

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF STATE SERVICES  
BUREAU OF ACCOUNTING OPERATIONS**

Comptroller's Monthly Report To The Legislature  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)

**DECEMBER 2002**



**ALAN G. HEVESI  
COMPTROLLER**

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF	9 MO. ENDED	MONTH OF	9 MO. ENDED	MONTH OF	9 MO. ENDED	MONTH OF	9 MO. ENDED	MONTH OF	9 MO. ENDED	MONTH OF	9 MO. ENDED
	DEC. 2002	DEC. 31, 2002	DEC. 2002	DEC. 31, 2002	DEC. 2002	DEC. 31, 2002	DEC. 2002	DEC. 31, 2002	DEC. 2002	DEC. 31, 2002	DEC. 2001	DEC. 31, 2001
<b>RECEIPTS:</b>												
Personal Income Tax (1)(2)	\$823.0	\$12,664.8	\$1,030.0	\$2,476.0	\$274.2	\$2,658.8	\$ --	\$ --	\$2,127.2	\$17,799.6	\$2,692.7	\$22,381.5
Consumption/Use Taxes and Fees (2)(3)	698.5	5,342.5	50.5	412.6	227.3	1,679.2	86.8	776.6	1,063.1	8,210.9	1,025.0	7,978.8
Business Taxes (2)	748.4	2,292.7	156.8	748.2	--	--	46.1	433.8	951.3	3,474.7	1,029.3	3,794.0
Other Taxes (2)	67.7	581.6	--	--	31.6	280.8	11.2	78.4	110.5	940.8	134.6	881.1
Miscellaneous Receipts (9)	181.5	1,584.3	830.4	7,044.8	55.3	578.9	326.8	1,166.0	1,394.0	10,374.0	656.1	7,484.0
Federal Grants	0.5	5.2	2,627.3	23,274.5	--	--	113.9	1,166.0	2,741.7	24,445.7	2,472.4	20,349.1
<b>Total Receipts</b>	<b>2,519.6</b>	<b>22,471.1</b>	<b>4,695.0</b>	<b>33,956.1</b>	<b>588.4</b>	<b>5,197.7</b>	<b>584.8</b>	<b>3,620.8</b>	<b>8,387.8</b>	<b>65,245.7</b>	<b>8,010.1</b>	<b>62,868.5</b>
<b>DISBURSEMENTS:</b>												
Local Assistance Grants: (4)												
General Purpose	308.9	709.0	--	--	--	--	--	--	308.9	709.0	325.4	648.4
Education	1,177.8	7,914.5	1,394.3	5,890.3	--	--	0.3	1.6	2,572.4	13,806.4	2,699.1	13,278.3
Social Services	562.2	5,905.1	2,101.2	19,095.7	--	--	--	--	2,663.4	25,000.8	2,304.2	21,762.4
Health and Environment	11.1	327.3	183.4	1,484.0	--	--	8.5	160.7	203.0	1,972.0	198.9	1,829.2
Mental Hygiene	54.7	752.1	10.5	160.2	--	--	3.5	16.5	68.7	928.8	78.9	946.6
Transportation	20.8	145.0	534.2	1,610.7	--	--	34.8	221.3	589.8	1,977.0	116.8	1,299.1
Criminal Justice	25.8	122.7	10.2	90.2	--	--	--	--	36.0	212.9	18.8	208.6
SEMO and Disaster Assistance	10.3	22.4	39.3	847.3	--	--	--	--	49.6	869.7	36.3	404.9
Miscellaneous	33.9	290.8	46.8	502.3	--	--	8.3	130.4	89.0	923.5	91.7	890.6
Total Local Assistance Grants	2,205.5	16,188.9	4,319.9	29,680.7	--	--	55.4	530.5	6,580.8	46,400.1	5,870.1	41,268.1
Departmental Operations:												
Personal Service	689.8	5,022.7	348.0	2,785.2	--	--	--	--	1,037.8	7,807.9	782.0	7,315.0
Non-Personal Service	178.2	1,523.6	242.8	2,047.7	1.3	5.1	--	--	422.3	3,576.4	380.6	3,353.9
General State Charges	149.4	2,105.4	42.6	390.6	--	--	--	--	192.0	2,496.0	213.7	2,262.2
Debt Service, Including Payments on												
Financing Agreements (5)	--	--	--	--	273.3	2,225.0	--	--	273.3	2,225.0	438.5	2,716.4
Capital Projects (6)	--	--	0.3	2.9	--	--	328.3	2,948.5	328.6	2,951.4	326.9	2,855.8
<b>Total Disbursements</b>	<b>3,222.9</b>	<b>24,840.6</b>	<b>4,953.6</b>	<b>34,907.1</b>	<b>274.6</b>	<b>2,230.1</b>	<b>383.7</b>	<b>3,479.0</b>	<b>8,834.8</b>	<b>65,456.8</b>	<b>8,011.8</b>	<b>59,771.4</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(703.3)</b>	<b>(2,369.5)</b>	<b>(258.6)</b>	<b>(951.0)</b>	<b>313.8</b>	<b>2,967.6</b>	<b>201.1</b>	<b>141.8</b>	<b>(447.0)</b>	<b>(211.1)</b>	<b>(1.7)</b>	<b>3,097.1</b>
<b>OTHER FINANCING SOURCES (USES):</b>												
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	93.0
Transfers from Other Funds (7)	596.4	4,844.1	192.5	2,045.5	442.2	3,241.9	(3.5)	335.0	1,227.6	10,466.5	1,131.7	7,307.7
Transfers to Other Funds (7)	(191.3)	(2,043.5)	(234.1)	(1,553.1)	(706.0)	(6,043.0)	(97.6)	(874.4)	(1,229.0)	(10,514.0)	(1,169.6)	(7,358.8)
<b>Total Other Financing Sources (Uses)</b>	<b>405.1</b>	<b>2,800.6</b>	<b>(41.6)</b>	<b>492.4</b>	<b>(263.8)</b>	<b>(2,801.1)</b>	<b>(101.1)</b>	<b>(539.4)</b>	<b>(1.4)</b>	<b>(47.5)</b>	<b>(37.9)</b>	<b>41.9</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(298.2)</b>	<b>431.1</b>	<b>(300.2)</b>	<b>(458.6)</b>	<b>50.0</b>	<b>166.5</b>	<b>100.0</b>	<b>(397.6)</b>	<b>(448.4)</b>	<b>(258.6)</b>	<b>(39.6)</b>	<b>3,139.0</b>
<b>Beginning Fund Balances (Deficit) (8)</b>	<b>1,761.1</b>	<b>1,031.8</b>	<b>888.5</b>	<b>1,046.9</b>	<b>285.5</b>	<b>169.0</b>	<b>(765.0)</b>	<b>(267.4)</b>	<b>2,170.1</b>	<b>1,980.3</b>	<b>6,819.9</b>	<b>3,641.3</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$1,462.9</b>	<b>\$1,462.9</b>	<b>\$588.3</b>	<b>\$588.3</b>	<b>\$335.5</b>	<b>\$335.5</b>	<b>(\$665.0)</b>	<b>(\$665.0)</b>	<b>\$1,721.7</b>	<b>\$1,721.7</b>	<b>\$6,780.3</b>	<b>\$6,780.3</b>

**GOVERNMENTAL FUNDS FOOTNOTES**

**Exhibit A Notes  
December 2002**

1. Chapter 383 of the Laws of 2001 amended the SFL to establish the Revenue Bond Tax Fund (RBTF) within the Debt Service Fund Group. Pursuant to statute, the Comptroller is directed to deposit 25% of personal income withholding taxes to the Revenue Bond Tax Fund for payment of debt service on revenue bonds issued by public authorities. Total tax deposits into the RBTF were \$2,658.8m. The Comptroller is further directed to transfer moneys on deposit in the RBTF, which are in excess of debt service requirements, to the General Fund. Such transfers totaled \$2,651.5m and are reported in the General Fund as 'Transfers from Other Funds'.

A portion of personal income tax receipts are transferred to the State Special Revenue-School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners. During September, October, November and December STAR payments totaling \$180.0m, \$613.3m, \$638.0m and \$1,024.0m were made and are included in Local Assistance Grants – Education.

2. Chapter 85 of the Laws of 2002 established a Tax Amnesty program to be administered by the Department of Taxation and Finance. Tax Amnesty Collections are reported in the appropriate Governmental Fund group. Total State Tax Amnesty collections amounted to \$29.1m through December.

3. General Fund receipts do not include \$530.9m of cigarette tax receipts, which were collected by the State and credited to the Tobacco Control and Insurance Initiatives Pool.

4. Special Revenue Federal Funds disbursements (include the following payments made by the State which) will be reimbursed by the Federal Government in January 2003:

Federal USDA/Food and Consumer Services	\$16.1 million
Federal DHHS (Medicaid)	42.8
Federal DHHS (All Other)	23.0
Federal DHHS/Block Grant	6.5
Federal Education	24.9
Federal Miscellaneous Operating Grants	36.5
Federal DOL Grants	--

5. Total debt service disbursements include:

-Principal and interest on general obligation bonds	\$374.0 million
-Lease-purchase/contractual obligation payments	1,851.0

6. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

State bond and note proceeds	\$115.8 million
Urban Development Corporation (Youth Facilities)	0.2
Urban Development Corporation (Correctional Facilities)	21.5
Housing Finance Agency (HFA)	186.9
Dormitory Authority (MCFFA)	178.7
Dormitory Authority (Health Facilities)	27.2
Dormitory Authority and State University Income Fund	34.5
Federal Capital Projects	154.1

7. Operating transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

General Fund 'Transfers To Other Funds' includes transfers to the:

State Capital Projects Fund	\$324.2 million
General Debt Service Fund	1,209.1
Court Facilities Incentive Aid Fund	48.1
SUNY Income Fund	50.6
Banking Services Fund	45.6
Community Provider Assistance Program Fund	100.0

Also included in General Fund transfers are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$23.9m) and Special Revenue Funds (\$193.2m).

In Special Revenue Funds, Transfers "To" Other Funds includes transfers to Debt Service Funds (\$1,373.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$19.7m) from the SUNY Income Fund State University Hospital Income Reimbursable Account.

Also included in Special Revenue Funds are transfers to the General Fund from the Miscellaneous State Special Revenue Fund (\$135.0m).

In Debt Service Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Revenue Bond Tax Fund (\$2,651.5m), the Local Government Assistance Tax Fund (\$1,485.0m), the Clean Water/Clean Air Fund (\$223.4m), the Emergency Highway Reconditioning and Preservation Fund (\$45.8m) and the Emergency Highway Construction and Reconstruction Fund (\$45.8m).

Also included in Debt Service Fund transfers are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$68.2m), Mental Hygiene (\$1,352.3m) and the State University (\$160.1m).

In Capital Projects Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Environmental Protection Fund (\$235.0m) and to the General Debt Service Fund (\$612.7m).

Also included in Capital Projects Funds are transfers to the Mass Transportation Operating Assistance Fund (\$20.0m).

8. The April 1, 2001 cash balance for the Agency Funds (-) and General Fund (+) have been adjusted to reflect the reclassification of the Fringe Benefit Escrow Fund.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

**Exhibit A Notes  
December 2002  
(continued)**

9. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	9 Months Ended December 31		Increase/ (Decrease)
					2002	2001	
	(amounts in millions)						
<b>Abandoned and Unclaimed Property</b>	\$ 478.5	\$ --	\$ --	\$ --	\$ 478.5	\$ 309.6	\$ 168.9
<b>Interest Earnings</b>	21.0	35.5	2.4	4.5	63.4	370.6	(307.2)
<b>Receipts from Public Authorities:</b>							
Bond Issuance Fees	109.7	13.5	--	--	123.2	10.4	112.8
Bond Proceeds to Reimburse Capital Spending	--	--	--	1,070.6	1,070.6	815.0	255.6
Cost Recovery Assessments	--	3.6	--	--	3.6	0.4	3.2
Dormitory Authority	--	12.0	--	--	12.0	--	12.0
Housing Finance Agency	50.0	--	--	--	50.0	--	50.0
Power Authority	67.0	--	--	--	67.0	--	67.0
State of NY Mortgage Agency	150.0	--	--	--	150.0	--	150.0
SUNY Construction Fund	--	8.3	--	--	8.3	9.9	(1.6)
Thruway Authority	2.0	20.9	--	--	22.9	28.8	(5.9)
All Other	--	11.6	--	10.1	21.7	8.7	13.0
<b>Refunds and Reimbursements:</b>							
SUNY Contracts and Grants	--	213.4	1.5	--	214.9	179.1	35.8
Receipts from Municipalities	4.1	9.7	18.7	0.6	33.1	36.7	(3.6)
Women, Infants and Children Rebates	--	86.0	--	--	86.0	86.8	(0.8)
HESC Student Loan Recoveries	--	55.1	--	--	55.1	53.4	1.7
Admin Recoveries - Collection of Local Taxes	43.0	24.1	--	0.6	67.7	55.8	11.9
Indirect Cost Assessments	51.9	--	--	--	51.9	66.7	(14.8)
All Other	15.8	79.3	--	21.2	116.3	51.3	65.0
<b>Health Care Reform Act Transfers From:</b>							
Health Care Initiatives Pool	--	--	--	--	--	--	--
Loans from HCRA Pools	--	200.0	--	--	200.0	--	200.0
Tobacco Control & Insurance Initiatives Pool	--	919.4	--	--	919.4	303.2	616.2
<b>Revenues of State Departments:</b>							
Patient/Client Care	61.4	562.6	386.7	--	1,010.7	811.1	199.6
Medical Care Provider Assessments	109.5	1,579.2	--	--	1,688.7	905.2	783.5
Assessments against Regulated Industries	--	440.4	--	--	440.4	387.6	52.8
Student Tuition and Fees	--	553.8	169.5	--	723.3	729.9	(6.6)
Rental on World Trade Center	8.8	--	--	--	8.8	7.0	1.8
Sale of 35 Fourth Avenue, Brooklyn	--	--	--	--	--	4.0	(4.0)
EPIC Premiums and Fees	--	101.3	--	--	101.3	63.2	38.1
Rentals and Leases	1.6	5.3	--	7.3	14.2	12.8	1.4
Miscellaneous Sales	9.5	43.5	0.1	0.1	53.2	64.5	(11.3)
All Other	26.3	12.1	--	8.8	47.2	59.7	(12.5)
<b>Lottery Receipts:</b>							
Education	--	1,116.2	--	--	1,116.2	985.7	130.5
Administration	--	315.8	--	--	315.8	256.3	59.5
<b>Licenses and Fees</b>	204.6	543.8	--	41.9	790.3	641.6	148.7
<b>Fines</b>	169.6	78.4	--	0.3	248.3	169.0	79.3
<b>TOTAL</b>	<b>\$ 1,584.3</b>	<b>\$ 7,044.8</b>	<b>\$ 578.9</b>	<b>\$ 1,166.0</b>	<b>\$ 10,374.0</b>	<b>\$ 7,484.0</b>	<b>\$ 2,890.0</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF  
 CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN EQUITY  
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF DEC. 2002	9 MO. ENDED DEC. 31, 2002	MONTH OF DEC. 2002	9 MO. ENDED DEC. 31, 2002	MONTH OF DEC. 2002	9 MO. ENDED DEC. 31, 2002	MONTH OF DEC. 2001	9 MO. ENDED DEC. 31, 2001
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$5.3	\$56.0	\$51.1	\$397.1	\$56.4	\$453.1	\$42.2	\$378.9
<b>TOTAL RECEIPTS</b>	<u>5.3</u>	<u>56.0</u>	<u>51.1</u>	<u>397.1</u>	<u>56.4</u>	<u>453.1</u>	<u>42.2</u>	<u>378.9</u>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	0.8	9.3	12.6	89.4	13.4	98.7	9.2	91.7
Non-Personal Service	5.9	49.6	25.0	327.0	30.9	376.6	27.0	336.3
General State Charges	0.1	1.0	4.2	32.2	4.3	33.2	0.1	22.3
Debt Service	--	--	--	49.9	--	49.9	--	61.7
<b>TOTAL DISBURSEMENTS</b>	<u>6.8</u>	<u>59.9</u>	<u>41.8</u>	<u>498.5</u>	<u>48.6</u>	<u>558.4</u>	<u>36.3</u>	<u>512.0</u>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<u>(1.5)</u>	<u>(3.9)</u>	<u>9.3</u>	<u>(101.4)</u>	<u>7.8</u>	<u>(105.3)</u>	<u>5.9</u>	<u>(133.1)</u>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	1.3	45.6	1.3	45.6	37.8	50.4
Transfers to Other Funds	--	--	(0.1)	(0.1)	(0.1)	(0.1)	--	--
<b>NET SOURCES (USES)</b>	<u>--</u>	<u>--</u>	<u>1.2</u>	<u>45.5</u>	<u>1.2</u>	<u>45.5</u>	<u>37.8</u>	<u>50.4</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1.5)	(3.9)	10.5	(55.9)	9.0	(59.8)	43.7	(82.7)
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<u>16.6</u>	<u>19.0</u>	<u>(167.9)</u>	<u>(101.5)</u>	<u>(151.3)</u>	<u>(82.5)</u>	<u>(191.7)</u>	<u>(65.3)</u>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<u>\$15.1</u>	<u>\$15.1</u>	<u>(\$157.4)</u>	<u>(\$157.4)</u>	<u>(\$142.3)</u>	<u>(\$142.3)</u>	<u>(\$148.0)</u>	<u>(\$148.0)</u>

**STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF  
CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
(in millions)**

**EXHIBIT C**

	<b>EXPENDABLE TRUST</b>		<b>NONEXPENDABLE TRUST</b>		<b>TOTAL TRUST FUNDS (memorandum only)</b>			
	MONTH OF	9 MO. ENDED	MONTH OF	9 MO. ENDED	MONTH OF	9 MO. ENDED	MONTH OF	9 MO. ENDED
	DEC. 2002	DEC. 31, 2002	DEC. 2002	DEC. 31, 2002	DEC. 2002	DEC. 31, 2002	DEC. 2001	DEC. 31, 2001
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$1.6	\$18.9	\$2.6	\$25.2	\$4.2	\$44.1	\$4.5	\$43.7
Federal Grants	86.4	1,013.5	--	1.3	86.4	1,014.8	6.0	25.7
Unemployment Taxes	243.9	2,151.3	--	--	243.9	2,151.3	272.2	1,976.5
<b>TOTAL RECEIPTS</b>	<b>331.9</b>	<b>3,183.7</b>	<b>2.6</b>	<b>26.5</b>	<b>334.5</b>	<b>3,210.2</b>	<b>282.7</b>	<b>2,045.9</b>
<b>DISBURSEMENTS:</b>								
Local Assistance Grants:								
Mental Hygiene	--	--	--	0.1	--	0.1	0.2	2.7
Miscellaneous	0.1	1.2	--	2.8	0.1	4.0	--	2.8
Departmental Operations:								
Personal Service	0.5	3.6	--	--	0.5	3.6	0.3	3.4
Non-Personal Service	0.8	11.8	1.2	16.3	2.0	28.1	1.5	27.2
General State Charges	0.1	1.1	--	--	0.1	1.1	--	1.3
Unemployment Benefits	359.5	3,175.0	--	--	359.5	3,175.0	296.3	2,002.8
Capital Projects	0.2	2.6	--	--	0.2	2.6	1.9	4.8
<b>TOTAL DISBURSEMENTS</b>	<b>361.2</b>	<b>3,195.3</b>	<b>1.2</b>	<b>19.2</b>	<b>362.4</b>	<b>3,214.5</b>	<b>300.2</b>	<b>2,045.0</b>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<b>(29.3)</b>	<b>(11.6)</b>	<b>1.4</b>	<b>7.3</b>	<b>(27.9)</b>	<b>(4.3)</b>	<b>(17.5)</b>	<b>0.9</b>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	1.8	--	--	--	1.8	--	0.6
Transfers to Other Funds	--	--	--	--	--	--	--	--
<b>NET SOURCES (USES)</b>	<b>--</b>	<b>1.8</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>1.8</b>	<b>--</b>	<b>0.6</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(29.3)	(9.8)	1.4	7.3	(27.9)	(2.5)	(17.5)	1.5
<b>BEGINNING FUND BALANCES</b>	<b>65.9</b>	<b>46.4</b>	<b>29.7</b>	<b>23.8</b>	<b>95.6</b>	<b>70.2</b>	<b>81.8</b>	<b>62.8</b>
<b>ENDING FUND BALANCES</b>	<b>\$36.6</b>	<b>\$36.6</b>	<b>\$31.1</b>	<b>\$31.1</b>	<b>\$67.7</b>	<b>\$67.7</b>	<b>\$64.3</b>	<b>\$64.3</b>

**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2003  
FOR THE NINE (9) MONTHS ENDED DECEMBER 31, 2002  
(amounts in millions)**

**EXHIBIT "D"**

	GENERAL FUND		
	Financial Plan October 2002	Actual	Favorable (Unfavorable) Variance
OPENING CASH BALANCE-APRIL 1, 2002	\$1,031.8	\$1,031.8	--
RECEIPTS:			
Taxes:			
Personal Income Tax	13,359.3 (1)	12,664.8	(694.5)
Consumption/Use Taxes:			
Sales and Use Taxes	4,805.8	4,771.2	(34.6)
Other Consumption/Use Taxes	589.0	571.3	(17.7)
Business Taxes	2,658.7	2,292.7	(366.0)
Other Taxes	598.4	581.6	(16.8)
Miscellaneous Receipts/Federal Grants	1,488.8	1,589.5	100.7
Total Receipts	<u>23,500.0</u>	<u>22,471.1</u>	<u>(1,028.9)</u>
DISBURSEMENTS:			
Local Assistance Grants	16,416.9	16,188.9	228.0
Departmental Operations	6,341.1	6,546.3	(205.2)
General State Charges	2,201.3	2,105.4	95.9
Total Disbursements	<u>24,959.3</u>	<u>24,840.6</u>	<u>118.7</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(1,459.3)</u>	<u>(2,369.5)</u>	<u>(910.2)</u>
OTHER FINANCING SOURCES (USES):			
Transfers From Other Funds	4,989.4 (1)	4,844.1	(145.3)
Transfers To Other Funds	(2,324.7)	(2,043.5)	281.2
Total Other Financing Sources (Uses)	<u>2,664.7</u>	<u>2,800.6</u>	<u>135.9</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>1,205.4</u>	<u>431.1</u>	<u>(774.3)</u>
CLOSING CASH BALANCE-DECEMBER 31, 2002	<u><u>\$2,237.2</u></u>	<u><u>\$1,462.9</u></u>	<u><u>(\$774.3)</u></u>

(1) The Financial Plan estimate for Personal Income Tax is adjusted for amounts required to be deposited into the Revenue Bond Tax Fund (RBTF). Furthermore, Transfers From Other Funds is adjusted to include amounts in excess of debt service needs of the RBTF.

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)**

EXHIBIT E

	<u>GENERAL</u>		<u>SPECIAL REVENUE</u>		<u>DEBT SERVICE</u>		<u>CAPITAL PROJECTS</u>		<u>TOTAL GOVERNMENTAL FUNDS</u>			
	<u>MONTH OF DEC. 2002</u>	<u>9 MO. ENDED DEC. 31, 2002</u>	<u>MONTH OF DEC. 2002</u>	<u>9 MO. ENDED DEC. 31, 2002</u>	<u>MONTH OF DEC. 2002</u>	<u>9 MO. ENDED DEC. 31, 2002</u>	<u>MONTH OF DEC. 2002</u>	<u>9 MO. ENDED DEC. 31, 2002</u>	<u>MONTH OF DEC. 2002</u>	<u>9 MO. ENDED DEC. 31, 2002</u>	<u>MONTH OF DEC. 2001</u>	<u>9 MO. ENDED DEC. 31, 2001</u>
<b>PERSONAL INCOME TAX</b>												
Withholding	\$ 1,877.7	\$ 13,915.5	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 1,877.7	\$ 13,915.5	\$ 1,911.3	\$ 13,996.5
Estimated payments	528.9	3,745.7	--	--	--	--	--	--	528.9	3,745.7	860.8	5,097.0
Final returns	12.8	1,264.1	--	--	12.8	--	--	--	12.8	1,264.1	20.4	1,787.9
Other	(25.3)	172.7	--	--	--	--	--	--	(25.3)	172.7	14.7	233.7
Gross Receipts	<u>2,394.1</u>	<u>19,098.0</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>2,394.1</u>	<u>19,098.0</u>	<u>2,807.2</u>	<u>21,115.1</u>
Transfers to School Tax Relief Fund	(1,030.0)	(2,476.0)	1,030.0	2,476.0	--	--	--	--	--	--	--	--
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(274.2)	(2,658.8)	--	--	274.2	2,658.8	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	--	1,677.4	--	--	--	--	--	--	--	1,677.4	--	3,517.4
Less: Refunds Issued	(266.9)	(2,975.8)	--	--	--	--	--	--	(266.9)	(2,975.8)	(114.5)	(2,251.0)
Total	<u>823.0</u>	<u>12,664.8</u>	<u>1,030.0</u>	<u>2,476.0</u>	<u>274.2</u>	<u>2,658.8</u>	<u>--</u>	<u>--</u>	<u>2,127.2</u>	<u>17,799.6</u>	<u>2,692.7</u>	<u>22,381.5</u>
<b>CONSUMPTION / USE TAXES AND FEES</b>												
Sales and Use	655.0	4,771.2	39.4	302.0	218.3	1,587.7	--	--	912.7	6,660.9	858.5	6,416.4
Auto Rental	--	--	--	--	--	--	8.9	31.7	8.9	31.7	10.4	30.8
Hotel / Motel	--	--	--	--	--	--	--	--	--	--	--	--
Motor Vehicle	(8.2)	44.8	6.0	57.4	--	--	37.1	358.5	34.9	460.7	35.7	437.5
Cigarette/Tobacco Products	33.3	358.3	--	--	--	--	--	--	33.3	358.3	36.7	402.9
Motor Fuel	--	--	5.1	53.2	9.0	91.5	27.0	273.6	41.1	418.3	51.1	412.1
Alcoholic Beverage	15.6	139.4	--	--	--	--	--	--	15.6	139.4	17.4	137.1
Beverage Container	--	--	--	--	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	13.8	112.8	13.8	112.8	12.9	115.8
Alcoholic Beverage Control Licenses	<u>2.8</u>	<u>28.8</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>2.8</u>	<u>28.8</u>	<u>2.3</u>	<u>26.2</u>
Total	<u>698.5</u>	<u>5,342.5</u>	<u>50.5</u>	<u>412.6</u>	<u>227.3</u>	<u>1,679.2</u>	<u>86.8</u>	<u>776.6</u>	<u>1,063.1</u>	<u>8,210.9</u>	<u>1,025.0</u>	<u>7,978.8</u>
<b>BUSINESS TAXES</b>												
Corporation Franchise	293.3	937.5	38.4	137.1	--	--	--	--	331.7	1,074.6	342.1	1,252.2
Corporation and Utilities	232.0	597.8	44.6	160.8	--	--	--	--	276.6	758.6	288.4	909.9
Insurance	132.5	466.1	13.1	45.3	--	--	--	--	145.6	511.4	137.4	469.8
Bank	90.6	290.8	23.6	58.1	--	--	--	--	114.2	348.9	162.4	429.6
Petroleum Business	--	0.5	37.1	346.9	--	--	46.1	433.8	83.2	781.2	99.0	732.5
Lubricating Oil	--	--	--	--	--	--	--	--	--	--	--	--
Total	<u>748.4</u>	<u>2,292.7</u>	<u>156.8</u>	<u>748.2</u>	<u>--</u>	<u>--</u>	<u>46.1</u>	<u>433.8</u>	<u>951.3</u>	<u>3,474.7</u>	<u>1,029.3</u>	<u>3,794.0</u>
<b>OTHER TAXES</b>												
Real Property Gains	0.1	3.6	--	--	--	--	--	--	0.1	3.6	0.2	4.3
Estate and Gift	65.4	554.3	--	--	--	--	--	--	65.4	554.3	99.0	556.9
Pari-Mutuel	2.1	23.2	--	--	--	--	--	--	2.1	23.2	2.3	22.8
Real Estate Transfer	--	--	--	--	31.6	280.8	11.2	78.4	42.8	359.2	33.1	296.6
Racing and Exhibitions	0.1	0.5	--	--	--	--	--	--	0.1	0.5	--	0.5
Total	<u>67.7</u>	<u>581.6</u>	<u>--</u>	<u>--</u>	<u>31.6</u>	<u>280.8</u>	<u>11.2</u>	<u>78.4</u>	<u>110.5</u>	<u>940.8</u>	<u>134.6</u>	<u>881.1</u>
<b>TOTAL TAX RECEIPTS</b>	<b>\$ 2,337.6</b>	<b>\$ 20,881.6</b>	<b>\$ 1,237.3</b>	<b>\$ 3,636.8</b>	<b>\$ 533.1</b>	<b>\$ 4,618.8</b>	<b>\$ 144.1</b>	<b>\$ 1,288.8</b>	<b>\$ 4,252.1</b>	<b>\$ 30,426.0</b>	<b>\$ 4,881.6</b>	<b>\$ 35,035.4</b>



STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT "F"

										2003			9 Months Ended Dec. 31											
										2002	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001	
										APRIL														
<b>OPENING CASH BALANCE</b>	<b>(1)</b>	\$1,031.8	\$3,800.0	\$1,170.6	\$1,736.7	\$1,337.2	\$997.9	\$1,734.9	\$1,211.1	\$1,761.1												\$1,031.8	\$1,109.7	
<b>RECEIPTS:</b>																								
Personal Income Tax		4,688.2	463.0	1,603.4	1,164.7	1,072.1	1,525.4	779.0	546.0	823.0												12,664.8	20,958.1	
Consumption/Use Taxes and Fees	(2)	591.4	502.3	687.5	572.9	490.3	752.9	524.3	522.4	698.5												5,342.5	5,326.3	
Business Taxes		57.0	(64.1)	709.1	71.4	32.7	729.2	14.9	(5.9)	748.4												2,292.7	2,647.7	
Other Taxes		59.4	61.5	88.7	66.7	77.7	69.1	45.3	45.5	67.7												581.6	584.5	
Miscellaneous Receipts		77.3	132.3	304.6	86.8	93.8	156.2	183.8	368.0	181.5												1,584.3	1,215.9	
Federal Grants		1.7	1.0	(0.2)	0.6	--	0.5	0.6	0.5	0.5												5.2	1.0	
<b>Total Receipts</b>		<b>5,475.0</b>	<b>1,096.0</b>	<b>3,393.1</b>	<b>1,963.1</b>	<b>1,766.6</b>	<b>3,233.3</b>	<b>1,547.9</b>	<b>1,476.5</b>	<b>2,519.6</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>									<b>22,471.1</b>	<b>30,733.5</b>	
<b>DISBURSEMENTS:</b>																								
Local Assistance Grants:																								
General Purpose		70.0	11.1	60.4	4.8	12.2	136.6	16.7	88.3	308.9												709.0	648.4	
Education		233.2	1,807.2	1,731.2	249.8	830.6	742.4	786.9	355.4	1,177.8												7,914.5	8,078.5	
Social Services		800.4	1,208.8	501.3	1,101.6	592.4	348.6	662.5	127.3	562.2												5,905.1	6,926.8	
Health and Environment		55.2	20.1	61.8	52.6	24.8	57.3	22.2	22.2	11.1												327.3	426.1	
Mental Hygiene		149.1	57.4	34.1	152.3	34.0	94.6	93.3	82.6	54.7												752.1	736.3	
Transportation		0.1	13.0	58.4	0.1	26.1	--	0.1	26.4	20.8												145.0	128.2	
Criminal Justice		6.8	8.9	7.9	16.7	9.6	12.4	21.8	12.8	25.8												122.7	127.4	
SEMO and Disaster Assistance		2.3	0.1	1.7	--	--	0.8	7.2	--	10.3												22.4	3.3	
Miscellaneous		11.6	15.9	21.3	52.3	58.8	50.6	21.1	25.3	33.9												290.8	370.3	
<b>Total Local Assistance Grants</b>		<b>1,328.7</b>	<b>3,142.5</b>	<b>2,478.1</b>	<b>1,630.2</b>	<b>1,588.5</b>	<b>1,443.3</b>	<b>1,631.8</b>	<b>740.3</b>	<b>2,205.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>									<b>16,188.9</b>	<b>17,445.3</b>	
Departmental Operations:																								
Personal Service		617.8	508.3	584.7	782.9	549.3	543.1	447.5	299.3	689.8												5,022.7	4,909.8	
Non-Personal Service		178.3	190.4	154.6	204.4	197.1	154.8	146.3	119.5	178.2												1,523.6	1,578.9	
General State Charges		350.6	159.0	180.6	248.1	192.2	460.8	236.1	128.6	149.4												2,105.4	2,022.8	
Debt Service, Including Payments on Financing Agreements		--	--	--	--	--	--	--	--	--												--	--	
<b>Total Disbursements</b>		<b>2,475.4</b>	<b>4,000.2</b>	<b>3,398.0</b>	<b>2,865.6</b>	<b>2,527.1</b>	<b>2,602.0</b>	<b>2,461.7</b>	<b>1,287.7</b>	<b>3,222.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>									<b>24,840.6</b>	<b>25,956.8</b>	
Excess (Deficiency) of Receipts over Disbursements		2,999.6	(2,904.2)	(4.9)	(902.5)	(760.5)	631.3	(913.8)	188.8	(703.3)	0.0	0.0	0.0									(2,369.5)	4,776.7	
<b>OTHER FINANCING SOURCES (USES):</b>																								
Transfers from Other Funds		186.6	483.2	798.7	586.7	555.1	671.4	476.0	490.0	596.4												4,844.1	1,646.3	
Transfers to State Capital Projects		(52.0)	(32.1)	(6.9)	(36.9)	(48.9)	(101.7)	(42.8)	(6.4)	3.5												(324.2)	(315.2)	
Transfers to General Debt Service		(170.4)	(161.6)	(185.7)	(30.5)	(59.6)	(278.7)	(30.3)	(113.4)	(178.9)												(1,209.1)	(1,723.8)	
Transfers to All Other State Funds		(195.6)	(14.7)	(35.1)	(16.3)	(25.4)	(185.3)	(12.9)	(9.0)	(15.9)												(510.2)	(292.9)	
<b>Total Other Financing Sources (Uses)</b>		<b>(231.4)</b>	<b>274.8</b>	<b>571.0</b>	<b>503.0</b>	<b>421.2</b>	<b>105.7</b>	<b>390.0</b>	<b>361.2</b>	<b>405.1</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>									<b>2,800.6</b>	<b>(685.6)</b>	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		2,768.2	(2,629.4)	566.1	(399.5)	(339.3)	737.0	(523.8)	550.0	(298.2)	0.0	0.0	0.0									431.1	4,091.1	
<b>CLOSING CASH BALANCE</b>		<b>\$3,800.0</b>	<b>\$1,170.6</b>	<b>\$1,736.7</b>	<b>\$1,337.2</b>	<b>\$997.9</b>	<b>\$1,734.9</b>	<b>\$1,211.1</b>	<b>\$1,761.1</b>	<b>\$1,462.9</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>									<b>\$1,462.9</b>	<b>\$5,200.8</b>	

(1) The April 2001 beginning cash balance reflects the reclassification of the Employee Fringe Benefits Escrow Fund to the General Fund Group.

(2) Reported amount does not include cigarette taxes which, pursuant to statute, are credited to the Tobacco Control and Insurance Initiatives Pool (see Exhibit A - Note #3)

STATE OF NEW YORK  
GENERAL FUND  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT " F "

(page 2)

	9 Months Ended Dec. 31												2002	2001
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH		
<b>PERSONAL INCOME TAX</b>														
Withholdings	\$1,605.3	\$1,480.4	\$1,449.4	\$1,553.2	\$1,444.2	\$1,397.9	\$1,571.9	\$1,535.5	\$1,877.7				\$13,915.5	\$13,996.5
Estimated payments	1,414.5	28.2	784.2	37.2	29.8	858.6	38.3	26.0	528.9				3,745.7	5,097.0
Final returns	1,020.3	29.0	19.9	19.9	33.5	14.2	101.5	13.0	12.8				1,264.1	1,787.9
Other	65.1	(43.9)	39.2	45.5	41.9	47.0	41.1	(37.9)	(25.3)				172.7	233.7
Gross Receipts	4,105.2	1,493.7	2,292.7	1,655.8	1,549.4	2,317.7	1,752.8	1,536.6	2,394.1	0.0	0.0	0.0	19,098.0	21,115.1
Transfers to School Tax Relief Fund	--	--	--	--	--	(180.0)	(628.0)	(638.0)	(1,030.0)				(2,476.0)	(1,173.4)
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--				--	(250.0)
Transfers to Revenue Bond Tax Fund	--	(154.3)	(534.4)	(388.3)	(357.3)	(508.6)	(259.6)	(182.1)	(274.2)				(2,658.8)	--
Refund reserve reduction (increase)	1,677.4	--	--	--	--	--	--	--	--				1,677.4	3,517.4
Refunds issued	(1,094.4)	(876.4)	(154.9)	(102.8)	(120.0)	(103.7)	(86.2)	(170.5)	(266.9)				(2,975.8)	(2,251.0)
Total Personal Income Tax	4,688.2	463.0	1,603.4	1,164.7	1,072.1	1,525.4	779.0	546.0	823.0	0.0	0.0	0.0	12,664.8	20,958.1
<b>CONSUMPTION/USE TAXES AND FEES</b>														
Sales and Use	465.2	441.8	606.1	502.9	474.1	708.7	464.1	453.3	655.0				4,771.2	4,591.9
Auto Rental	--	--	--	--	--	--	--	--	--				--	30.8
Hotel / Motel	--	--	--	--	--	--	--	--	--				--	--
Motor Vehicle	43.4	9.4	21.3	9.1	(38.8)	(14.6)	5.0	18.2	(8.2)				44.8	137.4
Cigarette/Tobacco Products	62.5	34.0	42.9	40.7	37.3	38.5	38.2	30.9	33.3				358.3	402.9
Motor Fuel	--	--	--	--	--	--	--	--	--				--	--
Alcoholic Beverage	17.2	14.6	14.6	17.2	14.4	16.3	13.3	16.2	15.6				139.4	137.1
Beverage Container	--	--	--	--	--	--	--	--	--				--	--
Highway Use	--	--	--	--	--	--	--	--	--				--	--
Alcoholic Beverage Control Licenses	3.1	2.5	2.6	3.0	3.3	4.0	3.7	3.8	2.8				28.8	26.2
Total Consumption/Use Taxes and Fees	591.4	502.3	687.5	572.9	490.3	752.9	524.3	522.4	698.5	0.0	0.0	0.0	5,342.5	5,326.3
<b>BUSINESS TAXES</b>														
Corporation Franchise	22.0	(54.5)	292.1	45.9	31.8	280.5	24.0	2.4	293.3				937.5	1,106.2
Corporation and Utilities	2.4	(0.7)	161.1	4.1	3.5	194.6	(3.5)	4.3	232.0				597.8	745.7
Insurance	18.6	(5.1)	142.5	12.4	9.6	148.0	3.7	3.9	132.5				466.1	424.7
Bank	14.0	(3.8)	113.4	9.0	(12.2)	106.1	(9.3)	(17.0)	90.6				290.8	371.1
Petroleum Business	--	--	--	--	--	--	--	0.5	--				0.5	--
Lubricating Oil	--	--	--	--	--	--	--	--	--				--	--
Total Business Taxes	57.0	(64.1)	709.1	71.4	32.7	729.2	14.9	(5.9)	748.4	0.0	0.0	0.0	2,292.7	2,647.7
<b>OTHER TAXES</b>														
Real Property Gains	0.5	0.5	0.1	0.4	0.3	0.6	0.5	0.6	0.1				3.6	4.3
Estate and Gift	57.4	58.5	85.6	63.8	73.8	65.0	42.3	42.5	65.4				554.3	556.9
Pari-Mutuel	1.5	2.4	3.0	2.5	3.6	3.4	2.3	2.4	2.1				23.2	22.8
Real Estate Transfer	--	--	--	--	--	--	--	--	--				--	--
Racing and Exhibitions	--	0.1	--	--	--	0.1	0.2	--	0.1				0.5	0.5
Total Other Taxes	59.4	61.5	88.7	66.7	77.7	69.1	45.3	45.5	67.7	0.0	0.0	0.0	581.6	584.5
<b>TOTAL TAX RECEIPTS</b>	<u>\$5,396.0</u>	<u>\$962.7</u>	<u>\$3,088.7</u>	<u>\$1,875.7</u>	<u>\$1,672.8</u>	<u>\$3,076.6</u>	<u>\$1,363.5</u>	<u>\$1,108.0</u>	<u>\$2,337.6</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$20,881.6</u>	<u>\$29,516.6</u>

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)**

**EXHIBIT "G"**

										<b>9 Months Ended Dec. 31</b>				
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE</b>	\$1,046.9	\$1,544.6	\$1,054.6	\$1,414.6	\$1,683.4	\$320.9	\$1,208.9	\$1,360.2	\$888.5				\$1,046.9	\$2,198.7
<b>RECEIPTS:</b>														
Personal Income Tax	--	--	--	--	--	180.0	628.0	638.0	1,030.0				2,476.0	1,173.4
Consumption/Use Taxes and Fees	58.1	37.6	39.9	44.3	52.6	51.5	40.1	38.0	50.5				412.6	367.2
Business Taxes	44.3	32.3	166.6	61.7	50.0	164.1	38.3	34.1	156.8				748.2	737.9
Other Taxes	--	--	--	--	--	--	--	--	--				--	--
Miscellaneous Receipts	529.2	590.2	693.8	687.1	831.0	927.3	1,139.3	816.5	830.4				7,044.8	4,948.1
Federal Grants	2,193.4	2,432.1	2,371.9	2,327.8	2,507.3	3,680.9	2,485.6	2,648.2	2,627.3				23,274.5	19,263.8
<b>Total Receipts</b>	<b>2,825.0</b>	<b>3,092.2</b>	<b>3,272.2</b>	<b>3,120.9</b>	<b>3,440.9</b>	<b>5,003.8</b>	<b>4,331.3</b>	<b>4,174.8</b>	<b>4,695.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>33,956.1</b>	<b>26,490.4</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Education	326.6	388.3	299.7	108.1	1,620.0	250.7	724.9	777.7	1,394.3				5,890.3	5,198.7
Social Services	1,238.8	2,154.3	1,613.0	1,870.3	2,217.0	2,867.5	2,380.1	2,653.5	2,101.2				19,095.7	14,810.1
Health and Environment	143.1	181.9	138.8	154.1	193.3	171.3	159.1	159.0	183.4				1,484.0	1,169.8
Mental Hygiene	23.1	1.7	20.6	18.0	11.1	28.0	16.8	30.4	10.5				160.2	174.6
Transportation	102.7	186.7	133.3	98.9	156.7	101.3	105.3	191.6	534.2				1,610.7	1,114.9
Criminal Justice	14.5	5.5	11.7	13.7	4.3	10.8	13.2	6.3	10.2				90.2	81.2
SEMO and Disaster Assistance	201.5	5.5	108.2	120.2	39.9	246.5	55.8	30.4	39.3				847.3	401.5
Miscellaneous	49.5	55.3	50.1	58.2	63.1	67.4	61.2	50.7	46.8				502.3	436.7
<b>Total Local Assistance Grants</b>	<b>2,099.8</b>	<b>2,979.2</b>	<b>2,375.4</b>	<b>2,441.5</b>	<b>4,305.4</b>	<b>3,743.5</b>	<b>3,516.4</b>	<b>3,899.6</b>	<b>4,319.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>29,680.7</b>	<b>23,387.5</b>
Departmental Operations:														
Personal Service	171.6	393.7	177.5	244.6	227.6	262.7	467.9	491.6	348.0				2,785.2	2,405.2
Non-Personal Service	213.7	189.3	232.5	203.1	183.3	230.6	308.0	244.4	242.8				2,047.7	1,770.5
General State Charges	30.8	53.7	39.8	36.6	52.3	19.6	46.5	68.7	42.6				390.6	239.4
Capital Projects	0.1	0.2	0.1	0.5	0.2	0.2	0.4	0.9	0.3				2.9	4.8
<b>Total Disbursements</b>	<b>2,516.0</b>	<b>3,616.1</b>	<b>2,825.3</b>	<b>2,926.3</b>	<b>4,768.8</b>	<b>4,256.6</b>	<b>4,339.2</b>	<b>4,705.2</b>	<b>4,953.6</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>34,907.1</b>	<b>27,807.4</b>
Excess (Deficiency) of Receipts over Disbursements	309.0	(523.9)	446.9	194.6	(1,327.9)	747.2	(7.9)	(530.4)	(258.6)	0.0	0.0	0.0	(951.0)	(1,317.0)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	282.8	133.4	161.5	184.7	293.9	365.6	221.4	209.7	192.5				2,045.5	1,637.0
Transfers to Other Funds	(94.1)	(99.5)	(248.4)	(110.5)	(328.5)	(224.8)	(62.2)	(151.0)	(234.1)				(1,553.1)	(1,405.4)
<b>Total Other Financing Sources (Uses)</b>	<b>188.7</b>	<b>33.9</b>	<b>(86.9)</b>	<b>74.2</b>	<b>(34.6)</b>	<b>140.8</b>	<b>159.2</b>	<b>58.7</b>	<b>(41.6)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>492.4</b>	<b>231.6</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	497.7	(490.0)	360.0	268.8	(1,362.5)	888.0	151.3	(471.7)	(300.2)	0.0	0.0	0.0	(458.6)	(1,085.4)
<b>CLOSING CASH BALANCE</b>	<b>\$1,544.6</b>	<b>\$1,054.6</b>	<b>\$1,414.6</b>	<b>\$1,683.4</b>	<b>\$320.9</b>	<b>\$1,208.9</b>	<b>\$1,360.2</b>	<b>\$888.5</b>	<b>\$588.3</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$588.3</b>	<b>\$1,113.3</b>

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT "G"  
(page 2)

													9 Months Ended Dec. 31	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>PERSONAL INCOME TAX</b>	\$ --	\$ --	\$ --	\$ --	\$ --	\$180.0	\$628.0	\$638.0	\$1,030.0				\$2,476.0	\$1,173.4
Total Personal Income Tax	--	--	--	--	--	180.0	628.0	638.0	1,030.0	0.0	0.0	0.0	2,476.0	1,173.4
<b>CONSUMPTION/USE TAXES AND FEES</b>														
Sales and Use	49.5	26.4	29.8	34.8	28.0	40.0	27.4	26.7	39.4				302.0	295.2
Auto Rental	--	--	--	--	--	--	--	--	--				--	--
Hotel / Motel	--	--	--	--	--	--	--	--	--				--	--
Motor Vehicle	3.8	3.9	3.7	3.3	18.2	5.5	7.0	6.0	6.0				57.4	20.6
Cigarette/Tobacco Products	--	--	--	--	--	--	--	--	--				--	--
Motor Fuel	4.8	7.3	6.4	6.2	6.4	6.0	5.7	5.3	5.1				53.2	51.4
Alcoholic Beverage	--	--	--	--	--	--	--	--	--				--	--
Beverage Container	--	--	--	--	--	--	--	--	--				--	--
Highway Use	--	--	--	--	--	--	--	--	--				--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--	--	--				--	--
Total Consumption/Use Taxes and Fees	58.1	37.6	39.9	44.3	52.6	51.5	40.1	38.0	50.5	0.0	0.0	0.0	412.6	367.2
<b>BUSINESS TAXES</b>														
Corporation Franchise	10.2	(0.5)	40.1	4.7	5.0	37.7	2.0	(0.5)	38.4				137.1	146.0
Corporation and Utilities	2.7	1.1	47.8	13.6	0.4	50.7	(0.2)	0.1	44.6				160.8	164.2
Insurance	(0.9)	(2.7)	18.7	1.0	1.3	13.6	1.0	0.2	13.1				45.3	45.1
Bank	(1.6)	(2.1)	19.8	1.0	0.3	19.9	(0.6)	(2.2)	23.6				58.1	58.5
Petroleum Business	33.9	36.5	40.2	41.4	43.0	42.2	36.1	36.5	37.1				346.9	324.1
Lubricating Oil	--	--	--	--	--	--	--	--	--				--	--
Total Business Taxes	44.3	32.3	166.6	61.7	50.0	164.1	38.3	34.1	156.8	0.0	0.0	0.0	748.2	737.9
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--	--	--				--	--
Estate and Gift	--	--	--	--	--	--	--	--	--				--	--
Pari-Mutuel	--	--	--	--	--	--	--	--	--				--	--
Real Estate Transfer	--	--	--	--	--	--	--	--	--				--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--	--				--	--
Total Other Taxes	--	--	--	--	--	--	--	--	--	0.0	0.0	0.0	--	--
<b>TOTAL TAX RECEIPTS</b>	<u>\$102.4</u>	<u>\$69.9</u>	<u>\$206.5</u>	<u>\$106.0</u>	<u>\$102.6</u>	<u>\$395.6</u>	<u>\$706.4</u>	<u>\$710.1</u>	<u>\$1,237.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$3,636.8</u>	<u>\$2,278.5</u>

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)**

**EXHIBIT "H"**

											<u>9 Months Ended Dec. 31</u>			
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE</b>	\$169.0	\$226.3	\$241.8	\$334.4	\$305.0	\$234.1	\$341.6	\$256.2	\$285.5				\$169.0	\$421.8
<b>RECEIPTS:</b>														
Personal Income Tax	--	154.3	534.4	388.3	357.3	508.6	259.6	182.1	274.2				2,658.8	250.0
Consumption/Use Taxes and Fees														
Sales and Use	152.8	147.2	201.9	167.6	158.0	236.2	154.7	151.0	218.3				1,587.7	1,529.3
Motor Fuel	8.4	12.6	11.8	10.3	11.1	10.2	9.6	8.5	9.0				91.5	90.1
Other Taxes	36.5	26.0	47.3	26.8	33.8	16.8	47.4	14.6	31.6				280.8	218.2
Miscellaneous Receipts	54.3	48.3	32.0	33.3	43.9	61.5	86.0	164.3	55.3				578.9	433.2
Total Receipts	<u>252.0</u>	<u>388.4</u>	<u>827.4</u>	<u>626.3</u>	<u>604.1</u>	<u>833.3</u>	<u>557.3</u>	<u>520.5</u>	<u>588.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>5,197.7</u>	<u>2,520.8</u>
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Non-Personal Service	0.1	0.2	1.4	0.3	--	1.4	0.4	--	1.3				5.1	4.5
Debt Service, including payments on financing agreements	242.4	236.3	273.4	103.8	281.8	512.7	107.0	194.3	273.3				2,225.0	2,716.4
Total Disbursements	<u>242.5</u>	<u>236.5</u>	<u>274.8</u>	<u>104.1</u>	<u>281.8</u>	<u>514.1</u>	<u>107.4</u>	<u>194.3</u>	<u>274.6</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,230.1</u>	<u>2,720.9</u>
Excess (Deficiency) of Receipts over Disbursements	<u>9.5</u>	<u>151.9</u>	<u>552.6</u>	<u>522.2</u>	<u>322.3</u>	<u>319.2</u>	<u>449.9</u>	<u>326.2</u>	<u>313.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,967.6</u>	<u>(200.1)</u>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	326.9	318.2	481.0	199.4	451.0	647.6	144.1	231.5	442.2				3,241.9	3,683.3
Transfers to Other Funds	(279.1)	(454.6)	(941.0)	(751.0)	(844.2)	(859.3)	(679.4)	(528.4)	(706.0)				(6,043.0)	(3,043.0)
Total Other Financing Sources (Uses)	<u>47.8</u>	<u>(136.4)</u>	<u>(460.0)</u>	<u>(551.6)</u>	<u>(393.2)</u>	<u>(211.7)</u>	<u>(535.3)</u>	<u>(296.9)</u>	<u>(263.8)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(2,801.1)</u>	<u>640.3</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>57.3</u>	<u>15.5</u>	<u>92.6</u>	<u>(29.4)</u>	<u>(70.9)</u>	<u>107.5</u>	<u>(85.4)</u>	<u>29.3</u>	<u>50.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>166.5</u>	<u>440.2</u>
<b>CLOSING CASH BALANCE</b>	<u>\$226.3</u>	<u>\$241.8</u>	<u>\$334.4</u>	<u>\$305.0</u>	<u>\$234.1</u>	<u>\$341.6</u>	<u>\$256.2</u>	<u>\$285.5</u>	<u>\$335.5</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$335.5</u>	<u>\$862.0</u>

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)**

**EXHIBIT "I"**

	9 Months Ended Dec. 31												2002	2001	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH			
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$267.4)	(\$346.1)	(\$466.6)	(\$485.3)	(\$582.5)	(\$689.1)	(\$570.9)	(\$579.0)	(\$765.0)					(\$267.4)	(\$88.9)
<b>RECEIPTS:</b>															
Consumption/Use Taxes and Fees															
Motor Vehicle		16.3	47.5	29.1	33.0	71.7	44.3	42.2	37.3	37.1				358.5	279.5
Auto Rental	(1)	1.8	0.1	8.8	0.1	--	12.0	--	--	8.9				31.7	--
Motor Fuel		25.1	37.9	35.5	30.9	33.0	30.4	28.7	25.1	27.0				273.6	270.6
Highway Use		12.1	11.4	11.7	12.1	13.2	12.6	12.6	13.3	13.8				112.8	115.8
Business Taxes															
Petroleum Business		41.9	47.8	52.0	51.2	53.2	52.6	44.7	44.3	46.1				433.8	408.4
Other Taxes		--	--	11.2	11.2	11.2	11.2	11.2	11.2	11.2				78.4	78.4
Miscellaneous Receipts		15.8	152.4	113.0	35.1	50.6	274.9	117.5	79.9	326.8				1,166.0	886.9
Federal Grants		97.3	100.4	117.7	114.9	121.5	213.0	160.1	127.2	113.9				1,166.0	1,084.3
<b>Total Receipts</b>	<b>210.3</b>	<b>397.5</b>	<b>379.0</b>	<b>288.5</b>	<b>354.4</b>	<b>651.0</b>	<b>417.0</b>	<b>338.3</b>	<b>584.8</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3,620.8</b>	<b>3,123.9</b>	
<b>DISBURSEMENTS:</b>															
Local Assistance Grants:															
Education	0.1	0.5	0.1	--	--	--	--	0.6	0.3					1.6	1.1
Social Services	--	--	--	--	--	--	--	--	--					--	25.5
Health and Environment	0.3	--	0.1	0.1	2.3	149.1	0.1	0.2	8.5					160.7	233.3
Mental Hygiene	0.9	0.5	1.8	1.1	1.4	1.0	4.3	2.0	3.5					16.5	35.7
Transportation	13.0	14.2	18.7	20.1	25.2	23.7	28.7	42.9	34.8					221.3	56.0
Miscellaneous	10.0	1.5	3.3	5.9	38.5	4.0	30.8	28.1	8.3					130.4	83.7
<b>Total Local Assistance Grants</b>	<b>24.3</b>	<b>16.7</b>	<b>24.0</b>	<b>27.2</b>	<b>67.4</b>	<b>177.8</b>	<b>63.9</b>	<b>73.8</b>	<b>55.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>530.5</b>	<b>435.3</b>	
Departmental Operations:															
Personal Service	--	--	--	--	--	--	--	--	--					--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--					--	--
General State Charges	--	--	--	--	--	--	--	--	--					--	--
<b>Capital Projects</b>	<b>259.6</b>	<b>327.4</b>	<b>332.5</b>	<b>332.6</b>	<b>379.5</b>	<b>318.1</b>	<b>345.4</b>	<b>325.1</b>	<b>328.3</b>				<b>2,948.5</b>	<b>2,851.1</b>	
<b>Total Disbursements</b>	<b>283.9</b>	<b>344.1</b>	<b>356.5</b>	<b>359.8</b>	<b>446.9</b>	<b>495.9</b>	<b>409.3</b>	<b>398.9</b>	<b>383.7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3,479.0</b>	<b>3,286.4</b>	
Excess (Deficiency) of Receipts over Disbursements	(73.6)	53.4	22.5	(71.3)	(92.5)	155.1	7.7	(60.6)	201.1	0.0	0.0	0.0	141.8	(162.5)	
<b>OTHER FINANCING SOURCES (USES):</b>															
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--					--	93.0
Transfers from Other Funds	51.0	32.2	17.4	32.4	48.8	106.2	44.1	6.4	(3.5)					335.0	341.1
Transfers to Other Funds	(56.1)	(206.1)	(58.6)	(58.3)	(62.9)	(143.1)	(59.9)	(131.8)	(97.6)					(874.4)	(578.5)
<b>Total Other Financing Sources (Uses)</b>	<b>(5.1)</b>	<b>(173.9)</b>	<b>(41.2)</b>	<b>(25.9)</b>	<b>(14.1)</b>	<b>(36.9)</b>	<b>(15.8)</b>	<b>(125.4)</b>	<b>(101.1)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(539.4)</b>	<b>(144.4)</b>	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(78.7)	(120.5)	(18.7)	(97.2)	(106.6)	118.2	(8.1)	(186.0)	100.0	0.0	0.0	0.0	(397.6)	(306.9)	
<b>CLOSING CASH BALANCE (DEFICITS)</b>	<b>(\$346.1)</b>	<b>(\$466.6)</b>	<b>(\$485.3)</b>	<b>(\$582.5)</b>	<b>(\$689.1)</b>	<b>(\$570.9)</b>	<b>(\$579.0)</b>	<b>(\$765.0)</b>	<b>(\$665.0)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>(\$665.0)</b>	<b>(\$395.8)</b>	

(1) The Auto Use Tax was transferred from the General Fund to the Highway and Bridge Trust Fund, Capital Projects Fund group, pursuant to Chapter 85, Part D, Laws of 2002.

STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT J

										2003			9 Months Ended Dec. 31	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE (DEFICITS)</b>	\$19.0	\$20.2	\$22.3	\$22.7	\$23.8	\$22.8	\$20.7	\$17.6	\$16.6				\$19.0	\$16.8
<b>RECEIPTS:</b>														
Miscellaneous Receipts	6.3	6.0	4.3	8.4	6.6	7.8	5.5	5.8	5.3				56.0	59.8
Total Receipts	6.3	6.0	4.3	8.4	6.6	7.8	5.5	5.8	5.3	0.0	0.0	0.0	56.0	59.8
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	0.7	0.9	0.6	1.2	1.3	2.0	0.9	0.9	0.8				9.3	8.5
Non-Personal Service	4.3	3.0	3.2	6.0	6.2	7.8	7.5	5.7	5.9				49.6	49.6
General State Charges	0.1	--	0.1	0.1	0.1	0.1	0.2	0.2	0.1				1.0	0.9
Total Disbursements	5.1	3.9	3.9	7.3	7.6	9.9	8.6	6.8	6.8	0.0	0.0	0.0	59.9	59.0
Excess (Deficiency) of Receipts over Disbursements	1.2	2.1	0.4	1.1	(1.0)	(2.1)	(3.1)	(1.0)	(1.5)	0.0	0.0	0.0	(3.9)	0.8
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--				--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--				--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.2	2.1	0.4	1.1	(1.0)	(2.1)	(3.1)	(1.0)	(1.5)	0.0	0.0	0.0	(3.9)	0.8
<b>CLOSING CASH BALANCE</b>	<u>\$20.2</u>	<u>\$22.3</u>	<u>\$22.7</u>	<u>\$23.8</u>	<u>\$22.8</u>	<u>\$20.7</u>	<u>\$17.6</u>	<u>\$16.6</u>	<u>\$15.1</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$15.1</u>	<u>\$17.6</u>

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)**

**EXHIBIT K**

											<b>9 Months Ended Dec. 31</b>			
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$101.5)	(\$105.6)	(\$109.5)	(\$146.8)	(\$170.0)	(\$176.5)	(\$174.6)	(\$156.5)	(\$167.9)				(\$101.5)	(\$82.1)
<b>RECEIPTS:</b>														
Miscellaneous Receipts	33.2	39.2	59.0	36.9	59.6	42.5	46.0	29.6	51.1				397.1	319.1
Total Receipts	33.2	39.2	59.0	36.9	59.6	42.5	46.0	29.6	51.1	0.0	0.0	0.0	397.1	319.1
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	9.5	9.7	8.4	12.9	8.9	8.7	9.8	8.9	12.6				89.4	83.2
Non-Personal Service	26.2	30.3	93.7	30.0	39.0	34.0	19.0	29.8	25.0				327.0	286.7
General State Charges	1.6	4.7	10.9	1.0	4.0	--	1.2	4.6	4.2				32.2	21.4
Debt Service, Including Payments on Financing Agreements	--	--	--	16.6	33.3	--	--	--	--				49.9	61.7
Total Disbursements	37.3	44.7	113.0	60.5	85.2	42.7	30.0	43.3	41.8	0.0	0.0	0.0	498.5	453.0
Excess (Deficiency) of Receipts over Disbursements	(4.1)	(5.5)	(54.0)	(23.6)	(25.6)	(0.2)	16.0	(13.7)	9.3	0.0	0.0	0.0	(101.4)	(133.9)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	1.6	16.7	0.4	19.1	2.1	2.1	2.3	1.3				45.6	50.4
Transfers to Other Funds	--	--	--	--	--	--	--	--	(0.1)				(0.1)	--
Total Other Financing Sources (Uses)	--	1.6	16.7	0.4	19.1	2.1	2.1	2.3	1.2	0.0	0.0	0.0	45.5	50.4
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.1)	(3.9)	(37.3)	(23.2)	(6.5)	1.9	18.1	(11.4)	10.5	0.0	0.0	0.0	(55.9)	(83.5)
<b>CLOSING CASH BALANCE (DEFICITS)</b>	(\$105.6)	(\$109.5)	(\$146.8)	(\$170.0)	(\$176.5)	(\$174.6)	(\$156.5)	(\$167.9)	(\$157.4)	\$0.0	\$0.0	\$0.0	(\$157.4)	(\$165.6)



STATE OF NEW YORK  
EXPENDABLE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT L

	2002									2003			9 Months Ended Dec. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE</b>	\$46.4	\$10.5	\$23.5	\$56.5	\$44.4	\$69.0	\$61.0	\$65.5	\$65.9				\$46.4	\$36.1
<b>RECEIPTS:</b>														
Miscellaneous Receipts	1.2	0.7	0.9	0.8	6.2	1.2	0.8	5.5	1.6				18.9	21.5
Federal Grants	171.5	174.7	141.7	111.7	80.8	87.2	85.4	74.1	86.4				1,013.5	25.2
Unemployment Taxes	269.3	249.9	243.9	259.8	241.5	216.4	225.0	201.6	243.9				2,151.3	1,976.5
Total Receipts	442.0	425.3	386.5	372.3	328.5	304.8	311.2	281.2	331.9	0.0	0.0	0.0	3,183.7	2,023.2
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Miscellaneous	--	--	--	--	--	--	--	1.1	0.1				1.2	1.4
Departmental Operations:														
Personal Service	0.3	0.4	0.4	0.7	0.3	0.3	0.3	0.4	0.5				3.6	3.4
Non-Personal Service	1.5	1.7	1.1	1.8	1.2	1.4	1.3	1.0	0.8				11.8	10.5
General State Charges	0.3	0.3	--	--	0.4	--	--	--	0.1				1.1	1.3
Unemployment Benefits	474.8	409.7	355.7	380.1	302.8	310.3	304.2	277.9	359.5				3,175.0	2,002.8
Capital Projects	1.0	0.2	(3.4)	1.8	0.7	0.8	0.9	0.4	0.2				2.6	4.8
Total Disbursements	477.9	412.3	353.8	384.4	305.4	312.8	306.7	280.8	361.2	0.0	0.0	0.0	3,195.3	2,024.2
Excess (Deficiency) of Receipts over Disbursements	(35.9)	13.0	32.7	(12.1)	23.1	(8.0)	4.5	0.4	(29.3)	0.0	0.0	0.0	(11.6)	(1.0)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	0.3	--	1.5	--	--	--	--				1.8	0.6
Transfers to Other Funds	--	--	--	--	--	--	--	--	--				--	--
Total Other Financing Sources (Uses)	--	--	0.3	--	1.5	--	--	--	--	0.0	0.0	0.0	1.8	0.6
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(35.9)	13.0	33.0	(12.1)	24.6	(8.0)	4.5	0.4	(29.3)	0.0	0.0	0.0	(9.8)	(0.4)
<b>CLOSING CASH BALANCE</b>	\$10.5	\$23.5	\$56.5	\$44.4	\$69.0	\$61.0	\$65.5	\$65.9	\$36.6	\$0.0	\$0.0	\$0.0	\$36.6	\$35.7

STATE OF NEW YORK  
NONEXPENDABLE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT M

	2002									2003			9 Months Ended Dec. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE</b>	\$23.8	\$26.4	\$27.5	\$29.7	\$31.5	\$33.5	\$31.2	\$28.2	\$29.7				\$23.8	\$26.7
<b>RECEIPTS:</b>														
Miscellaneous Receipts	3.9	2.4	2.3	2.2	3.4	3.0	2.5	2.9	2.6				25.2	22.2
Federal Grants	0.2	0.1	0.6	--	0.1	--	0.2	0.1	--				1.3	0.5
Total Receipts	4.1	2.5	2.9	2.2	3.5	3.0	2.7	3.0	2.6	0.0	0.0	0.0	26.5	22.7
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Mental Hygiene	0.1	--	--	--	--	--	--	--	--				0.1	2.7
Miscellaneous	0.3	0.5	0.4	0.3	0.3	0.6	--	0.4	--				2.8	1.4
Departmental Operations:														
Personal Service	--	--	--	--	--	--	--	--	--				--	--
Non-Personal Service	1.1	0.9	0.3	0.1	1.2	4.7	5.7	1.1	1.2				16.3	16.7
Total Disbursements	1.5	1.4	0.7	0.4	1.5	5.3	5.7	1.5	1.2	0.0	0.0	0.0	19.2	20.8
Excess (Deficiency) of Receipts over Disbursements	2.6	1.1	2.2	1.8	2.0	(2.3)	(3.0)	1.5	1.4	0.0	0.0	0.0	7.3	1.9
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--				--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--				--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2.6	1.1	2.2	1.8	2.0	(2.3)	(3.0)	1.5	1.4	0.0	0.0	0.0	7.3	1.9
<b>CLOSING CASH BALANCE</b>	<u>\$26.4</u>	<u>\$27.5</u>	<u>\$29.7</u>	<u>\$31.5</u>	<u>\$33.5</u>	<u>\$31.2</u>	<u>\$28.2</u>	<u>\$29.7</u>	<u>\$31.1</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$31.1</u>	<u>\$28.6</u>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2002  
(amounts in millions)

SCHEDULE 1

	BALANCE 12/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 12/31/02
<b>GENERAL FUND</b>					
001-Local Assistance	\$ --	\$ 0.099	\$ 2,196.349	\$ 2,196.250	\$ --
003-State Operations	1,639.690	2,519.547	1,017.564	(1,791.505)	1,350.168
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	20.529	--	--	--	20.529
006-Universal Pre-K	--	--	--	--	--
007-Community Projects	100.909	--	9.107	0.338	92.140
166-Fringe Benefits Escrow	--	--	(0.093)	--	0.093
<b>TOTAL GENERAL FUND</b>	<b>1,761.128</b>	<b>2,519.646</b>	<b>3,222.927</b>	<b>405.083</b>	<b>1,462.930</b>
<b>SPECIAL REVENUE FUNDS-GENERAL</b>					
023-New York Interest on Lawyer Account	4.452	0.723	0.113	--	5.062
050-Tuition Reimbursement	1.266	0.274	0.161	--	1.379
052-Local Government Records Management Improvement	5.510	0.747	0.407	--	5.850
053-School Tax Relief	2.110	1,030.000	1,031.902	--	0.208
054-Charter Schools Stimulus	0.310	--	--	--	0.310
056-Hudson River Valley Greenway	--	--	--	--	--
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.016	--	--	--	0.016
061-HCRA Transfer	108.317	131.817	207.322	--	32.812
062-Tobacco Transfer	0.600	--	--	--	0.600
068-Indigent Care	0.988	48.472	22.911	--	26.549
073-Dedicated Mass Transportation Trust	54.224	38.306	39.885	--	52.645
160-State Lottery	(611.194)	150.580	15.680	--	(476.294)
300-Sewage Treatment Program Mgmt. & Administration	0.469	0.001	0.700	--	(0.230)
301-EnCon Special Revenue	25.964	3.290	5.712	1.671	25.213
302-Conservation	17.650	18.937	7.187	(1.671)	27.729
303-Environmental Protection and Oil Spill Compensation	12.629	7.153	1.737	(7.509)	10.536
305-Training and Education Program on OSHA	8.770	6.377	0.642	--	14.505
306-Lawyers' Fund for Client Protection	4.986	0.538	0.044	--	5.480
312-Hazardous Waste Remedial	(13.912)	0.659	2.771	(0.053)	(16.077)
313-Mass Transportation Operating Assistance	98.721	169.220	492.017	--	(224.076)
314-Clean Air	7.236	1.533	2.709	--	6.060
318-New York State Infrastructure Trust	0.055	--	--	--	0.055
321-Legislative Computer Services	5.064	0.073	--	--	5.137
328-Biodiversity Stewardship and Research	--	--	--	--	--
337-Rural Housing Assistance	--	--	--	--	--
339-Miscellaneous State Special Revenue	1,006.674	325.567	600.826	157.880	889.295
340-Court Facilities Incentive Aid	2.867	0.004	9.272	7.000	0.599
341-Employment Training	0.217	--	--	--	0.217
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	297.079	116.002	151.346	8.618	270.353
346-Substance Abuse Service	5.140	0.114	0.056	--	5.198
349-Lake George Park Trust	0.449	--	0.085	--	0.364
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	13.856	1.460	2.396	--	12.920

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2002  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 12/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 12/31/02
<b><u>SPECIAL REVENUE FUNDS-GENERAL (CONTINUED)</u></b>					
355-New York Great Lakes Protection	\$ 3.950	\$ 0.004	\$ 0.065	\$ --	\$ 3.889
359-Federal Revenue Maximization	0.235	0.008	--	--	0.243
362-NYS/DOT Highway Safety Program	1.359	0.022	0.308	--	1.073
365-Vocational Rehabilitation	0.618	0.037	--	--	0.655
366-Drinking Water Program Management and Administration	(1.112)	--	0.616	--	(1.728)
368-NYC County Clerks' Operations Offset	(7.182)	--	1.848	--	(9.030)
369-Judiciary Data Processing Offset	(5.720)	--	1.280	--	(7.000)
377-IFR / CUTRA	35.553	4.214	3.583	--	36.184
379-Racing Preservation	--	--	--	--	--
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.089	0.012	0.070	--	0.031
482-Unemployment Insurance Interest and Penalty	3.795	0.481	0.344	--	3.932
<b>TOTAL SPECIAL REVENUE FUNDS-GENERAL</b>	<b>1,092.098</b>	<b>2,056.625</b>	<b>2,603.995</b>	<b>165.936</b>	<b>710.664</b>
<b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>					
261-Federal USDA / Food and Consumer Services	(1.391)	123.497	138.201	--	(16.095)
265-Federal Health and Human Services	(182.828)	2,192.688	1,868.249	(207.425)	(65.814)
267-Federal Education	(6.992)	125.973	143.823	(0.083)	(24.925)
269-Federal DHHS Block Grant	(5.174)	36.361	37.661	--	(6.474)
290-Federal Miscellaneous Operating Grants	(32.992)	80.942	84.452	(0.011)	(36.513)
480-Unemployment Insurance Administration	23.786	50.559	57.711	--	16.634
484-Unemployment Insurance Occupational Training	1.022	17.355	8.981	--	9.396
486-DOL Federal Grants	0.960	10.984	10.534	--	1.410
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>(203.609)</b>	<b>2,638.359</b>	<b>2,349.612</b>	<b>(207.519)</b>	<b>(122.381)</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>888.489</b>	<b>4,694.984</b>	<b>4,953.607</b>	<b>(41.583)</b>	<b>588.283</b>
<b><u>DEBT SERVICE FUNDS</u></b>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	163.707	25.484	--	52.544	241.735
311-General Obligation Debt Service	3.940	274.279	247.356	(30.863)	--
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	2.129	3.192	1.063	--
319-Department of Health Income	16.663	9.024	--	(5.915)	19.772
320-Emergency Highway Reconditioning & Preservation	--	4.499	--	(4.499)	--
330-State University Dormitory Income	80.537	18.678	22.068	(28.062)	49.085
336-Emergency Highway Construction & Reconstruction	--	4.499	--	(4.499)	--
361-Clean Water/Clean Air	16.892	31.578	--	(27.512)	20.958
364-Local Government Assistance Tax	3.795	218.219	1.990	(216.106)	3.918
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>\$ 285.534</b>	<b>\$ 588.389</b>	<b>\$ 274.606</b>	<b>\$ (263.849)</b>	<b>\$ 335.468</b>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2002  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 12/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 12/31/02
<b>CAPITAL PROJECTS FUNDS</b>					
002-State Capital Projects	\$ --	\$ 58.282	\$ 60.171	\$ 1.889	\$ --
071-Health Facilities Capital Improvement	--	--	--	--	--
072-Dedicated Highway and Bridge Trust	(255.862)	309.633	109.171	(62.249)	(117.649)
074-SUNY Residence Halls Rehabilitation and Repair	80.984	0.103	2.492	0.001	78.596
075-New York State Canal System Development	1.324	0.092	--	--	1.416
076-Parks Infrastructure	8.757	--	3.209	--	5.548
077-Passenger Facility Charge	0.245	--	--	--	0.245
078-Environmental Protection	137.448	13.734	11.080	(35.000)	105.102
079-Clean Water/Clean Air Implementation	(7.505)	--	0.006	--	(7.511)
080-Hudson River Park	0.073	--	--	--	0.073
101-Energy Conservation Thru Improved Transportation Bond	0.380	--	--	(0.036)	0.344
103-Park & Recreation Land Acquisition Bond	0.002	--	--	--	0.002
105-Pure Waters Bond	0.159	--	--	--	0.159
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	4.588	--	--	--	4.588
115-Environmental Quality Protection Bond	5.609	--	--	(0.003)	5.606
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
123-Transportation Infrastructure Renewal Bond	17.264	--	--	--	17.264
124-1986 Environmental Quality Bond Act	12.767	--	--	(3.985)	8.782
126-Accelerated Capacity and Transportation Improvement Bond	7.231	--	--	(0.876)	6.355
127-Clean Water/Clean Air Bond	12.684	--	--	(0.456)	12.228
291-Federal Capital Projects	(167.644)	113.914	100.021	(0.339)	(154.090)
310-Forest Preserve Expansion	0.237	--	--	--	0.237
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	0.562	0.001	--	--	0.563
327-Suburban Transportation	0.325	--	--	--	0.325
357-Division for Youth Facilities Improvement	(8.569)	8.676	0.262	--	(0.155)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(4.000)	--	--	--	(4.000)
376-Housing Program	(176.633)	--	6.268	--	(182.901)
378-Natural Resource Damage	8.361	0.010	0.007	--	8.364
380-DOT Engineering Services	(213.325)	0.001	70.991	--	(284.315)
384-State University Capital Projects	11.517	0.464	0.464	--	11.517
387-Miscellaneous Capital Projects	21.133	0.046	1.079	--	20.100
388-CUNY Capital Projects	(1.601)	--	--	--	(1.601)
389-Mental Hygiene Facilities Capital Improvement	(176.455)	4.797	7.006	--	(178.664)
399-Correction Facilities Capital Improvement	(85.050)	75.050	11.501	--	(21.501)
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<u>(764.994)</u>	<u>584.803</u>	<u>383.728</u>	<u>(101.054)</u>	<u>(664.973)</u>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<u>\$ 2,170.157</u>	<u>\$ 8,387.822</u>	<u>\$ 8,834.868</u>	<u>\$ (1.403)</u>	<u>\$ 1,721.708</u>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF DECEMBER 2002  
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 12/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 12/31/02</u>
<b><u>ENTERPRISE FUNDS</u></b>					
324-Youth Commissary	\$ 0.300	\$ 0.004	\$ 0.007	\$ --	\$ 0.297
325-State Exposition Special	1.197	0.044	0.024	--	1.217
326-Correctional Services Commissary	1.247	2.749	2.668	--	1.328
329-Correctional Services Family Benefit	6.265	1.810	3.288	--	4.787
331-Agency Enterprise	1.058	0.124	0.181	--	1.001
351-Mental Health Sheltered Workshop	2.571	0.213	0.200	--	2.584
352-Mental Retardation Sheltered Workshop	0.521	0.062	0.069	--	0.514
353-Mental Hygiene Community Stores	2.350	0.173	0.148	--	2.375
450-Industrial Exhibit Authority	1.128	0.160	0.307	--	0.981
<b>TOTAL ENTERPRISE FUNDS</b>	<u>16.637</u>	<u>5.339</u>	<u>6.892</u>	<u>--</u>	<u>15.084</u>
<b><u>INTERNAL SERVICE FUNDS</u></b>					
323-O.G.S. Centralized Services	(26.451)	12.225	10.514	--	(24.740)
334-Agency Internal Service	(110.160)	35.044	24.763	1.263	(98.616)
343-Mental Hygiene Revolving	0.940	0.121	0.191	--	0.870
347-Youth Vocational Education	0.003	--	--	--	0.003
394-Joint Labor/Management Administration	1.185	--	0.205	--	0.980
395-Audit and Control Revolving	(0.767)	--	0.118	--	(0.885)
396-Health Insurance Revolving	(24.575)	0.711	1.342	--	(25.206)
397-Correctional Industries Revolving	(8.104)	2.946	4.669	--	(9.827)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<u>(167.929)</u>	<u>51.047</u>	<u>41.802</u>	<u>1.263</u>	<u>(157.421)</u>
<b>TOTAL PROPRIETARY FUNDS</b>	<u>\$ (151.292)</u>	<u>\$ 56.386</u>	<u>\$ 48.694</u>	<u>\$ 1.263</u>	<u>\$ (142.337)</u>

SCHEDULE 3

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FOR THE MONTH OF DECEMBER 2002

<u>FUND TYPE</u>	<u>FUND BALANCE 12/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 12/31/02</u>
<b><u>EXPENDABLE TRUST FUNDS</u></b>					
019-Mental Health Gifts and Donations	\$ 1.783	\$ 0.023	\$ 0.034	\$ --	\$ 1.772
020-Combined Expendable Trust	26.938	1.560	1.598	--	26.900
021-Agriculture Producer's Security	3.948	0.005	0.017	--	3.936
022-Milk Producers Security	5.846	0.057	0.015	--	5.888
024-Archives Partnership Trust	0.419	--	0.036	--	0.383
333-Winter Sports Education Trust	1.206	0.001	--	--	1.207
481-Unemployment Insurance Benefit	<u>25.744</u>	<u>330.262</u>	<u>359.518</u>	<u>--</u>	<u>(3.512)</u>
<b>TOTAL EXPENDABLE TRUST FUNDS</b>	<u>65.884</u>	<u>331.908</u>	<u>361.218</u>	<u>--</u>	<u>36.574</u>
<b><u>NONEXPENDABLE TRUST FUNDS</u></b>					
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
221-Combined Student Loan	14.833	2.565	1.170	--	16.228
307-Equipment Loan for the Disabled	0.303	0.004	--	--	0.307
332-Combined Non-Expendable Trust	4.303	0.102	--	--	4.405
335-Musical Instrument Revolving	0.001	--	--	--	0.001
338-Arts Capital Revolving	0.471	0.001	--	--	0.472
360-Housing Development	<u>9.741</u>	<u>0.012</u>	<u>0.080</u>	<u>--</u>	<u>9.673</u>
<b>TOTAL NONEXPENDABLE TRUST FUNDS</b>	<u>\$ 29.652</u>	<u>\$ 2.684</u>	<u>\$ 1.250</u>	<u>\$ --</u>	<u>\$ 31.086</u>

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FOR THE MONTH OF DECEMBER 2002  
 (amounts in millions)

SCHEDULE 3  
 (continued)

<u>FUND TYPE</u>	<u>FUND BALANCE 12/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 12/31/02</u>
<b><u>AGENCY FUNDS</u></b>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	\$ --	\$ --	\$ --	\$ --	\$ --
130-School Capital Facilities Financing Reserve	33.380	1.284	--	--	34.664
152-Employees Health Insurance	132.922	289.168	298.706	--	123.384
153-Social Security Contribution	17.943	79.091	61.231	--	35.803
154-Employee Payroll Withholding Escrow	8.475	299.074	246.043	--	61.506
162-Employees Dental Insurance	12.374	5.309	6.884	--	10.799
163-Management Confidential Group Insurance	0.611	0.772	0.197	--	1.186
165-Lottery Prize	208.941	72.148	52.856	--	228.233
167-Health Insurance Reserve Receipts	--	0.004	--	--	0.004
169-Miscellaneous New York State Agency	590.979	45.039	74.513	--	561.505
175-Elderly Pharmaceutical Insurance Coverage Escrow	24.439	44.071	67.450	--	1.060
176-City University Senior College Operating	29.600	61.990	70.817	--	20.773
179-Medicaid Management Information System Escrow	565.479	2,379.905	2,680.532	--	264.852
309-Special Education	--	--	--	--	--
344-State University Collection	77.160	71.041	--	--	148.201
382-SUNY Federal Direct Lending Program	1.598	(15.553)	--	--	(13.955)
<b>TOTAL AGENCY FUNDS</b>	<u>1,703.901</u>	<u>3,333.343</u>	<u>3,559.229</u>	<u>--</u>	<u>1,478.015</u>
<b>TOTAL FIDUCIARY FUNDS</b>	<u>\$ 1,799.437</u>	<u>\$ 3,667.935</u>	<u>\$ 3,921.697</u>	<u>\$ --</u>	<u>\$ 1,545.675</u>



STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FOR THE MONTH OF DECEMBER 2002  
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 12/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 12/31/02</u>
<b><u>ACCOUNTS</u></b>				
060-Tobacco Settlement	\$ 52.843	\$ 104.865	\$ --	\$ 157.708
149-Sole Custody Investment	1,096.894	1,515.087	1,463.420	1,148.561
650-Comptroller's Refund	--	99.063	99.063	--
750-NYS Thruway Authority Operating	3.685	30.436	31.298	2.823
<b>TOTAL ACCOUNTS</b>	<b>\$ 1,153.422</b>	<b>\$ 1,749.451</b>	<b>\$ 1,593.781</b>	<b>\$ 1,309.092</b>

**STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2003**

PURPOSE	DEBT OUTSTANDING APR. 1, 2002	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING DEC. 31, 2002	INTEREST DISBURSED	
		MONTH OF DECEMBER	9 MONTHS ENDED DEC. 31, 2002	MONTH OF DECEMBER	9 MONTHS ENDED DEC. 31, 2002		MONTH OF DECEMBER	9 MONTHS ENDED DEC. 31, 2002
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$ 1,304,285,262.09	\$ --	\$ --	\$ --	\$ 78,747,787.69	\$ 1,225,537,474.40	\$ 1,201,224.62	\$ 36,225,204.45
Clean Water/Clean Air:								
Air Quality	138,723,327.21	--	--	--	6,254,556.10	132,468,771.11	721,764.97	4,016,156.22
Safe Drinking Water	228,817,482.95	--	--	--	8,118,578.14	220,698,904.81	1,339,556.55	7,566,305.27
Water	276,400,686.44	--	--	--	1,573,419.29	274,827,267.15	168,288.79	5,794,414.35
Solid Waste	96,166,804.31	--	--	--	1,283,411.90	94,883,392.41	42,415.54	1,473,369.52
Environmental Restoration	17,518,242.13	--	--	--	84,655.17	17,433,586.96	35,764.70	240,871.45
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	74,597,947.52	--	--	--	18,434,324.29	56,163,623.23	9,722.42	2,590,756.14
Environmental Quality Protection (1972):								
Air	34,292,298.25	--	--	--	2,236,081.60	32,056,216.65	--	993,256.54
Land	93,036,402.64	--	--	--	9,097,093.75	83,939,308.89	55,629.84	2,904,637.73
Wet Lands	1,000.00	--	--	1,000.00	1,000.00	0.00	30.00	60.00
Water	248,821,529.23	--	--	1,419,000.00	21,150,472.84	227,671,056.39	194,220.00	8,553,293.09
Environmental Quality (1986):								
Land and Forests	141,459,633.87	--	--	--	9,958,542.50	131,501,091.37	159,004.61	4,556,590.41
Solid Waste Management	681,822,787.03	--	--	9,525,000.00	18,748,102.03	663,074,685.00	1,896,847.15	14,947,170.75
Higher Education Construction	6,380,000.00	--	--	1,850,000.00	3,650,000.00	2,730,000.00	87,225.00	235,200.00
Housing								
Low Cost	152,385,863.00	--	--	1,125,000.00	11,302,930.38	141,082,932.62	425,500.00	4,097,403.67
Middle Income	75,482,000.00	--	--	1,105,000.00	2,750,000.00	72,732,000.00	536,858.75	3,068,681.00
Urban Renewal	862,383.18	--	--	--	250,977.75	611,405.43	--	30,199.28
Outdoor Recreation Development	838,234.48	--	--	--	159,897.60	678,336.88	--	44,354.85
Park and Recreation Land Acquisition	219,163.58	--	--	--	57,800.94	161,362.64	--	7,288.61
Pure Waters	205,278,758.72	--	--	1,700,000.00	15,170,423.73	190,108,334.99	420,925.00	7,065,510.76
Rail Preservation Development	63,982,328.96	--	--	--	7,992,582.79	55,989,746.17	--	2,736,425.96
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	11,970,833.58	--	--	--	2,588,761.71	9,382,071.87	15,300.00	285,394.49
Ports, Canals, and Waterways	4,739,546.83	--	--	--	456,149.06	4,283,397.77	--	179,036.92
Rapid Transit, Rail, and Aviation	68,224,275.27	--	--	--	4,054,229.48	64,170,045.79	37,927.84	2,186,080.25
Transportation Capital Facilities:								
Aviation	72,101,722.78	--	--	2,050,000.00	6,392,450.47	65,709,272.31	100,500.00	2,600,111.53
Mass Transportation	143,808,485.23	--	--	6,470,000.00	24,469,770.79	119,338,714.44	744,075.00	6,662,634.08
<b>Total General Obligation Bonded Debt</b>	<b>\$ 4,142,216,999.28</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ 25,245,000.00</b>	<b>\$ 254,984,000.00</b>	<b>\$ 3,887,232,999.28</b>	<b>\$ 8,192,780.78</b>	<b>\$ 119,060,407.32</b>

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE NINE (9) MONTHS ENDED DECEMBER 31, 2002

SCHEDULE 5a

	DEBT REDUCTION RESERVE FUND (064)	EMERGENCY HIGHWAY (320/336)	GENERAL DEBT SERVICE (311- 00)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL GOVERNMENT ASSISTANCE TAX (364)	MENTAL HEALTH SERVICES (304)	REVENUE BOND TAX (311- 02)	STATE UNIVERSITY DORMITORY INCOME (330)	COMBINED TOTALS		INCREASE (DECREASE)
									9 MONTHS ENDED DECEMBER 31		
									2002	2001	
<b>Special Contractual Financing Obligations:</b>											
City University Construction	\$ --	\$ --	\$ 215,923,891	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 215,923,891	\$ 318,777,430	\$ (102,853,539)
Community Enhancement Facilities Program	--	--	1,060,616	--	--	--	--	--	1,060,616	5,527,455	(4,466,839)
County of Albany	--	--	--	--	--	--	--	--	--	2,076,631	(2,076,631)
Department of TransRegion 1 Schenectady	--	--	886,570	--	--	--	--	--	886,570	139,241	747,329
Dormitory Authority	--	--	352,102,199	30,522,609	--	153,419,479	--	40,014,822	576,059,109	707,556,236	(131,497,127)
Environmental Conservation - Broadway Albany	--	--	3,185,973	--	--	--	--	--	3,185,973	3,188,628	(2,655)
Environmental Conservation - 50 Wolf Rd Albany	--	--	1,301,250	--	--	--	--	--	1,301,250	1,290,274	10,976
Energy Research & Development Authority	--	--	7,094,925	--	--	--	--	--	7,094,925	7,253,024	(158,099)
Environmental Facilities Corporation	--	--	13,254,447	--	--	--	--	--	13,254,447	14,406,866	(1,152,419)
Hampton Plaza	--	--	181,188	--	--	--	--	--	181,188	186,858	(5,670)
Hanson Place	--	--	3,635,763	--	--	--	--	--	3,635,763	2,828,250	807,513
44 Holland Avenue	--	--	830,016	--	--	--	--	--	830,016	809,800	20,216
Housing Finance Agency	--	--	38,998,609	--	--	--	--	--	38,998,609	39,477,191	(478,582)
Local Government Assistance Corporation	--	--	--	--	95,825,348	--	--	--	95,825,348	103,505,533	(7,680,185)
Metropolitan Transportation Authority:											
Transit and Commuter Rail Projects	--	--	10,685,503	--	--	--	--	--	10,685,503	150,438,873	(139,753,370)
Triborough Bridge & Tunnel Authority:											
Javits Convention Center Project	--	--	41,881,232	--	--	--	--	--	41,881,232	41,862,906	18,326
Thruway Authority	--	--	611,920,500	--	--	--	--	--	611,920,500	583,426,304	28,494,196
Urban Development Corporation:											
Correctional Facilities	--	--	156,988,377	--	--	--	3,219,776	--	160,208,153	265,120,330	(104,912,177)
Center for Industrial Innovation at RPI	--	--	3,460,021	--	--	--	--	--	3,460,021	3,466,464	(6,443)
Syracuse University Science and Technology Center	--	--	2,596,067	--	--	--	--	--	2,596,067	2,498,875	97,192
Cornell Univer. Supercomputer Center	--	--	1,684,013	--	--	--	--	--	1,684,013	1,505,654	178,359
Columbia Univer. Telecommunications Center	--	--	4,187,219	--	--	--	--	--	4,187,219	4,141,312	45,907
Onondaga Convention Center	--	--	3,758,568	--	--	--	--	--	3,758,568	3,890,458	(131,890)
Clarkson University	--	--	666,494	--	--	--	--	--	666,494	619,364	47,130
Alfred University	--	--	872,749	--	--	--	--	--	872,749	761,124	111,625
New York University	--	--	--	--	--	--	--	--	--	168,169	(168,169)
Rochester University	--	--	--	--	--	--	--	--	--	--	--
Higher Education	--	--	1,338,053	--	--	--	--	--	1,338,053	1,404,186	(66,133)
Youth Facilities	--	--	4,287,392	--	--	--	--	--	4,287,392	4,349,848	(62,456)
Youth Facilities - Revenue Bonds	--	--	228,079	--	--	--	--	--	228,079	--	228,079
University Facilities Grant 95 Refunding	--	--	1,528,293	--	--	--	--	--	1,528,293	1,560,011	(31,718)
Economic Development Heritage Trail Project	--	--	488,743	--	--	--	--	--	488,743	593,866	(105,123)
Sports Facility	--	--	3,438,044	--	--	--	--	--	3,438,044	3,568,451	(130,407)
Ten Eyck Project Albany	--	--	822,416	--	--	--	--	--	822,416	822,416	--
Long Island and Pine Barren	--	--	352,048	--	--	--	--	--	352,048	368,531	(16,483)
South Mall	--	--	34,431,000	--	--	--	--	--	34,431,000	32,877,000	1,554,000
Strategic Investment Program	--	--	--	--	--	--	3,943,180	--	3,943,180	--	3,943,180
<b>Total Disbursements for Special Contractual Financing Obligations</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ 1,524,070,258</b>	<b>\$ 30,522,609</b>	<b>\$ 95,825,348</b>	<b>\$ 153,419,479</b>	<b>\$ 7,162,956</b>	<b>\$ 40,014,822</b>	<b>\$ 1,851,015,472</b>	<b>\$ 2,310,467,559</b>	<b>\$ (459,452,087)</b>

**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF DECEMBER 2002  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

	<u>DECEMBER 2002</u>	<u>FISCAL YEAR TO DATE</u>
<b><u>SHORT TERM INVESTMENT POOL</u></b>		
AVERAGE DAILY INVESTMENT BALANCE*	\$3,218.2	\$3,509.3
AVERAGE YIELD*	1.341%	1.718%
TOTAL INVESTMENT EARNINGS	\$3.666	\$45.475
DESCRIPTION	<u>PAR AMOUNT</u>	
TREASURY BILLS	\$0.0	
GOVT. AGENCY BILLS/NOTES	\$0.0	
REPURCHASE AGREEMENTS	\$13.2	
COMMERCIAL PAPER	\$2,896.1	
CERTIFICATES OF DEPOSIT	\$310.8	
0% COMPENSATING BALANCE CD's	<u>\$1,220.3</u>	
	\$4,440.4	

\*Does not include 0% Compensating Balance CD,s.