33.9             | (18.7)           | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | (29.7)                        | (235.8)          | 206.1                      | 87.4%                   |
| <b>CLOSING CASH BALANCE (DEFICITS)</b>  | <b>(\$494.3)</b> | <b>(\$460.4)</b> | <b>(\$479.1)</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b>    | <b>\$0.0</b> | <b>\$0.0</b> | <b>(\$479.1)</b>              | <b>(\$402.9)</b> | <b>(\$76.2)</b>            | <b>-18.9%</b>           |

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "I"  
STATE**

|   |                 |               |                 |              |              |              |              |              |              |                 |              |              | 3 Months Ended June 30                     |                 |                  |                            |                         |        |
|---|-----------------|---------------|-----------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------------|--------------|--------------|--|-----------------|------------------|----------------------------|-------------------------|--------|
|   | 2012<br>APRIL   | MAY           | JUNE            | JULY         | AUGUST       | SEPTEMBER    | OCTOBER      | NOVEMBER     | DECEMBER     | 2013<br>JANUARY | FEBRUARY     | MARCH        | Intra-Fund<br>Transfer<br>Eliminations (*) | 2012            | 2011             | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |        |
| <b>RECEIPTS:</b>  |                 |               |                 |              |              |              |              |              |              |                 |              |              |  |                 |                  |                            |                         |        |
| Consumption/Use Taxes   |                 |               |                 |              |              |              |              |              |              |                 |              |              |  |                 |                  |                            |                         |        |
| Auto Rental   | \$1.1           | \$0.2         | \$14.8          |              |              |              |              |              |              |                 |              |              |  | \$ --           | \$16.1           | \$14.1                     | \$2.0                   | 14.2%  |
| Motor Fuel  | 28.2            | 33.3          | 33.9            |              |              |              |              |              |              |                 |              |              |  | --              | 95.4             | 92.0                       | 3.4                     | 3.7%   |
| Highway Use   | 13.4            | 11.9          | 10.3            |              |              |              |              |              |              |                 |              |              |  | --              | 35.6             | 32.8                       | 2.8                     | 8.5%   |
| Business Taxes  |                 |               |                 |              |              |              |              |              |              |                 |              |              |  |                 |                  |                            |                         |        |
| Petroleum Business  | 47.2            | 52.9          | 54.5            |              |              |              |              |              |              |                 |              |              |  | --              | 154.6            | 146.2                      | 8.4                     | 5.7%   |
| Transmission  | (0.2)           | 0.1           | 2.6             |              |              |              |              |              |              |                 |              |              |  | --              | 2.5              | 0.8                        | 1.7                     | 212.5% |
| Other Taxes   | --              | --            | 11.9            |              |              |              |              |              |              |                 |              |              |  | --              | 11.9             | --                         | --                      | --     |
| Miscellaneous Receipts  | 240.9           | 205.0         | 230.7           |              |              |              |              |              |              |                 |              |              |  | --              | 676.6            | 900.6                      | (224.0)                 | -24.9% |
| Federal Receipts  | --              | --            | --              |              |              |              |              |              |              |                 |              |              |  | --              | --               | --                         | --                      | --     |
| Total Receipts  | <u>330.6</u>    | <u>303.4</u>  | <u>358.7</u>    | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>--</u>                                  | <u>992.7</u>    | <u>1,198.4</u>   | <u>(205.7)</u>             | <u>-17.2%</u>           |        |
| <b>DISBURSEMENTS:</b>   |                 |               |                 |              |              |              |              |              |              |                 |              |              |  |                 |                  |                            |                         |        |
| Local Assistance Grants   | 7.4             | 40.0          | 85.1            |              |              |              |              |              |              |                 |              |              |  | --              | 132.5            | 536.4                      | (403.9)                 | -75.3% |
| Departmental Operations:  |                 |               |                 |              |              |              |              |              |              |                 |              |              |  |                 |                  |                            |                         |        |
| Personal Service  | --              | --            | --              |              |              |              |              |              |              |                 |              |              |  | --              | --               | --                         | --                      | --     |
| Non-Personal Service  | --              | --            | --              |              |              |              |              |              |              |                 |              |              |  | --              | --               | --                         | --                      | --     |
| General State Charges   | --              | --            | --              |              |              |              |              |              |              |                 |              |              |  | --              | --               | --                         | --                      | --     |
| Capital Projects  | 143.5           | 280.7         | 335.5           |              |              |              |              |              |              |                 |              |              |  | --              | 759.7            | 907.7                      | (148.0)                 | -16.3% |
| Total Disbursements   | <u>150.9</u>    | <u>320.7</u>  | <u>420.6</u>    | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>--</u>                                  | <u>892.2</u>    | <u>1,444.1</u>   | <u>(551.9)</u>             | <u>-38.2%</u>           |        |
| Excess (Deficiency) of Receipts<br>over Disbursements   | <u>179.7</u>    | <u>(17.3)</u> | <u>(61.9)</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>--</u>                                  | <u>100.5</u>    | <u>(245.7)</u>   | <u>346.2</u>               | <u>140.9%</u>           |        |
| <b>OTHER FINANCING SOURCES (USES):</b>  |                 |               |                 |              |              |              |              |              |              |                 |              |              |  |                 |                  |                            |                         |        |
| Bond Proceeds (net)   | --              | --            | --              |              |              |              |              |              |              |                 |              |              |  | --              | --               | --                         | --                      | --     |
| Transfers from Other Funds  | (116.2)         | 113.0         | 118.5           |              |              |              |              |              |              |                 |              |              |  | --              | 115.3            | 179.1                      | (63.8)                  | -35.6% |
| Transfers to Other Funds  | (93.5)          | (94.8)        | (101.3)         |              |              |              |              |              |              |                 |              |              |  | --              | (289.6)          | (274.9)                    | 14.7                    | 5.3%   |
| Total Other Financing Sources (Uses)  | <u>(209.7)</u>  | <u>18.2</u>   | <u>17.2</u>     | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>   | <u>0.0</u>      | <u>0.0</u>   | <u>0.0</u>   | <u>--</u>                                  | <u>(174.3)</u>  | <u>(95.8)</u>    | <u>(78.5)</u>              | <u>-81.9%</u>           |        |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources over<br>Disbursements and Other Financing Uses | <u>(\$30.0)</u> | <u>\$0.9</u>  | <u>(\$44.7)</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u>    | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$ --</u>                               | <u>(\$73.8)</u> | <u>(\$341.5)</u> | <u>\$267.7</u>             | <u>78.4%</u>            |        |

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "I"  
FEDERAL**

|   |               |        |        |       |        |           |         |          |          |                 |          |       | 3 Months Ended June 30                     |        |         |                            |                         |
|---|---------------|--------|--------|-------|--------|-----------|---------|----------|----------|-----------------|----------|-------|--|--------|---------|----------------------------|-------------------------|
|   | 2012<br>APRIL | MAY    | JUNE   | JULY  | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013<br>JANUARY | FEBRUARY | MARCH | Intra-Fund<br>Transfer<br>Eliminations (*) | 2012   | 2011    | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>RECEIPTS:</b>  |               |        |        |       |        |           |         |          |          |                 |          |       |  |        |         |                            |                         |
| Miscellaneous Receipts  | \$0.1         | \$0.2  | \$0.1  |       |        |           |         |          |          |                 |          |       | \$ --                                      | \$0.4  | \$0.3   | \$0.1                      | 33.3%                   |
| Federal Receipts  | 30.5          | 165.7  | 177.1  |       |        |           |         |          |          |                 |          |       | --   | 373.3  | 487.9   | (114.6)                    | -23.5%                  |
| Total Receipts  | 30.6          | 165.9  | 177.2  | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | --   | 373.7  | 488.2   | (114.5)                    | -23.5%                  |
| <b>DISBURSEMENTS:</b>   |               |        |        |       |        |           |         |          |          |                 |          |       |  |        |         |                            |                         |
| Local Assistance Grants   | --            | 0.1    | 32.0   |       |        |           |         |          |          |                 |          |       | --   | 32.1   | 149.8   | (117.7)                    | -78.6%                  |
| Departmental Operations:  |               |        |        |       |        |           |         |          |          |                 |          |       |  |        |         |                            |                         |
| Personal Service  | --            | --     | --     |       |        |           |         |          |          |                 |          |       | --   | --     | --      | --                         | --                      |
| Non-Personal Service  | --            | --     | --     |       |        |           |         |          |          |                 |          |       | --   | --     | --      | --                         | --                      |
| General State Charges   | --            | --     | --     |       |        |           |         |          |          |                 |          |       | --   | --     | --      | --                         | --                      |
| Capital Projects  | 45.5          | 132.8  | 119.2  |       |        |           |         |          |          |                 |          |       | --   | 297.5  | 232.7   | 64.8                       | 27.8%                   |
| Total Disbursements   | 45.5          | 132.9  | 151.2  | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | --   | 329.6  | 382.5   | (52.9)                     | -13.8%                  |
| Excess (Deficiency) of Receipts<br>over Disbursements   | (14.9)        | 33.0   | 26.0   | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | --   | 44.1   | 105.7   | (61.6)                     | -58.3%                  |
| <b>OTHER FINANCING SOURCES (USES):</b>  |               |        |        |       |        |           |         |          |          |                 |          |       |  |        |         |                            |                         |
| Transfers from Other Funds  | --            | --     | --     |       |        |           |         |          |          |                 |          |       | --   | --     | --      | --                         | --                      |
| Transfers to Other Funds  | --            | --     | --     |       |        |           |         |          |          |                 |          |       | --   | --     | --      | --                         | --                      |
| Total Other Financing Sources (Uses)  | --            | --     | --     | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | --   | --     | --      | --                         | --                      |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources over<br>Disbursements and Other Financing Uses | (\$14.9)      | \$33.0 | \$26.0 | \$0.0 | \$0.0  | \$0.0     | \$0.0   | \$0.0    | \$0.0    | \$0.0           | \$0.0    | \$0.0 | \$ --                                      | \$44.1 | \$105.7 | (\$61.6)                   | -58.3%                  |

(\*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.



**STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT J**

|   |               |               |                |              |              |              |              |              |              |                 |              |              | <b>3 Months Ended June 30</b> |                |
|---|---------------|---------------|----------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------------|--------------|--------------|-------------------------------|----------------|
|   | 2012<br>APRIL | MAY           | JUNE           | JULY         | AUGUST       | SEPTEMBER    | OCTOBER      | NOVEMBER     | DECEMBER     | 2013<br>JANUARY | FEBRUARY     | MARCH        | 2012                          | 2011           |
| <b>BEGINNING FUND EQUITY (DEFICITS)</b>   | \$97.1        | \$25.3        | \$89.6         |              |              |              |              |              |              |                 |              |              | \$97.1                        | \$20.9         |
| <b>RECEIPTS:</b>  |               |               |                |              |              |              |              |              |              |                 |              |              |                               |                |
| Miscellaneous Receipts  | 2.9           | 4.5           | 7.2            |              |              |              |              |              |              |                 |              |              | 14.6                          | 14.9           |
| Federal Receipts  | 280.0         | 329.7         | 338.6          |              |              |              |              |              |              |                 |              |              | 948.3                         | 1,028.1        |
| Unemployment Taxes  | 273.2         | 290.1         | 245.0          |              |              |              |              |              |              |                 |              |              | 808.3                         | 833.7          |
| <b>Total Receipts</b>   | <b>556.1</b>  | <b>624.3</b>  | <b>590.8</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>      | <b>0.0</b>   | <b>0.0</b>   | <b>1,771.2</b>                | <b>1,876.7</b> |
| <b>DISBURSEMENTS:</b>   |               |               |                |              |              |              |              |              |              |                 |              |              |                               |                |
| Departmental Operations:  |               |               |                |              |              |              |              |              |              |                 |              |              |                               |                |
| Personal Service  | 0.3           | 0.3           | 0.3            |              |              |              |              |              |              |                 |              |              | 0.9                           | 1.0            |
| Non-Personal Service  | 0.4           | 3.1           | 4.0            |              |              |              |              |              |              |                 |              |              | 7.5                           | 12.4           |
| General State Charges   | --            | --            | 0.2            |              |              |              |              |              |              |                 |              |              | 0.2                           | 0.1            |
| Unemployment Benefits   | 627.2         | 556.6         | 573.5          |              |              |              |              |              |              |                 |              |              | 1,757.3                       | 1,860.7        |
| <b>Total Disbursements</b>  | <b>627.9</b>  | <b>560.0</b>  | <b>578.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>      | <b>0.0</b>   | <b>0.0</b>   | <b>1,765.9</b>                | <b>1,874.2</b> |
| Excess (Deficiency) of Receipts<br>over Disbursements   | (71.8)        | 64.3          | 12.8           | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 5.3                           | 2.5            |
| <b>OTHER FINANCING SOURCES (USES):</b>  |               |               |                |              |              |              |              |              |              |                 |              |              |                               |                |
| Transfers from Other Funds  | --            | --            | --             |              |              |              |              |              |              |                 |              |              | --                            | --             |
| Transfers to Other Funds  | --            | --            | --             |              |              |              |              |              |              |                 |              |              | --                            | --             |
| <b>Total Other Financing Sources (Uses)</b>   | <b>--</b>     | <b>--</b>     | <b>--</b>      | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>   | <b>0.0</b>      | <b>0.0</b>   | <b>0.0</b>   | <b>--</b>                     | <b>--</b>      |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources over<br>Disbursements and Other Financing Uses | (71.8)        | 64.3          | 12.8           | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 5.3                           | 2.5            |
| <b>CLOSING CASH BALANCE</b>   | <b>\$25.3</b> | <b>\$89.6</b> | <b>\$102.4</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$0.0</b>    | <b>\$0.0</b> | <b>\$0.0</b> | <b>\$102.4</b>                | <b>\$23.4</b>  |

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT K**

|   |               |        |          |       |        |           |         |          |          |                 |          |       | <b>3 Months Ended June 30</b> |        |
|---|---------------|--------|----------|-------|--------|-----------|---------|----------|----------|-----------------|----------|-------|-------------------------------|--------|
|   | 2012<br>APRIL | MAY    | JUNE     | JULY  | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013<br>JANUARY | FEBRUARY | MARCH | 2012                          | 2011   |
| <b>BEGINNING FUND EQUITY (DEFICITS)</b>   | \$41.9        | \$33.1 | \$4.7    |       |        |           |         |          |          |                 |          |       | \$41.9                        | \$29.0 |
| <b>RECEIPTS:</b>  |               |        |          |       |        |           |         |          |          |                 |          |       |                               |        |
| Miscellaneous Receipts  | 2.5           | 4.5    | 18.7     |       |        |           |         |          |          |                 |          |       | 25.7                          | 93.4   |
| Total Receipts  | 2.5           | 4.5    | 18.7     | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 25.7                          | 93.4   |
| <b>DISBURSEMENTS:</b>   |               |        |          |       |        |           |         |          |          |                 |          |       |                               |        |
| Departmental Operations:  |               |        |          |       |        |           |         |          |          |                 |          |       |                               |        |
| Personal Service  | 8.6           | 8.8    | 7.9      |       |        |           |         |          |          |                 |          |       | 25.3                          | 26.9   |
| Non-Personal Service  | 2.7           | 34.6   | 17.7     |       |        |           |         |          |          |                 |          |       | 55.0                          | 72.1   |
| General State Charges   | --            | 0.2    | 12.2     |       |        |           |         |          |          |                 |          |       | 12.4                          | 13.3   |
| Total Disbursements   | 11.3          | 43.6   | 37.8     | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 92.7                          | 112.3  |
| Excess (Deficiency) of Receipts<br>over Disbursements   | (8.8)         | (39.1) | (19.1)   | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | (67.0)                        | (18.9) |
| <b>OTHER FINANCING SOURCES (USES):</b>  |               |        |          |       |        |           |         |          |          |                 |          |       |                               |        |
| Transfers from Other Funds  | --            | 10.7   | 1.0      |       |        |           |         |          |          |                 |          |       | 11.7                          | 24.0   |
| Transfers to Other Funds  | --            | --     | (1.5)    |       |        |           |         |          |          |                 |          |       | (1.5)                         | (1.9)  |
| Total Other Financing Sources (Uses)  | --            | 10.7   | (0.5)    | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | 10.2                          | 22.1   |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources over<br>Disbursements and Other Financing Uses | (8.8)         | (28.4) | (19.6)   | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0             | 0.0      | 0.0   | (56.8)                        | 3.2    |
| <b>ENDING FUND EQUITY(DEFICITS)</b>   | \$33.1        | \$4.7  | (\$14.9) | \$0.0 | \$0.0  | \$0.0     | \$0.0   | \$0.0    | \$0.0    | \$0.0           | \$0.0    | \$0.0 | (\$14.9)                      | \$32.2 |

**STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT L**

|   |               |               |               |              |              |              |              |              |              |                 |              |              | <b>3 Months Ended June 30</b> |              |
|---|---------------|---------------|---------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------------|--------------|--------------|-------------------------------|--------------|
|   | 2012<br>APRIL | MAY           | JUNE          | JULY         | AUGUST       | SEPTEMBER    | OCTOBER      | NOVEMBER     | DECEMBER     | 2013<br>JANUARY | FEBRUARY     | MARCH        | 2012                          | 2011         |
| <b>OPENING CASH BALANCE</b>   | \$10.2        | \$10.5        | \$10.5        |              |              |              |              |              |              |                 |              |              | \$10.2                        | \$9.3        |
| <b>RECEIPTS:</b>  |               |               |               |              |              |              |              |              |              |                 |              |              |                               |              |
| Miscellaneous Receipts  | 0.3           | 0.1           | 0.1           |              |              |              |              |              |              |                 |              |              | 0.5                           | 0.3          |
| Total Receipts  | 0.3           | 0.1           | 0.1           | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 0.5                           | 0.3          |
| <b>DISBURSEMENTS:</b>   |               |               |               |              |              |              |              |              |              |                 |              |              |                               |              |
| Departmental Operations:  |               |               |               |              |              |              |              |              |              |                 |              |              |                               |              |
| Personal Service  | --            | 0.1           | --            |              |              |              |              |              |              |                 |              |              | 0.1                           | 0.1          |
| Non-Personal Service  | --            | --            | --            |              |              |              |              |              |              |                 |              |              | --                            | --           |
| General State Charges   | --            | --            | --            |              |              |              |              |              |              |                 |              |              | --                            | --           |
| Total Disbursements   | --            | 0.1           | --            | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 0.1                           | 0.1          |
| Excess (Deficiency) of Receipts<br>over Disbursements   | 0.3           | --            | 0.1           | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 0.4                           | 0.2          |
| <b>OTHER FINANCING SOURCES (USES):</b>  |               |               |               |              |              |              |              |              |              |                 |              |              |                               |              |
| Transfers from Other Funds  | --            | --            | --            |              |              |              |              |              |              |                 |              |              | --                            | --           |
| Transfers to Other Funds  | --            | --            | --            |              |              |              |              |              |              |                 |              |              | --                            | --           |
| Total Other Financing Sources (Uses)  | --            | --            | --            | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | --                            | --           |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources Over<br>Disbursements and Other Financing Uses | 0.3           | --            | 0.1           | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0          | 0.0             | 0.0          | 0.0          | 0.4                           | 0.2          |
| <b>CLOSING CASH BALANCE</b>   | <u>\$10.5</u> | <u>\$10.5</u> | <u>\$10.6</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u>    | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$10.6</u>                 | <u>\$9.5</u> |

**STATE OF NEW YORK  
PENSION TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT M**

|   | 2012    |         |         |       |        |           |         |          |          |         |          |       | 3 Months Ended June 30 |         |
|---|---------|---------|---------|-------|--------|-----------|---------|----------|----------|---------|----------|-------|------------------------|---------|
|   | APRIL   | MAY     | JUNE    | JULY  | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2012                   | 2011    |
| <b>OPENING CASH BALANCE</b>   | \$0.9   | (\$0.3) | (\$1.2) |       |        |           |         |          |          |         |          |       | \$0.9                  | \$1.4   |
| <b>RECEIPTS:</b>  |         |         |         |       |        |           |         |          |          |         |          |       |                        |         |
| Miscellaneous Receipts  | 3.6     | 4.1     | 4.7     |       |        |           |         |          |          |         |          |       | 12.4                   | 21.6    |
| Total Receipts  | 3.6     | 4.1     | 4.7     | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0     | 0.0      | 0.0   | 12.4                   | 21.6    |
| <b>DISBURSEMENTS:</b>   |         |         |         |       |        |           |         |          |          |         |          |       |                        |         |
| Departmental Operations:  |         |         |         |       |        |           |         |          |          |         |          |       |                        |         |
| Personal Service  | 4.4     | 4.0     | 4.5     |       |        |           |         |          |          |         |          |       | 12.9                   | 12.6    |
| Non-Personal Service  | 0.4     | 1.0     | 1.8     |       |        |           |         |          |          |         |          |       | 3.2                    | 3.7     |
| General State Charges   | --      | --      | 6.2     |       |        |           |         |          |          |         |          |       | 6.2                    | 6.8     |
| Total Disbursements   | 4.8     | 5.0     | 12.5    | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0     | 0.0      | 0.0   | 22.3                   | 23.1    |
| Excess (Deficiency) of Receipts<br>over Disbursements   | (1.2)   | (0.9)   | (7.8)   | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0     | 0.0      | 0.0   | (9.9)                  | (1.5)   |
| <b>OTHER FINANCING SOURCES (USES):</b>  |         |         |         |       |        |           |         |          |          |         |          |       |                        |         |
| Transfers from Other Funds  | --      | --      | --      |       |        |           |         |          |          |         |          |       | --                     | --      |
| Transfers to Other Funds  | --      | --      | --      |       |        |           |         |          |          |         |          |       | --                     | --      |
| Total Other Financing Sources (Uses)  | --      | --      | --      | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0     | 0.0      | 0.0   | --                     | --      |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources Over<br>Disbursements and Other Financing Uses | (1.2)   | (0.9)   | (7.8)   | 0.0   | 0.0    | 0.0       | 0.0     | 0.0      | 0.0      | 0.0     | 0.0      | 0.0   | (9.9)                  | (1.5)   |
| <b>CLOSING CASH BALANCE</b>   | (\$0.3) | (\$1.2) | (\$9.0) | \$0.0 | \$0.0  | \$0.0     | \$0.0   | \$0.0    | \$0.0    | \$0.0   | \$0.0    | \$0.0 | (\$9.0)                | (\$0.1) |

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF JUNE 2012  
(amounts in millions)

SCHEDULE 1

|   | BALANCE<br>6/1/12 | RECEIPTS         | DISBURSEMENTS    | OTHER FINANCING<br>SOURCES (USES) | BALANCE<br>6/30/12 |
|---|-------------------|------------------|------------------|-----------------------------------|--------------------|
| <b>GENERAL FUND</b>   |                   |                  |                  |                                   |                    |
| 10000-10049-Local Assistance Account  | \$ --             | \$0.008          | \$4,298.136      | \$4,298.128                       | \$ --              |
| 10050-10099-State Operations Account  | 1,915.971         | 4,611.844        | 470.393          | (3,222.614)                       | 2,834.808          |
| 10100-10149-Tax Stabilization Reserve   | --                | --               | --               | --                                | --                 |
| 10150-10199-Contingency Reserve   | --                | --               | --               | --                                | --                 |
| 10200-10249-Universal Pre-K Reserve   | --                | --               | --               | --                                | --                 |
| 10250-10299-Community Projects  | 101.875           | --               | 1.958            | --                                | 99.917             |
| 10300-10349-Rainy Day Reserve Fund  | --                | --               | --               | --                                | --                 |
| 10400-10449-Refund Reserve Account  | --                | --               | --               | --                                | --                 |
| 10500-10549-Fringe Benefits Escrow  | --                | 311.120          | 311.120          | --                                | --                 |
| 10550-10599-Tobacco Revenue Guarantee   | --                | --               | --               | --                                | --                 |
| <b>TOTAL GENERAL FUND</b>   | <b>2,017.846</b>  | <b>4,922.972</b> | <b>5,081.607</b> | <b>1,075.514</b>                  | <b>2,934.725</b>   |
| <b>SPECIAL REVENUE FUNDS-STATE</b>  |                   |                  |                  |                                   |                    |
| 20000-20099-Mental Health Gifts and Donations                                 | 2.171             | 0.008            | 0.002            | --                                | 2.177              |
| 20100-20299-Combined Expendable Trust   | 59.682            | 0.677            | 0.732            | --                                | 59.627             |
| 20300-20349-New York Interest on Lawyer Account                               | 8.738             | 0.595            | 0.072            | --                                | 9.261              |
| 20350-20399-NYS Archives Partnership Trust                                    | 0.080             | --               | 0.056            | 0.300                             | 0.324              |
| 20400-20449-Child Performer's Protection                                      | 0.050             | 0.009            | 0.043            | --                                | 0.016              |
| 20450-20499-Tuition Reimbursement   | 5.121             | 0.632            | 0.335            | --                                | 5.418              |
| 20500-20549-New York State Local Government Records<br>Management Improvement | 2.842             | 0.685            | 0.633            | (0.299)                           | 2.595              |
| 20550-20599-School Tax Relief   | --                | 399.838          | 399.838          | --                                | --                 |
| 20600-20649-Charter Schools Stimulus  | 4.253             | (0.001)          | --               | --                                | 4.252              |
| 20650-20699-Not-For-Profit Short Term Revolving Loan                          | --                | --               | --               | --                                | --                 |
| 20700-20749-Hudson River Valley Greenway                                      | --                | --               | --               | --                                | --                 |
| 20750-20799-Rehabilitative Alcohol & Substance Abuse Treatment                | --                | 0.002            | --               | --                                | 0.002              |
| 20800-20849-HCRA Resources  | 234.068           | 481.781          | 310.083          | (15.909)                          | 389.857            |
| 20850-20899-Dedicated Mass Transportation Trust                               | 99.801            | 68.762           | 48.635           | --                                | 119.928            |
| 20900-20949-State Lottery   | 611.084           | 245.666          | 326.082          | --                                | 530.668            |
| 20950-20999-Combined Student Loan   | 20.238            | 3.751            | 0.339            | --                                | 23.650             |
| 23650-23699-MTA Financial Assistance Fund                                     | 97.596            | 127.191          | 124.861          | 51.667                            | 151.593            |
| 21000-21049-Sewage Treatment Program Mgmt. & Administration                   | 0.712             | --               | 0.187            | --                                | 0.525              |
| 21050-21149-EnCon Special Revenue   | (25.700)          | 6.314            | 11.622           | --                                | (31.008)           |
| 21150-21199-Conservation  | 89.143            | 2.686            | 7.225            | --                                | 84.604             |
| 21200-21249-Environmental Protection and Oil Spill Compensation               | 18.903            | 2.692            | 3.820            | --                                | 17.775             |
| 21250-21299-Training and Education Program on OSHA                            | 8.692             | --               | 3.199            | --                                | 5.493              |
| 21300-21349-Lawyers' Fund for Client Protection                               | 5.331             | 1.115            | 0.054            | --                                | 6.392              |
| 21350-21399-Equipment Loan for the Disabled                                   | 0.487             | 0.010            | 0.008            | --                                | 0.489              |
| 21400-21449-Mass Transportation Operating Assistance                          | 0.458             | 259.260          | 118.088          | 9.994                             | 151.624            |
| 21450-21499-Clean Air   | (15.311)          | 2.878            | 3.727            | --                                | (16.160)           |
| 21500-21549-New York State Infrastructure Trust                               | 0.067             | --               | --               | --                                | 0.067              |
| 21550-21559-Legislative Computer Services                                     | 10.200            | 0.127            | 0.072            | --                                | 10.255             |
| 21600-21649-Biodiversity Stewardship and Research                             | --                | --               | --               | --                                | --                 |
| 21650-21699-Combined Non-Expendable Trust                                     | 3.482             | --               | --               | --                                | 3.482              |
| 21700-21749-Winter Sports Education Trust                                     | 1.181             | --               | --               | --                                | 1.181              |
| 21750-21799-Musical Instrument Revolving                                      | 0.001             | --               | --               | --                                | 0.001              |
| 21800-21849-Rural Housing Assistance  | --                | --               | --               | --                                | --                 |
| 21850-21899-Arts Capital Revolving  | 0.794             | --               | --               | --                                | 0.794              |
| 21900-22499-Miscellaneous State Special Revenue                               | 770.959           | 72.491           | 918.368          | 533.027                           | 458.109            |

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF JUNE 2012  
(amounts in millions)

SCHEDULE 1  
(continued)

|  | BALANCE<br>6/1/12 | RECEIPTS         | DISBURSEMENTS    | OTHER FINANCING<br>SOURCES (USES) | BALANCE<br>6/30/12 |
|--|-------------------|------------------|------------------|-----------------------------------|--------------------|
| <b><u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u></b>  |                   |                  |                  |                                   |                    |
| 22500-22549-Court Facilities Incentive Aid   | 39.682            | (0.001)          | 5.580            | (0.187)                           | 33.914             |
| 22550-22599-Employment Training  | 33.795            | (33.754)         | --               | --                                | 0.041              |
| 22600-22649-Homeless Housing and Assistance  | --                | --               | --               | --                                | --                 |
| 22650-22699-State University Income  | 464.500           | 385.932          | 248.602          | 5.724                             | 607.554            |
| 22700-22749-Chemical Dependence Service  | 5.463             | 0.391            | 0.003            | --                                | 5.851              |
| 22750-22799-Lake George Park Trust   | 1.113             | --               | 0.187            | --                                | 0.926              |
| 22800-22849-State Police Motor Vehicle Law Enforcement and<br>Motor Vehicle Theft and Insurance Fraud Prevention | (20.790)          | 12.872           | 0.672            | --                                | (8.590)            |
| 22850-22899-New York Great Lakes Protection  | 0.505             | (0.001)          | 0.107            | --                                | 0.397              |
| 22900-22949-Federal Revenue Maximization   | 0.023             | --               | --               | --                                | 0.023              |
| 22950-22999-Housing Development  | 10.121            | 0.002            | 0.274            | --                                | 9.849              |
| 23000-23049-NYS/DOT Highway Safety Program   | (3.164)           | --               | 0.231            | --                                | (3.395)            |
| 23050-23099-Vocational Rehabilitation  | 0.117             | 0.006            | --               | --                                | 0.123              |
| 23100-23149-Drinking Water Program Management and<br>Administration  | (8.059)           | --               | 0.271            | --                                | (8.330)            |
| 23150-23199-NYC County Clerks' Operations Offset   | (17.957)          | --               | 2.332            | --                                | (20.289)           |
| 23200-23249-Judiciary Data Processing Offset   | 2.718             | 3.471            | 1.610            | --                                | 4.579              |
| 23250-23449-IFR / CUTRA  | 134.179           | 13.609           | 32.857           | --                                | 114.931            |
| 23450-23499-Supplemental Jury Facilities   | --                | --               | --               | --                                | --                 |
| 23500-23549-USOC Lake Placid Training  | 0.032             | 0.002            | --               | --                                | 0.034              |
| 23550-23599-Indigent Legal Services  | 36.643            | 9.401            | 0.161            | --                                | 45.883             |
| 23600-23649-Unemployment Insurance Interest and Penalty  | 7.171             | 1.053            | 0.378            | --                                | 7.846              |
| <b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>   | <b>2,701.215</b>  | <b>2,070.152</b> | <b>2,571.346</b> | <b>584.317</b>                    | <b>2,784.338</b>   |
| <b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>  |                   |                  |                  |                                   |                    |
| 25000-25099-Federal USDA / Food and Consumer Services  | (214.806)         | 91.833           | 153.804          | --                                | (276.777)          |
| 25100-25199-Federal Health and Human Services  | 32.064            | 2,739.320        | 2,567.458        | (296.053)                         | (92.127)           |
| 25200-25249-Federal Education  | (417.036)         | 130.685          | 291.468          | --                                | (577.819)          |
| 25250-25299-Federal DHHS Block Grant   | --                | --               | --               | --                                | --                 |
| 25300-25899-Federal Miscellaneous Operating Grants   | 34.702            | 60.868           | 68.971           | --                                | 26.599             |
| 25900-25949-Unemployment Insurance Administration  | 75.358            | 19.478           | 46.568           | --                                | 48.268             |
| 25950-25999-Unemployment Insurance Occupational Training   | 0.504             | 0.016            | 0.233            | --                                | 0.287              |
| 26000-26049-Federal Employment and Training Grants   | (0.587)           | 13.860           | 15.266           | --                                | (1.993)            |
| <b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>   | <b>(489.801)</b>  | <b>3,056.060</b> | <b>3,143.768</b> | <b>(296.053)</b>                  | <b>(873.562)</b>   |
| <b>TOTAL SPECIAL REVENUE FUNDS</b>   | <b>2,211.414</b>  | <b>5,126.212</b> | <b>5,715.114</b> | <b>288.264</b>                    | <b>1,910.776</b>   |
| <b><u>DEBT SERVICE FUNDS</u></b>   |                   |                  |                  |                                   |                    |
| 40000-40049-Debt Reduction Reserve   | --                | --               | --               | --                                | --                 |
| 40050-40099-State University Educational Facilities  | --                | --               | --               | --                                | --                 |
| 40100-40149-Mental Health Services   | 302.131           | 34.299           | --               | 36.434                            | 372.864            |
| 40150-40199-General Debt Service   | 302.146           | 962.137          | 355.920          | (908.219)                         | 0.144              |
| 40200-40249-Grade Crossing Elimination Debt Service  | --                | --               | --               | --                                | --                 |
| 40250-40299-State Housing Debt Service   | --                | 0.030            | --               | (0.030)                           | --                 |
| 40300-40349-Department of Health Income  | 24.708            | 7.619            | --               | (10.403)                          | 21.924             |
| 40350-40399-State University Dormitory Income  | 288.528           | 38.778           | 67.153           | (24.840)                          | 235.313            |
| 40400-40449-Clean Water/Clean Air  | 8.055             | 41.178           | --               | (43.661)                          | 5.572              |
| 40450-40499-Local Government Assistance Tax  | 155.697           | 279.183          | 1.343            | (429.676)                         | 3.861              |
| <b>TOTAL DEBT SERVICE FUNDS</b>  | <b>1,081.265</b>  | <b>1,363.224</b> | <b>424.416</b>   | <b>(1,380.395)</b>                | <b>639.678</b>     |

STATE OF NEW YORK  
 GOVERNMENTAL FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN FUND BALANCES  
 FOR THE MONTH OF JUNE 2012  
 (amounts in millions)

SCHEDULE 1  
 (continued)

|   | BALANCE<br>6/1/12  | RECEIPTS            | DISBURSEMENTS       | OTHER FINANCING<br>SOURCES (USES) | BALANCE<br>6/30/12 |
|---|--------------------|---------------------|---------------------|-----------------------------------|--------------------|
| <b><u>CAPITAL PROJECTS FUNDS</u></b>                                    |                    |                     |                     |                                   |                    |
| 30000-30049-State Capital Projects                                      | --                 | 108.869             | 221.779             | 112.910                           | --                 |
| 30050-30099-Dedicated Highway and Bridge Trust                          | 9.634              | 204.673             | 133.376             | (99.397)                          | (18.466)           |
| 30100-30299-SUNY Residence Halls Rehabilitation and Repair              | 128.833            | 2.046               | 4.235               | (8.500)                           | 118.144            |
| 30300-30349-New York State Canal System Development                     | 2.730              | --                  | --                  | --                                | 2.730              |
| 30350-30399-Parks Infrastructure  | (12.055)           | 6.601               | 5.595               | --                                | (11.049)           |
| 30400-30449-Passenger Facility Charge                                   | 0.014              | --                  | --                  | --                                | 0.014              |
| 30450-30499-Environmental Protection                                    | 40.024             | 15.328              | 6.312               | --                                | 49.040             |
| 30500-30549-Clean Water/Clean Air Implementation                        | --                 | --                  | --                  | --                                | --                 |
| 30550-30599-Hudson River Park   | --                 | --                  | --                  | 0.088                             | 0.088              |
| 30600-30609-Energy Conservation Thru Improved Transportation Bond       | 0.164              | --                  | --                  | --                                | 0.164              |
| 30610-30619-Park & Recreation Land Acquisition Bond                     | --                 | --                  | --                  | --                                | --                 |
| 30620-30629-Pure Waters Bond  | 0.713              | --                  | --                  | --                                | 0.713              |
| 30750-30799-Outdoor Recreation Development Bond                         | --                 | --                  | --                  | --                                | --                 |
| 30630-30639-Transportation Capital Facilities Bond                      | 3.391              | --                  | --                  | --                                | 3.391              |
| 30640-30649-Environmental Quality Protection Bond                       | 1.310              | --                  | --                  | --                                | 1.310              |
| 30900-30949-Rail Preservation and Development Bond                      | --                 | --                  | --                  | --                                | --                 |
| 30700-30749-State Housing Bond  | --                 | --                  | --                  | --                                | --                 |
| 30650-30659-Rebuild and renew New York Transportation Board             | 96.187             | --                  | --                  | (10.023)                          | 86.164             |
| 30660-30669-Transportation Infrastructure Renewal Bond                  | 4.257              | --                  | --                  | --                                | 4.257              |
| 30670-30679-1986 Environmental Quality Bond Act                         | 7.912              | --                  | --                  | (0.017)                           | 7.895              |
| 30680-30689-Accelerated Capacity and Transportation<br>Improvement Bond | 2.837              | --                  | --                  | --                                | 2.837              |
| 30690-30699-Clean Water/Clean Air Bond                                  | 7.555              | --                  | --                  | (0.917)                           | 6.638              |
| 31350-31449-Federal Capital Projects                                    | (118.781)          | 177.150             | 151.113             | --                                | (92.744)           |
| 31450-31499-Forest Preserve Expansion                                   | 0.895              | --                  | 0.001               | --                                | 0.894              |
| 31500-31549-Hazardous Waste Remedial                                    | (201.047)          | 2.472               | 10.316              | (2.050)                           | (210.941)          |
| 31550-31599-Pine Barrens  | --                 | --                  | --                  | --                                | --                 |
| 31600-31649-Lake Champlain Bridges                                      | --                 | --                  | --                  | --                                | --                 |
| 31650-31699-Suburban Transportation                                     | 0.504              | --                  | --                  | --                                | 0.504              |
| 31700-31749-Division for Youth Facilities Improvement                   | (4.985)            | --                  | 0.737               | --                                | (5.722)            |
| 31750-31799-Youth Centers Facility                                      | --                 | --                  | --                  | --                                | --                 |
| 31800-31849-Housing Assistance  | (20.395)           | --                  | --                  | --                                | (20.395)           |
| 31850-31899-Housing Program   | (130.896)          | --                  | 6.505               | --                                | (137.401)          |
| 31900-31949-Natural Resource Damage                                     | 17.821             | --                  | 0.088               | --                                | 17.733             |
| 31950-32199-DOT Engineering Services                                    | (11.712)           | 0.001               | 0.217               | --                                | (11.928)           |
| 32400-32999-State University Capital Projects                           | 149.343            | 5.511               | 4.378               | 24.988                            | 175.464            |
| 32200-32249-Miscellaneous Capital Projects                              | 9.251              | 12.586              | 0.163               | --                                | 21.674             |
| 32250-32299-CUNY Capital Projects                                       | (0.023)            | --                  | --                  | --                                | (0.023)            |
| 32300-32349-Mental Hygiene Facilities Capital Improvement               | (333.798)          | 0.698               | 5.738               | --                                | (338.838)          |
| 32350-32399-Correction Facilities Capital Improvement                   | (110.077)          | (0.001)             | 21.140              | --                                | (131.218)          |
| <b>TOTAL CAPITAL PROJECTS FUNDS</b>                                     | <b>(460.394)</b>   | <b>535.934</b>      | <b>571.693</b>      | <b>17.082</b>                     | <b>(479.071)</b>   |
| <b>TOTAL GOVERNMENTAL FUNDS</b>   | <b>\$4,850.131</b> | <b>\$11,948.342</b> | <b>\$11,792.830</b> | <b>\$0.465</b>                    | <b>\$5,006.108</b> |

STATE OF NEW YORK  
 PROPRIETARY FUNDS

SCHEDULE 2

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF JUNE 2012  
 (amounts in millions)

| <u>FUND TYPE</u>                                  | <u>FUND EQUITY<br/>6/1/12</u> | <u>RECEIPTS</u>  | <u>DISBURSEMENTS</u> | <u>OTHER FINANCING<br/>SOURCES (USES)</u> | <u>FUND EQUITY<br/>6/30/12</u> |
|---|-------------------------------|------------------|----------------------|---|--------------------------------|
| <b><u>ENTERPRISE FUNDS</u></b>                    |                               |                  |                      |   |                                |
| 50000-50049-Youth Commissary                      | \$0.194                       | \$0.029          | \$0.009              | \$ --                                     | \$0.214                        |
| 50050-50099-State Exposition Special              | 4.889                         | 0.953            | 0.641                | --  | 5.201                          |
| 50100-50299-Correctional Services Commissary      | 3.491                         | 3.075            | 3.554                | --  | 3.012                          |
| 50300-50399-Agency Enterprise                     | 2.271                         | 0.230            | 0.231                | --  | 2.270                          |
| 50400-50449-OMH Sheltered Workshop                | 1.707                         | 0.058            | 0.045                | --  | 1.720                          |
| 50450-50499-OPWDD Sheltered Workshop              | 1.104                         | 0.108            | --                   | --  | 1.212                          |
| 50500-50599-Mental Hygiene Community Stores       | 3.016                         | 0.207            | 0.088                | --  | 3.135                          |
| 50650-50699-Unemployment Insurance Benefit        | 72.910                        | 586.149          | 573.448              | --  | 85.611                         |
| <b>TOTAL ENTERPRISE FUNDS</b>                     | <b>89.582</b>                 | <b>590.809</b>   | <b>578.016</b>       | <b>--</b>                                 | <b>102.375</b>                 |
| <b><u>INTERNAL SERVICE FUNDS</u></b>              |                               |                  |                      |   |                                |
| 55000-55049-O.G.S. Centralized Services           | (1.303)                       | 5.580            | 11.907               | (1.535)                                   | (9.165)                        |
| 55050-55099-Agency Internal Service               | 23.153                        | 9.609            | 17.388               | 1.070                                     | 16.444                         |
| 55100-55149-Mental Hygiene Revolving              | 0.023                         | 0.048            | 0.067                | --  | 0.004                          |
| 55150-55199-Youth Vocational Education            | 0.057                         | --               | --                   | --  | 0.057                          |
| 55200-55249-Joint Labor/Management Administration | 1.824                         | --               | 0.037                | --  | 1.787                          |
| 55250-55299-Audit and Control Revolving           | (0.190)                       | 0.842            | 0.517                | --  | 0.135                          |
| 55300-55349-Health Insurance Revolving            | (16.193)                      | 0.889            | 2.120                | --  | (17.424)                       |
| 55350-55399-Correctional Industries Revolving     | (2.689)                       | 1.805            | 5.830                | --  | (6.714)                        |
| <b>TOTAL INTERNAL SERVICE FUNDS</b>               | <b>4.682</b>                  | <b>18.773</b>    | <b>37.866</b>        | <b>(0.465)</b>                            | <b>(14.876)</b>                |
| <b>TOTAL PROPRIETARY FUNDS</b>                    | <b>\$94.264</b>               | <b>\$609.582</b> | <b>\$615.882</b>     | <b>(\$0.465)</b>                          | <b>\$87.499</b>                |



**STATE OF NEW YORK**  
**FIDUCIARY FUNDS**  
**SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**  
**FOR THE MONTH OF JUNE 2012**  
(amounts in millions)

**SCHEDULE 3**

| <u>FUND TYPE</u>   | <u>FUND<br/>BALANCE<br/>6/1/12</u> | <u>RECEIPTS</u>    | <u>DISBURSEMENTS</u> | <u>OTHER<br/>FINANCING<br/>SOURCES (USES)</u> | <u>FUND<br/>BALANCE<br/>6/30/12</u> |
|--|------------------------------------|--------------------|----------------------|---|-------------------------------------|
| <b><u>PENSION TRUST FUNDS</u></b>  |                                    |                    |                      |   |                                     |
| 65000-65049-Common Retirement-Administration                                       | (\$1.214)                          | \$4.709            | \$12.502             | \$ --   | (\$9.007)                           |
| <b>TOTAL PENSION TRUST FUNDS</b>   | <b>(1.214)</b>                     | <b>4.709</b>       | <b>12.502</b>        | <b>--</b>                                     | <b>(9.007)</b>                      |
| <b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>  |                                    |                    |                      |   |                                     |
| 66000-66049-Agriculture Producers' Security  | 2.061                              | 0.011              | 0.007                | --  | 2.065                               |
| 66050-66099-Milk Producers' Security   | 8.462                              | 0.076              | 0.008                | --  | 8.530                               |
| <b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>   | <b>10.523</b>                      | <b>0.087</b>       | <b>0.015</b>         | <b>--</b>                                     | <b>10.595</b>                       |
| <b><u>AGENCY FUNDS</u></b>   |                                    |                    |                      |   |                                     |
| 60000-60049--Private Not-For-Profit School Capital<br>Facilities Financing Reserve | --                                 | --                 | --                   | --  | --                                  |
| 60050-60149-School Capital Facilities Financing Reserve                            | 21.564                             | 4.009              | --                   | --  | 25.573                              |
| 60150-60199-Child Performer's Holding  | 0.197                              | --                 | --                   | --  | 0.197                               |
| 60200-60249-Employees Health Insurance   | 374.614                            | 588.652            | 598.707              | --  | 364.559                             |
| 60250-60299-Social Security Contribution   | 0.875                              | 81.978             | 63.240               | --  | 19.613                              |
| 60300-60399-Employee Payroll Withholding Escrow                                    | 24.335                             | 421.765            | 370.512              | --  | 75.588                              |
| 60400-60449-Employees Dental Insurance   | 11.237                             | 6.870              | 5.847                | --  | 12.260                              |
| 60450-60499-Management Confidential Group Insurance                                | 0.897                              | 1.264              | 0.592                | --  | 1.569                               |
| 60500-60549-Lottery Prize  | 263.777                            | 72.468             | 67.851               | --  | 268.394                             |
| 60550-60599-Health Insurance Reserve Receipts                                      | 0.100                              | --                 | --                   | --  | 0.100                               |
| 60600-60799-Miscellaneous New York State Agency                                    | 558.983                            | 152.337            | 124.295              | --  | 587.025                             |
| 60800-60849-Elderly Pharmaceutical Insurance Coverage Escrow                       | 17.171                             | 4.005              | 3.300                | --  | 17.876                              |
| 60850-60899-CUNY Senior College Operating  | 37.209                             | 165.055            | 98.309               | --  | 103.955                             |
| 60900-60949-Medicaid Management Information System Escrow                          | 209.446                            | 3,657.657          | 3,717.922            | --  | 149.181                             |
| 60950-60999-Special Education  | --                                 | --                 | --                   | --  | --                                  |
| 61000-61099-State University Collection  | 308.363                            | (172.227)          | --                   | --  | 136.136                             |
| 61100-61199-SUNY Federal Direct Lending Program                                    | (2.365)                            | (28.277)           | --                   | --  | (30.642)                            |
| <b>TOTAL AGENCY FUNDS</b>  | <b>1,826.403</b>                   | <b>4,955.556</b>   | <b>5,050.575</b>     | <b>--</b>                                     | <b>1,731.384</b>                    |
| <b>TOTAL FIDUCIARY FUNDS</b>   | <b>\$1,835.712</b>                 | <b>\$4,960.352</b> | <b>\$5,063.092</b>   | <b>\$ --</b>                                  | <b>\$1,732.972</b>                  |

STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FOR THE MONTH OF JUNE 2012  
(amounts in millions)

SCHEDULE 4

| <u>FUND TYPE</u>                        | <u>BEGINNING<br/>BALANCE<br/>6/1/12</u> | <u>RECEIPTS</u>           | <u>DISBURSEMENTS</u>      | <u>ENDING<br/>BALANCE<br/>6/30/12</u> |
|---|---|---------------------------|---------------------------|---------------------------------------|
| <b><u>ACCOUNTS</u></b>                  |   |                           |                           |                                       |
| 70000-70049-Tobacco Settlement          | \$2.700                                 | \$ --                     | \$0.001                   | \$2.699                               |
| 70050-70149-Sole Custody Investment (*) | 1,832.854                               | 8,144.135                 | 8,435.366                 | 1,541.623                             |
| 70200-Comptroller's Refund              | --                                      | 197.260                   | 197.260                   | --                                    |
| <b>TOTAL ACCOUNTS</b>                   | <b><u>\$1,835.554</u></b>               | <b><u>\$8,341.395</u></b> | <b><u>\$8,632.627</u></b> | <b><u>\$1,544.322</u></b>             |

**(\*) Includes Public Asset Fund resources**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of June 30, 2012, \$11,624,871.57 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (20800).

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2013

SCHEDULE 5

| PURPOSE  | DEBT<br>OUTSTANDING<br>APRIL 1, 2012 | DEBT ISSUED      |                                 | DEBT MATURED           |                                 | DEBT<br>OUTSTANDING<br>JUNE 30, 2012 | INTEREST DISBURSED    |                                 |
|--|--------------------------------------|------------------|---------------------------------|------------------------|---------------------------------|--------------------------------------|-----------------------|---------------------------------|
|  |                                      | MONTH OF<br>JUNE | 3 MONTHS ENDED<br>JUNE 30, 2012 | MONTH OF<br>JUNE       | 3 MONTHS ENDED<br>JUNE 30, 2012 |                                      | MONTH OF<br>JUNE      | 3 MONTHS ENDED<br>JUNE 30, 2012 |
| <b>GENERAL OBLIGATION BONDED DEBT:</b>   |                                      |                  |                                 |                        |                                 |                                      |                       |                                 |
| Accelerated Capacity and Transportation Improvements                                   | \$370,660,421.97                     | \$ --            | \$ --                           | \$302,215.41           | \$32,908,884.21                 | \$337,751,537.76                     | \$972,229.01          | \$5,215,030.61                  |
| Clean Water/Clean Air:   |                                      |                  |                                 |                        |                                 |                                      |                       |                                 |
| Air Quality  | 45,022,724.04                        | --               | --                              | 18,427.68              | 6,842,035.57                    | 38,180,688.47                        | 4,017.63              | 503,737.74                      |
| Safe Drinking Water  | 12,564,628.83                        | --               | --                              | 3,310,000.00           | 3,310,000.00                    | 9,254,628.83                         | 125,702.50            | 125,702.50                      |
| Water  | 466,036,864.14                       | --               | --                              | 2,294,887.33           | 4,790,301.55                    | 461,246,562.59                       | 268,848.09            | 1,224,361.08                    |
| Solid Waste  | 69,553,112.20                        | --               | --                              | 4,083,714.73           | 4,890,188.22                    | 64,662,923.98                        | 152,531.83            | 531,214.76                      |
| Environmental Restoration  | 101,560,841.31                       | --               | --                              | --                     | --                              | 101,560,841.31                       | 294,699.35            | 302,540.88                      |
| Energy Conservation Through Improved Transportation:<br>Rapid Transit and Rail Freight | 12,107,804.13                        | --               | --                              | --                     | 422,414.25                      | 11,685,389.88                        | 2,634.42              | 87,147.66                       |
| Environmental Quality Protection (1972):   |                                      |                  |                                 |                        |                                 |                                      |                       |                                 |
| Air  | 11,103,951.62                        | --               | --                              | 917,910.62             | 3,104,333.54                    | 7,999,618.08                         | 46,870.07             | 246,096.20                      |
| Land and Wetlands  | 24,794,967.59                        | --               | --                              | 1,703,483.00           | 4,417,675.41                    | 20,377,292.18                        | 67,473.79             | 403,513.33                      |
| Water  | 84,023,228.78                        | --               | --                              | 29,181.59              | 7,055,983.19                    | 76,967,245.59                        | 10,779.00             | 980,773.03                      |
| Environmental Quality (1986):  |                                      |                  |                                 |                        |                                 |                                      |                       |                                 |
| Land and Forests   | 33,455,683.51                        | --               | --                              | 42,858.61              | 2,118,240.18                    | 31,337,443.33                        | 63,951.59             | 339,322.62                      |
| Solid Waste Management   | 369,042,581.64                       | --               | --                              | 9,278,313.02           | 13,668,203.92                   | 355,374,377.72                       | 1,193,515.82          | 1,565,003.33                    |
| Housing:   |                                      |                  |                                 |                        |                                 |                                      |                       |                                 |
| Low Cost   | 34,360,000.00                        | --               | --                              | --                     | 3,855,000.00                    | 30,505,000.00                        | --                    | 454,000.00                      |
| Middle Income  | 31,160,000.00                        | --               | --                              | --                     | --                              | 31,160,000.00                        | --                    | --                              |
| Park and Recreation Land Acquisition   | 17,462.59                            | --               | --                              | --                     | --                              | 17,462.59                            | --                    | --                              |
| Pure Waters  | 67,237,919.66                        | --               | --                              | 624,519.66             | 4,917,974.31                    | 62,319,945.35                        | 78,988.26             | 786,206.25                      |
| Rail Preservation Development  | 4,720,436.05                         | --               | --                              | --                     | 318,406.10                      | 4,402,029.95                         | --                    | 41,139.56                       |
| Rebuild and Renew New York Transportation:   |                                      |                  |                                 |                        |                                 |                                      |                       |                                 |
| Highway Facilities   | 764,178,426.65                       | --               | --                              | --                     | --                              | 764,178,426.65                       | 2,189,973.10          | 2,189,973.10                    |
| Canals and Waterways   | 15,330,084.29                        | --               | --                              | --                     | --                              | 15,330,084.29                        | 101,191.80            | 101,191.80                      |
| Aviation   | 43,456,928.50                        | --               | --                              | --                     | --                              | 43,456,928.50                        | --                    | --                              |
| Rail and Port  | 75,742,389.22                        | --               | --                              | --                     | --                              | 75,742,389.22                        | --                    | --                              |
| Mass Transit - Dept. of Transportation   | 12,764,273.58                        | --               | --                              | --                     | --                              | 12,764,273.58                        | --                    | --                              |
| Mass Transit - Metropolitan Transportation Authority                                   | 805,995,790.27                       | --               | --                              | --                     | --                              | 805,995,790.27                       | 3,616,123.34          | 3,616,123.34                    |
| Rebuild New York-Transportation Infrastructure Renewal:                                |                                      |                  |                                 |                        |                                 |                                      |                       |                                 |
| Highways, Parkways, and Bridges  | 2,752,039.77                         | --               | --                              | --                     | --                              | 2,752,039.77                         | 3,315.11              | 3,315.11                        |
| Ports, Canals, and Waterways   | 38,396.10                            | --               | --                              | --                     | 38,396.10                       | --                                   | --                    | 767.92                          |
| Rapid Transit, Rail, and Aviation  | 16,588,704.01                        | --               | --                              | 34,473.37              | 1,813,691.05                    | 14,775,012.96                        | 22,809.80             | 257,761.53                      |
| Transportation Capital Facilities:   |                                      |                  |                                 |                        |                                 |                                      |                       |                                 |
| Aviation   | 16,250,647.54                        | --               | --                              | 25,014.98              | 1,599,717.63                    | 14,650,929.91                        | 3,971.98              | 243,162.73                      |
| Mass Transportation  | 3,844,691.47                         | --               | --                              | --                     | 43,554.77                       | 3,801,136.70                         | --                    | 5,206.78                        |
| <b>Total General Obligation Bonded Debt</b>  | <b>\$3,494,364,999.46</b>            | <b>\$ --</b>     | <b>\$ --</b>                    | <b>\$22,665,000.00</b> | <b>\$96,115,000.00</b>          | <b>\$3,398,249,999.46</b>            | <b>\$9,219,626.49</b> | <b>\$19,223,291.86</b>          |

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE THREE (3) MONTHS ENDED JUNE 30, 2012

SCHEDULE 5a

|  | DEBT         | GENERAL              | DEPARTMENT          | LOCAL              | MENTAL       | REVENUE              | STATE               | COMBINED TOTALS        |                      | \$ INCREASE /<br>(DECREASE) |                    |
|--|--------------|----------------------|---------------------|--------------------|--------------|----------------------|---------------------|------------------------|----------------------|-----------------------------|--------------------|
|  | REDUCTION    | DEBT                 | OF HEALTH           | GOVERNMENT         | HEALTH       | BOND                 | UNIVERSITY          | 3 MONTHS ENDED JUNE 30 |                      |                             |                    |
|  | FUND         | SERVICE              | INCOME              | ASSISTANCE         | SERVICES     | TAX                  | DORMITORY           | 2012                   | 2011                 |                             |                    |
|  | (40000)      | (40151)              | (40300)             | (40450)            | (40100)      | (40152)              | (40350)             |                        |                      |                             |                    |
| <b>Special Contractual Financing Obligations:</b>                        |              |                      |                     |                    |              |                      |                     |                        |                      |                             |                    |
| <b>Managed by Office of General Services:</b>                            |              |                      |                     |                    |              |                      |                     |                        |                      |                             |                    |
| Department of Trans Region 1 Schenectady Hampton Plaza                   | \$ --        | \$ --                | \$ --               | \$ --              | \$ --        | \$ --                | \$ --               | \$ --                  | \$ --                | \$201,774                   | (\$201,774)        |
|  | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | 19,000                      | (19,000)           |
| <b>Subtotal</b>  | <b>\$ --</b> | <b>\$ --</b>         | <b>\$ --</b>        | <b>\$ --</b>       | <b>\$ --</b> | <b>\$ --</b>         | <b>\$ --</b>        | <b>\$ --</b>           | <b>\$ --</b>         | <b>\$220,774</b>            | <b>(\$220,774)</b> |
| <b>Payments to Public Authorities:</b>                                   |              |                      |                     |                    |              |                      |                     |                        |                      |                             |                    |
| City University Construction   | --           | 128,650,872          | --                  | --                 | --           | --                   | --                  | --                     | 128,650,872          | 159,614,944                 | (30,964,072)       |
| Dormitory Authority:   |              |                      |                     |                    |              |                      |                     |                        |                      |                             |                    |
| Albany County Airport  | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| Child Care Facilities  | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| Consolidated Service Contract Refunding                                  | --           | 83,554,102           | --                  | --                 | --           | --                   | --                  | --                     | 83,554,102           | 59,884,539                  | 23,669,563         |
| David Axelrod Institute  | --           | 4,664,279            | --                  | --                 | --           | --                   | --                  | --                     | 4,664,279            | 4,600,029                   | 64,250             |
| Department of Health Facilities  | --           | --                   | 14,077,231          | --                 | --           | --                   | --                  | --                     | 14,077,231           | 14,822,755                  | (745,524)          |
| Economic Development Housing   | --           | --                   | --                  | --                 | --           | 9,243,441            | --                  | --                     | 9,243,441            | 10,766,226                  | (1,522,785)        |
| Education  | --           | --                   | --                  | --                 | --           | 17,075,811           | --                  | --                     | 17,075,811           | 17,350,667                  | (274,856)          |
| General Purpose  | --           | --                   | --                  | --                 | --           | 50,220,491           | --                  | --                     | 50,220,491           | 49,801,352                  | 419,139            |
| Health Care  | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| Judicial Training Institute  | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| Mental Health Facilities   | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| OGS Parking  | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| State Department of Education Facilities                                 | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| State Facilities and Equipment   | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| SUNY Community Colleges  | --           | 25,349,483           | --                  | --                 | --           | --                   | --                  | --                     | 25,349,483           | 23,825,408                  | 1,524,075          |
| SUNY Dormitory Facilities  | --           | --                   | --                  | --                 | --           | --                   | 67,111,158          | --                     | 67,111,158           | 58,325,259                  | 8,785,899          |
| SUNY Educational Facilities  | --           | 8,256,261            | --                  | --                 | --           | --                   | --                  | --                     | 8,256,261            | 8,256,261                   | --                 |
| Environmental Facilities Corporation                                     | --           | --                   | --                  | --                 | --           | 18,081,158           | --                  | --                     | 18,081,158           | 19,237,538                  | (1,156,380)        |
| Housing Finance Agency   | --           | --                   | --                  | --                 | --           | 24,607               | --                  | --                     | 24,607               | 5,181,631                   | (5,157,024)        |
| Local Government Assistance Corporation                                  | --           | --                   | --                  | 2,166,152          | --           | --                   | --                  | --                     | 2,166,152            | 868,052                     | 1,298,100          |
| Metropolitan Transportation Authority:                                   |              |                      |                     |                    |              |                      |                     |                        |                      |                             |                    |
| Transit and Commuter Rail Projects                                       | --           | 41,732,511           | --                  | --                 | --           | --                   | --                  | --                     | 41,732,511           | 82,490,224                  | (40,757,713)       |
| Triborough Bridge & Tunnel Authority:                                    |              |                      |                     |                    |              |                      |                     |                        |                      |                             |                    |
| Javits Convention Center Project   | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| Thruway Authority:   |              |                      |                     |                    |              |                      |                     |                        |                      |                             |                    |
| Dedicated Highway & Bridge   | --           | 278,285,340          | --                  | --                 | --           | --                   | --                  | --                     | 278,285,340          | 269,154,622                 | 9,130,718          |
| Local Highway & Bridge   | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| Transportation   | --           | --                   | --                  | --                 | --           | --                   | --                  | --                     | --                   | --                          | --                 |
| Urban Development Corporation:   |              |                      |                     |                    |              |                      |                     |                        |                      |                             |                    |
| Center for Industrial Innovation at RPI                                  | --           | 110,687              | --                  | --                 | --           | --                   | --                  | --                     | 110,687              | 215,600                     | (104,913)          |
| Clarkson University  | --           | 178,200              | --                  | --                 | --           | --                   | --                  | --                     | 178,200              | 170,525                     | 7,675              |
| Columbia Univer. Telecommunications Center                               | --           | 3,719,000            | --                  | --                 | --           | --                   | --                  | --                     | 3,719,000            | 3,719,000                   | --                 |
| Consolidated Service Contract Refunding                                  | --           | 66,388,439           | --                  | --                 | --           | --                   | --                  | --                     | 66,388,439           | 72,685,675                  | (6,297,236)        |
| Cornell Univer. Supercomputer Center                                     | --           | 493,000              | --                  | --                 | --           | --                   | --                  | --                     | 493,000              | 493,000                     | --                 |
| Correctional Facilities  | --           | 1,728,000            | --                  | --                 | --           | --                   | --                  | --                     | 1,728,000            | 5,978,524                   | (4,250,524)        |
| Economic Development Housing   | --           | --                   | --                  | --                 | --           | 26,111,603           | --                  | --                     | 26,111,603           | 28,718,931                  | (2,607,328)        |
| General Purpose  | --           | --                   | --                  | --                 | --           | 18,595,783           | --                  | --                     | 18,595,783           | 19,674,090                  | (1,078,307)        |
| State Facilities and Equipment   | --           | --                   | --                  | --                 | --           | 325,000              | --                  | --                     | 325,000              | --                          | 325,000            |
| Syracuse University Science and Technology Center                        | --           | 311,025              | --                  | --                 | --           | --                   | --                  | --                     | 311,025              | 363,963                     | (52,938)           |
| University Facilities Grant 95 Refunding                                 | --           | 317,472              | --                  | --                 | --           | --                   | --                  | --                     | 317,472              | 346,759                     | (29,287)           |
| <b>Subtotal</b>  | <b>\$ --</b> | <b>\$643,738,671</b> | <b>\$14,077,231</b> | <b>\$2,166,152</b> | <b>\$ --</b> | <b>\$139,677,894</b> | <b>\$67,111,158</b> | <b>\$866,771,106</b>   | <b>\$908,289,313</b> | <b>(\$41,518,207)</b>       |                    |
| <b>Total Disbursements for Special Contractual Financing Obligations</b> | <b>\$ --</b> | <b>\$643,738,671</b> | <b>\$14,077,231</b> | <b>\$2,166,152</b> | <b>\$ --</b> | <b>\$139,677,894</b> | <b>\$67,111,158</b> | <b>\$866,771,106</b>   | <b>\$908,510,087</b> | <b>(\$41,738,981)</b>       |                    |

**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF JUNE 2012  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

|   | <u>JUNE 2012</u> | <u>FISCAL YEAR<br/>TO DATE</u> | <u>PRIOR FYTD<br/>JUNE 2011</u> |
|---|------------------|--------------------------------|---------------------------------|
| <b><u>SHORT TERM INVESTMENT POOL*</u></b> |                  |                                |                                 |
| AVERAGE DAILY INVESTMENT BALANCE**        | \$6,276.3        | \$7,197.5                      | \$7,523.2                       |
| AVERAGE YIELD**                           | 0.171%           | 0.158%                         | 0.157%                          |
| TOTAL INVESTMENT EARNINGS                 | \$0.878          | \$2.846                        | \$3.217                         |

**Month-End Portfolio Balances**

| <u>DESCRIPTION</u>              | <u>JUNE 2012<br/>PAR AMOUNT</u> | <u>JUNE 2011<br/>PAR AMOUNT</u> |
|---------------------------------|---------------------------------|---------------------------------|
| GOVT. AGENCY BILLS/NOTES        | \$ --                           | \$ --                           |
| REPURCHASE AGREEMENTS           | 2,070.6                         | 24.0                            |
| COMMERCIAL PAPER                | 400.0                           | 3,706.1                         |
| CERTIFICATES OF DEPOSIT/SAVINGS | 3,684.7                         | 3,279.4                         |
| 0% COMPENSATING BALANCE CD's    | 2,607.0                         | 1,965.0                         |
|                                 | <u>\$8,762.3</u>                | <u>\$8,974.5</u>                |

\*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

\*\*Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR 2012-2013**

**APPENDIX - TABLE OF CONTENTS**

|  |            |
|--|------------|
| <u>HCRA Resources Fund - Statement of Receipts and Disbursements by Object</u>                     | Appendix A |
| <u>HCRA Resources Fund - Statement of Program Disbursements</u>                                    | Appendix B |
| <u>American Recovery and Reinvestment Act of 2009- Schedule of Disbursements of Federal Awards</u> | Appendix C |
| <u>HCRA Public Goods Pool - Statement of Cash Flow</u>   | Appendix D |
| <u>HCRA Medicaid Disproportionate Share - Statement of Cash Flow</u>                               | Appendix E |
| <u>Public Authority Off Budget Spending Report</u>   | Appendix F |
| <u>Schedule of Month-End Temporary Loans Outstanding</u>   | Appendix G |

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT  
 FISCAL YEAR 2012-2013

APPENDIX A

|  | 2012<br>APRIL        | MAY                  | JUNE                 | 3 Months Ended<br>June 30, 2012 |
|--|----------------------|----------------------|----------------------|---------------------------------|
| <b>OPENING CASH BALANCE</b>  | <b>\$2,806,101</b>   | <b>\$152,197,265</b> | <b>\$234,068,330</b> | <b>\$2,806,101</b>              |
| <b>RECEIPTS:</b>   |                      |                      |                      |                                 |
| Cigarette Tax  | 96,498,178           | 99,397,523           | 96,905,781           | 292,801,482                     |
| State Share of NYC Cigarette Tax   | 4,527,000            | 5,294,000            | 4,384,000            | 14,205,000                      |
| STIP Interest  | 46,238               | 48,125               | (48,125)             | 46,238                          |
| Public Asset Transfers   | --                   | --                   | --                   | --                              |
| Assessments  | 320,197,880          | 395,291,372          | 380,539,040          | 1,096,028,292                   |
| Miscellaneous  | --                   | --                   | 3                    | 3                               |
| <b>Total Receipts</b>  | <b>421,269,296</b>   | <b>500,031,020</b>   | <b>481,780,699</b>   | <b>1,403,081,015</b>            |
| <b>DISBURSEMENTS:</b>  |                      |                      |                      |                                 |
| Grants   | 268,619,126          | 415,633,706          | 302,799,044          | 987,051,876                     |
| Interest - Late Payments   | 308                  | 16,785               | 19,898               | 36,991                          |
| Personal Service   | 2,315,981            | 738,913              | 447,862              | 3,502,756                       |
| Non-Personal Service   | 45,535               | 974,586              | 6,816,038            | 7,836,159                       |
| Employee Benefits/Indirect Costs   | --                   | --                   | --                   | --                              |
| <b>Total Disbursements</b>   | <b>270,980,950</b>   | <b>417,363,990</b>   | <b>310,082,842</b>   | <b>998,427,782</b>              |
| <b>OPERATING TRANSFERS:</b>  |                      |                      |                      |                                 |
| Transfers to Capital Projects Fund   | --                   | --                   | --                   | --                              |
| Transfers to General Fund  | --                   | --                   | --                   | --                              |
| Transfers to Revenue Bond Tax Fund   | --                   | --                   | --                   | --                              |
| Transfers to Miscellaneous Special<br>Revenue Empire State Stem Cell Trust Account | --                   | --                   | 15,000,000           | 15,000,000                      |
| Transfers to SUNY Income Fund  | 897,182              | 795,965              | 908,879              | 2,602,026                       |
| <b>Total Operating Transfers</b>   | <b>897,182</b>       | <b>795,965</b>       | <b>15,908,879</b>    | <b>17,602,026</b>               |
| <b>Total Disbursements and Transfers</b>   | <b>271,878,132</b>   | <b>418,159,955</b>   | <b>325,991,721</b>   | <b>1,016,029,808</b>            |
| <b>CLOSING CASH BALANCE</b>  | <b>\$152,197,265</b> | <b>\$234,068,330</b> | <b>\$389,857,308</b> | <b>\$389,857,308</b>            |

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2012-2013

APPENDIX B

| Program/Purpose                                     | Appropriation<br>Amount (1) | April<br>Disbursements | May<br>Disbursements | June<br>Disbursements | Total Disbursements<br>3 Months Ending<br>June 30, 2012 (2) |
|---|-----------------------------|------------------------|----------------------|-----------------------|---|
| <b>ADULT HOMES PROGRAM</b>                          | \$ 59,736                   | \$ --                  | \$ --                | \$ --                 | \$ --   |
| ADULT HOMES   |                             |                        |                      |                       |   |
| <b>AIDS INSTITUTE PROGRAM</b>                       | <b>244,030,323</b>          |                        |                      |                       |   |
| COMMUNITY SERVICE PROG- HIGH RISK                   |                             | --                     | --                   | --                    | --  |
| HIV CLINICAL & PROVIDER EDUCATION                   |                             | --                     | 254,474              | 365,355               | 619,829   |
| HIV HEALTH CARE SUPPORTIVE SERVICES                 |                             | 147,143                | 1,092,304            | 1,559,977             | 2,799,424   |
| HIV STD HEPATITIS C PREVENTION                      |                             | 69,210                 | 1,114,998            | 3,891,684             | 5,075,892   |
| INFANTS AND PREGNANT WOMEN<br>REGIONAL AND TARGETED |                             | --                     | --                   | --                    | --  |
|   |                             | 133,369                | 2,035,005            | 1,469,861             | 3,638,235   |
| <b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>          | <b>184,744,193</b>          |                        |                      |                       |   |
| ADEPHI UNIVRST CANC SPRT PRG                        |                             | --                     | --                   | --                    | --  |
| BRST CANCER HOTLINE - ADELPHI                       |                             | --                     | --                   | --                    | --  |
| CENTER FOR COMMUNITY HLTH GEN                       |                             | 135,748                | 123,336              | 126,210               | 385,294   |
| EVIDENCE BASED CANCER SVC                           |                             | --                     | --                   | 3,102,980             | 3,102,980   |
| FAMILY PLANNING                                     |                             | --                     | --                   | --                    | --  |
| HYPERTENSION PREVENTION TREATMENT                   |                             | --                     | --                   | 82,286                | 82,286  |
| INDIAN HEALTH PROGRAM                               |                             | --                     | 3,227,410            | 2,987,777             | 6,215,187   |
| LEAD POISONING PREVENTION                           |                             | 97,348                 | --                   | 29,803                | 127,151   |
| MATERNITY & EARLY CHHOOD FOUNDATION                 |                             | --                     | --                   | 74,657                | 74,657  |
| NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN      |                             | --                     | --                   | 15,176                | 15,176  |
| PRENATAL CARE ASSISTANCE PROGRAM                    |                             | 24,408                 | 316,345              | 109,318               | 450,071   |
| PUBLIC HEALTH CAMPAIGN                              |                             | --                     | 166,691              | 1,025,039             | 1,191,730   |
| RAPE CRISIS   |                             | 29,781                 | --                   | 36,994                | 66,775  |
| SCHOOL BASED HEALTH PROGRAM                         |                             | --                     | 585,966              | 207,774               | 793,740   |
| STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB      |                             | --                     | --                   | 226,144               | 226,144   |
| TOBACCO ENFORCEMENT                                 |                             | --                     | --                   | 71,309                | 71,309  |
| TUBERCULOSIS  |                             | --                     | --                   | --                    | --  |
| <b>CHILD HEALTH INSURANCE PROGRAM</b>               | <b>979,306,800</b>          |                        |                      |                       |   |
| CHILD HEALTH INSURANCE                              |                             | 192,102                | 18,788,621           | 17,381,179            | 36,361,902  |
| <b>COMMUNITY SUPPORT PROGRAM</b>                    | <b>120,000</b>              |                        |                      |                       |   |
| COMMUNITY SUPPORT                                   |                             | --                     | 12,000               | --                    | 12,000  |
| <b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>      | <b>329,800,000</b>          |                        |                      |                       |   |
| ELDERLY PHARMACEUTICAL INSURANCE COV                |                             | --                     | 4,913,996            | 5,607,276             | 10,521,272  |
| <b>HEALTH CARE FINANCING PROGRAM</b>                | <b>9,217,600</b>            |                        |                      |                       |   |
| HEALTH CARE FINANCING                               |                             | 95,446                 | 86,362               | 90,368                | 272,176   |
| <b>HEALTH CARE REFORM ACT PROGRAM</b>               | <b>1,773,859,060</b>        |                        |                      |                       |   |
| AIDS DRUG ASSISTANCE                                |                             | --                     | --                   | --                    | --  |
| AMBULATORY CARE TRAINING                            |                             | --                     | --                   | --                    | --  |
| AREA HEALTH EDUCATION CENTER                        |                             | --                     | --                   | --                    | --  |
| DIAGNOSTIC & TREATMENT CTR UNCOMPENSATED CARE       |                             | --                     | 4,598,105            | --                    | 4,598,105   |
| DIVERSITY IN MEDICINE                               |                             | --                     | --                   | --                    | --  |
| EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)           |                             | --                     | --                   | --                    | --  |
| HCRA PAYOR / PROVIDER AUDITS                        |                             | --                     | --                   | --                    | --  |
| HEALTH FACILITY RESTRUCTURING DASNY                 |                             | --                     | --                   | --                    | --  |
| HEALTH WORKFORCE RETRAINING                         |                             | --                     | 34,417               | 517,957               | 552,374   |
| INFERTILITY SERVICES GRANTS                         |                             | --                     | 176,522              | 228,724               | 405,246   |
| MEDICAL INDEMNITY FUND                              |                             | --                     | --                   | --                    | --  |
| PART 405_4 HOSPITAL AUDITS                          |                             | --                     | --                   | 212,056               | 212,056   |
| PAY FOR PERFORMANCE                                 |                             | --                     | --                   | --                    | --  |
| PHYSICIAN EXCESS MEDICAL MALPRACTICE                |                             | --                     | --                   | --                    | --  |
| PHYSICIAN LOAN REPAYMENT                            |                             | --                     | 9,217                | 55,710                | 64,927  |
| PHYSICIAN PRACTICE SUPPORT                          |                             | --                     | --                   | --                    | --  |
| PHYSICIAN WORKFORCE STUDIES                         |                             | --                     | --                   | --                    | --  |
| POISON CONTROL CENTERS                              |                             | --                     | --                   | --                    | --  |
| POOL ADMINISTRATION                                 |                             | --                     | --                   | --                    | --  |
| ROSWELL PARK CANCER INSTITUTE                       |                             | --                     | --                   | --                    | --  |
| RPCI CANC RSRCH OPERATING COSTS                     |                             | --                     | --                   | --                    | --  |
| RURAL HEALTH CARE ACCESS                            |                             | --                     | --                   | 167,146               | 167,146   |
| RURAL HEALTH NETWORK                                |                             | --                     | 14,787               | 113,457               | 128,244   |
| SCHOOL BASED HEALTH CENTERS                         |                             | --                     | --                   | --                    | --  |
| SCHOOL BASED HEALTH CLINICS-POOL ADMN               |                             | --                     | --                   | --                    | --  |
| TOBACCO USE PREVENTION/CONTROL                      |                             | --                     | 160,014              | 7,458,108             | 7,618,122   |
| TRANSITION ACCT - PRIOR YEAR ALLOCATION             |                             | --                     | --                   | --                    | --  |



STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2012-2013

APPENDIX B  
 (continued)

| Program/Purpose  | Appropriation<br>Amount (1) | April<br>Disbursements | May<br>Disbursements  | June<br>Disbursements | Total Disbursements<br>3 Months Ending<br>June 30, 2012 (2) |
|--|-----------------------------|------------------------|-----------------------|-----------------------|---|
| <b>MEDICAL ASSISTANCE PROGRAM</b>  | \$ 18,568,492,000           | \$ --                  | \$ 2,107,000          | \$ --                 | \$ 2,107,000  |
| BREAST & CERVICAL CANCER   |                             | --                     | 2,107,000             | --                    | 2,107,000   |
| DISABLED PERSONS   |                             | --                     | 12,550,000            | 11,264,000            | 23,814,000  |
| FAMILY HEALTH PLUS   |                             | --                     | 52,703,900            | --                    | 52,703,900  |
| FINANCIAL ASSISTANCE   |                             | --                     | --                    | --                    | --  |
| HOME HEALTH RATE INCREASE  |                             | --                     | --                    | --                    | --  |
| INPATIENT NURSING HOME PHARMACIES  |                             | 200,000,000            | 247,339,100           | 106,427,000           | 553,766,100   |
| MEDICAID INDIGENT CARE   |                             | 69,015,282             | 64,500,745            | 64,330,991            | 197,847,018   |
| MEDICAL ASSISTANCE   |                             | --                     | --                    | 12,200,000            | 12,200,000  |
| NYC MEDICAID   |                             | --                     | --                    | 13,320,000            | 13,320,000  |
| PHYSICIAN SERVICES   |                             | --                     | --                    | 45,500,000            | 45,500,000  |
| PRIMARY CARE CASE MANAGEMENT   |                             | --                     | --                    | 2,009,000             | 2,009,000   |
| PSNL CRE WRKR RECR & RETEN NYC (3)   |                             | --                     | --                    | --                    | --  |
| PSNL CRE WRKR RECR & RETEN ROS (4)   |                             | --                     | --                    | --                    | --  |
| SUPPLEMENTAL MEDICAL INSURANCE   |                             | --                     | --                    | 7,260,000             | 7,260,000   |
| <b>OFFICE OF HEALTH INSURANCE PROGRAM</b>  | <b>19,810,800</b>           |                        |                       |                       |   |
| OFFICE OF HEALTH INSURANCE   |                             | 1,656,690              | 266,924               | 72,374                | 1,995,988   |
| <b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>   | <b>51,940,100</b>           |                        |                       |                       |   |
| OFFICE HEALTH SYSTEMS MANAGEMENT   |                             | 281,605                | 951,051               | 1,396,627             | 2,629,283   |
| <b>OFFICE OF LONG TERM CARE</b>  | <b>23,898,240</b>           |                        |                       |                       |   |
| ADULT HOME INITIATIVE  |                             | --                     | --                    | --                    | --  |
| ENABLE AIR CONDITIONING  |                             | --                     | --                    | --                    | --  |
| ENABLE QUALITY OF LIFE   |                             | --                     | --                    | --                    | --  |
| QUALITY PROG ADULT CARE FACILITIES   |                             | --                     | 31,949                | --                    | 31,949  |
| <b>TOTAL</b>   | <b>22,185,278,852</b>       | <b>271,878,132</b>     | <b>418,161,239</b>    | <b>310,994,317</b>    | <b>1,001,033,688</b>  |
| Transfer to the General Fund - State Purposes Account<br>(for administration of the program) | 636,003                     |                        |                       |                       |   |
| Reclass of SUNY Hospital Disprop Share to Transfer   |                             | (897,182)              | (795,965)             | (908,879)             | (2,602,026)   |
| Reconciling Adjustment (P-Card and T-Card)   |                             |                        | (1,284)               | (2,596)               | (3,880)   |
| <b>TOTAL APPROPRIATED AMOUNT</b>   | <b>\$ 22,185,914,855</b>    | <b>\$ 270,980,950</b>  | <b>\$ 417,363,990</b> | <b>\$ 310,082,842</b> | <b>\$ 998,427,782</b>                                       |

(1) Includes amounts appropriated in 2012 as well as prior year appropriations that were reappropriated in the SFY 2012 budget chapters.

(2) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(3) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(4) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK  
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - JUNE 2012  
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009  
(Disbursement Based)

APPENDIX C

| Federal CFDA No.                         | Federal Agency                                 | Program   | June Disbursements   | Life-to-Date Disbursements |
|--|--|---|----------------------|----------------------------|
| <b>Education</b>                         |  |   |                      |                            |
| 10.579                                   | Department of Agriculture                      | Child Nutrition Discretionary Grants Limited Availability   | \$ --                | \$ 5,824,761.24            |
| 11.557                                   | Department of Commerce                         | Broadband Technology Opportunities Program (BTOP)   | 509,787.41           | 7,740,685.28               |
| 45.025                                   | National Endowment for the Arts                | Promotion of the Arts - Partnership Agreements  | --                   | 399,900.00                 |
| 84.033                                   | Department of Education                        | Federal Work-Study Program  | --                   | 2,102,760.00               |
| 84.063                                   | Department of Education                        | Federal Pell Grant Program  | --                   | 147,198,591.00             |
| 84.384                                   | Department of Education                        | Statewide Data Systems, Recovery Act  | 263,460.15           | 2,828,393.78               |
| 84.385                                   | Department of Education                        | Teacher Incentive Fund, Recovery Act  | 6,253.70             | 139,894.20                 |
| 84.386                                   | Department of Education                        | Education Technology State Grants, Recovery Act   | 386,526.20           | 50,837,143.36              |
| 84.387                                   | Department of Education                        | Education for Homeless Children and Youth, Recovery Act   | --                   | 6,039,255.00               |
| 84.388                                   | Department of Education                        | School Improvement Grants, Recovery Act   | 3,609,111.54         | 79,670,390.22              |
| 84.389                                   | Department of Education                        | Title I Grants to Local Education Agencies, Recovery Act  | 87,234.00            | 906,208,495.00             |
| 84.390                                   | Department of Education                        | Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act                  | --                   | 25,694,044.00              |
| 84.391                                   | Department of Education                        | Special Education Grants to States, Recovery Act  | --                   | 755,867,980.05             |
| 84.392                                   | Department of Education                        | Special Education - Preschool Grants, Recovery Act  | --                   | 34,302,395.00              |
| 84.394                                   | Department of Education                        | State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act                       | --                   | 2,468,557,791.00           |
| 84.395                                   | Department of Education                        | State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act             | 9,245,439.37         | 53,042,981.82              |
| 84.397                                   | Department of Education                        | State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act                          | --                   | 527,364,018.81             |
| 84.398                                   | Department of Education                        | Independent Living State Grants, Recovery Act   | --                   | 856,884.00                 |
| 84.399                                   | Department of Education                        | Independent Living Services for Older Individuals Who are Blind, Recovery Act                       | --                   | 2,297,731.00               |
| 84.410                                   | Department of Education                        | Education Jobs Fund   | 34,535,629.00        | 481,975,248.00             |
| 93.407                                   | Health and Human Services                      | ARRA - Scholarships for Disadvantaged Students  | --                   | 411,249.00                 |
| <b>Total Education</b>                   |  |   | <b>48,643,441.37</b> | <b>5,559,360,591.76</b>    |
| <b>Energy and Environment</b>            |  |   |                      |                            |
| 10.086                                   | Department of Agriculture                      | Aquaculture Grants Program (AGP)  | --                   | 7,611.86                   |
| 10.688                                   | Department of Agriculture                      | Recovery Act of 2009: Wildland Fire Management  | --                   | 617,163.65                 |
| 66.039                                   | Environmental Protection Agency                | National Clean Diesel Emissions Reduction Program   | --                   | 1,000,000.00               |
| 66.040                                   | Environmental Protection Agency                | State Clean Diesel Grant Program  | --                   | 1,635,086.95               |
| 66.454                                   | Environmental Protection Agency                | Water Quality Management Planning   | --                   | 4,132,731.39               |
| 66.458                                   | Environmental Protection Agency                | Capitalization Grants for Clean Water State Revolving Funds   | 19,641,901.19        | 313,721,802.11             |
| 66.468                                   | Environmental Protection Agency                | Capitalization Grants for Drinking Water State Revolving Funds                                      | --                   | 82,218,997.77              |
| 66.805                                   | Environmental Protection Agency                | Leaking Underground Storage Tank Trust Fund Corrective Action Program                               | --                   | 9,212,000.00               |
| 81.042                                   | Department of Energy                           | Weatherization Assistance for Low-Income Persons  | 3,530,855.42         | 388,825,505.94             |
| 81.122                                   | Department of Energy                           | Electricity Delivery and Energy Reliability, Research, Development and Analysis                     | --                   | 320,956.07                 |
| <b>Total Energy and Environment</b>      |  |   | <b>23,172,756.61</b> | <b>801,691,855.74</b>      |
| <b>Food and Nutrition Services</b>       |  |   |                      |                            |
| 10.568                                   | Department of Agriculture                      | Emergency Food Assistance Program (Administrative Costs)  | --                   | 4,891,302.00               |
| 93.705                                   | Health and Human Services                      | Aging Home-Delivered Nutrition Services for States  | --                   | 2,042,446.00               |
| 93.707                                   | Health and Human Services                      | Aging Congregate Nutrition Services for States  | --                   | 4,148,718.00               |
| <b>Total Food and Nutrition Services</b> |  |   | <b>--</b>            | <b>11,082,466.00</b>       |
| <b>Health and Social Services</b>        |  |   |                      |                            |
| 10.557                                   | Department of Agriculture                      | Special Supplemental Nutrition Program for Women, Infants & Children (WIC)                          | --                   | 5,468,978.00               |
| 10.561                                   | Department of Agriculture                      | State Administrative Matching Grants for the Supplemental Nutrition Assistance Program              | --                   | 24,402,283.00              |
| 10.578                                   | Department of Agriculture                      | WIC Grants To States (WGS)  | 18,752.51            | 1,449,087.83               |
| 14.257                                   | Department of Housing and Urban Development    | Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)                          | 643.22               | 25,143,349.13              |
| 84.393                                   | Department of Education                        | Special Education - Grants for Infants and Families, Recovery Act                                   | --                   | 26,028,811.83              |
| 93.563                                   | Health and Human Services                      | Child Support Enforcement   | --                   | 76,378,833.69              |
| 93.658                                   | Health and Human Services                      | Foster Care- Title IV-E   | --                   | 53,978,181.00              |
| 93.659                                   | Health and Human Services                      | Adoption Assistance   | --                   | 60,062,684.00              |
| 93.708                                   | Health and Human Services                      | ARRA - Head Start   | 28,878.18            | 1,094,607.90               |
| 93.712                                   | Health and Human Services                      | ARRA - Immunization   | --                   | 4,275,750.26               |
| 93.713                                   | Health and Human Services                      | ARRA - Child Care and Development Block Grant   | --                   | 96,785,640.00              |
| 93.714                                   | Health and Human Services                      | ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs | --                   | 723,023,290.00             |
| 93.725                                   | Health and Human Services                      | ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program              | 159,315.50           | 1,036,245.06               |
| 93.778                                   | Health and Human Services                      | Medical Assistance Program (FMAP)   | 31,567,519.25        | 13,366,661,290.80          |
| 94.006                                   | Corporation for National and Community Service | AmeriCorps  | --                   | 6,672,738.91               |
| <b>Total Health and Social Services</b>  |  |   | <b>31,775,108.66</b> | <b>14,472,461,771.41</b>   |

STATE OF NEW YORK  
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - JUNE 2012  
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009  
 (Disbursement Based)

APPENDIX C  
 (continued)

| Federal CFDA No.         | Federal Agency               | Program   | June Disbursements       | Life-to-Date Disbursements  |
|--------------------------|------------------------------|---|--------------------------|-----------------------------|
| <b>Housing</b>           |                              |   |                          |                             |
| 84.397                   | Department of Education      | State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act                                    | \$ --                    | \$ 21,875,000.00            |
| 93.710                   | Health and Human Services    | ARRA - Community Services Block Grant   | --                       | 85,384,063.91               |
|                          |                              | <b>Total Housing</b>  | <b>--</b>                | <b>107,259,063.91</b>       |
| <b>Labor</b>             |                              |   |                          |                             |
| 17.207                   | Department of Labor          | Employment Service/Wanger-Peyser Funded Activities  | --                       | 22,855,217.00               |
| 17.225                   | Department of Labor          | Unemployment Insurance  | 50,517,074.87            | 12,203,094,334.02           |
| 17.235                   | Department of Labor          | Senior Community Service - Employment Program   | --                       | 1,539,762.38                |
| 17.258                   | Department of Labor          | Workforce Investment Act - Adult Program  | --                       | 31,516,111.00               |
| 17.259                   | Department of Labor          | Workforce Investment Act - Youth Activities   | --                       | 71,526,360.00               |
| 17.260                   | Department of Labor          | Workforce Investment Act - Dislocated Workers   | 12,526.00                | 70,083,198.66               |
| 17.275                   | Department of Labor          | Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors  | --                       | 1,112,175.14                |
|                          |                              | <b>Total Labor</b>  | <b>50,529,600.87</b>     | <b>12,401,727,158.20</b>    |
| <b>Public Protection</b> |                              |   |                          |                             |
| 11.558                   | Department of Commerce       | State Broadband Data and Development Grant Program  | --                       | 1,715,103.25                |
| 12.401                   | Department of Defense        | National Guard Military Operations and Maintenance (O&M) Projects   | --                       | 7,416,726.40                |
| 16.588                   | Department of Justice        | Violence Against Women Formula Grants   | 210,290.48               | 7,211,575.74                |
| 16.800                   | Department of Justice        | Recovery Act - Internet Crimes against Children Task Force Program (ICAC)                                     | 30,081.14                | 870,202.50                  |
| 16.801                   | Department of Justice        | Recovery Act - State Victim Assistance Formula Grant Program  | --                       | 1,788,999.08                |
| 16.802                   | Department of Justice        | Recovery Act - State Victim Compensation Formula Grant Program  | --                       | 2,828,986.58                |
| 16.803                   | Department of Justice        | Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories | 1,952,637.48             | 45,320,881.70               |
|                          |                              | <b>Total Public Protection</b>  | <b>2,193,009.10</b>      | <b>67,152,475.25</b>        |
| <b>Transportation</b>    |                              |   |                          |                             |
| 20.205                   | Department of Transportation | Highway Planning and Construction   | (2,860,577.64)           | 844,054,811.85              |
| 20.319                   | Department of Transportation | High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants                    | (88,477.44)              | 4,846,273.58                |
| 20.509                   | Department of Transportation | Formula Grants for Other Than Urbanized Areas   | --                       | 19,888,840.41               |
|                          |                              | <b>Total Transportation</b>   | <b>(2,949,055.08)</b>    | <b>868,789,925.84</b>       |
|                          |                              | <b>TOTAL ARRA DISBURSEMENTS</b>   | <b>\$ 153,364,861.53</b> | <b>\$ 34,289,525,308.11</b> |

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2012-2013**

|  | <u>2012<br/>APRIL</u>    | <u>2012<br/>MAY</u>      | <u>2012<br/>JUNE</u>     | <u>2012-2013</u>          |
|--|--------------------------|--------------------------|--------------------------|---------------------------|
| <b>OPENING CASH BALANCE</b>  | \$ 286,758,937.54        | \$ 320,349,992.90        | \$ 270,557,023.83        | \$ 286,758,937.54         |
| <b>RECEIPTS:</b>   |                          |                          |                          |                           |
| Patient Services   | 231,291,195.54           | 233,844,638.81           | 154,082,852.57           | 619,218,686.92            |
| Covered Lives  | 87,300,953.95            | 87,264,965.33            | 44,180,872.88            | 218,746,792.16            |
| Provider Assessments   | 5,840,422.64             | 7,127,230.66             | 5,254,438.20             | 18,222,091.50             |
| 1% Assessments   | 24,672,829.00            | 28,348,184.00            | 27,299,344.00            | 80,320,357.00             |
| DASNY- MOE/Recast receivables  | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| Interest Income  | 18,499.48                | 19,282.89                | 16,994.79                | 54,777.16                 |
| NYPHRM   | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| Hospital Quality Contribution  | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| Unassigned   | 606,999.38               | (2,900,782.00)           | 2,241,201.00             | (52,581.62)               |
| <b>Total Receipts</b>  | <b>349,730,899.99</b>    | <b>353,703,519.69</b>    | <b>233,075,703.44</b>    | <b>936,510,123.12</b>     |
| <b>DISBURSEMENTS:</b>  |                          |                          |                          |                           |
| <b>Program Disbursements:</b>  |                          |                          |                          |                           |
| Poison Control Centers   | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| School Based Health Center Grants  | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| ECRIP Distributions  | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| <b>Total Disbursements</b>   | <b>0.00</b>              | <b>0.00</b>              | <b>0.00</b>              | <b>0.00</b>               |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>349,730,899.99</b>    | <b>353,703,519.69</b>    | <b>233,075,703.44</b>    | <b>936,510,123.12</b>     |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                          |                          |                          |                           |
| <b>Transfers from Other Pools:</b>   |                          |                          |                          |                           |
| Medicaid Disproportionate Share  | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| Health Facility Assessment Fund - Hospital Quality Contribution  | 4,055,886.00             | 2,699,644.00             | 3,093,557.00             | 9,849,087.00              |
| <b>Transfers From State Funds:</b>   |                          |                          |                          |                           |
| HCRA Resources Fund  | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| HCRA Resources Fund FMAP   | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| <b>Total Other Financing Sources</b>   | <b>4,055,886.00</b>      | <b>2,699,644.00</b>      | <b>3,093,557.00</b>      | <b>9,849,087.00</b>       |
| <b>Transfers to Other Pools:</b>   |                          |                          |                          |                           |
| Medicaid Disproportionate Share  | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| Health Facility Assessment Fund  | 0.00                     | 0.00                     | 0.00                     | 0.00                      |
| <b>Transfers to State Funds:</b>   |                          |                          |                          |                           |
| HCRA Resources Fund  | (248,322,311.13)         | (341,905,148.91)         | (304,099,862.24)         | (894,327,322.28)          |
| Indigent Care Fund (matched)   | (69,420,428.13)          | (63,465,574.51)          | (64,707,225.99)          | (197,593,228.63)          |
| Indigent Care Fund (non-matched)   | (2,452,991.37)           | (825,409.34)             | (825,409.34)             | (4,103,810.05)            |
| <b>Total Other Financing Uses</b>  | <b>(320,195,730.63)</b>  | <b>(406,196,132.76)</b>  | <b>(369,632,497.57)</b>  | <b>(1,096,024,360.96)</b> |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources<br/>over Disbursements and Other Financing Uses</b> | <b>33,591,055.36</b>     | <b>(49,792,969.07)</b>   | <b>(133,463,237.13)</b>  | <b>(149,665,150.84)</b>   |
| <b>CLOSING CASH BALANCE</b>  | <b>\$ 320,349,992.90</b> | <b>\$ 270,557,023.83</b> | <b>\$ 137,093,786.70</b> | <b>\$ 137,093,786.70</b>  |

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2012-2013**

|  | 2012<br>APRIL           | 2012<br>MAY             | 2012<br>JUNE           | 2012-2013               |
|--|-------------------------|-------------------------|------------------------|-------------------------|
| <b>OPENING CASH BALANCE</b>  | \$ 12,566.79            | \$ 70,041,712.26        | \$ 1,780.18            | \$ 12,566.79            |
| <b>RECEIPTS:</b>   |                         |                         |                        |                         |
| Interest Income  | 2.17                    | 1,780.18                | 1,064.46               | 2,846.81                |
| <b>Total Receipts</b>  | <u>2.17</u>             | <u>1,780.18</u>         | <u>1,064.46</u>        | <u>2,846.81</u>         |
| <b>DISBURSEMENTS:</b>  |                         |                         |                        |                         |
| <b>Program Disbursements:</b>  |                         |                         |                        |                         |
| Indigent Care  | 0.00                    | (124,982,988.91)        | (63,817,532.00)        | (188,800,520.91)        |
| High Need Indigent Care  | 0.00                    | (7,655,760.00)          | 0.00                   | (7,655,760.00)          |
| Other  | 0.00                    | 0.00                    | 0.00                   | 0.00                    |
| <b>Total Program Disbursements</b>   | <u>0.00</u>             | <u>(132,638,748.91)</u> | <u>(63,817,532.00)</u> | <u>(196,456,280.91)</u> |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <u>2.17</u>             | <u>(132,636,968.73)</u> | <u>(63,816,467.54)</u> | <u>(196,453,434.10)</u> |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                         |                         |                        |                         |
| <b>Transfers from Other Pools:</b>   |                         |                         |                        |                         |
| Public Goods Pool  | 0.00                    | 0.00                    | 0.00                   | 0.00                    |
| Health Facility Assessment Fund  | 0.00                    | 0.00                    | 0.00                   | 0.00                    |
| <b>Transfers From State Funds:</b>   |                         |                         |                        |                         |
| HCRA Resources Indigent Care - Matched   | 34,710,214.07           | 31,732,787.26           | 32,353,613.00          | 98,796,614.33           |
| HCRA Resources Indigent Care - Unmatched   | 2,040,286.70            | 412,704.67              | 412,704.67             | 2,865,696.04            |
| HCRA Resources Indigent Care - FMAP  | (1,429,422.71)          | (1,281,240.36)          | (1,302,398.66)         | (4,013,061.73)          |
| Federal DHHS Fund  | 34,710,214.06           | 31,732,787.25           | 32,353,612.99          | 98,796,614.30           |
| <b>Total Other Financing Sources</b>   | <u>70,031,292.12</u>    | <u>62,597,038.82</u>    | <u>63,817,532.00</u>   | <u>196,445,862.94</u>   |
| <b>Transfers to Other Pools:</b>   |                         |                         |                        |                         |
| Public Goods Pool  | 0.00                    | 0.00                    | 0.00                   | 0.00                    |
| Health Facility Assessment Fund  | 0.00                    | 0.00                    | 0.00                   | 0.00                    |
| <b>Transfers to State Funds:</b>   |                         |                         |                        |                         |
| HCRA Resources Fund Indigent Care Acct   | (2,148.82)              | (2.17)                  | (1,780.18)             | (3,931.17)              |
| <b>Total Other Financing Uses</b>  | <u>(2,148.82)</u>       | <u>(2.17)</u>           | <u>(1,780.18)</u>      | <u>(3,931.17)</u>       |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <u>70,029,145.47</u>    | <u>(70,039,932.08)</u>  | <u>(715.72)</u>        | <u>(11,502.33)</u>      |
| <b>CLOSING CASH BALANCE</b>  | <u>\$ 70,041,712.26</u> | <u>\$ 1,780.18</u>      | <u>\$ 1,064.46</u>     | <u>\$ 1,064.46</u>      |

Source: HCRA - Office of Pool Administration

SUMMARY OF OFF-BUDGET SPENDING REPORT

|   | DISBURSED<br>APRIL '12<br>(000) | DISBURSED<br>MAY '12<br>(000) | DISBURSED<br>JUNE '12<br>(000) | DISBURSED<br>JULY '12<br>(000) | DISBURSED<br>AUG '12<br>(000) | DISBURSED<br>SEPT '12<br>(000) | DISBURSED<br>OCT '12<br>(000) | DISBURSED<br>NOV '12<br>(000) | DISBURSED<br>DEC '12<br>(000) | DISBURSED<br>JAN '13<br>(000) | DISBURSED<br>FEB '13<br>(000) | DISBURSED<br>MAR '13<br>(000) | DISBURSED<br>TOTAL 12-13<br>(000) |
|---|---------------------------------|-------------------------------|--------------------------------|--------------------------------|-------------------------------|--------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-----------------------------------|
| <b>DORMITORY AUTHORITY:</b>                 |                                 |                               |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               |                                   |
| Education - All Other                       | 8                               | 12                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 20                                |
| Education - EXCEL                           | 7,243                           | 13,924                        |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 21,167                            |
| Department of Health - All Other            | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| CEFAP                                       | 1,289                           | 738                           |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 2,027                             |
| Regional Development:                       |                                 |                               |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               |                                   |
| CCAP/RESTORE                                | 906                             | 734                           |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 1,640                             |
| Multi-modal                                 | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| GenYsis                                     | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| CUNY Senior Colleges                        | 17,285                          | 23,690                        |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 40,975                            |
| CUNY Community Colleges                     | 8,160                           | 6,585                         |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 14,745                            |
| SUNY Dormitories                            | 14,723                          | 19,531                        |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 34,254                            |
| Upstate Community Colleges                  | 2,213                           | 10,115                        |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 12,328                            |
| Mental Health                               | 5,495                           | 5,651                         |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 11,146                            |
| Developmental Disabilities                  | 1,569                           | 1,520                         |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 3,089                             |
| Alcoholism & Substance Abuse                | 89                              | 9                             |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 98                                |
| Brooklyn Court Officer Training Academy     | 2                               | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 2                                 |
| <b>TOTAL DORMITORY AUTHORITY:</b>           | <b>58,982</b>                   | <b>82,509</b>                 | --                             | --                             | --                            | --                             | --                            | --                            | --                            | --                            | --                            | --                            | <b>141,491</b>                    |
| <b>EMPIRE STATE DEVELOPMENT CORP:</b>       |                                 |                               |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               |                                   |
| Regional Development:                       |                                 |                               |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               |                                   |
| Centers of Excellence                       | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| CCAP  | 54                              | 284                           |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 338                               |
| Empire Opportunity                          | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| CEFAP                                       | --                              | 20                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 20                                |
| State Facilities and Equipment              | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| <b>TOTAL EMPIRE STATE DEVELOPMENT CORP:</b> | <b>54</b>                       | <b>304</b>                    | --                             | --                             | --                            | --                             | --                            | --                            | --                            | --                            | --                            | --                            | <b>358</b>                        |
| <b>THRUWAY AUTHORITY:</b>                   |                                 |                               |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               |                                   |
| CHIPS                                       | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| SHIPS                                       | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| Marchiselli                                 | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| Multi-modal                                 | --                              | 5                             |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 5                                 |
| <b>TOTAL THRUWAY AUTHORITY:</b>             | <b>--</b>                       | <b>5</b>                      | --                             | --                             | --                            | --                             | --                            | --                            | --                            | --                            | --                            | --                            | <b>5</b>                          |
| <b>TOTAL OFF-BUDGET:</b>                    | <b>59,036</b>                   | <b>82,818</b>                 | --                             | --                             | --                            | --                             | --                            | --                            | --                            | --                            | --                            | --                            | <b>141,854</b>                    |
| TOTAL CEFAP                                 | 1,289                           | 758                           |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 2,047                             |
| <b>ECONOMIC DEVELOPMENT:</b>                |                                 |                               |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               |                                   |
| Total CCAP                                  | 960                             | 1,018                         |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | 1,978                             |
| Total Multi-modal                           | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| Total GenYsis                               | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| Total Centers for Excellence                | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| Total Empire Opportunity                    | --                              | --                            |                                |                                |                               |                                |                               |                               |                               |                               |                               |                               | --                                |
| Total Economic Development                  | 960                             | 1,018                         | --                             | --                             | --                            | --                             | --                            | --                            | --                            | --                            | --                            | --                            | 1,978                             |

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

## Schedule of Month-End Temporary Loans Outstanding June 30, 2012

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.

**Office of the State Comptroller  
Summary of Month-End Temporary Loans Outstanding**

|  | February 29, 2012         | March 31, 2012            | April 30, 2012            | May 31, 2012              | Change                  | June 30, 2012             |
|--|---------------------------|---------------------------|---------------------------|---------------------------|-------------------------|---------------------------|
| <b>TOTAL GENERAL FUND</b>                        | \$ --                     | \$ --                     | \$ --                     | \$ --                     | \$ --                   | \$ --                     |
| <b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b> | 1,395,800,117.85          | 930,502,988.49            | 996,382,553.56            | 992,537,739.85            | 78,043,927.27           | 1,070,581,667.12          |
| <b>TOTAL STATE SPECIAL REVENUE FUNDS</b>         | 1,753,001,705.56          | 455,159,430.76            | 693,520,179.13            | 600,161,407.72            | 189,334,109.06          | 789,495,516.78            |
| <b>TOTAL FEDERAL FUNDS</b>                       | 1,062,501,962.97          | 341,941,278.04            | 509,997,369.27            | 902,329,379.36            | 278,853,814.03          | 1,181,183,193.39          |
| <b>TOTAL AGENCY FUNDS</b>                        | --                        | --                        | --                        | --                        | --                      | --                        |
| <b>TOTAL ENTERPRISE FUND</b>                     | --                        | --                        | --                        | --                        | --                      | --                        |
| <b>TOTAL INTERNAL SERVICE FUNDS</b>              | 100,452,083.88            | 37,435,452.57             | 38,789,199.51             | 57,340,659.79             | 4,814,119.10            | 62,154,778.89             |
| <b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b> | <b>\$4,311,755,870.26</b> | <b>\$1,765,039,149.86</b> | <b>\$2,238,689,301.47</b> | <b>\$2,552,369,186.72</b> | <b>\$551,045,969.46</b> | <b>\$3,103,415,156.18</b> |





Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

| SFS Fund   | CAS Fund | ACCOUNT | ACCOUNT TITLE                        | February 29, 2012                             | March 31, 2012          | April 30, 2012          | May 31, 2012            | Change                 | June 30, 2012             |
|--|----------|---------|--------------------------------------|---|-------------------------|-------------------------|-------------------------|------------------------|---------------------------|
| 32302  | 38903    | -02     | DSAS-COMMUNITY FACILITIES            | 398,869.67                                    | 398,869.67              | 398,869.67              | 398,869.67              | 0.00                   | 398,869.67                |
| 32303  | 38907    | -03     | OMH-COMMUNITY FACILITIES             | 126,430,763.23                                | 117,353,458.14          | 119,261,818.58          | 119,228,777.28          | 1,447,517.79           | 120,676,295.07            |
| 32304  | 38908    | -04     | OPWDD-COMMUNITY FACILITIES           | 0.00  | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 32305  | 38909    | -05     | OASAS-COMMUNITY FACILITIES           | 168,129,205.08                                | 165,484,591.21          | 165,484,591.21          | 155,116,270.89          | 689,486.92             | 155,805,757.81            |
| 32306  | 38930    | -06     | DASNY - OMH ADMIN                    | 26,722,999.06                                 | 23,132,572.63           | 23,132,572.63           | 23,409,859.63           | 114,032.75             | 23,523,892.38             |
| 32307  | 38931    | -07     | DASNY - OPWDD ADMIN                  | 8,140,102.76                                  | 3,834,298.65            | 3,834,298.65            | 3,834,298.65            | 0.00                   | 3,834,298.65              |
| 32308  | 38933    | -08     | DASNY - OASAS ADMIN                  | 306,227.60                                    | 144,881.81              | 144,881.81              | 144,881.81              | 0.00                   | 277,381.81                |
| 32309  | 38950    | -09     | OMH -STATE FACILITIES                | 46,326,479.38                                 | 47,629,970.68           | 49,040,506.69           | 33,928,282.74           | 2,373,053.05           | 36,301,335.79             |
| 32310  | 38951    | -10     | OPWDD -STATE FACILITIES              | 0.00  | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 32311  | 38953    | -11     | OASAS -STATE FACILITIES              | 2,645,048.74                                  | 1,822,834.58            | 1,822,834.58            | 2,273,918.57            | 326,414.56             | 2,600,333.13              |
| 32351  | 39901    | -51     | CORR. FACILITIES CAPITAL IMPROVEMENT | 11,110.01                                     | 11,110.01               | 11,110.01               | 11,110.01               | 0.00                   | 11,110.01                 |
| 32352  | 39903    | -52     | DOCS-REHABILITATION PROJECTS         | 53,328,641.86                                 | 84,307,839.24           | 84,642,746.76           | 110,065,555.67          | 21,140,897.28          | 131,206,452.95            |
| <b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b> |          |         |                                      | <b>\$1,395,800,117.85</b>                     | <b>\$930,502,988.49</b> | <b>\$996,382,553.56</b> | <b>\$992,537,739.85</b> | <b>\$78,043,927.27</b> | <b>\$1,070,581,667.12</b> |
| <b>STATE SPECIAL REVENUE FUNDS</b>               |          |         |                                      |   |                         |                         |                         |                        |                           |
| 20451  | 05001    | 204     | -51                                  | TUITION REIMBURSEMENT FUND                    | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 20452  | 05002    |         | -52                                  | VOCATIONAL SCHOOL SUPERVISION                 | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 20501  | 05201    | 205     | -01                                  | LOCAL GOVERNMENT RECORDS MGMT                 | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 20812  | 061AF    | 208     | -12                                  | HOSPITAL BASED GRANTS PROGRAM                 | 1,076,260.54            | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 20818  | 061J6    |         | -18                                  | EPIC PREMIUM ACCOUNT                          | 17,710,708.88           | 473,414.72              | 473,414.72              | 0.00                   | 0.00                      |
| 20810  | 06129    |         | -10                                  | CHILD HEALTH INSURANCE                        | 48,881,730.24           | 85,137,545.71           | 85,329,648.08           | 104,116,984.62         | (57,620,495.69)           |
| 20901  | 16003    | 209     | -01                                  | LOTTERY-EDUCATION                             | 597,986,030.59          | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 20904  | 16006    |         | -04                                  | VLT EDUCATION                                 | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21001  | 30001    | 210     | -01                                  | ENVIR FAC CORP ADM ACCT                       | 1,131,674.81            | 325,199.82              | 325,199.82              | 325,199.82             | 0.00                      |
| 21002  | 30002    |         | -02                                  | ENCON ADMIN ACCT                              | 2,709,881.21            | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21053  | 30148    |         | -48                                  | WASTE MGMT & CLEANUP                          | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21061  | 301F7    |         | -61                                  | HAZARDOUS BULK STORAGE                        | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21064  | 301H4    |         | -64                                  | ENCON-UTILITY ENVIRONMENTAL REGULATION        | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21065  | 301C     |         | -65                                  | FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT | 934,192.49              | 1,682,158.92            | 2,358,743.63            | 2,967,787.29           | 610,376.98                |
| 21066  | 301K5    |         | -66                                  | ENCON-LOW LEVEL RADIOACTIVE WASTE SITING      | 3,771,909.80            | 3,996,886.78            | 4,122,176.54            | 3,540,553.07           | 380,987.59                |
| 21067  | 301K6    |         | -67                                  | ENCON-RECREATION                              | 8,761,925.11            | 8,536,941.43            | 8,974,673.97            | 8,569,104.43           | 483,414.97                |
| 21077  | 301PS    |         | -77                                  | PUBLIC SAFETY RECOVERY ACCOUNT                | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21080  | 301S4    |         | -80                                  | ENCON CONSERVATIONIST MAGAZINE ACCT           | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21081  | 301S5    |         | -81                                  | ENVIRONMENTAL REGULATORY                      | 21,750,074.37           | 20,950,149.81           | 22,092,914.52           | 22,467,463.75          | 3,876,330.12              |
| 21082  | 301S6    |         | -82                                  | NATURAL RESOURCES ACCOUNT                     | 21,696,736.01           | 21,703,244.98           | 21,904,193.92           | 21,739,059.67          | 232,323.42                |
| 21084  | 301XB    |         | -84                                  | MINED LAND RECLAMATION ACCT                   | 0.00                    | 0.00                    | 147,679.42              | 181,809.17             | 308,910.02                |
| 21087  | 301GL    |         | -87                                  | GREAT LAKES RESTORATION INITIATIVE            | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21401  | 31301    | 214     | -01                                  | PUBLIC TRANSPORTATION SYSTEMS                 | 7,847,110.99            | 670,861.55              | 0.00                    | 7,353,671.07           | (7,353,671.07)            |
| 21402  | 31302    |         | -02                                  | METROPOLITAN MASS TRANSPORTATION              | 355,920,149.13          | 0.00                    | 0.00                    | 58,052,258.72          | 42,237,442.10             |
| 21451  | 31401    |         | -51                                  | OPERATING PERMIT PROGRAM                      | 14,758,926.67           | 15,397,189.24           | 15,795,582.27           | 16,017,201.61          | 1,002,683.76              |
| 21452  | 31402    |         | -52                                  | MOBILE SOURCE                                 | 0.00                    | 1,396,962.27            | 342,171.22              | 0.00                   | 0.00                      |
| 21902  | 33903    | 219     | -02                                  | HEALTH-SPARC'S                                | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21903  | 33905    |         | -03                                  | OPWDD PROVIDER OF SERVICE                     | 301,062,425.86          | 0.00                    | 28,635,997.09           | 54,695,342.02          | 32,032,850.76             |
| 21905  | 33908    |         | -05                                  | NYS THRUWAY AUTHORITY                         | 2,281,305.33            | 2,302,814.15            | 1,287,258.58            | 209,383.33             | (209,383.33)              |
| 21907  | 33910    |         | -07                                  | MENTAL HYGIENE PROGRAM                        | 0.00                    | 0.00                    | 104,451,756.96          | 0.00                   | 161,475,622.35            |
| 21909  | 33913    |         | 09                                   | MENTAL HYGIENE PATIENT INCOME ACCOUNT         | 0.00                    | 0.00                    | 88,031,613.76           | 8,971,223.38           | (7,911,536.31)            |
| 21911  | 33915    |         | -11                                  | FINANCIAL CONTROL BOARD                       | 563,816.96              | 757,179.53              | 106,169.94              | 214,939.82             | 107,962.45                |
| 21912  | 33916    |         | -12                                  | RACING REGULATION ACCOUNT                     | 5,412,469.15            | 5,805,490.63            | 5,789,132.64            | 5,566,051.84           | 50,917.39                 |
| 21913  | 33917    |         | -13                                  | RACING REGULATION ACCOUNT                     | 3,706,312.74            | 7,960,404.31            | 8,446,630.69            | 9,321,576.09           | 662,216.82                |
| 21915  | 33920    |         | -15                                  | QUALITY OF CARE                               | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 19,080,887.04             |
| 21919  | 33925    |         | -19                                  | CYBER SECURITY UPGRADE                        | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21920  | 33926    |         | -20                                  | CERTIFICATE OF NEED ACCT                      | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21934  | 33944    |         | -34                                  | HOSPITAL AND NURSING HOME MANAGEMENT          | 0.00                    | 2,628,042.30            | 121,206.76              | 0.00                   | 0.00                      |
| 21937  | 33947    |         | -37                                  | SU DORM INCOME REIMBURSE                      | 0.00                    | 0.00                    | 10,367,360.47           | 600,294.72             | 9,013,731.03              |
| 21943  | 33960    |         | -43                                  | ENERGY RESEARCH ACCOUNT                       | 16,789,498.94           | 1,559,498.94            | 1,559,498.94            | 1,559,498.94           | 0.00                      |
| 21945  | 33962    |         | -45                                  | CRIMINAL JUSTICE IMPROVEMENT                  | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21950  | 33968    |         | -50                                  | FINGERPRINT IDENTIFICATION & TECH ACCOUNT     | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21959  | 33981    |         | -59                                  | ENV LAB REF FEE                               | 0.00                    | 0.00                    | 14,209.35               | 150,217.32             | 169,892.94                |
| 21962  | 33990    |         | -62                                  | CLINICAL LAB FEE                              | 21,304,835.13           | 20,498,907.92           | 20,450,685.83           | 20,804,772.31          | 687,823.47                |
| 21964  | 33993    |         | -64                                  | PUBLIC EMP REL BOARD                          | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21965  | 33995    |         | -65                                  | RADIOLOGICAL HEALTH PROTECTION                | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21969  | 339A4    |         | -69                                  | TEACHER CERTIFICATION PROGRAM                 | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21970  | 339A5    |         | -70                                  | BANKING DEPARTMENT ACCOUNT                    | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21971  | 339A6    |         | -71                                  | CABLE TELEVISION                              | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21977  | 339AG    |         | -77                                  | DOS BUSINESS AND LICENSING                    | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21978  | 339AH    |         | -78                                  | INDIRECT COST RECOVERY                        | 3,271,866.85            | 0.00                    | 5,462,650.83            | 6,783,550.47           | (2,682,084.45)            |
| 21979  | 339AI    |         | -79                                  | HIGH SCHOOL EQUIVALENCY PROGRAM               | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21983  | 339AQ    |         | -83                                  | RAIL SAFETY INSPECTION                        | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21988  | 339AX    |         | -88                                  | CHILD SUPPORT INCENTIVE REVENUE               | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 242.34                    |
| 21989  | 339AY    |         | -89                                  | MULTI - AGENCY TRAINING ACCOUNT               | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |
| 21992  | 339B3    |         | -92                                  | CRITICAL INFRASTRUCTURE ACCT                  | 0.00                    | 0.00                    | 0.00                    | 0.00                   | 0.00                      |

Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

| SFS Fund                                 | CAS Fund | ACCOUNT     | ACCOUNT TITLE                        | February 29, 2012                              | March 31, 2012          | April 30, 2012          | May 31, 2012            | Change                  | June 30, 2012             |                 |                |     |
|--|----------|-------------|--------------------------------------|--|-------------------------|-------------------------|-------------------------|-------------------------|---------------------------|-----------------|----------------|-----|
| 21994                                    | 339B6    | -94         | INSURANCE DEPT                       | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22003                                    | 339BJ    | 220         | BELL JAR COLLECTION ACCOUNT          | 0.00   | 0.00                    | 79,109.91               | 0.00                    | 48,515.05               | 48,515.05                 |                 |                |     |
| 22004                                    | 339BK    | -04         | INDUSTRY AND UTILITY SERVICE         | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22006                                    | 339BP    | -06         | REAL PROPERTY DISPOSITION            | 0.00   | 0.00                    | 19,021.24               | 35,123.40               | 20,542.65               | 55,666.05                 |                 |                |     |
| 22007                                    | 339BQ    | -07         | PARKING ACCOUNT                      | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22009                                    | 339BW    | -09         | ASBESTOS SAFETY TRAINING             | 89,933.73                                      | 122,124.23              | 135,261.71              | 148,417.19              | 13,155.48               | 161,572.67                |                 |                |     |
| 22011                                    | 339C3    | -11         | PUBLIC SERVICE                       | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22021                                    | 339CM    | -21         | REG MANUFACTURED HOUSING             | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22027                                    | 339CU    | -27         | SPECIAL CONSERVATION ACTIVITIES      | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22032                                    | 339D9    | -32         | BATAVIA SCHOOL FOR THE BLIND         | 9,726,560.15                                   | 5,700,331.94            | 6,217,613.89            | 6,289,176.85            | (691,769.25)            | 5,597,407.60              |                 |                |     |
| 22034                                    | 339DC    | -34         | INVESTMENT SERVICES                  | 0.00   | 0.00                    | 0.00                    | 84,219.79               | 148,829.09              | 233,048.88                |                 |                |     |
| 22036                                    | 339DE    | -36         | SURPLUS PROPERTY ACCOUNT             | 0.00   | 0.00                    | 0.00                    | 0.00                    | 35.25                   | 35.25                     |                 |                |     |
| 22038                                    | 339DH    | -38         | OPWDD DAY SERVICES ACCOUNT           | 2,178,174.98                                   | 2,178,174.98            | 2,178,174.98            | 2,178,174.98            | 0.00                    | 2,178,174.98              |                 |                |     |
| 22039                                    | 339DI    | -39         | FINANCIAL OVERSIGHT                  | 802,048.49                                     | 1,025,035.52            | 195,929.49              | 753,259.40              | 189,315.01              | 942,574.41                |                 |                |     |
| 22046                                    | 339DT    | -46         | REGULATION INDIAN GAMING             | 97,218,518.40                                  | 96,507,949.45           | 96,973,191.72           | 97,268,115.52           | 259,076.96              | 97,527,192.48             |                 |                |     |
| 22051                                    | 339E3    | -51         | PROFESSIONAL EDUC SERVICE            | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22053                                    | 339E6    | -53         | ROME SCHOOL FOR THE DEAF             | 3,544,758.55                                   | 696,793.28              | 1,100,718.94            | 1,374,933.11            | (326,771.43)            | 1,048,161.68              |                 |                |     |
| 22054                                    | 339E8    | -54         | DSP-SEIZED ASSETS                    | 12,959,468.59                                  | 11,357,771.16           | 11,386,801.71           | 11,618,360.25           | (287,070.25)            | 11,331,290.02             |                 |                |     |
| 22055                                    | 339E9    | -55         | ADMINISTRATIVE ADJUDICATION          | 3,473,463.37                                   | 1,820,894.97            | 3,662,506.62            | 5,316,813.97            | (274,959.00)            | 5,041,854.97              |                 |                |     |
| 22056                                    | 339EC    | -56         | FEDERAL SALARY SHARING               | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22062                                    | 339EM    | -62         | NYC ASSESSMENT ACCT                  | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22063                                    | 339EN    | -63         | CULTURAL EDUCATION ACCOUNT           | 11,357,037.43                                  | 11,918,632.71           | 11,033,619.98           | 9,679,988.60            | 523,313.90              | 10,203,302.50             |                 |                |     |
| 22065                                    | 339ER    | -65         | EXAMINATION & MISC REV               | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22067                                    | 339F1    | -67         | TRANSPORTATION REGULATION            | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22068                                    | 339F2    | -68         | CONSUMER PROTECTION BD.              | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22078                                    | 339G3    | -78         | LOCAL SERVICE ACCOUNT                | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22085                                    | 339H2    | -85         | DHCR MORTGAGE SERVICES               | 1,263,795.52                                   | 1,514,320.50            | 877,557.97              | 1,660,115.72            | 417,017.72              | 2,077,133.44              |                 |                |     |
| 22087                                    | 339H7    | -87         | DMV-COMPULSORY INS PRGM              | 0.00   | 0.00                    | 203,621.51              | 402,724.73              | (402,724.73)            | 0.00                      |                 |                |     |
| 22090                                    | 339HI    | -90         | HOUSING INDIRECT COST RECOVERY       | 1,596,689.08                                   | 512,309.20              | 512,309.20              | 927,846.06              | 179,333.70              | 1,107,179.76              |                 |                |     |
| 22100                                    | 339J5    | 221         | DHCR-HOUSING CREDIT AGENCY APPLY FEE | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22101                                    | 339J6    | -01         | EPIC PREMIUM                         | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22112                                    | 339L7    | -12         | OTDA INCOME ACCOUNT                  | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22130                                    | 339NG    | -30         | LOW INCOME HOUSING CREDIT MONITORING | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22133                                    | 339P4    | -33         | PROCUREMENT OPPORTUNITY NEWSLETTER   | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22135                                    | 339P6    | -35         | EFC-CORPORATION ADMINISTRATION       | 431,945.13                                     | 39,070.25               | 39,070.25               | 39,070.25               | 0.00                    | 39,070.25                 |                 |                |     |
| 22144                                    | 339Q6    | -44         | MONTROSE VETERAN'S HOME              | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22149                                    | 339R4    | -49         | MOTOR FUEL QUALITY ACCOUNT           | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22151                                    | 339R7    | -51         | DEFERRED COMPENSATION ADMIN          | 119,673.26                                     | 150,313.57              | 150,313.57              | 63,770.76               | 69,565.37               | 133,336.13                |                 |                |     |
| 22156                                    | 339RR    | -56         | RENT REVENUE OTHER - NYC             | 5,014,572.13                                   | 0.00                    | 0.00                    | 4,141,478.08            | 2,290,206.05            | 6,431,684.13              |                 |                |     |
| 22158                                    | 339S8    | -58         | RENT REVENUE                         | 468,578.64                                     | 493,735.25              | 532,642.56              | 622,657.24              | 10,730.45               | 633,387.69                |                 |                |     |
| 22168                                    | 339TR    | -68         | TAX REVENUE ARREARAGE ACCOUNT        | 2,133,561.52                                   | 2,170,353.37            | 2,170,353.37            | 2,170,353.37            | 106,511.24              | 2,276,864.61              |                 |                |     |
| 22176                                    | 339W3    | -76         | OGS-SOLID WASTE MGMT                 | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22177                                    | 339W4    | -77         | OCCUPATIONAL HEALTH CLINICS          | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22193                                    | 339YD    | -93         | SALES TAX RE-REG FEE ADMN            | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22195                                    | 339YP    | -95         | EQUITABLE SHARING AGMT               | 0.00   | 0.00                    | 0.00                    | 0.00                    | 0.00                    | 0.00                      |                 |                |     |
| 22192                                    | 339Y8    | -92         | TAX RETURN PREPARER REG FEE ADM      | 0.00   | 0.00                    | 0.00                    | 0.00                    | 8,054.88                | 8,054.88                  |                 |                |     |
| 22654                                    | 34511    | 226         | S.U. NON-RESIDENT REV. OFFSET        | 52,505,182.34                                  | 44,156,771.08           | 44,191,771.08           | 44,191,771.08           | 0.00                    | 44,191,771.08             |                 |                |     |
| 22802                                    | 35402    | 228         | STATE POLICE MV ENFORCE              | 40,164,581.57                                  | 40,497,404.71           | 40,497,404.71           | 27,806,933.71           | (12,437,213.00)         | 15,369,720.71             |                 |                |     |
| 23001                                    | 36201    | 230         | DOT - HIGHWAY SAFETY PRGM            | 3,176,371.04                                   | 2,683,440.33            | 2,910,772.73            | 3,164,071.65            | 230,501.13              | 3,394,572.78              |                 |                |     |
| 23101                                    | 36601    | 231         | EFC DRINKING WATER PROGRAM           | 298,977.37                                     | 97,046.44               | 97,046.44               | 97,046.44               | 0.00                    | 97,046.44                 |                 |                |     |
| 23102                                    | 36602    | -02         | DOH DRINKING WATER PROGRAM           | 6,865,516.31                                   | 7,294,455.67            | 7,640,481.42            | 7,961,726.29            | 271,275.76              | 8,233,002.05              |                 |                |     |
| 23151                                    | 36801    | -51         | NYCCC OPERATING OFFSET               | 38,282,456.16                                  | 22,439,609.14           | 24,124,314.18           | 17,957,315.80           | 2,331,192.33            | 20,288,508.13             |                 |                |     |
| <b>TOTAL STATE SPECIAL REVENUE FUNDS</b> |          |             |                                      | <b>\$1,753,001,705.56</b>                      | <b>\$455,159,430.76</b> | <b>\$693,520,179.13</b> | <b>\$600,161,407.72</b> | <b>\$189,334,109.06</b> | <b>\$789,495,516.78</b>   |                 |                |     |
| <b>FEDERAL FUNDS</b>                     |          |             |                                      |  |                         |                         |                         |                         |                           |                 |                |     |
| 250                                      | 261      | 250         | -                                    | FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND  | (1)                     | 33,767,067.68           | 6,766,420.53            | 8,086,547.13            | 221,591,381.26            | 61,540,209.25   | 283,131,590.51 | (1) |
| 251                                      | 265      | 251         | -                                    | FEDERAL HEALTH AND HUMAN SERVICES FUND         | (2)                     | 762,844,521.63          | 94,764,780.37           | 74,620,619.52           | 29,888,770.49             | 43,304,243.69   | 73,193,014.18  | (2) |
| 25200-25220                              | 267      | 25200-25220 | -                                    | FEDERAL EDUCATION GRANTS FUND                  | (3)                     | 62,124,584.34           | 120,858.98              | 179,916,393.21          | 417,785,193.38            | 160,701,687.10  | 578,486,880.48 | (3) |
| 25250-25261                              | 269      | 25250-25261 | -                                    | FEDERAL BLOCK GRANT FUND                       | (4)                     | 0.00                    | 0.00                    | 0.00                    | 0.00                      | 0.00            | 0.00           | (4) |
| 25300-25519                              | 290      | 25300-25519 | -                                    | FEDERAL OPERATING GRANTS FUND                  | (5)                     | 85,746,903.93           | 85,657,441.81           | 89,804,138.40           | 105,878,091.58            | 16,035,344.69   | 121,913,436.27 | (5) |
| 31351                                    | 29104    | 313         | -51                                  | MILITARY AND NAVAL AFFAIRS                     |                         | 8,127,284.66            | 8,288,879.88            | 8,243,685.76            | 8,249,234.83              | 5,015.00        | 8,254,249.83   |     |
| 31354                                    | 29110    |             | -54                                  | DEPARTMENT OF TRANSPORTATION                   |                         | 95,988,655.48           | 124,278,301.48          | 137,518,846.20          | 103,001,876.31            | (35,844,351.04) | 67,157,525.27  | (7) |
| 313XX                                    | 291      | 313         | -                                    | FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)      | (6)                     | 6,195,492.46            | 9,820,362.24            | 11,526,385.19           | 13,105,185.58             | 10,053,433.60   | 23,158,619.18  | (6) |
| 25901                                    | 48001    | 259         | -01                                  | UI ADMINISTRATION                              |                         | 7,398,486.84            | 9,618,732.91            | 0.00                    | 2,242,362.82              | 21,652,860.46   | 23,895,223.28  |     |
| 25950                                    | 48400    |             | -50                                  | FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING |                         | 0.00                    | 0.00                    | 0.00                    | 0.00                      | 0.00            | 0.00           |     |
| 26001                                    | 48609    | 260         | -01                                  | DOL WORKFORCE INVESTMENT ACT                   |                         | 308,965.95              | 2,625,499.84            | 280,753.86              | 587,283.11                | 1,405,371.28    | 1,992,654.39   |     |
| 26002                                    | 48610    |             | -02                                  | DOL FEDERAL GRANTS                             |                         | 0.00                    | 0.00                    | 0.00                    | 0.00                      | 0.00            | 0.00           |     |
| <b>TOTAL FEDERAL FUNDS</b>               |          |             |                                      | <b>\$1,062,501,962.97</b>                      | <b>\$341,941,278.04</b> | <b>\$509,997,369.27</b> | <b>\$902,329,379.36</b> | <b>\$278,853,814.03</b> | <b>\$1,181,183,193.39</b> |                 |                |     |

Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

| SFS Fund   | CAS Fund | ACCOUNT | ACCOUNT TITLE                              | February 29, 2012         | March 31, 2012            | April 30, 2012            | May 31, 2012              | Change                  | June 30, 2012             |
|--|----------|---------|--|---------------------------|---------------------------|---------------------------|---------------------------|-------------------------|---------------------------|
| <b>AGENCY FUNDS</b>                              |          |         |  |                           |                           |                           |                           |                         |                           |
| 60901  | 17902    | 609 -01 | MMIS - STATE & FEDERAL                     | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| <b>TOTAL AGENCY FUNDS</b>                        |          |         |  | <b>\$0.00</b>             | <b>\$0.00</b>             | <b>\$0.00</b>             | <b>\$0.00</b>             | <b>\$0.00</b>           | <b>\$0.00</b>             |
| <b>ENTERPRISE FUND</b>                           |          |         |  |                           |                           |                           |                           |                         |                           |
| 50051  | 32501    | 500 -51 | STATE FAIR RECEIPTS FUND                   | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 50318  | 33155    | 503 -18 | OGS CONVENTION CENTER ACCOUNT              | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| <b>TOTAL ENTERPRISE FUND</b>                     |          |         |  | <b>\$0.00</b>             | <b>\$0.00</b>             | <b>\$0.00</b>             | <b>\$0.00</b>             | <b>\$0.00</b>           | <b>\$0.00</b>             |
| <b>INTERNAL SERVICE FUNDS</b>                    |          |         |  |                           |                           |                           |                           |                         |                           |
| 55001  | 32303    | 550 -01 | CENTRALIZED SERVICES-FLEET MGMT            | 1,110,819.67              | 1,484,623.83              | 1,543,670.66              | 1,651,207.25              | (53,696.81)             | 1,597,510.44              |
| 55002  | 32305    | -02     | CENTRALIZED SERVICES-DATA PROCESSING       | 642,466.41                | 24,134.45                 | 127,107.03                | 141,500.53                | 13,934.01               | 155,434.54                |
| 55003  | 32306    | -03     | CENTRALIZED SERVICES-REPRODUCTION          | 2,974,653.09              | 1,256,699.96              | 1,380,957.27              | 1,537,882.36              | 55,809.38               | 1,593,691.74              |
| 55004  | 32307    | -04     | CENTRALIZED SERVICES-REAL PROPERTY-LABOR   | 0.00                      | 0.00                      | 13,844.90                 | 59,430.18                 | (59,430.18)             | 0.00                      |
| 55005  | 32308    | -05     | CENTRALIZED SERVICES-SURPLUS FED FOODS     | 705,735.91                | 732,678.36                | 762,671.31                | 951,480.51                | 205,903.85              | 1,157,384.36              |
| 55006  | 32309    | -06     | CENTRALIZED SERVICES-PERSONAL PROPERTY     | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55007  | 32312    | -07     | CENTRALIZED SERVICES-CONSTRUCTION SERVICES | 2,829,892.37              | 2,357,421.26              | 2,457,102.92              | 2,625,383.98              | 113,103.46              | 2,738,487.44              |
| 55008  | 32313    | -08     | CENTRALIZED SERVICES-PASNY                 | 7,392,380.06              | 2,639,053.90              | 2,658,746.41              | 12,271,918.98             | (827,881.09)            | 11,444,037.89             |
| 55009  | 32314    | -09     | CENTRALIZED SERVICES-ADMIN SUPPORT         | 0.00                      | 0.00                      | 0.00                      | 1,698,285.10              | (1,698,285.10)          | 0.00                      |
| 55010  | 32315    | -10     | CENTRALIZED SERVICES-DESIGN & CONSTR       | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55011  | 32317    | -11     | CENTRALIZED SERVICES-INSURANCE             | 396,756.71                | 827,385.16                | 860,724.54                | 869,974.02                | 448,453.67              | 1,318,427.69              |
| 55012  | 32318    | -12     | CENTRALIZED SERVICES-SECURITY CARD ACCESS  | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55013  | 32319    | -13     | CENTRALIZED SERVICES-COP'S                 | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 376,819.93              | 376,819.93                |
| 55014  | 32320    | -14     | CENTRALIZED SERVICES-FOOD SERVICES         | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55015  | 32321    | -15     | CENTRALIZED SERVICES-HOMER FOLKS           | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55016  | 32323    | -16     | CENTRALIZED SERVICES-IMMICS                | 0.00                      | 26,961.54                 | 26,961.54                 | 26,961.54                 | 0.00                    | 26,961.54                 |
| 55017  | 32326    | -17     | DOWNSTATE DISTRIBUTION                     | 977,188.78                | 669,258.44                | 832,000.85                | 868,572.01                | (125,106.11)            | 743,465.90                |
| 55018  | 32327    | -18     | BUILDING ADMINISTRATION                    | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55019  | 32328    | -19     | LEASE SPACE INITIATIVE                     | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55052  | 33402    | -52     | ARCHIVES RECORD MGMT I.S.                  | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55053  | 33405    | -53     | FEDERAL SINGLE AUDIT                       | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55055  | 33409    | -55     | CIVIL SERVICE LAW-SEC. 11 ADMIN            | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55056  | 33410    | -56     | CIVIL SERVICE EHS OCCUP HEALTH PROG        | 2,068.28                  | 53,838.11                 | 137,499.62                | 195,674.81                | (1,258.83)              | 194,415.98                |
| 55057  | 33412    | -57     | BANKING SERVICES ACCOUNT                   | 513,450.42                | 0.00                      | 0.00                      | 598.82                    | 516,483.76              | 517,082.58                |
| 55058  | 33414    | -58     | CULTURAL RESOURCE SURVEY                   | 4,078,250.32              | 2,215,928.19              | 2,433,901.48              | 2,701,925.07              | 242,131.79              | 2,944,056.86              |
| 55059  | 33417    | -59     | NEIGHBOR WORK PROJECT                      | 5,893,590.95              | 5,673,913.64              | 5,673,913.64              | 8,028,564.83              | (157,286.32)            | 7,871,278.51              |
| 55060  | 33418    | -60     | AUTOMATIC/PRINT CHARGBACKS                 | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 471,001.81              | 471,001.81                |
| 55061  | 33420    | -61     | OFT NYT ACCT                               | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55062  | 33423    | -62     | DATA CENTER ACCOUNT                        | 35,527,215.25             | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55063  | 33424    | -63     | HUMAN SVCE TELECOM ACCT                    | 2,074,376.39              | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55065  | 33426    | -65     | OPWDD COPY CENTER ACCOUNT                  | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55066  | 33427    | -66     | CYBER SECURITY INTRUSION ACCT              | 796,657.46                | 551,435.90                | 551,435.90                | 551,435.90                | 266,942.67              | 818,378.57                |
| 55067  | 33428    | -67     | DOMESTIC VIOLENCE GRANT                    | 218,801.61                | 227,480.46                | 271,268.41                | 315,927.36                | (10,724.13)             | 305,203.23                |
| 55069  | 33430    | -69     | CENTRALIZED TECHNOLOGY SERVICES            | 2,408,865.88              | 3,004,655.53              | 3,052,523.92              | 3,105,451.21              | 394,306.60              | 3,499,757.81              |
| 55070  | 334ZV    | -70     | LEARNING MGMT SYSTEM                       | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55201  | 39401    | 552 -01 | JOINT LABOR MANAGEMENT ADMIN               | 0.00                      | 0.00                      | 0.00                      | 0.00                      | 0.00                    | 0.00                      |
| 55251  | 39504    | -51     | EXECUTIVE DIRECTION INTERNAL AUDIT         | 1,571,029.63              | 669,259.09                | 757,669.26                | 856,745.03                | (613,675.36)            | 243,069.67                |
| 55300  | 39600    | 553 -00 | HEALTH INSURANCE INTERNAL SERVICE          | 19,335,889.73             | 12,138,334.23             | 12,250,302.97             | 13,010,407.06             | 911,901.88              | 13,922,308.94             |
| 55301  | 39601    | -01     | CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM    | 2,923,885.56              | 2,860,483.75              | 2,996,896.88              | 3,182,212.62              | 319,332.50              | 3,501,545.12              |
| 55350  | 39700    | -50     | CORR INDUSTRIES INTERNAL SERVICE           | 8,078,109.40              | 21,906.77                 | 0.00                      | 2,689,120.62              | 4,025,337.72            | 6,714,458.34              |
| <b>TOTAL INTERNAL SERVICE FUNDS</b>              |          |         |  | <b>\$100,452,083.88</b>   | <b>\$37,435,452.57</b>    | <b>\$38,789,199.51</b>    | <b>\$57,340,659.79</b>    | <b>\$4,814,119.10</b>   | <b>\$62,154,778.89</b>    |
| <b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b> |          |         |  | <b>\$4,311,755,870.26</b> | <b>\$1,765,039,149.86</b> | <b>\$2,238,689,301.47</b> | <b>\$2,552,369,186.72</b> | <b>\$551,045,969.46</b> | <b>\$3,103,415,156.18</b> |

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part U, Section 1 and 1A, of the Laws of 2012-13. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

**NOTE:**

- (1) - Includes all negative cash balance Subfunds within fund 25000-25036 (261).
- (2) - Includes all negative cash balance Subfunds within fund 25100-25183 (265).
- (3) - Includes all negative cash balance Subfunds within fund 25200-25220 (267).
- (4) - Includes all negative cash balance Subfunds within fund 25250-25261 (269).
- (5) - Includes all negative cash balance Subfunds within fund 25300-25519 (290).
- (6) - Includes all other negative cash balance Subfunds within fund 31350-31376 (291).
- (7) - The Fund 31354 (291-10) temporary loan balance includes \$69.8 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 30051 (072-01) based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 30051 (072-01) on or before March 31, 2013.
- (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 10000 (001) and State Purpose Fund 10050 (003).